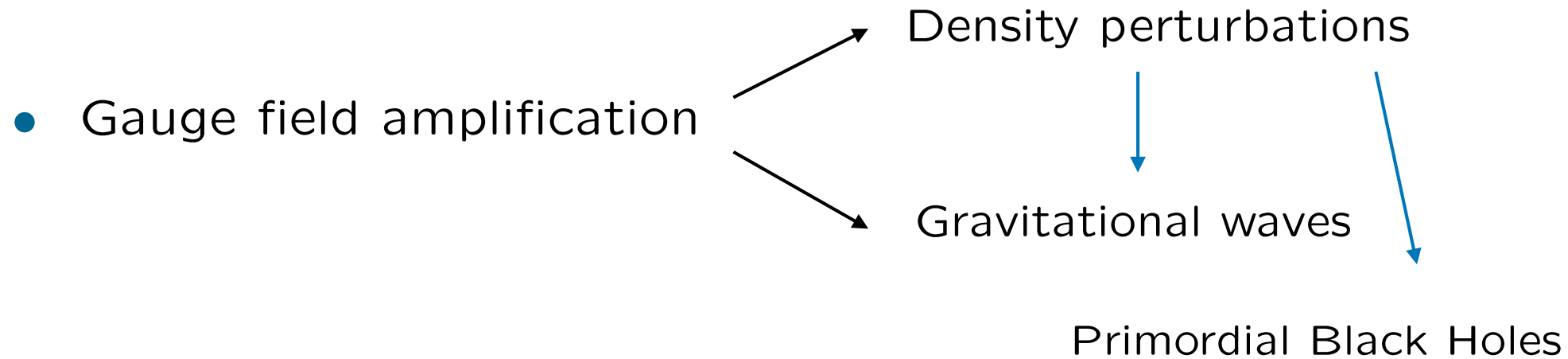


Phenomenology of particle production during axion inflation

Marco Peloso, University of Padua

- Axion inflation



- Strong backreaction on background dynamics

- Density perturbations $\zeta \sim \frac{\delta\rho}{\rho}$ observed through ΔT_{CMB}
- Gravitational waves from inflation not yet observed $r \equiv \frac{P_h}{P_\zeta} = \frac{\langle hh \rangle}{\langle \zeta \zeta \rangle}$
- $r < 0.032$ (Planck+Bicep '21); $r \lesssim \mathcal{O}(10^{-3})$ from CMB-S4

Slow roll inflation + standard assumption of $\delta\rho$ and GW from
 amplification of vacuum modes (due to the inflationary expansion)

$$V^{1/4} \simeq 10^{16} \text{ GeV} \left(\frac{r}{0.01} \right)^{1/4}, \quad \Delta\phi \gtrsim M_p \left(\frac{r}{0.01} \right)^{1/2} \quad \text{Lyth '96}$$

If observed:

- Inflaton scans a Planckian range during inflation
- Scale of inflation \cong GUT scale
- Parametrically : $m_\phi^2 \sim \frac{V}{\Delta\phi^2} \sim \mathcal{O}(10^{13} \text{ GeV})^2$

Motivations for axion inflation

$\Delta\phi > M_p$ not expected in a **generic** low-energy effective QFT

$$V = V_{\text{renormalizable}}(\phi) + \phi^4 \sum_{n=1}^{\infty} c_n \frac{\phi^n}{M^n}$$

Hard to achieve flatness $\frac{M_p V'}{V}, \frac{M_p^2 V''}{V} \ll 1$ unless $M \gg M_p$ (e.g., η problem in supergravity)

Shift symmetry $\phi \rightarrow \phi + C$. E.g. axion (natural) inflation

Freese, Frieman, Olinto '90

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 + V_{\text{shift}}(\phi) + \frac{C}{f} \partial_\mu \phi \bar{\psi} \gamma^\mu \gamma_5 \psi + \frac{\alpha}{f} \phi F_{\mu\nu} \tilde{F}^{\mu\nu}$$

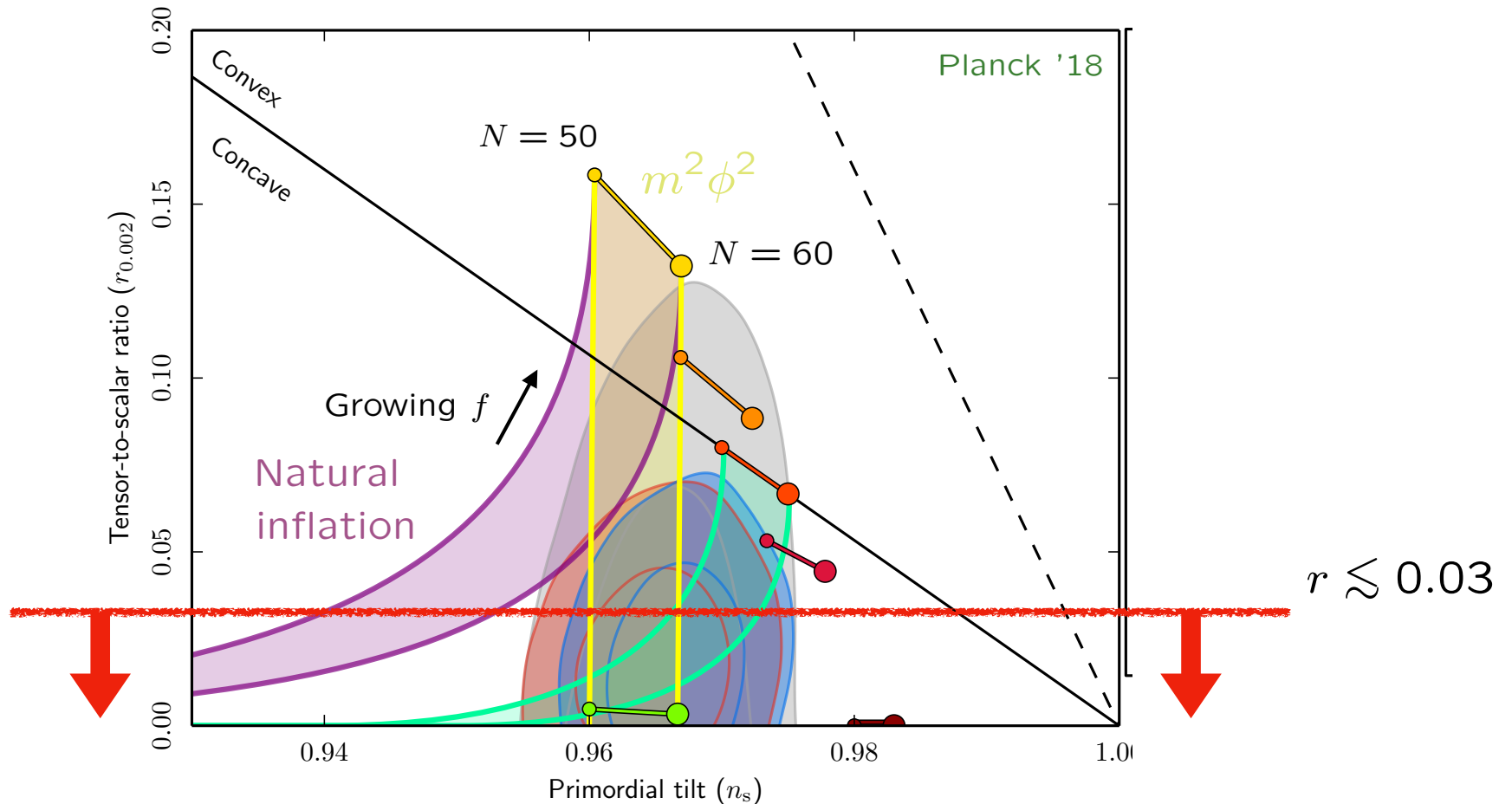
- Smallness of V_{shift} technically natural. $\Delta V \propto V_{\text{shift}}$
- Constrained couplings to matter (predictivity)

Are we speaking about a null set? (Is axion inflation still viable?)

Common to identify axion inflation with “natural inflation”

Freese, Frieman, Olinto '90

$$V = \Lambda^4 \left[1 - \cos \left(\frac{\phi}{f} \right) \right]$$



Aligned natural inflation

$$V = \Lambda_1^4 \left[1 - \cos \left(\frac{\theta}{f_1} + \frac{\rho}{g_1} \right) \right] + \Lambda_2^4 \left[1 - \cos \left(\frac{\theta}{f_2} + \frac{\rho}{g_2} \right) \right]$$

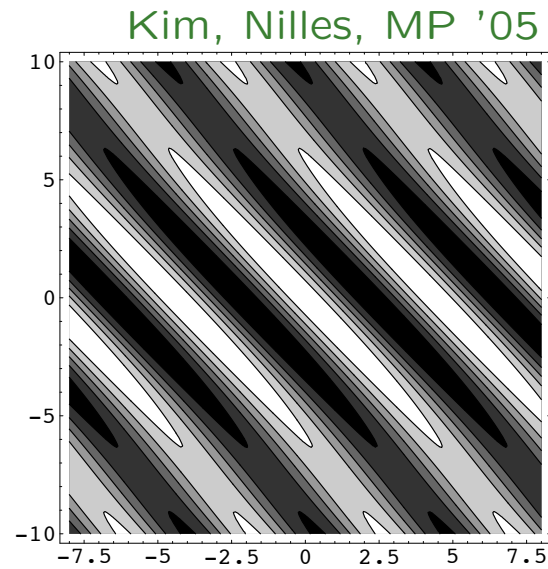
$$f_{\text{eff}} \gg f_i, g_i \quad \text{if} \quad \frac{f_1}{g_1} \simeq \frac{f_2}{g_2}$$

- Proposed to produce $f_{\text{eff}} > M_p$ from sub-Planckian f_i, g_i
(gravitational instanton corrections may still be a problem)

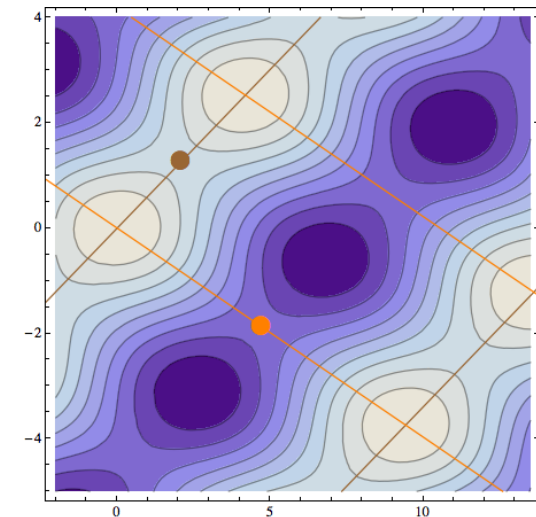
- In agreement with CMB

denote by ϕ (ψ) the light (heavy) eigenstate

Fields rescaled \simeq curvature in 2 directions



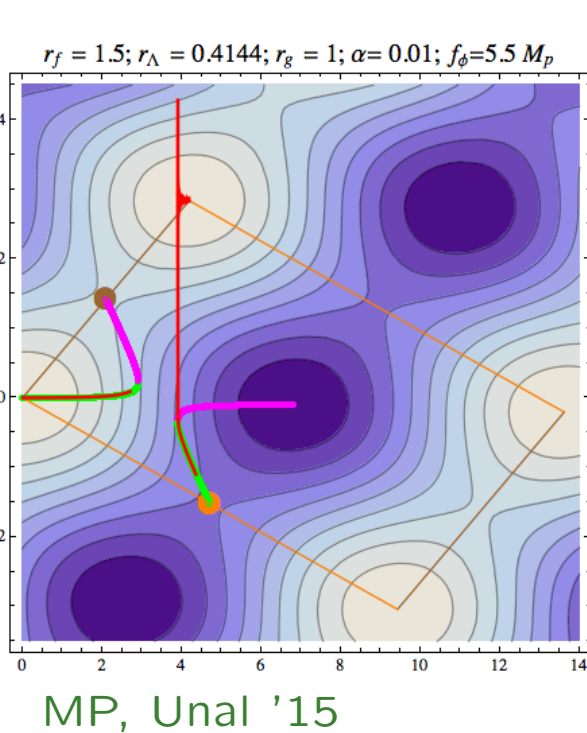
Heavy ψ



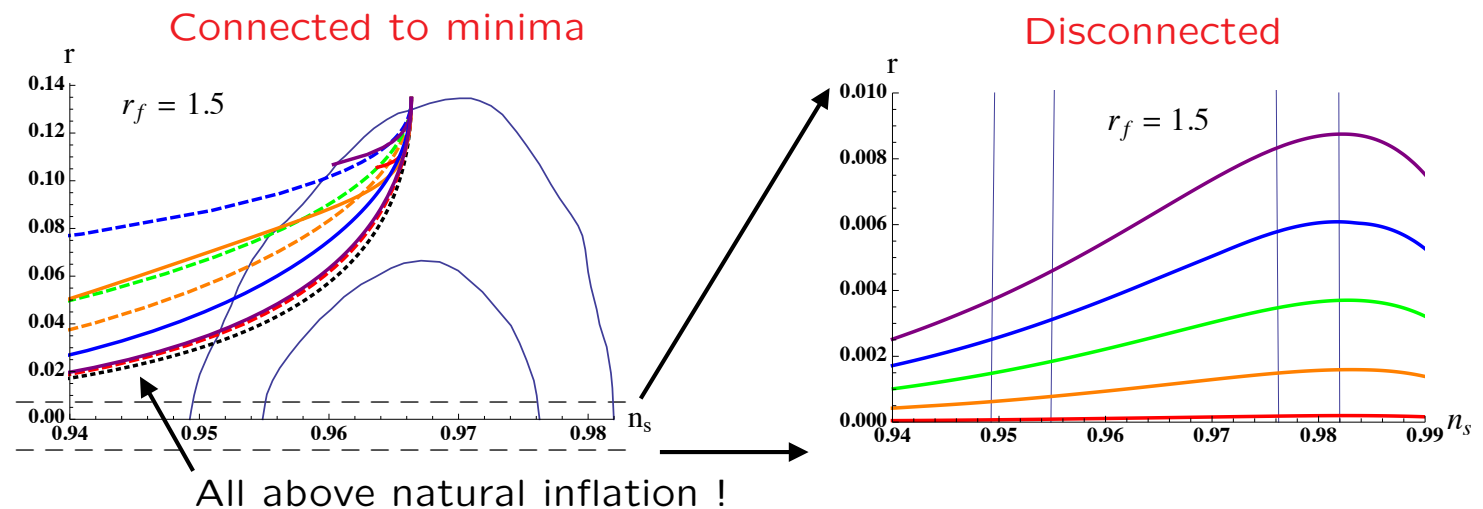
Light combination ϕ

Vertical direction ψ much heavier; inflation on valleys with $\frac{\partial V}{\partial \psi} = 0$, $\frac{\partial^2 V}{\partial \psi^2} > 0$

Vertical direction ψ much heavier; inflation on **valleys** with $\frac{\partial V}{\partial \psi} = 0$, $\frac{\partial^2 V}{\partial \psi^2} > 0$



For some parameters inflationary trajectories ending because
(1) reach a minimum or (2) become unstable in heavy direction



Recall $r = 16\epsilon = 8M_p^2 \left(\frac{V'}{V} \right)^2$

For solutions (1), flattening V also increases the duration of inflation.

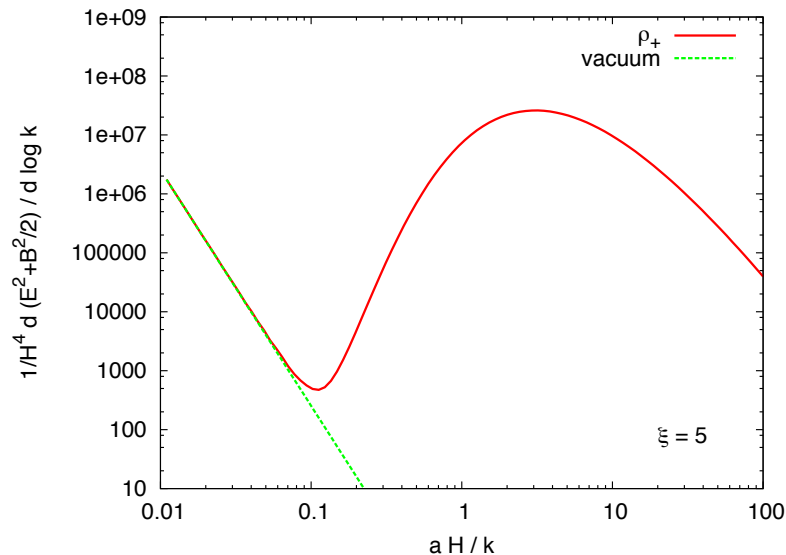
Limited possibility to decrease ϵ at $N \sim 60$ e-folds before end of inflation.

Vector production from $\frac{\alpha \dot{\phi}}{f} F \tilde{F}$

Turner, Widrow '88
Garretson, Field, Carroll '92
Anber, Sorbo '06

$$\Rightarrow \left(\frac{\partial^2}{\partial \tau^2} + k^2 \mp 2 a H k \xi \right) A_{\pm}(\tau, k) = 0 \quad \xi \equiv \frac{\alpha \dot{\phi}^{(0)}}{2 f H}$$

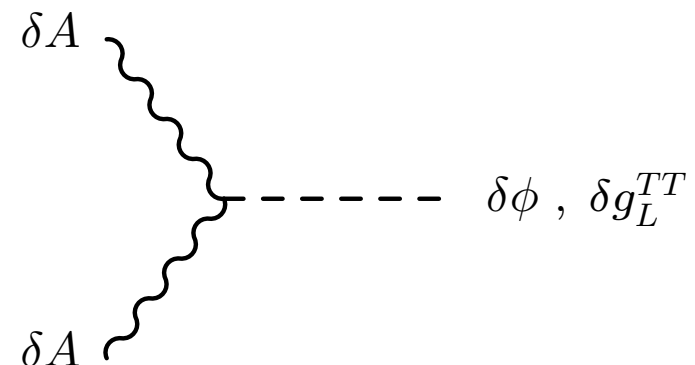
Physical ρ in one mode



- One **tachyonic helicity** at horizon crossing
- Then diluted by expansion
- Max amplitude $A_+ \propto e^{\pi \xi}$

Amplified gauge fields **source** scalar
and tensor perturbations

Barnaby, MP '10



- Scalar non-G @ CMB scales $\rightarrow f/\alpha \gtrsim 10^{16} \text{ GeV}$

Barnaby, MP '10

- GW less produced ($1/M_p$ vs α/f)

In this regime, negligible backreaction on background dynamics

MP, Sorbo, Unal '16

Under perturbative control; confirmed by lattice

Caravano, Komatsu,

Lozanov, Weller '22



General lesson: Several mechanisms for additional GW, result in a decrease of r once also extra density perturbations are accounted for

Observation of GW
through the CMB



$$V^{1/4} \simeq 10^{16} \text{ GeV} \left(\frac{r}{0.01} \right)^{1/4}$$

$$\Delta\phi \gtrsim M_p \left(\frac{r}{0.01} \right)^{1/2}$$

Robust!



How robust ? Cost for evading it ?

- No direct coupling with inflaton (Source gravitationally coupled to both GW and inflaton)
- Relativistic source (GW are produced by quadrupole moment; ζ by energy density)
- Source active only for limited time (GW observed only on a small window;
 ζ provides constraints on many more scales)

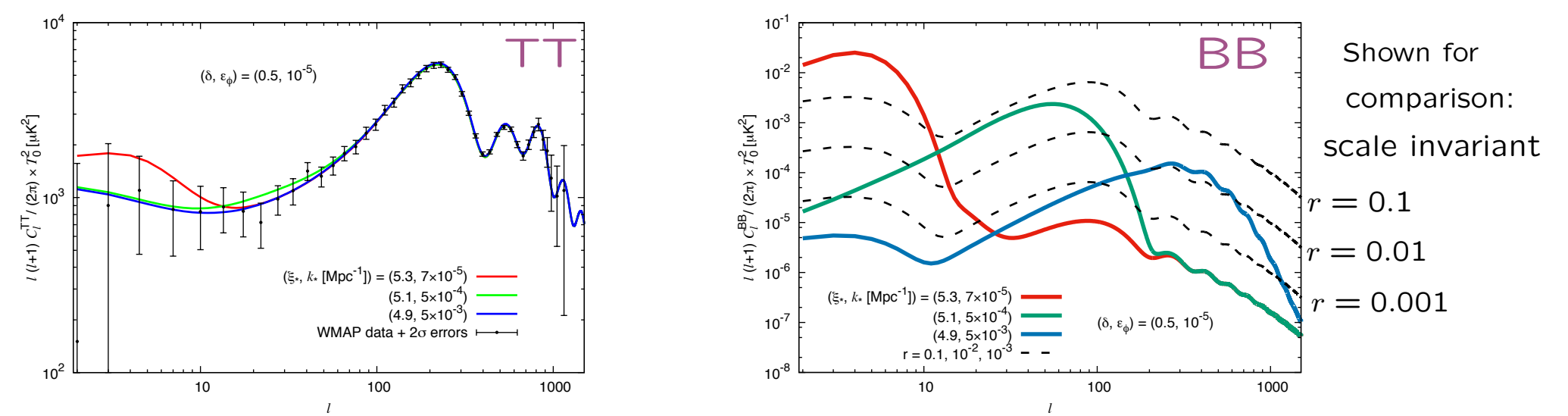
These 3 ingredients present in Namba, MP, Shiraishi, Sorbo, Unal '15

$$\mathcal{L} = \underbrace{-\frac{1}{2}(\partial\varphi)^2 - U(\varphi)}_{\text{inflaton sector}} - \underbrace{\frac{1}{2}(\partial\sigma)^2 - V(\sigma) - \frac{1}{4}F^2 - \frac{\alpha\sigma}{4f}F\tilde{F}}_{\text{extra sector}}$$

$m_\sigma = \mathcal{O}(H)$ so to
roll for few e-folds

- Gives visible r at arbitrarily small r_{vacuum} / scale of inflation

Three examples with $\epsilon_\phi = 10^{-5}$ (so that $r_{\text{vacuum}} = 16\epsilon$ is unobservable):



- Distinguishable from vacuum GW by tensor running

Moral: Hard, but not impossible, to violate $V \leftrightarrow r$ relation. Limits from scalar non-G \rightarrow specific conditions \rightarrow distinguish from vacuum GW

Naturally blue signals in axion inflation

Back to production from inflaton Recall $A_+ \propto e^{\pi\xi}$, $\xi = \frac{\dot{\phi}}{2fH} \propto \sqrt{\epsilon}$

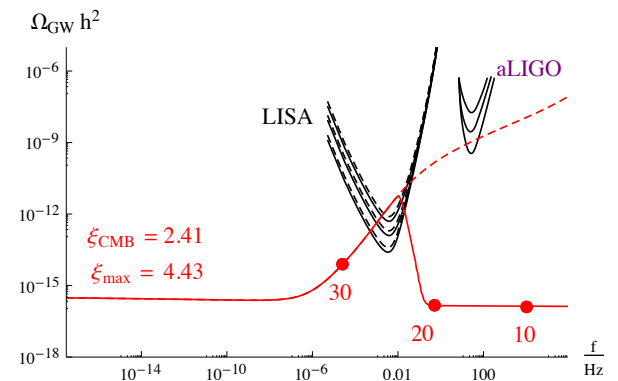
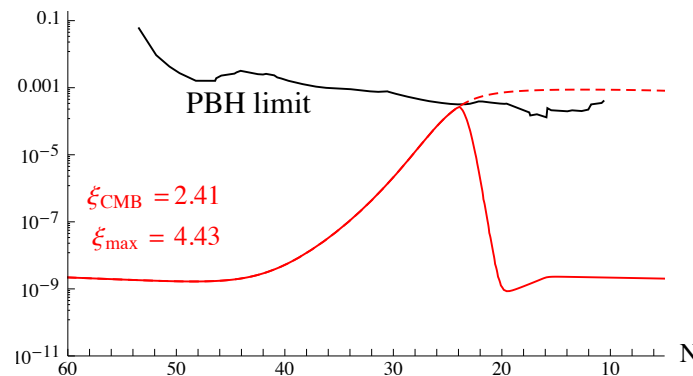
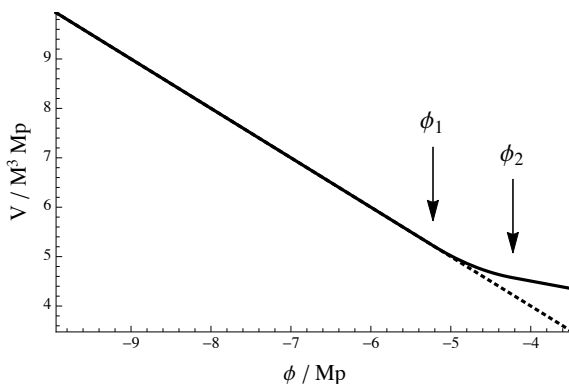
Inflaton speeds up \rightarrow signal **naturally grows** at small scales

- GW at interferometers Cook, Sorbo '12; Barnaby, Pajer, MP '12
Domcke, Pieroni, Binétruy '16
- PBH Linde, Mooij, Pajer '12; Bugaev, Klimai '13
Garcia-Bellido, MP, Unal '16, '17

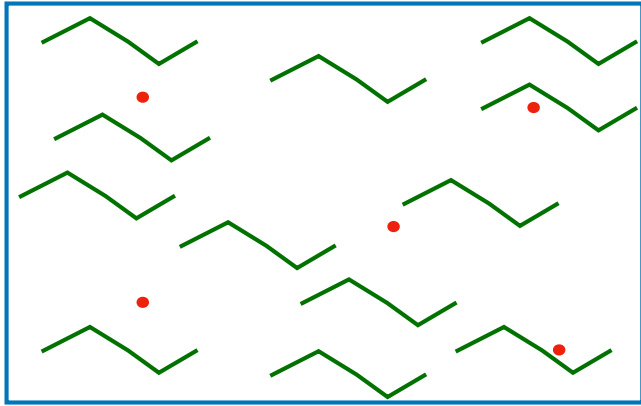
GW in **CMB** limited by **scalar non-G**

GW at **interferometers** limited by $\zeta \rightarrow$ **PBH**

highly model dependent, due to $\propto e^{\dot{\phi}}$

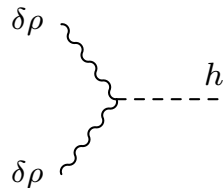
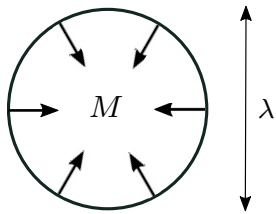


PBH \leftarrow enhanced $\delta\rho \rightarrow$ GW (after inflation)



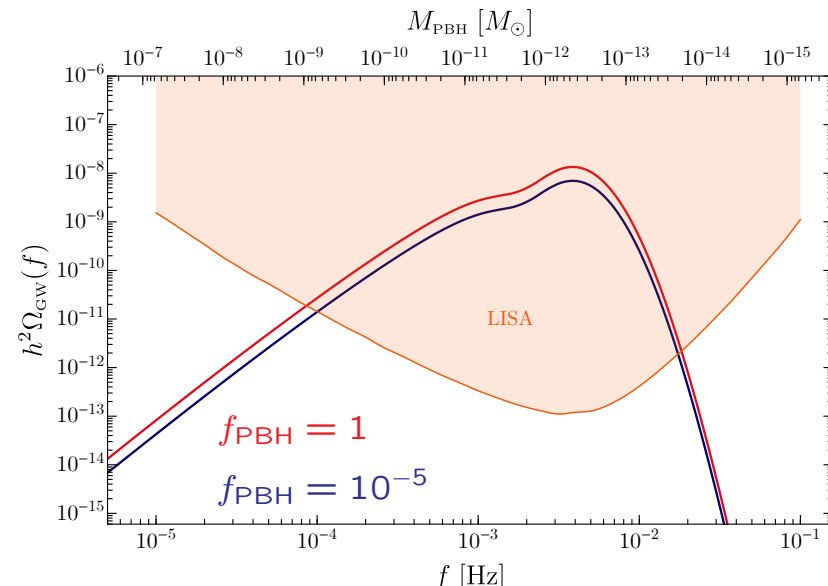
Increase $\delta\rho$ everywhere, so to have (rare) regions above threshold for collapsing to **PBH**. Enhanced $\delta\rho$ unavoidably produces **GW** at horizon re-entry (standard GR; independent of the inflationary mechanism of $\delta\rho$ production)

PBH mass \rightarrow wavelength enhanced $\delta\rho$ modes \rightarrow GW frequency



$$f_{\text{GW}} \sim \frac{1}{\lambda} \sim 3 \text{ mHz} \sqrt{\frac{10^{-12} M_{\odot}}{M}}$$

Sub-lunar M_{PBH} allowed window for **PBH dark-matter** results in **GW in LISA band**. In fact, GW allow to **also probe** existence of PBH of **insignificant abundance**



Cosmo vs. Astro Stochastic Gravitational Wave Background

Once SGWB observed, how can we extract a cosmological component from the astrophysical one ?

- Frequency dependence
- Arrival direction
- Chirality
- Statistics

The NG that we cannot see ...

Great amount of information in $\langle h_{\lambda_1}(\vec{k}_1) h_{\lambda_2}(\vec{k}_2) h_{\lambda_3}(\vec{k}_3) \rangle$

Any measure of NG requires **phase coherency**.

Phases $h_{\vec{k}}(t, \vec{k}) = e^{-i k t} h_{\vec{k}} + e^{i k t} h_{\vec{k}}^*$

No pbm. in PS $\langle h(\vec{k}_1) h(\vec{k}_2) \rangle = \delta^{(3)}(\vec{k}_1 + \vec{k}_2) \left[\underbrace{e^{-i(k_1 - k_2)t} h_{\vec{k}_1} h_{\vec{k}_2}^*}_{1} + \dots \right]$

Phases in BS $\langle h(\vec{k}_1) h(\vec{k}_2) h(\vec{k}_3) \rangle \propto \delta^{(3)}(\vec{k}_1 + \vec{k}_2 + \vec{k}_3) \left[e^{-i(k_1 + k_2 - k_3)t} h_{\vec{k}_1} h_{\vec{k}_2} h_{\vec{k}_3}^* + \dots \right]$

$$\langle \text{signal}^3 \rangle = \int d^3 k_1 d^3 k_2 d^3 k_3 \mathcal{R}^{(3)}(\vec{k}_1, \vec{k}_2, \vec{k}_3) \langle h^3(\vec{k}_i) \rangle$$

Modes with same k_i but different \hat{k}_i **should have the same phase** or

signal $\rightarrow 0$ in angular integral

$$h_{\vec{k}}(t, \vec{k}) = e^{-i k t} h_{\vec{k}} + e^{i k t} h_{\vec{k}}^* \Rightarrow \langle h^3 \rangle \propto e^{-i(k_1+k_1+k_2)t} h_{\vec{k}_1} h_{\vec{k}_2} h_{\vec{k}_3} + \dots$$

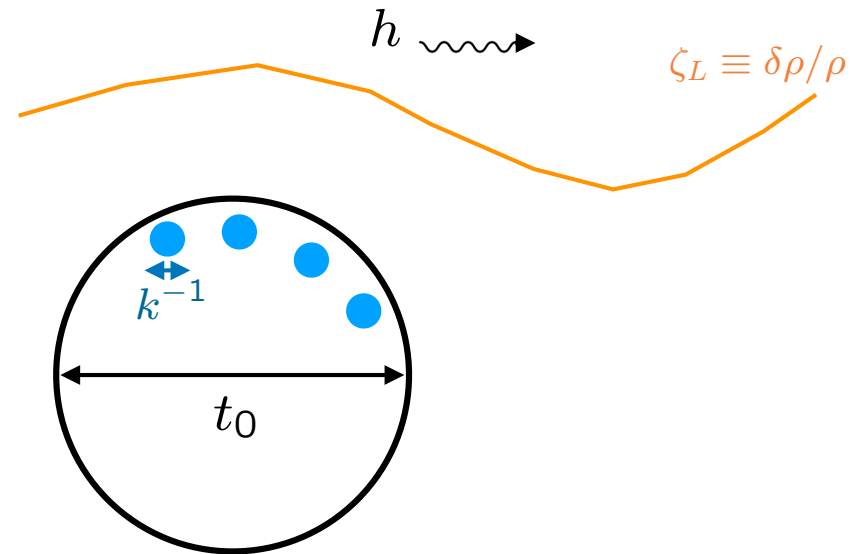
Even if coherent at the origin (e.g., from inflation), two \neq physical effects
lead to decoherence

Bartolo, De Luca, Franciolini, Lewis,
MP, Racco, Riotto '18

(1) Propagation in perturbed universe

Shapiro time delay: GW from \neq directions

accumulate \neq time shifts $e^{-i k \left(t + \int^t dt' \zeta_L \right)}$



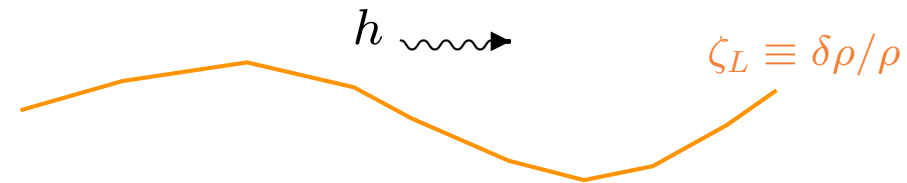
(2) Finite observation time

$k_{\text{resolved}} = k \pm \frac{1}{T_{\text{obs}}} \Rightarrow$ Superposition of $e^{-i \left(k - \frac{1}{T_{\text{obs}}} \right) t_0} + \dots + e^{-i \left(k + \frac{1}{T_{\text{obs}}} \right) t_0}$

This also generates $\Delta\phi \sim \frac{t_0}{T_{\text{obs}}} \gg 1$, destroying coherency

... the NG that we might see ...

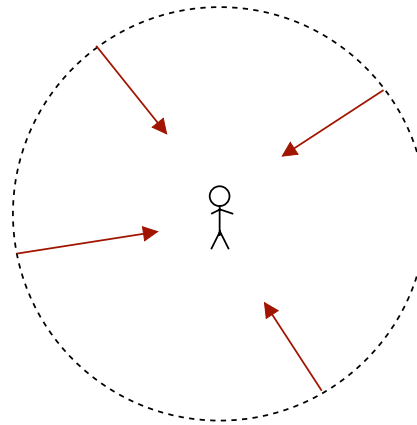
Non-G, angular anisotropies, and a probe of the large scale structure of the Universe



Production mechanism & propagation imprint anisotropies, $\rho_{\text{GW}}(\vec{x}) \propto \dot{h}_{ij}\dot{h}_{ij}$

- Treatment as CMB

$$\rho_{\text{GW}} = \sum_{\ell m} a_{\ell m}^{\text{GW}} Y_{\ell m}$$



Alba, Maldacena '15; Contaldi '16;
Cusin, Pitrou, Uzan '17;
Jenkins, Sakellariadou '18;
Bartolo, Bertacca, Matarrese, MP,
Ricciardone, Riotto, Tasinato '19

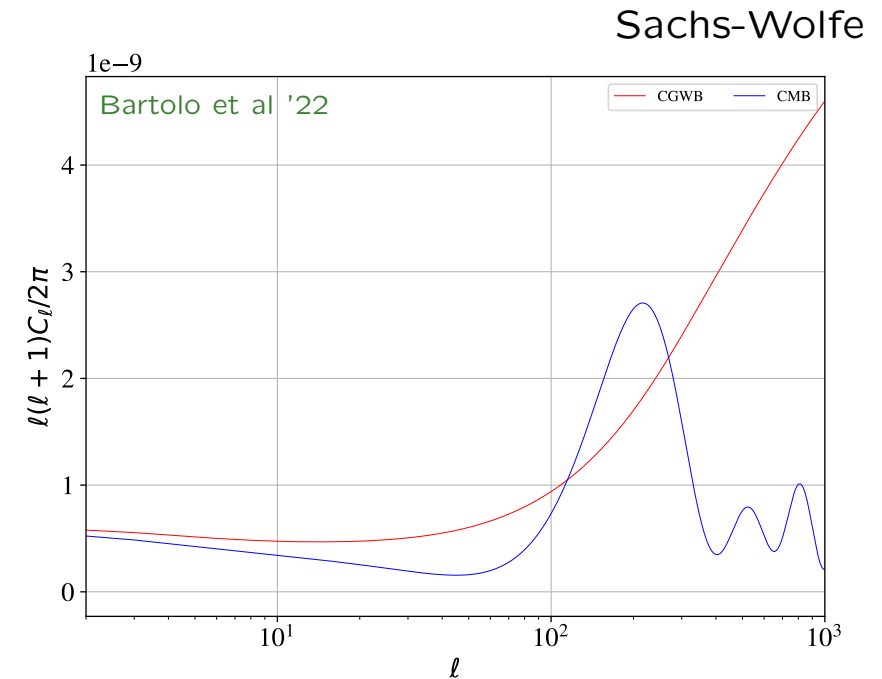
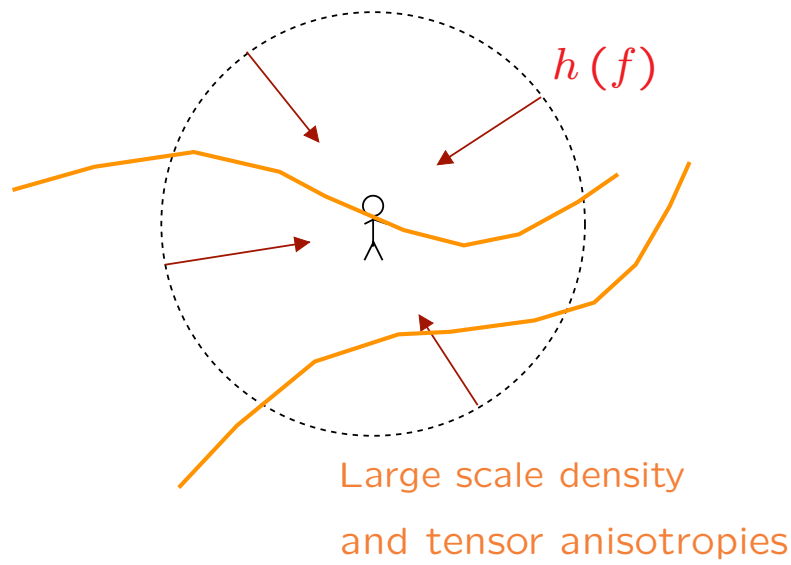
$$\langle a_{\ell m} a_{\ell' m'}^* \rangle = C_{\ell} \delta_{\ell \ell'} \delta_{m m'}$$

Angular power spectrum

$$\langle a_{\ell_1 m_1} a_{\ell_2 m_2} a_{\ell_3 m_3} \rangle \propto b_{\ell_1 \ell_2 \ell_3}$$

Bispectrum (non-G)

Anisotropies from the propagation



Bispectrum from higher order interactions. Already at linear order, due to propagation, **induced by the non-Gaussianity of $\delta\rho$** . At large scales

$$b_{\ell_1, \ell_2 \ell_3} \simeq 2 f_{\text{NL}} [C_{\ell_1} C_{\ell_2} + C_{\ell_1} C_{\ell_3} + C_{\ell_2} C_{\ell_3}]$$

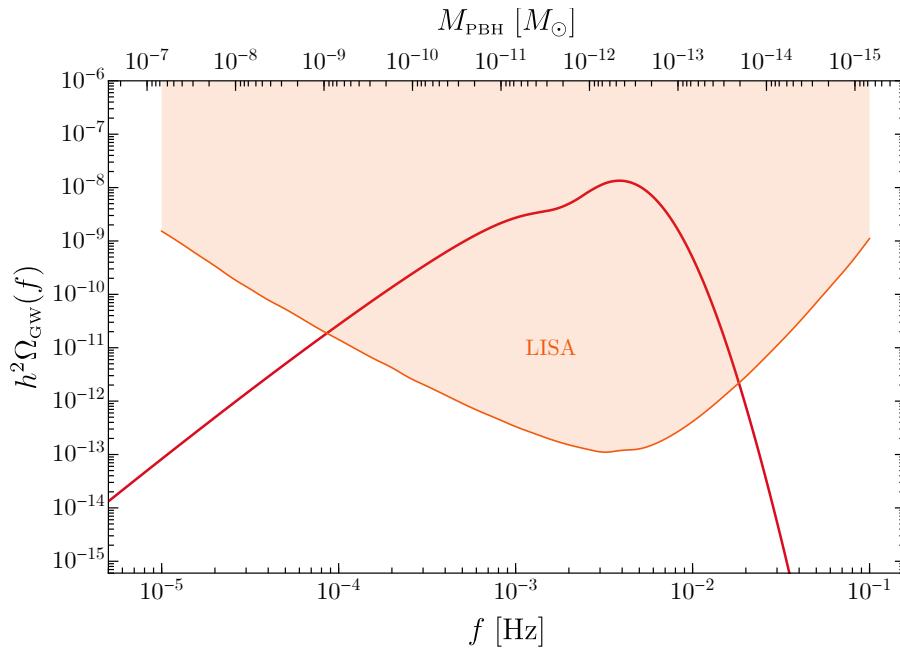
“local” scalar NG,

$$\delta\rho \sim \delta\rho_g + f_{\text{NL}} \delta\rho_g^2$$

New probe of large scale anisotropies (like CMB photons)

Anisotropies & non-G at the production - GW in models with PBH

Bartolo et al '19



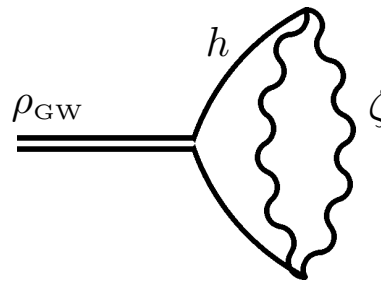
Very large GW signal @LISA

in models of PBH-DM.

Is it isotropic ? Is it Gaussian?

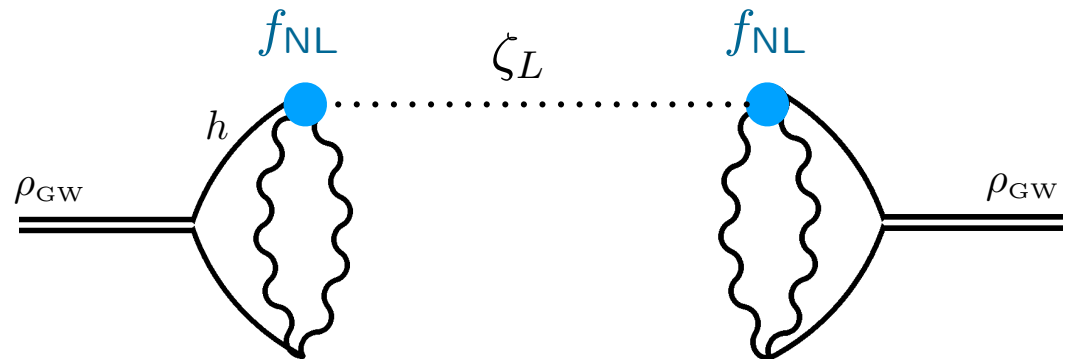
$$\zeta + \zeta \rightarrow h$$

$$\rho_{\text{GW}} \sim \langle \dot{h}^2 \rangle \sim \langle \zeta^4 \rangle$$



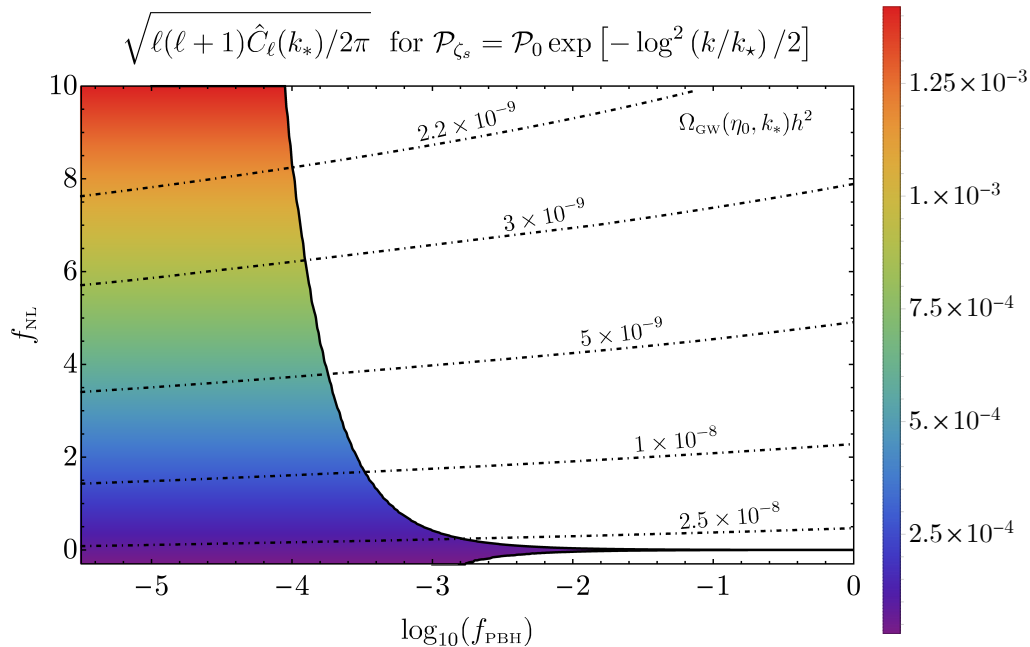
ζ is a short-scale mode, that generates GW of $f \sim \text{mHz}$ today

In presence of **scalar non-G**,
a long mode ζ_L modulates
the power of ζ on small scales,

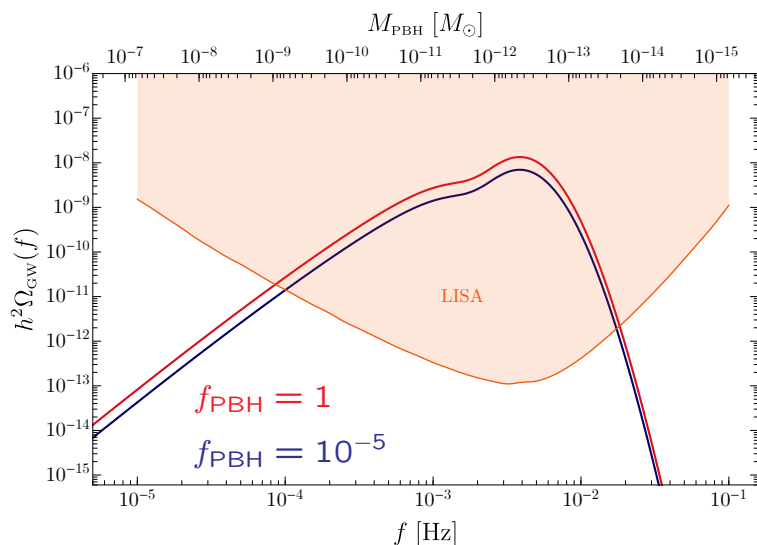


- Greater scalar f_{NL} leads to greater anisotropies and non-G of GW.
- $-11.1 \leq f_{\text{NL}} \leq 9.3$, at 95% C.L. **Planck '19**
- Isocurvature constraints impose a tighter limit on f_{NL} for PBH-DM

Young, Byrnes '15



Observing a **bump at LISA**, with **significant anisotropy and non-G** indicates that the **PBH constitute only a small fraction of the DM**



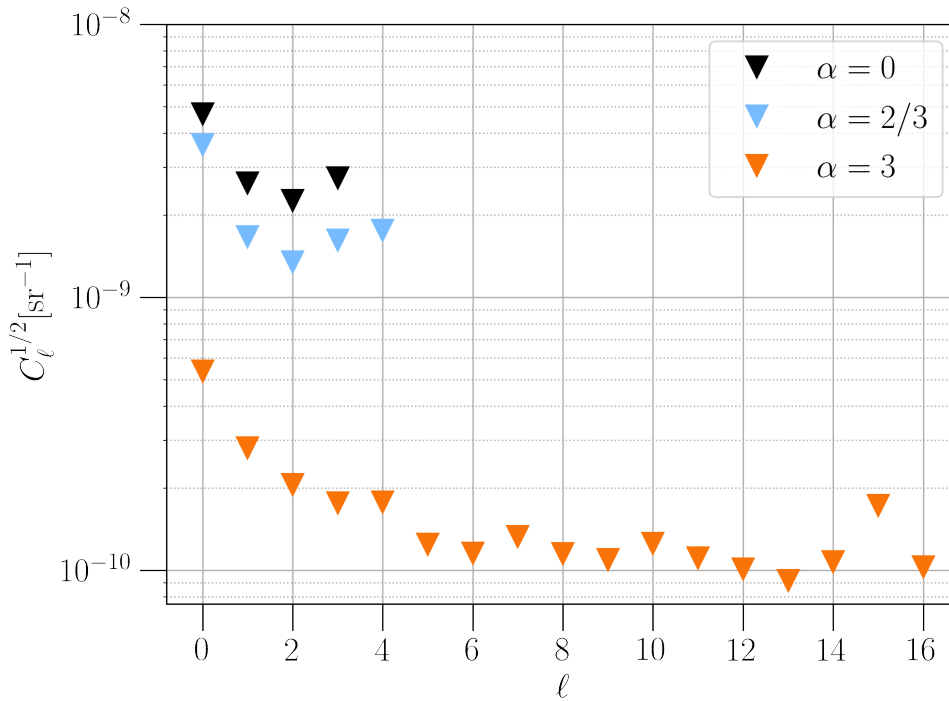
Slight change of $P_\zeta \rightarrow$ large change of f_{PBH}
slight change in Ω_{GW} .

Anisotropies can differentiate between these two cases.

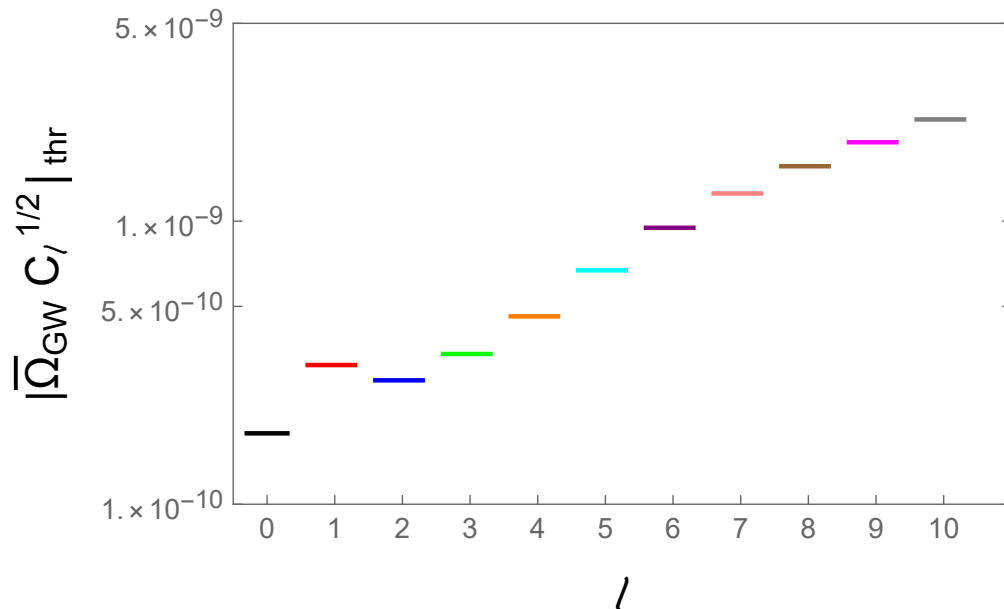
... can we see it ?

LIGO + Virgo '22

$$\Omega_{\text{GW}} \propto \left(\frac{f}{25 \text{ Hz}} \right)^\alpha$$

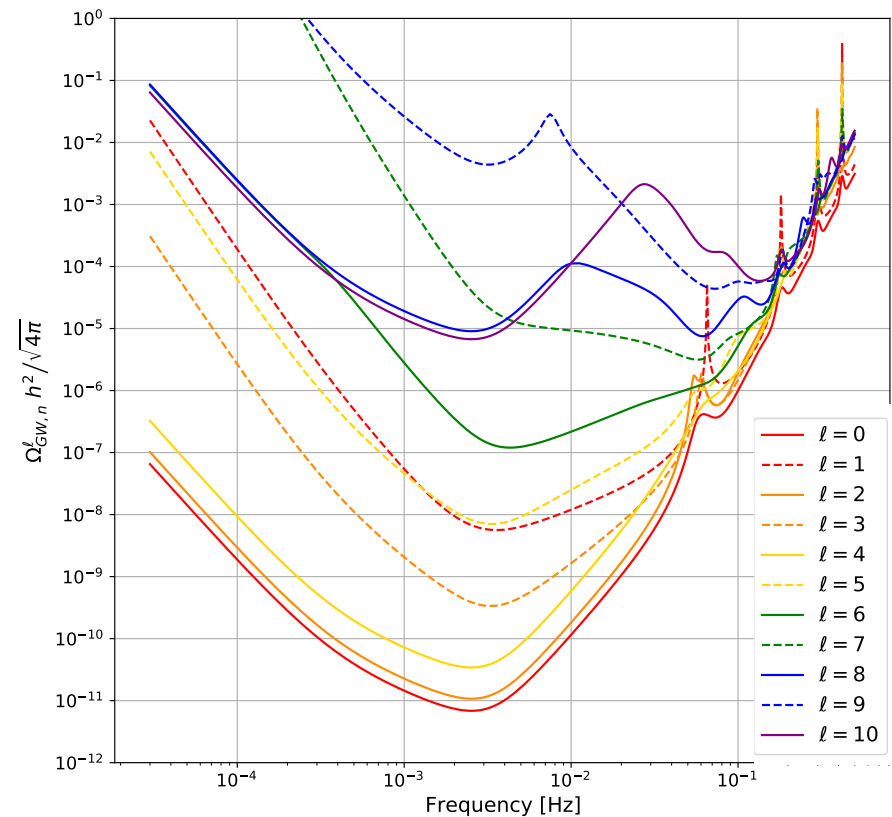


Adding ET ($\alpha = 0$)



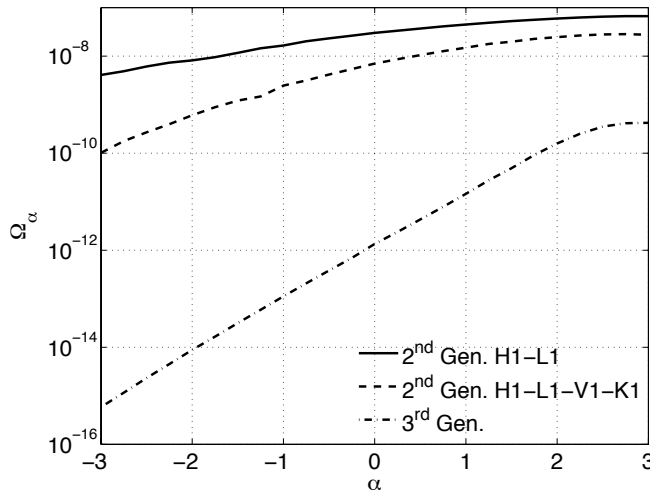
Mentasti, Contaldi, MP, to appear

LISA sensitivity



Bartolo et al '22

Measurement of GW polarization

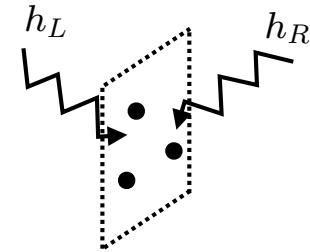


Crowder, Namba, Mandic,
Mukohyama, MP '12

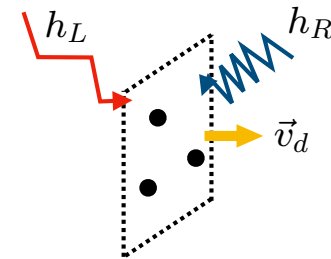
Assume $\Omega_{\text{GW,L}} = \Omega_\alpha \left(\frac{f}{100 \text{ Hz}} \right)^\alpha$ and $\Omega_{\text{GW,R}} = 0$

Amplitude needed to detect Ω_{GW}
and exclude $\Omega_{\text{GW,R}} = \Omega_{\text{GW,L}}$ at 2σ

Two GWs related by a **mirror symmetry** produce the same response in a **planar detector**. Cannot detect net circular polarization of an **isotropic** SGWB



Isotropy in any case broken by peculiar motion of the solar system. **Assumption**, $v_d \simeq 10^{-3}$ as CMB



$$\text{SNR}_{\text{LISA}} \simeq \frac{v_d}{10^{-3}} \frac{\Omega_{\text{GW,R}} - \Omega_{\text{GW,L}}}{1.2 \cdot 10^{-11}} \sqrt{\frac{T}{3 \text{ years}}}$$

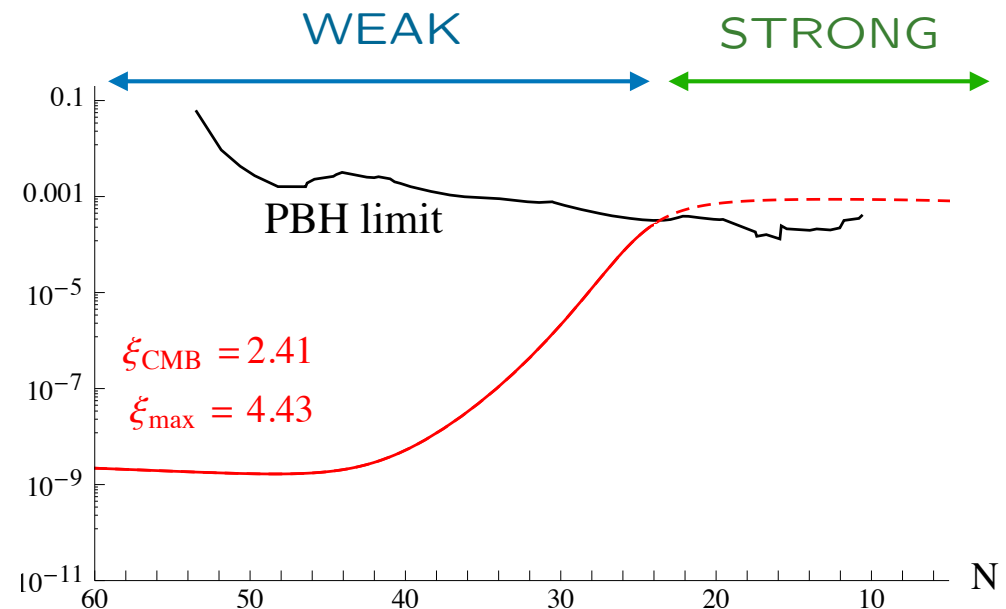
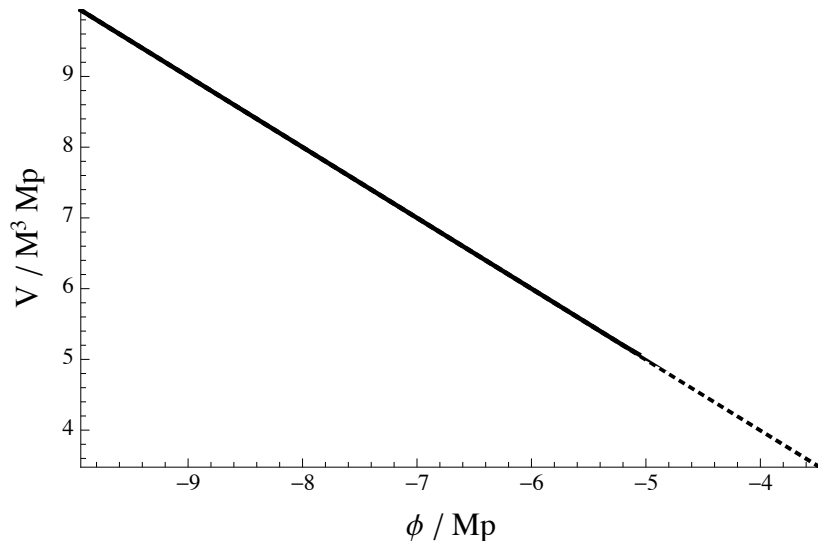
Domcke, García-Bellido, MP, Pieroni
Ricciardone, Sorbo, Tasinato '19

(one order of magnitude greater than estimate in Seto '06)

Backreaction on the background dynamics

Recall $A_+ \propto e^{\pi\xi}$, $\xi = \frac{\dot{\phi}}{2fH} \propto \sqrt{\epsilon}$

- **Initial stage** of weak backreaction of the produced \vec{A} on ϕ dynamics.
Standard increase of $\dot{\phi}(t)$ and marked growth of sourced signals
- **Later stage** of strong backreaction. $\dot{\phi}(t)$ increases much more slowly and sourced signals flatten out.



The instability of the Anber and Sorbo solution

MP, Sorbo '22

- With dissipation $\ddot{\phi} + 3H\dot{\phi} + V' = -\Gamma \dot{\phi}$ can inflate also if V is steep

Berera '95

Could help with string th. arguments for $\Delta\phi < \dots$ and $V' > \dots$

- Anber-Sorbo mechanism simple & well defined QFT realization

$$\ddot{\phi} + 3H\dot{\phi} + V' = \frac{\alpha}{f} F \tilde{F} [\dot{\phi}]$$

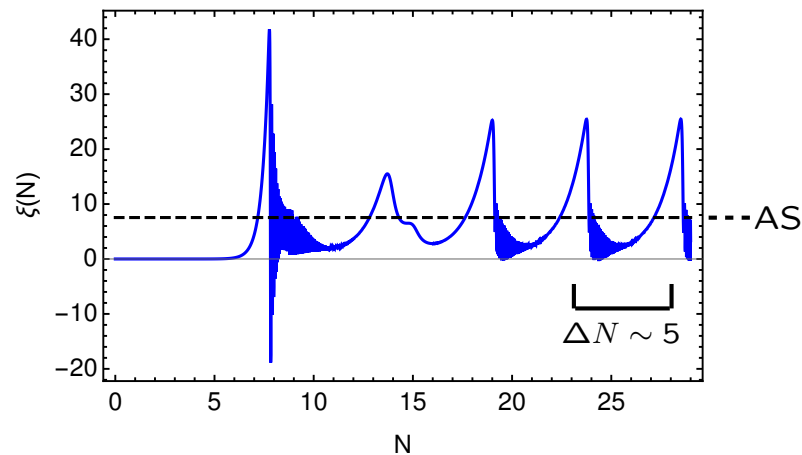
- Assuming a steady-state solution, $\dot{\phi} = \text{constant}$,

$$V' \simeq -2.4 \cdot 10^{-4} \frac{\alpha H^4}{f} \frac{e^{2\pi\xi}}{\xi^4} \quad , \quad \xi \equiv \frac{\alpha \dot{\phi}}{2fH}$$

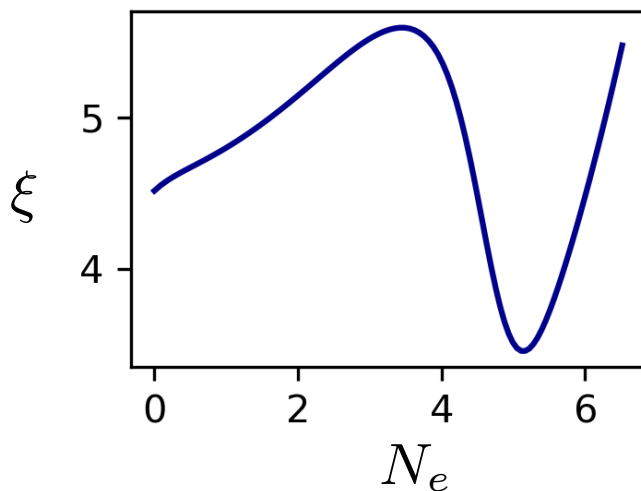
In original work, $\xi \simeq 20$ to have enough inflation

- Oscillatory behaviour from simplified numerical solutions of the system (set of gauge modes + homogeneous inflaton)

Cheng, Lee, Ng '15;
Notari, Tywoniuk '16;
Dall'Agata, González-Martín,
Papageorgiou, MP '19



- Confirmed by full lattice simulation $\phi(t, \vec{x})$, $A^\mu(t, \vec{x})$



Caravano, Komatsu,
Lozanov, Weller '22

- Interpreted as **delayed effect** between the moment the gauge quanta are produced and the moment they backreact on $\phi(t)$

Domcke, Guidetti, Welling, Westphal '20
Gorbar, Schmitz, Sobol, Vilchinskii '21

Analytical study: $\phi(t) = \bar{\phi}(t) + \delta\phi(t)$, $A^\mu(t, \vec{k}) = \bar{A}^\mu(t, \vec{k}) + \delta A^\mu(t, \vec{k})$

of the homogeneous inflaton & gauge modes around the AS solution

MP, Sorbo '22

$$\delta\phi'' + 2aH\delta\phi' + a^2V''\delta\phi = -\frac{\alpha}{fa^2} \int \frac{d^3k}{(2\pi)^3} \frac{k}{2} \frac{\partial}{\partial\tau} [\bar{A}\delta A^* + \bar{A}^*\delta A]$$

$$\delta A'' + \left(k^2 - \frac{k\bar{\phi}'}{f}\right) \delta A = \frac{\alpha\bar{A}}{f} \delta\phi'$$

- Formally solve 2nd eq for δA as a function of $\delta\phi'$

$$\delta A(\tau, k) = \frac{\alpha k}{f} \int^\tau d\tau' G_k(\tau, \tau') \bar{A}(\tau', k) \delta\phi'(\tau')$$

- Insert solution in 1st eq \rightarrow integro-differential eq for $\delta\phi$

$$\xi_\gamma \equiv \xi \gamma$$



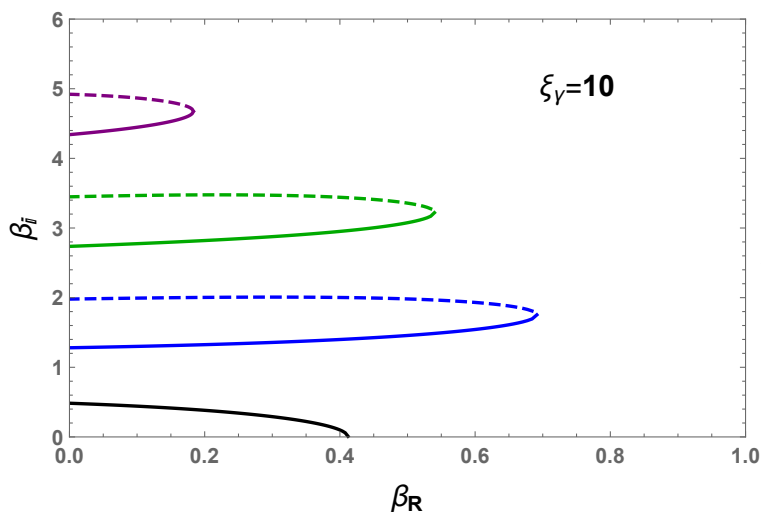
$$\delta\phi''(\tau) + 2aH\delta\phi'(\tau) + a^2V''\delta\phi(\tau) \simeq$$

$$\frac{\alpha^2}{f^2 a^2} \frac{e^{2\pi\xi}}{2^8 \pi^2 \xi^5} \int^\tau \frac{d\tau'}{(-\tau')^4} \delta\phi'(\tau') \frac{\partial}{\partial\tau} \int_0^{4\xi_\gamma^2} dy y^3 \sqrt{\tau\tau'} \left[e^{-4\sqrt{y}} - e^{-4\sqrt{y}} \sqrt{\frac{-\tau}{-\tau'}} \right]$$

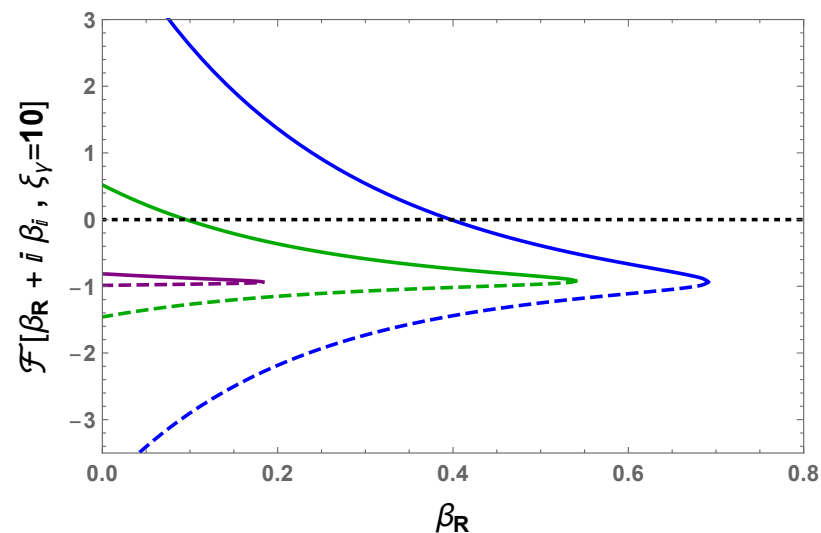
- Look for $\delta\phi \propto (-\tau)^{-\beta} \equiv a^{\text{Re}\beta} \cos(\text{Im}\beta \times N + \text{phase})$

Inserting this and doing the integrals \rightarrow **homogeneous eq in time** (all terms scale as $\tau^{-\beta-2}$). Therefore left with an algebraic equation for complex β .

$$\frac{\xi f V''}{\alpha (-V')} \simeq \frac{4\beta(\beta+3)}{(2\beta-1)(2\beta+7)} \left[\frac{1}{(8\xi_\gamma)^{2\beta-1}} \frac{\Gamma(2\beta+8)}{\Gamma(9)} - 1 \right] \equiv \mathcal{F}[\zeta, \xi_\gamma]$$

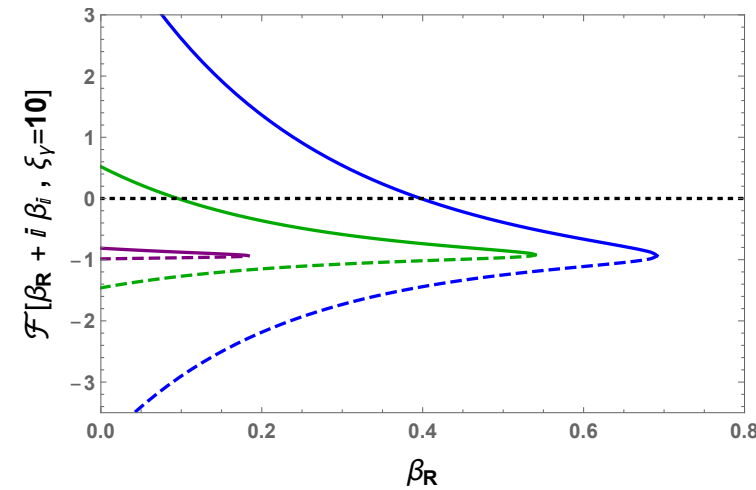


Curves of
real \mathcal{F}



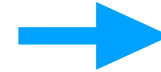
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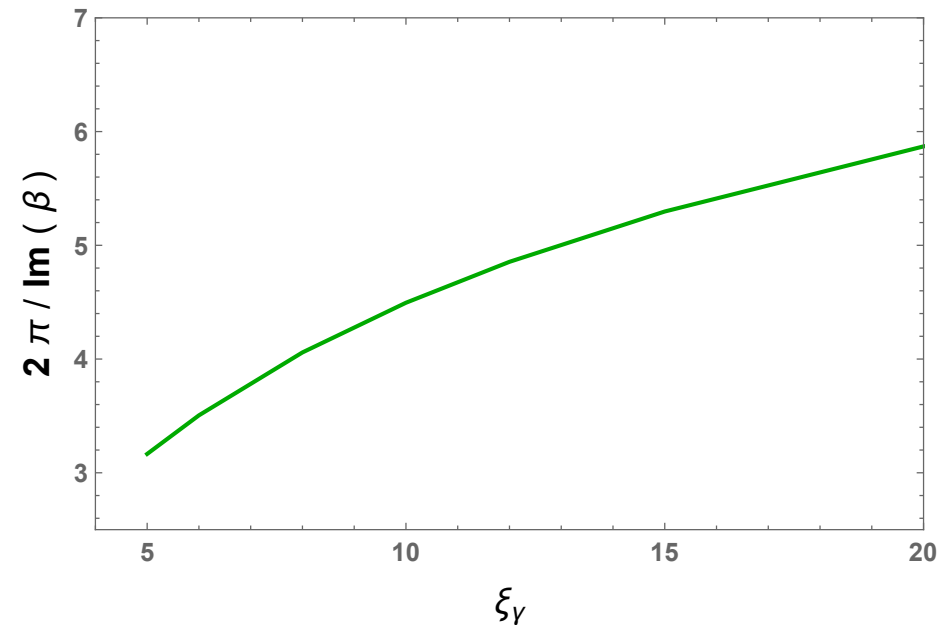
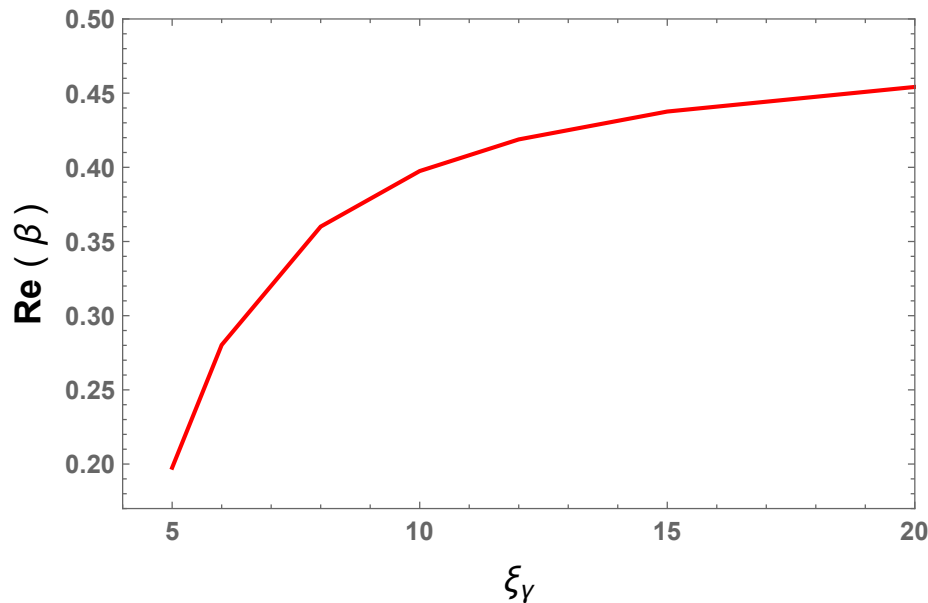


$\delta\phi(t)$ linear combination of these modes

$$\frac{\rho_A}{\rho_\phi} \sim \left| \frac{\xi f V''}{\alpha(-V')} \right| \ll 1 \text{ in AS}$$



Look for most unstable
solution (greatest β_R)
with $\mathcal{F} = 0$



Summary

- Theoretical motivations for an axion inflaton
- Predictivity on couplings: reviewed some phenomenology of $\phi F \tilde{F}$
- Scalar NG, GW at CMB and interferometer scales, seeds for PBH
- SGWB as probe of primordial non-G. Angular dependence is key
- Regime of weak backreaction of produced gauge modes on background dynamics fully understood
- Oscillatory behavior of $\dot{\phi}$ in the regime of strong backreaction