

Non-perturbative QCD for flavour physics

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THE UNIVERSITY
of EDINBURGH

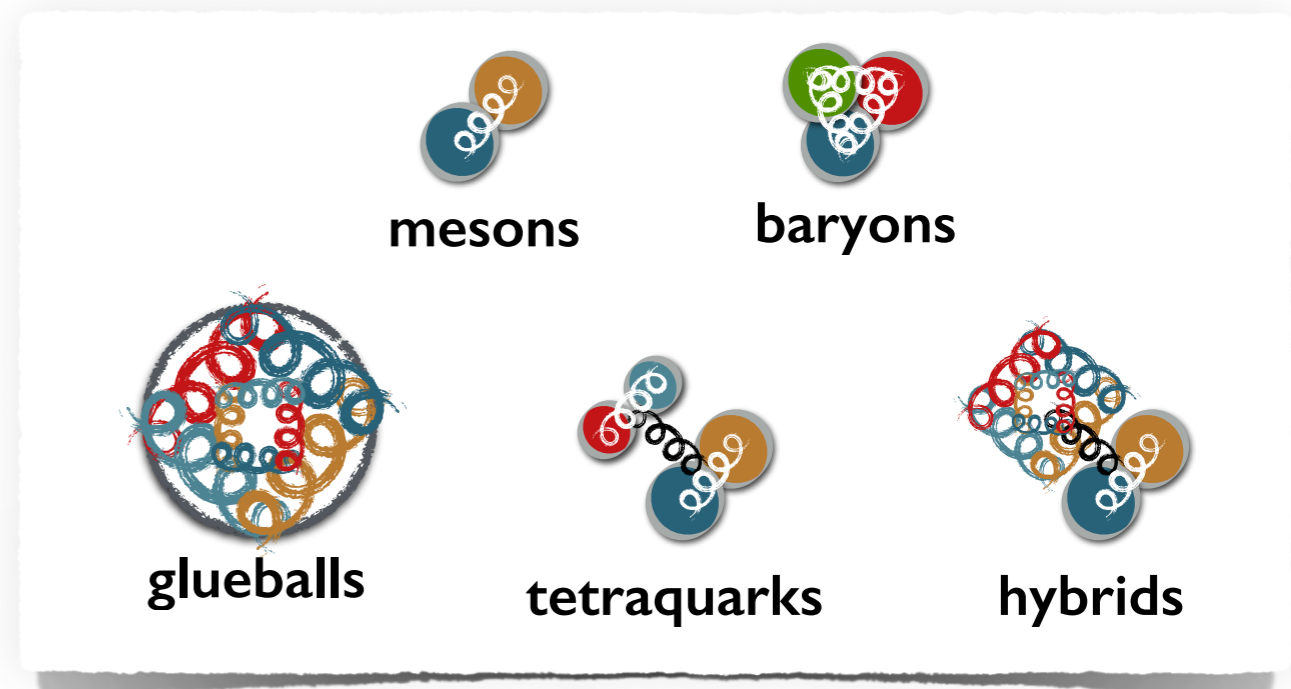
Flavour physics

- flavour anomalies = opportunity for BSM
- QCD = crucial for confirming significance and interpreting



$$\begin{aligned} \text{experiment} &= \text{SM} \times \text{perturbative QCD} \times (\text{non-perturbative QCD}) \\ &+ \text{BSM} \times \text{perturbative QCD} \times (\text{non-perturbative QCD}) \end{aligned}$$

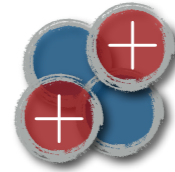
- QCD is complicated
- Difficult to extract non-perturbative predictions



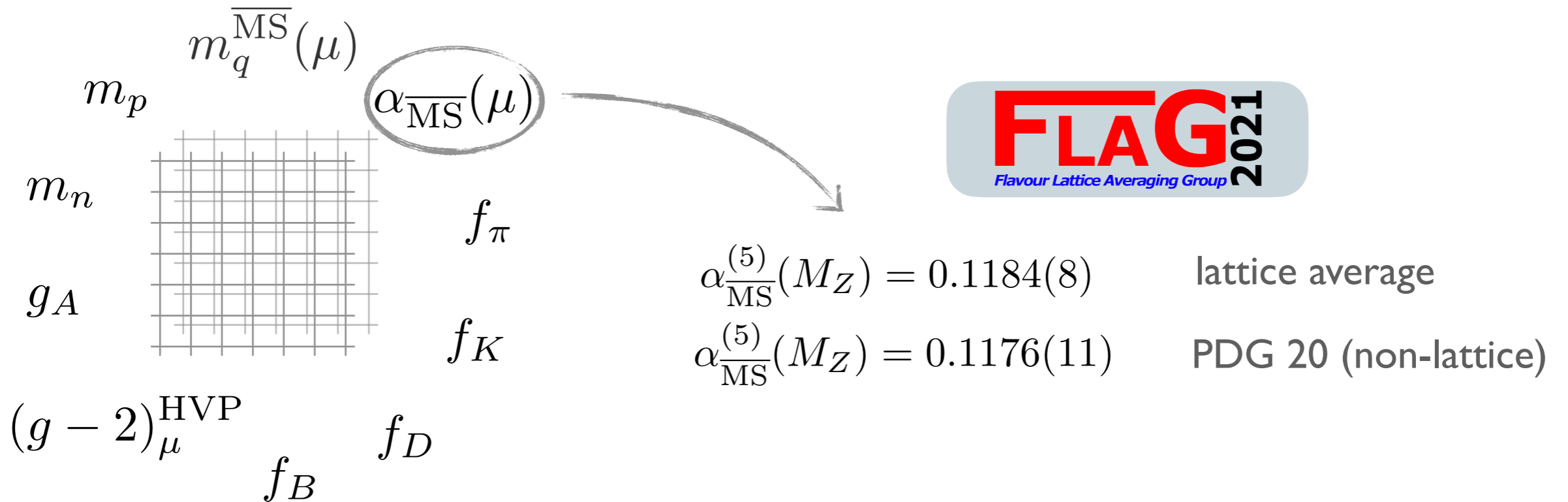
Recipe for strong force predictions

1. Lagrangian defining QCD
2. Formal / numerical machinery (lattice field theory)
3. A few experimental inputs (e.g. M_π, M_K, M_Ω)

$$\mathcal{L}_{\text{QCD}} = \sum_f \bar{\Psi}_f (i\not{D} - m_f) \Psi_f - \frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu}$$



Wide range of precision pre-/post-dictions



Overwhelming evidence for QCD ✓

Tool for new-physics searches ✓

Lattice QCD

- ❑ a non-perturbative regularization of QCD
- ❑ a definition that is well-suited to numerical evaluation

render the quantum path-integral finite-dimensional → *evaluate using Monte Carlo importance sampling*

Non-perturbative quantum field theory (QFT)

Lattice QFT

Lattice QCD

Lattice QCD

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Non-perturbative quantum field theory (QFT)

Lattice QFT

Lattice QCD

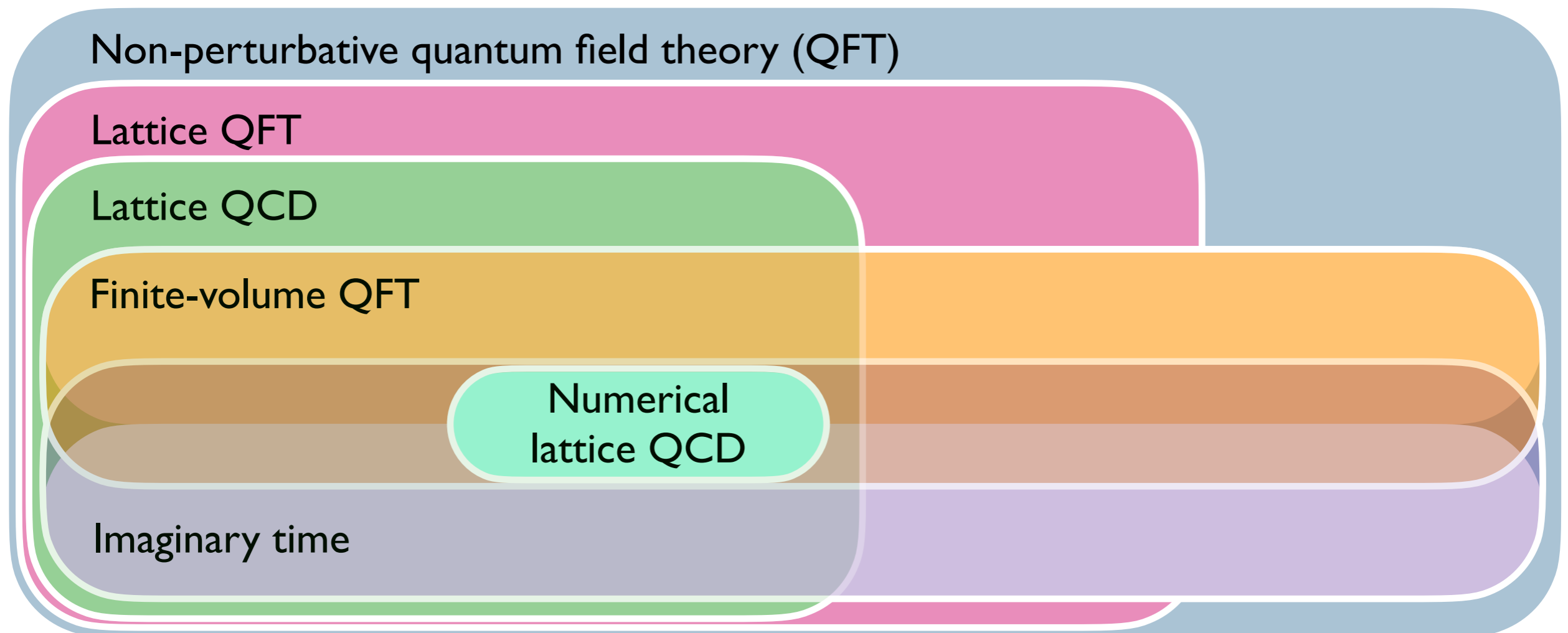
Finite-volume QFT

Imaginary time

Lattice QCD

- ❑ a non-perturbative regularization of QCD
- ❑ a definition that is well-suited to numerical evaluation

render the quantum path-integral finite-dimensional → *evaluate using Monte Carlo importance sampling*



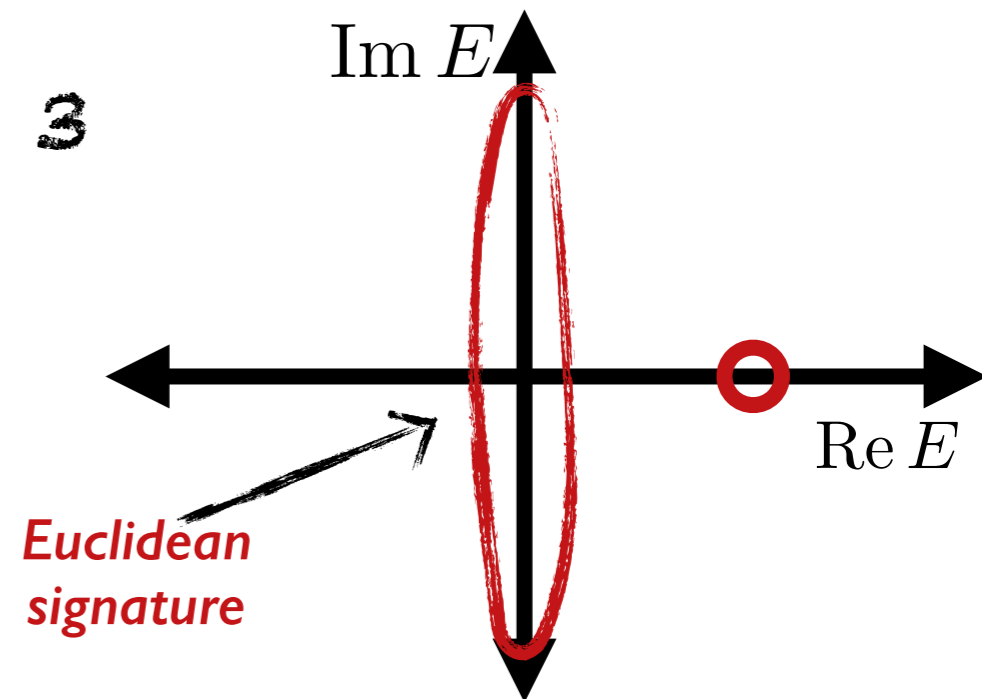
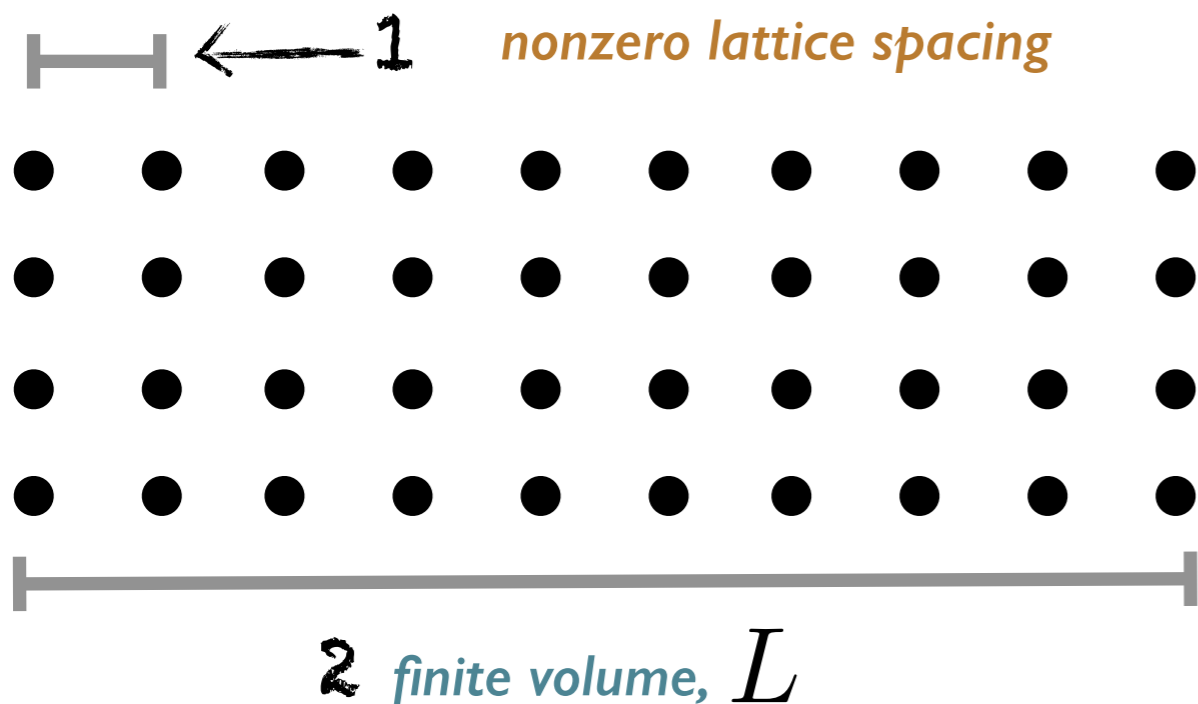
Challenges for lattice QCD

$$\text{observable} = \int \mathcal{D}\phi e^{iS} \left[\begin{array}{l} \text{interpolator} \\ \text{for observable} \end{array} \right]$$

Challenges for lattice QCD

$$\text{observable?} = \int d^N \phi e^{-S} \left[\begin{array}{l} \text{interpolator} \\ \text{for observable} \end{array} \right]$$

To proceed we have to make *three modifications*



Also... $M_{\pi, \text{lattice}} > M_{\pi, \text{our universe}}$
(but physical masses \rightarrow increasingly common)



Some more details of LQCD

□ Continuum theory is defined as...

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4} G_{\mu\nu}^a G^{a\mu\nu} + \sum_f \bar{\Psi}_f (i\gamma^\mu D_\mu - m_f) \Psi_f$$

$$D_\mu = \partial_\mu - igT^a A_\mu^a$$

□ First step to putting on a lattice is to replace derivative with finite difference

$$\bar{\Psi}_f(x) \gamma^\mu \partial_\mu \Psi_f(x) \longrightarrow \bar{\Psi}_f(x) \gamma^\mu \frac{1}{a} [\Psi_f(x + a\hat{\mu}) - \Psi_f(x)]$$

or, for the covariant derivative...

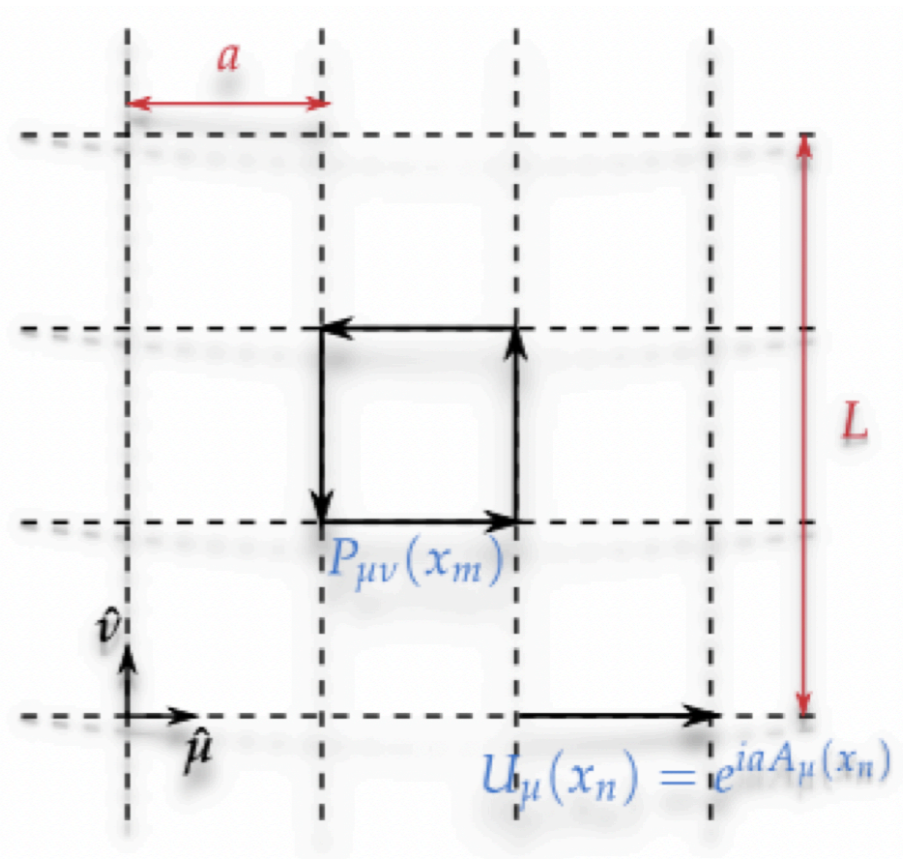
$$\bar{\Psi}_f(x) \gamma^\mu D_\mu \Psi_f(x) \longrightarrow \bar{\Psi}_f(x) \gamma^\mu \frac{1}{a} [U_\mu(x) \Psi_f(x + a\hat{\mu}) - \Psi_f(x)]$$

□ Some key messages

$U_\mu(x)$ is the link variable

exact gauge invariance for nonzero lattice spacing

field strength tensor from a “plaquette”



$$U_\mu(x) = e^{iaA_\mu(x)}$$

$$P_{\mu\nu}(x) = e^{ia^2 F_{\mu\nu}(x) + O(a^3)}$$

Many kinds of quarks...

- ❑ Naive implementation of a quark on the lattice does not work

put in one flavour... turns out you are simulating 16 flavours!

- ❑ Fixing this issue leads to many possibilities

domain-wall quarks, staggered quarks, Wilson quarks, twisted-mass quarks

- ❑ Quarks are integrated out analytically

- ❑ Resulting integral is very high dimensional ($\sim 100^4 \times 10 = 10^9$ dims)

$$\langle \mathcal{O} \rangle = \int \mathcal{D}U \prod_f \det(D_f[U]) e^{-S_G[U]} \mathcal{O}(D^{-1}, U)$$
$$\sim \sum_{U \in E} \mathcal{O}(D^{-1}, U)$$

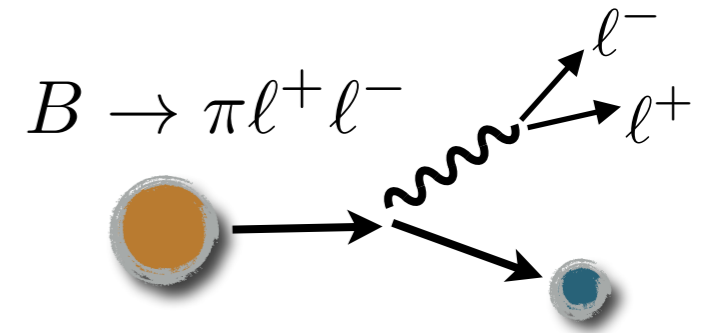
observable

Dirac operator

Matrix elements and LQCD

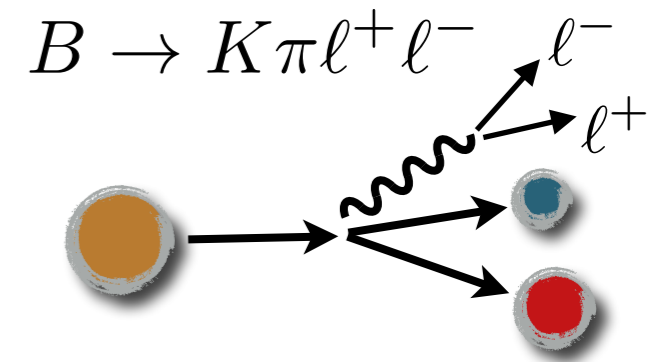
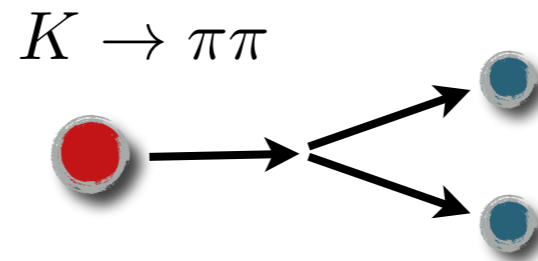
□ Single-hadron initial and final states

- Calculated directly in LQCD
- New theory challenge = QED
- See FLAG averages



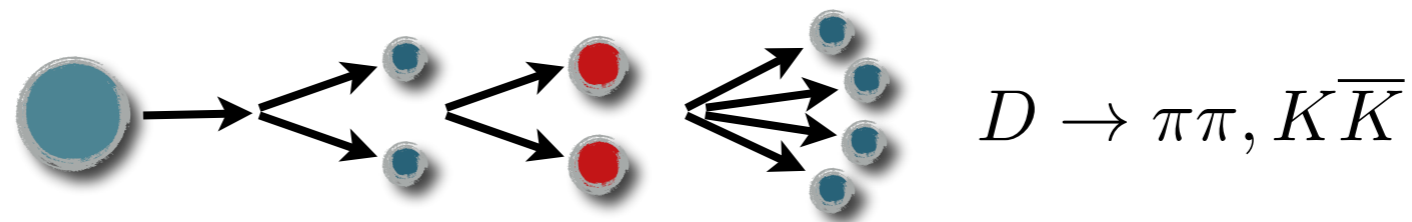
□ Two-hadron final states

- Significantly more challenging
- Subtle finite volume issues



□ Multi-hadron states for $\sqrt{s} > 4M_\pi$

- All or nothing (must constrain all channels for a prediction)



Processes with QCD-stable hadrons

□ Three categories:

□ Decay constants

$$\langle 0 | \mathcal{J} | \mathbf{1} \rangle$$

$$f_\pi, f_K, f_B$$

□ Form factors

$$\langle \mathbf{1} | \mathcal{J} | \mathbf{1}' \rangle$$

$$f_+^{K^0 \pi^-}(q^2), f_{B \rightarrow \pi}(q^2)$$

□ Mixing parameters

$$\langle \bar{\mathbf{1}} | \mathcal{H}^{\Delta F=2} | \mathbf{1} \rangle$$

$$B_{B_d}^{(n)}, B_{B_s}^{(n)}$$

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- Summary of the approach...

- Importance sampling QCD gauge fields \rightarrow correlators

$$\langle A_\mu^{\text{bare}}(0) \pi_{\mathbf{p}}(-\tau) \rangle_{T,L,m_q,a} = \text{[3D surface plot]} + \text{[3D surface plot]} + \dots$$

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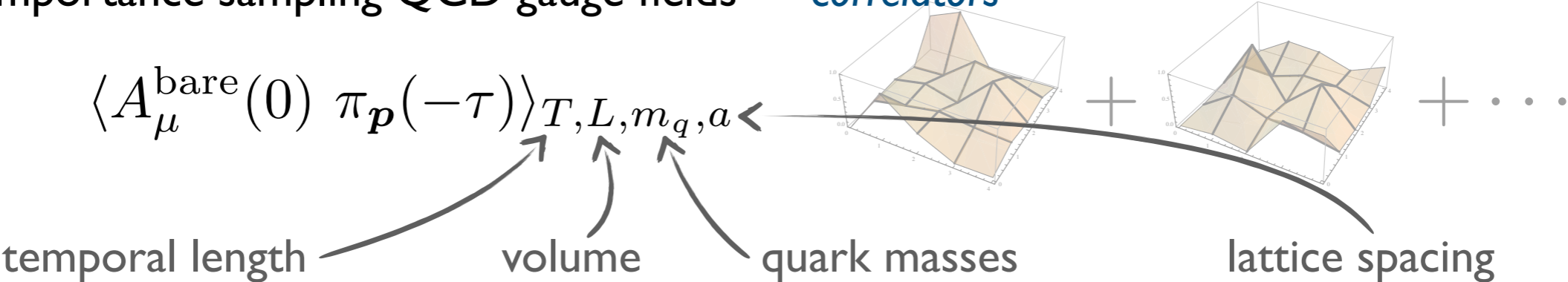
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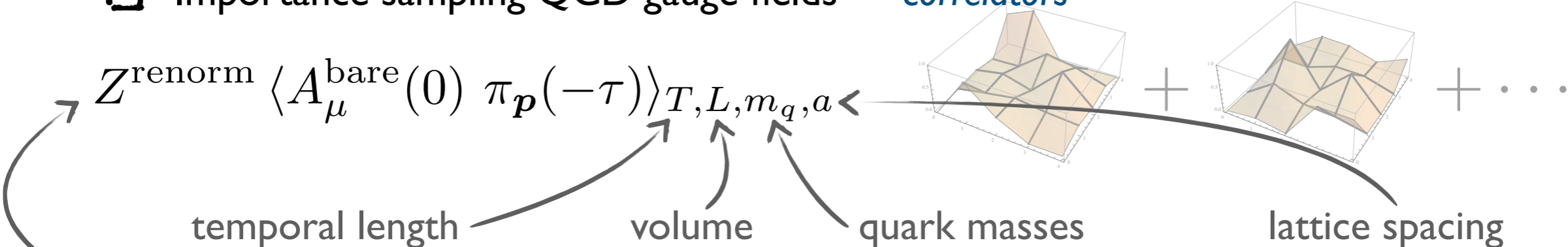
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- Renormalization of currents required (typically non-perturbative)

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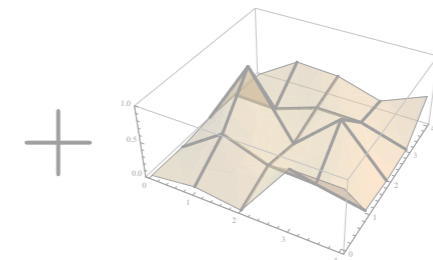
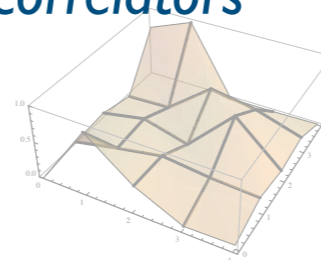
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$$B_{B_d}^{(n)}, B_{B_s}^{(n)}$$

□ Summary of the approach...

□ Importance sampling QCD gauge fields → *correlators*

$$Z^{\text{renorm}} \langle A_\mu^{\text{bare}}(0) \pi_{\mathbf{p}}(-\tau) \rangle_{T, L, m_q, a}$$



+ ...

temporal length

volume

quark masses

lattice spacing

□ *Renormalization* of currents required (typically non-perturbative)

□ *Large time separation* filters excited states

$$Z^{\text{renorm}} \langle A_\mu^{\text{bare}}(0) \pi_{\mathbf{p}}(-\tau) \rangle_{T, L, m_q, a} = \langle A_\mu^{\text{renorm}}(0) e^{-\hat{H}\tau} \pi_{\mathbf{p}}(0) \rangle$$

$$\xrightarrow{\tau \gg \delta E_\pi} Z_\pi e^{-E_\pi \tau} i p_\mu f_\pi(T, L, m_q, a)$$

Processes with QCD-stable hadrons

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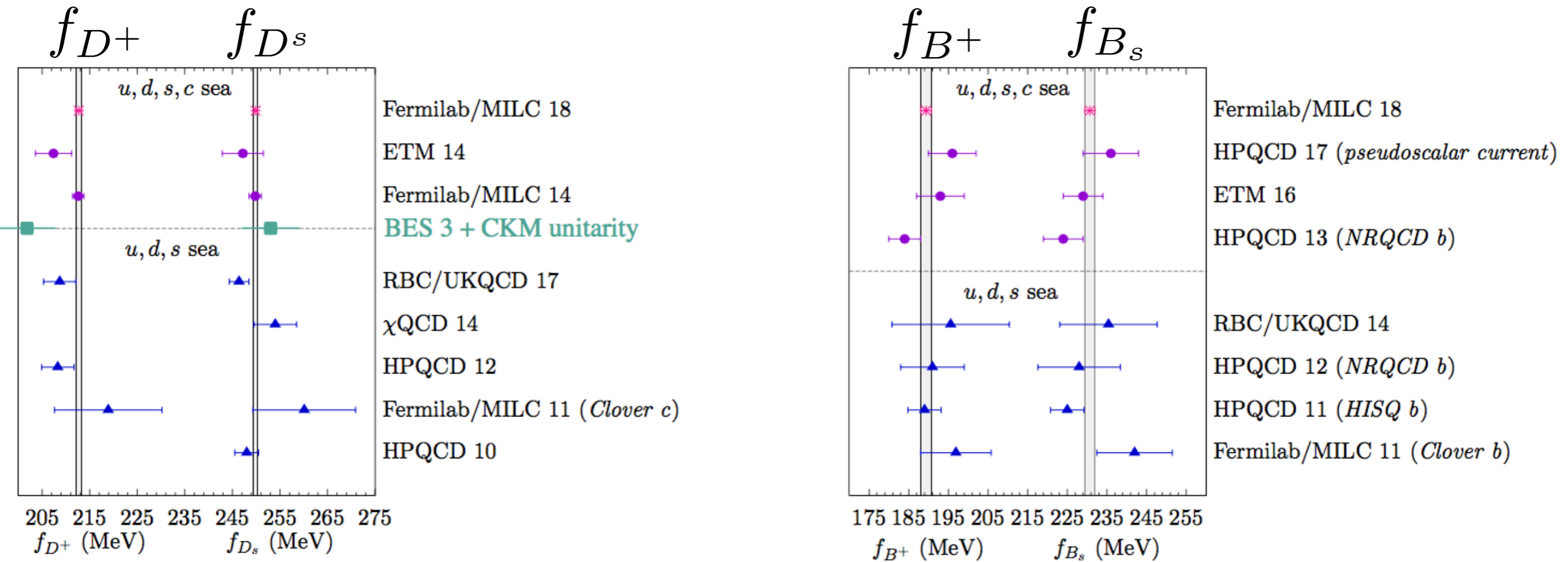
□ *Large time separation* filters excited states

□ *Extrapolation/interpolation* to physical point

$$\lim_{T,L \rightarrow \infty} \lim_{a \rightarrow 0} f_\pi(T, L, m_q^{\text{phys}}, a) = f_\pi^{\text{phys}}$$

Decay constants $\langle 0 | \mathcal{J} | \mathbf{1} \rangle$

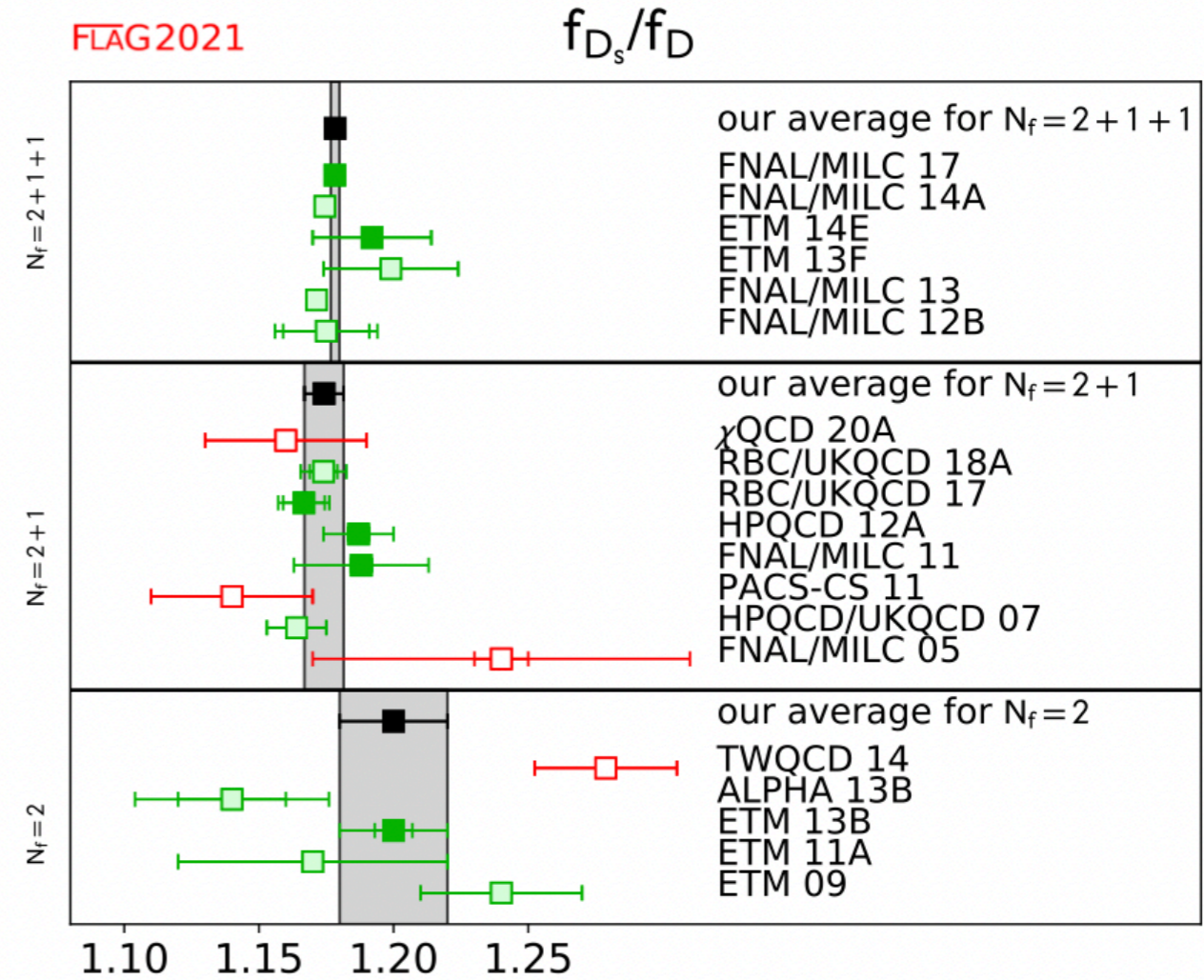
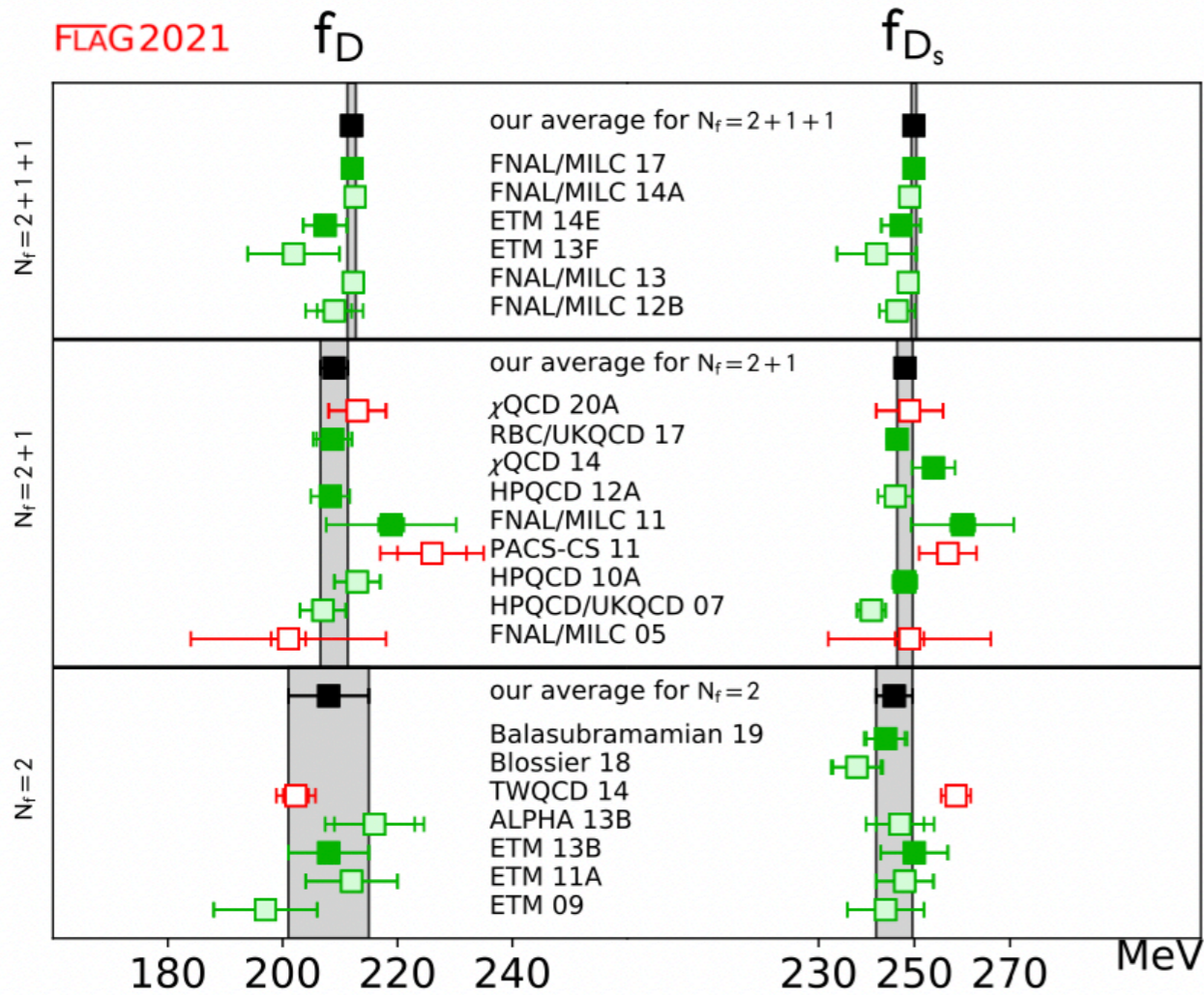
□ Summary (from Bazavov et. al. [Fermilab/MILC] 2018)



- Current precision sufficient for BES III, BELLE II
- Fermilab/MILC includes QED uncertainty (not yet rigorous)
- MILC quoting higher precision than any other 2+1(+1) calculation

Need comparable precision from other calculations to cross-check

Decay constants: *latest FLAG update for charm*



$$N_f = 2 + 1 + 1 :$$

$$f_D = 212.0(0.7) \text{ MeV}$$

$$N_f = 2 + 1 + 1 :$$

$$f_{D_s} = 249.9(0.5) \text{ MeV}$$

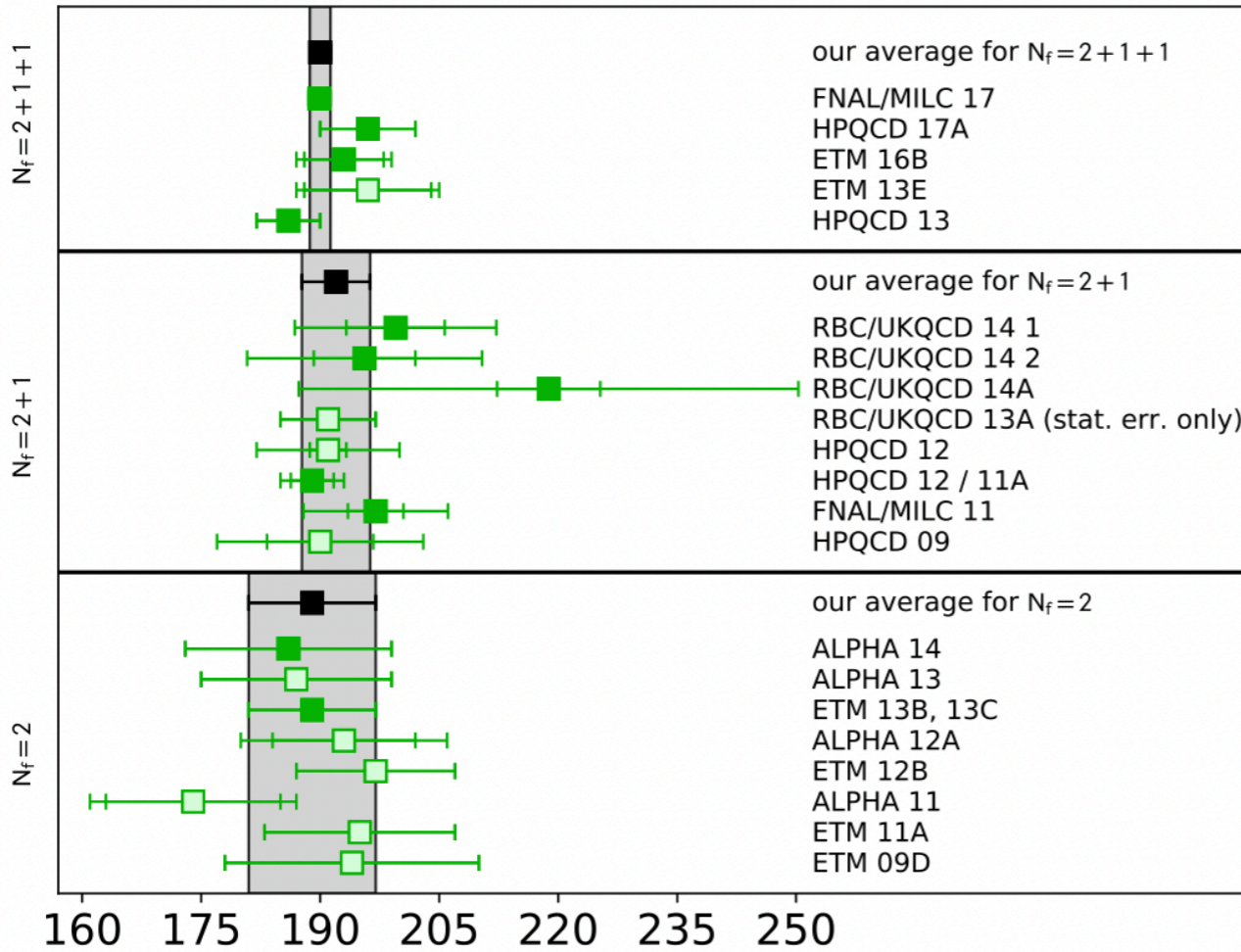
$$N_f = 2 + 1 + 1 :$$

$$\frac{f_{D_s}}{f_D} = 1.1783(0.0016)$$

Decay constants: *latest FLAG update for bottom*

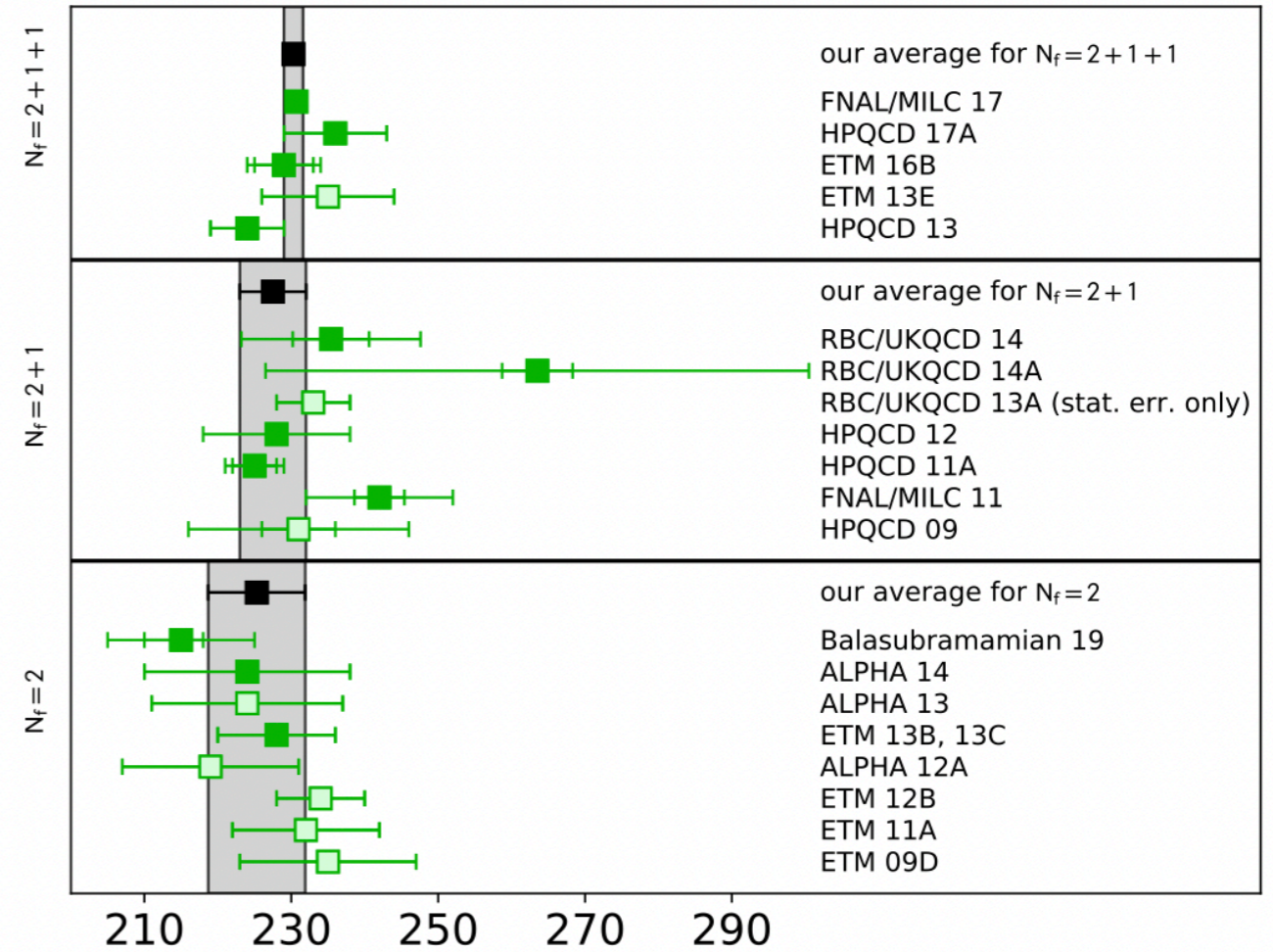
FLAG2021

f_B [MeV]



FLAG2021

f_{B_s} [MeV]



$$N_f = 2 + 1 : \quad f_B = 192.0(4.3) \text{ MeV}$$

$$N_f = 2 + 1 : \quad f_{B_s} = 228.4(3.7) \text{ MeV}$$

$$N_f = 2 + 1 : \quad \frac{f_{B_s}}{f_B} = 1.201(0.016)$$

lattice QCD + QED

Relevant for sub-percent uncertainties

$$\alpha_{\text{QED}} \sim \frac{m_u - m_d}{\Lambda_{\text{QCD}}} \sim 1\%$$

Meaning of decay constants

Pure QCD

$$\Gamma(K^- \rightarrow \ell^- \bar{\nu}_\ell) = \frac{G_F^2 |V_{us}|^2 f_K^2}{8\pi} m_K m_\ell^2 \left(1 - \frac{m_\ell^2}{m_K^2}\right)^2$$

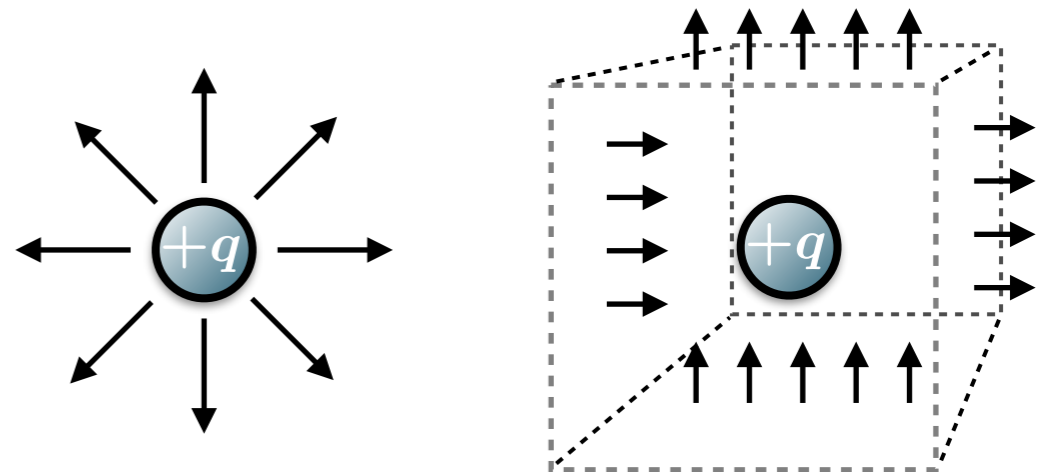
QCD + QED
(GRS scheme)

$$\Gamma(K^- \rightarrow \mu^- \bar{\nu}_\mu [\gamma]) = (1.0032 \pm 0.0011) \Gamma^{(0)}(K^- \rightarrow \mu^- \bar{\nu}_\mu)$$

C. Sachrajda (*Durham flavour workshop*) • Di Carlo et al.

QED in a box

- Periodicity incompatible with Gauss law
- QED = long range
- Require modification (vanishes as $L \rightarrow \infty$)

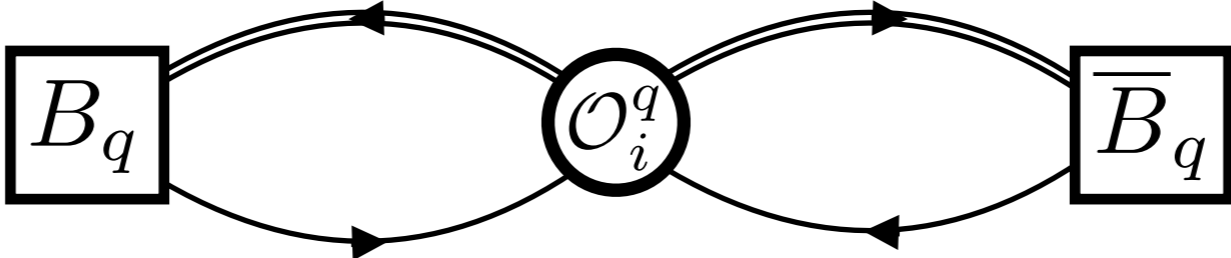


Different soft scales for different particles

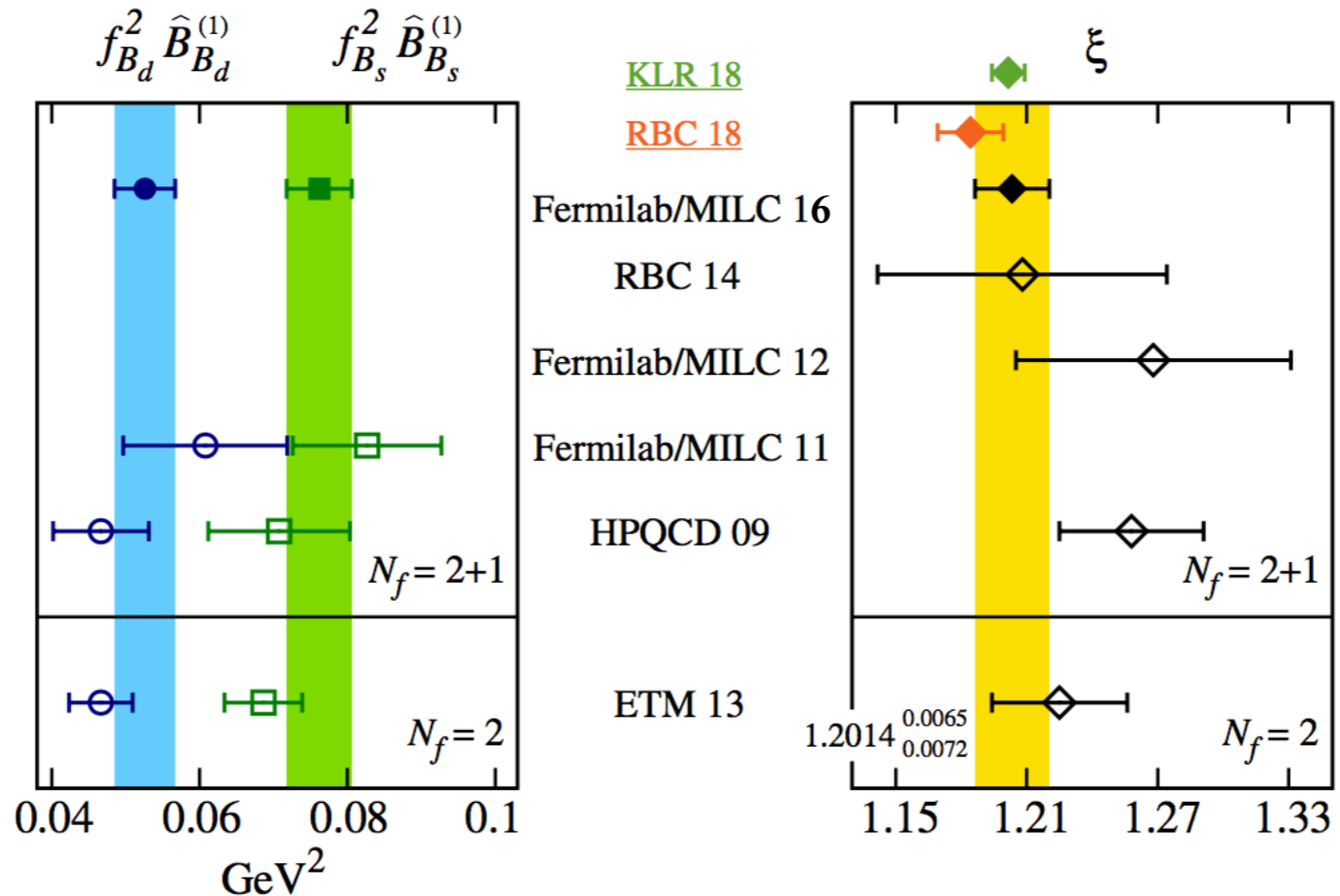
- Well-understood for pions and kaons
- B and D = different soft scale \rightarrow requires theory developments

Neutral meson mixing $\langle \bar{\mathbf{1}} | \mathcal{H}^{\Delta F=2} | \mathbf{1} \rangle$

- B-mixing dominated by local matrix element



- Summary (from Bazavov et al. [Fermilab/MILC] 2016)



$$\xi = \frac{f_{B_s} \sqrt{\hat{B}_{B_s}}}{f_{B_d} \sqrt{\hat{B}_{B_d}}}$$

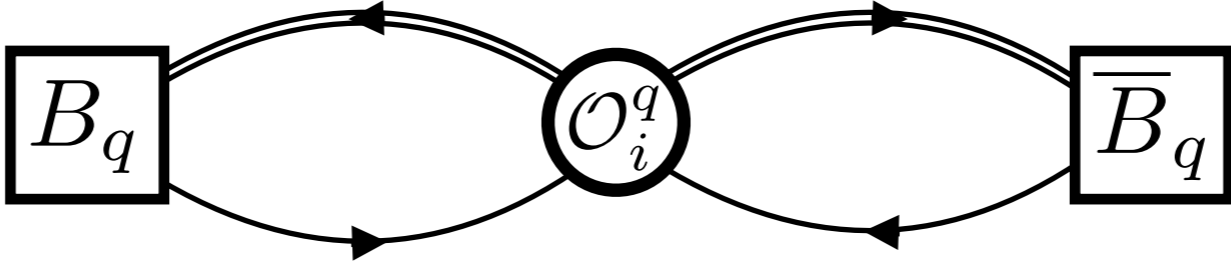
KLR 18 = King, Lenz, Rauh (2018) (QCD sum rules)

plot from Kronfeld (Durham workshop 2019)

- Lattice precision (~3-4%) is well behind even older experiments (~0.06 - 0.2%)
- Challenging to find optimal 'discretization' (lattice definition of quarks)

Neutral meson mixing $\langle \bar{\mathbf{1}} | \mathcal{H}^{\Delta F=2} | \mathbf{1} \rangle$

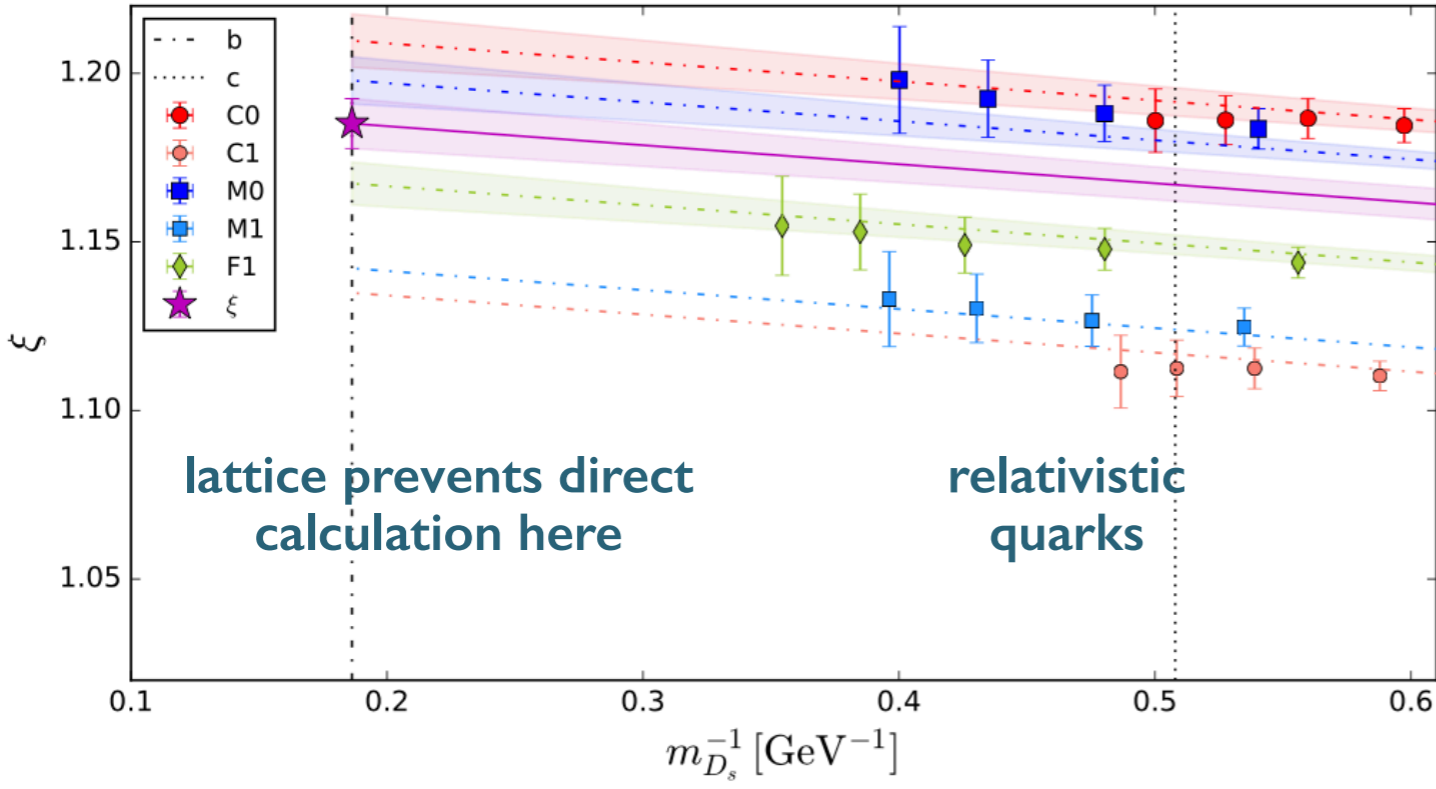
□ B-mixing dominated by local matrix element



□ RBC/UKQCD 2018

$$\xi(a, m_\pi, m_H)$$

$$\xi = \frac{f_{B_s} \sqrt{\hat{B}_{B_s}}}{f_{B_d} \sqrt{\hat{B}_{B_d}}}$$



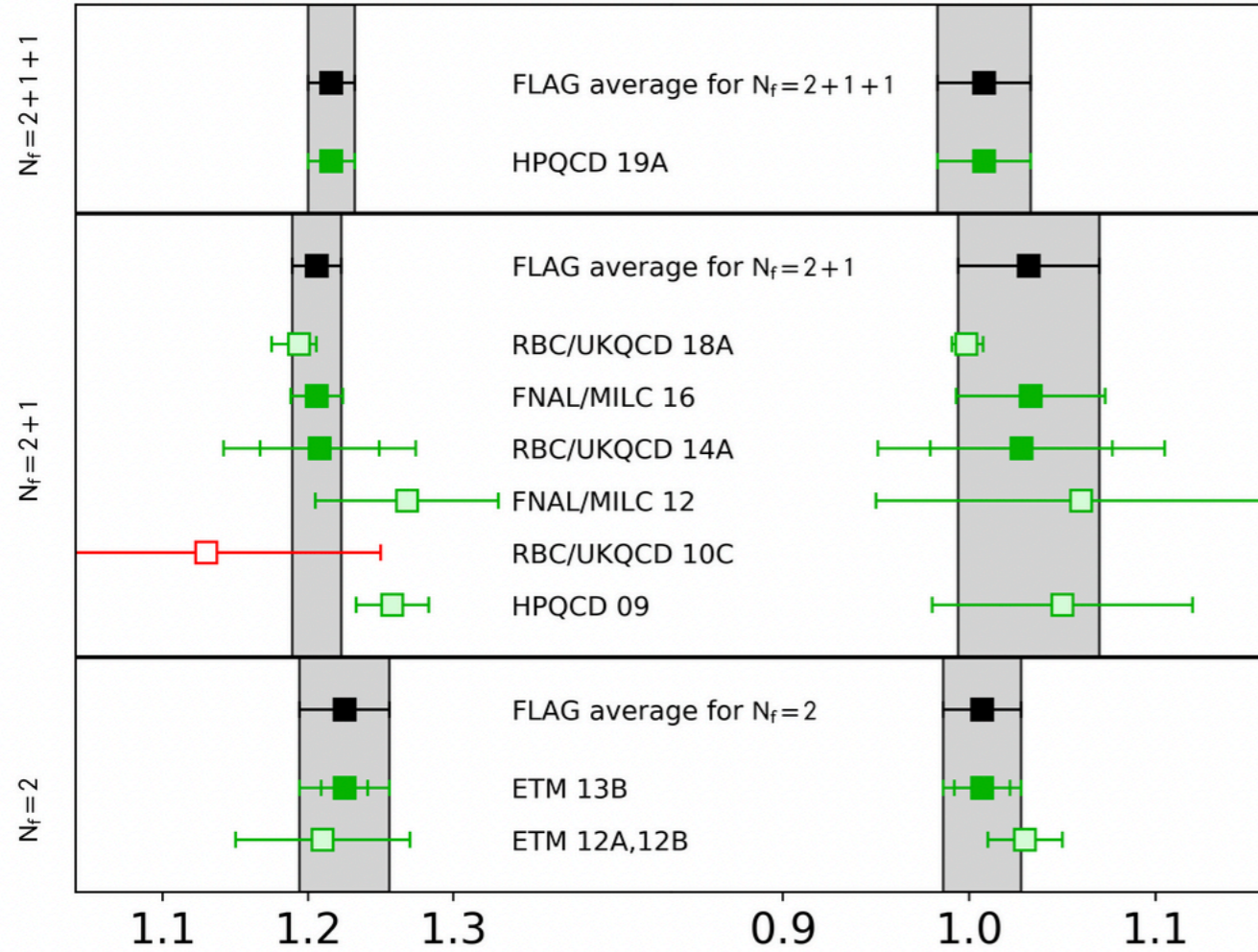
Uses a relativistic action for the *b* quark
 Extrapolates to the heavy mass

B-mixing — FLAG plots

FLAG2021

ξ

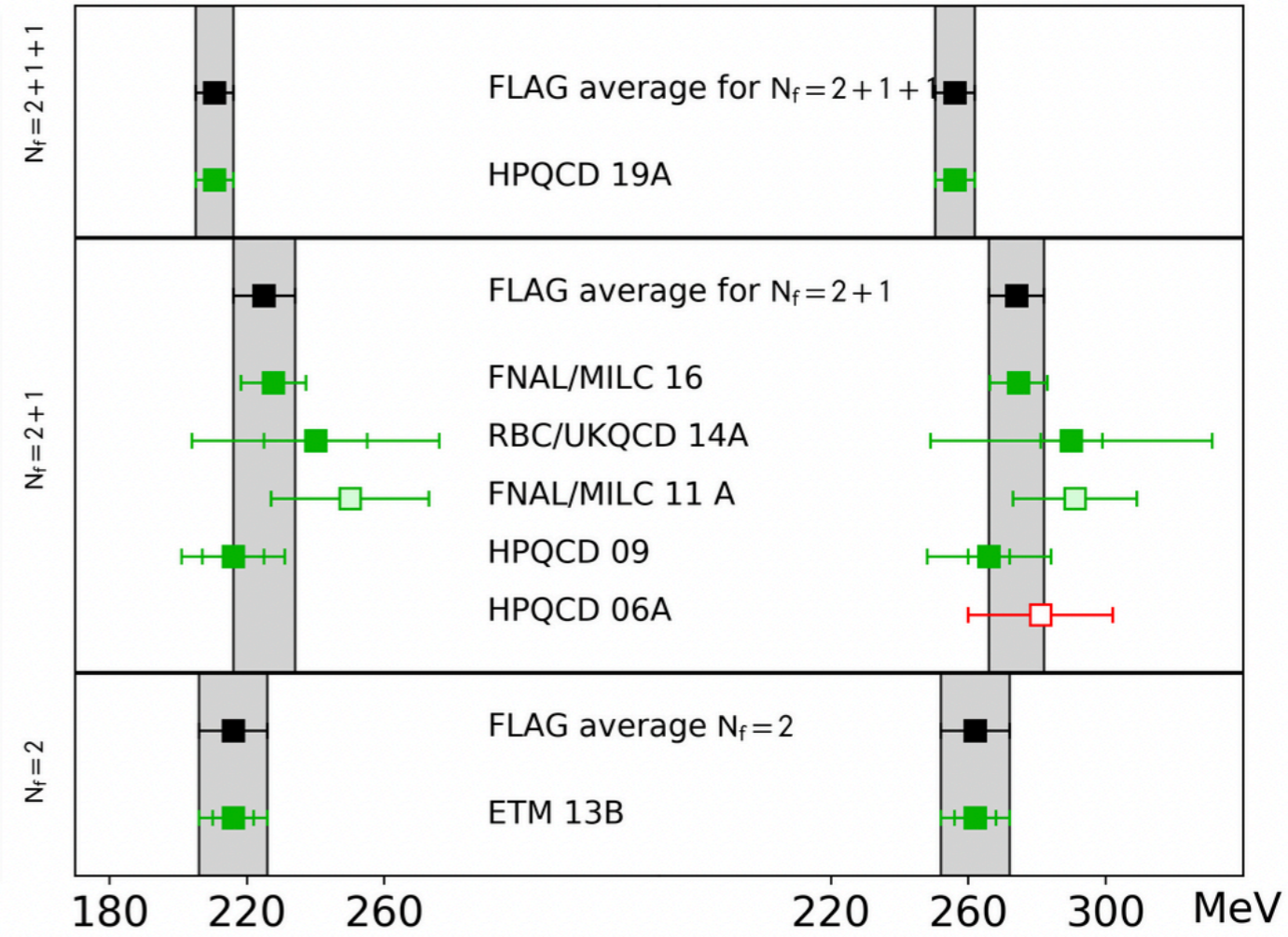
B_{B_s}/B_{B_d}



FLAG2021

$f_{B_d} \sqrt{\hat{B}_{B_d}}$

$f_{B_s} \sqrt{\hat{B}_{B_s}}$



$N_f = 2 + 1$:

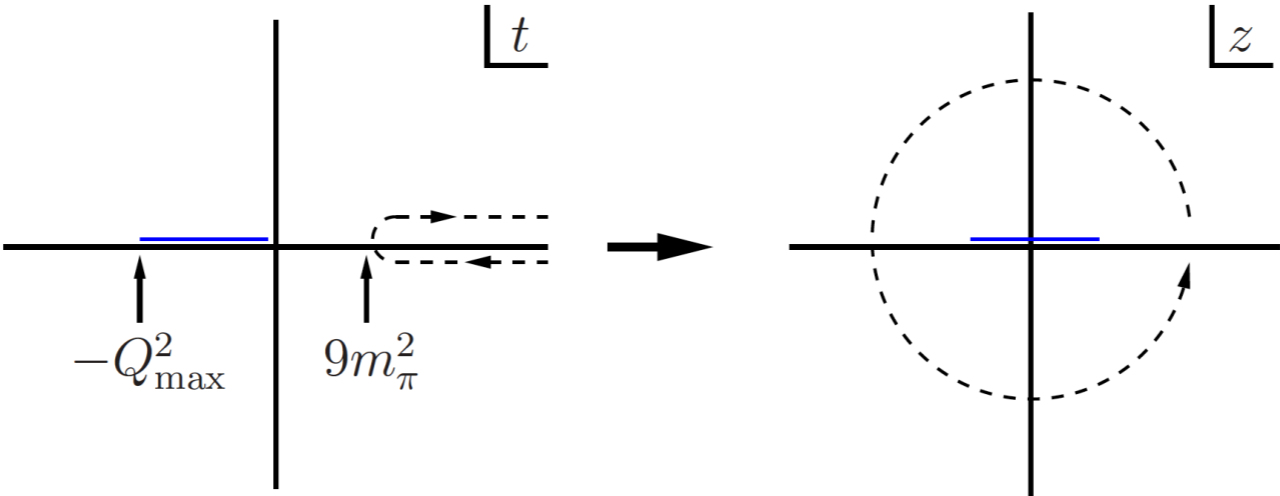
$f_{B_d} \sqrt{\hat{B}_{B_d}} = 225(9) \text{ MeV}$	$f_{B_s} \sqrt{\hat{B}_{B_s}} = 274(8) \text{ MeV}$	Refs. [19, 23, 47],
$\hat{B}_{B_d} = 1.30(10)$	$\hat{B}_{B_s} = 1.35(6)$	Refs. [19, 23, 47],
$\xi = 1.206(17)$	$B_{B_s}/B_{B_d} = 1.032(38)$	Refs. [19, 47].

$N_f = 2 + 1 + 1$:

$f_{B_d} \sqrt{\hat{B}_{b_d}} = 210.6(5.5) \text{ MeV}$	$f_{B_s} \sqrt{\hat{B}_{B_s}} = 256.1(5.7) \text{ MeV}$	Ref. [48],
$\hat{B}_{B_d} = 1.222(61)$	$\hat{B}_{B_s} = 1.232(53)$	Ref. [48],
$\xi = 1.216(16)$	$B_{B_s}/B_{B_d} = 1.008(25)$	Ref. [48].

Form factors $\langle \mathbf{1} | \mathcal{J} | \mathbf{1}' \rangle$

- Significantly more information (functions vs numbers)
- Conformal mapping \rightarrow z-expansion \rightarrow wider kinematic range



Bhattacharya, Hill, Paz (2011)

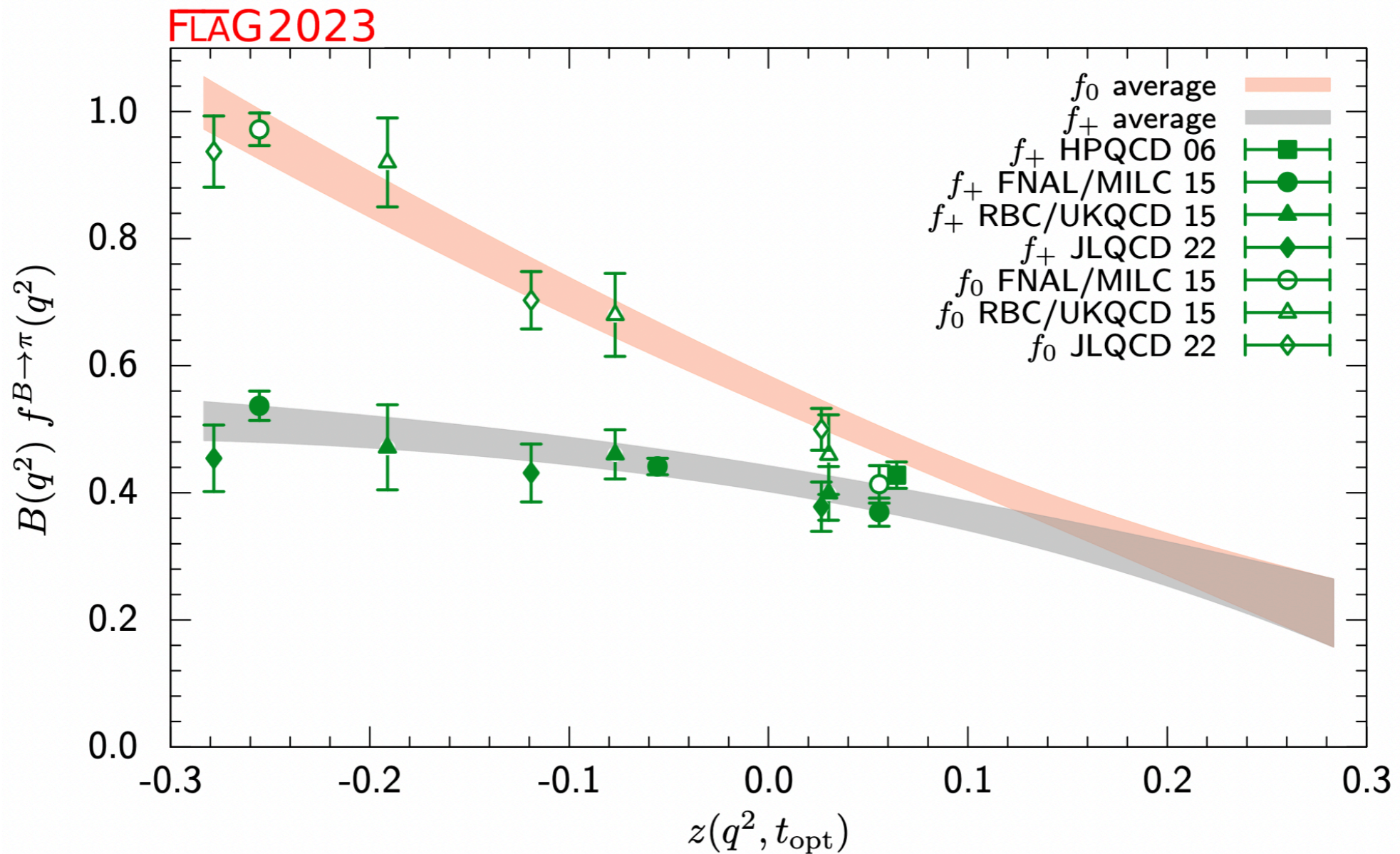
- Report z coefficients + correlations
- Joint fit to LQCD and experiment \rightarrow CKM
- Better precision needed for BES III, LHCb and BELLE II

$ V_{ud} $	$ V_{us} $	$ V_{ub} $
$\pi^+ \rightarrow l^+ \nu$	$K^+ \rightarrow l^+ \nu$	$B^+ \rightarrow \tau^+ \nu$
$\pi^+ \rightarrow \pi^0 e^+ \nu$	$K \rightarrow \pi l^+ \nu$	$B \rightarrow \pi l^+ \nu$
$ V_{cd} $	$ V_{cs} $	$ V_{cb} $
$D^+ \rightarrow l^+ \nu$	$D_s^+ \rightarrow l^+ \nu$	$B_c^+ \rightarrow \tau^+ \nu$
$D \rightarrow \pi l^+ \nu$	$D \rightarrow K l^+ \nu$	$B \rightarrow \pi l^+ \nu$
$ V_{td} $	$ V_{ts} $	$ V_{tb} $
$B^0 \rightarrow \pi^0 l^+ l^-$	$B^0 \rightarrow K^0 l^+ l^-$	
$B^0 \leftrightarrow \bar{B}^0$	$B_s^0 \leftrightarrow \bar{B}_s^0$	

Kronfeld (Durham workshop) (2019)

Form factors $\langle \mathbf{1} | \mathcal{J} | \mathbf{1}' \rangle$

Example: $f^{B \rightarrow \pi}(q^2)$



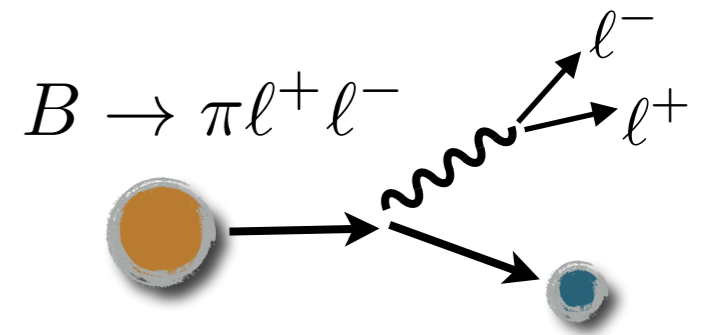
See new FLAG report/website for details

Please cite original work (each figure has a .bib)

Matrix elements and LQCD

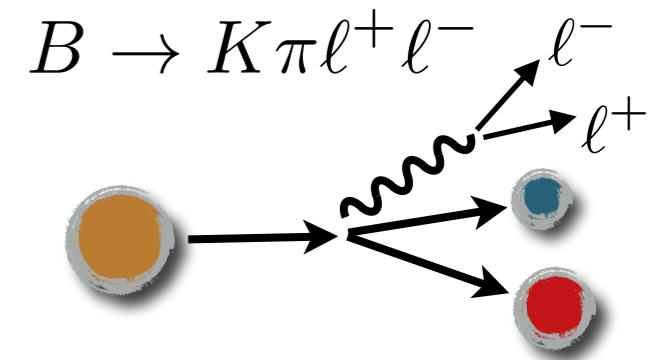
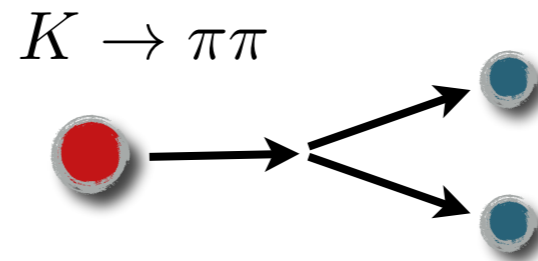
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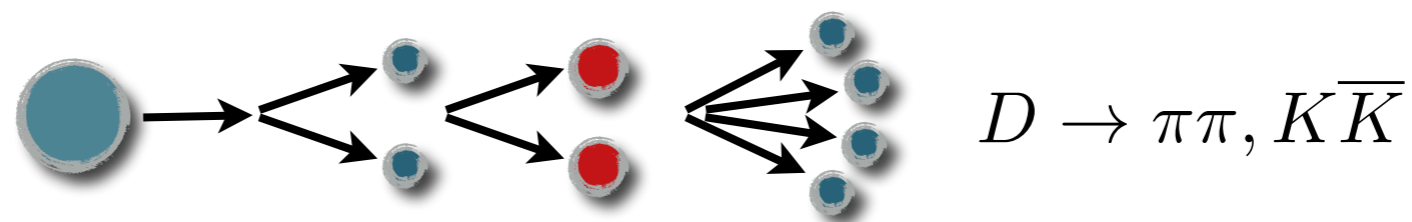
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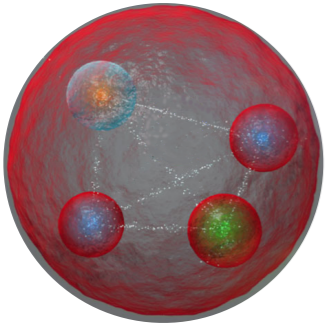
Multi-hadron states for $\sqrt{s} > 4M_\pi$

- All or nothing (must constrain all channels for a prediction)



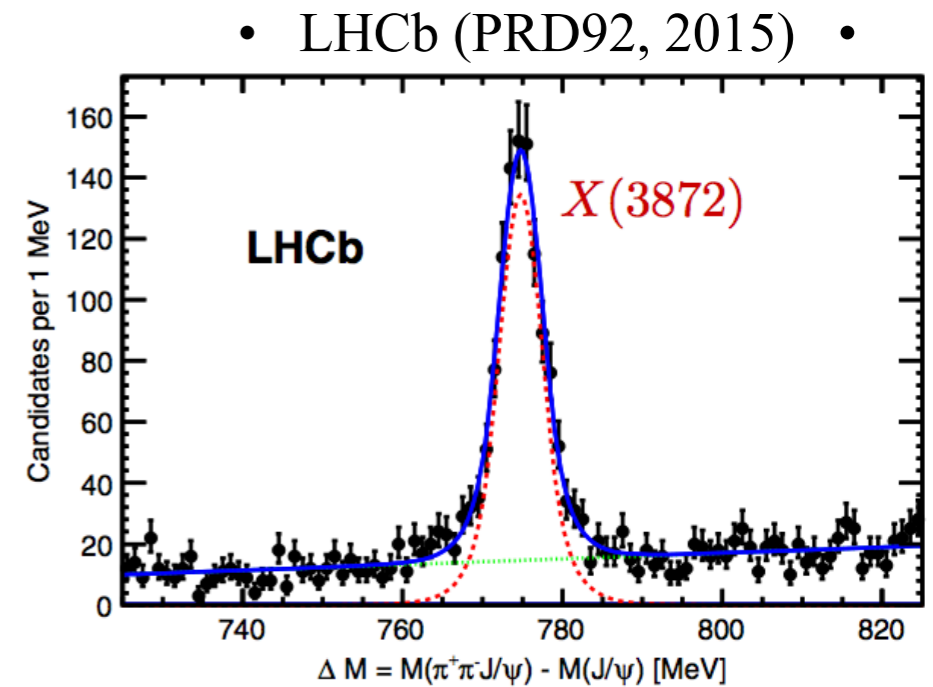
Multi-hadron observables

- Exotics, XYZs, tetra- and penta-quarks, H dibaryon



e.g. $X(3872)$

$$\sim |D^0 \bar{D}^{*0} + \bar{D}^0 D^{*0}\rangle?$$



- Electroweak, CP violation, resonant enhancement

CP violation in charm

$$D \rightarrow \pi\pi, K\bar{K}$$

$$\Delta A_{CP} = (-15.4 \pm 2.9) \times 10^{-4}$$

• LHCb (PRL, 2019) •

$f_0(1710)$ could enhance ΔA_{CP}

• Soni (2017) •

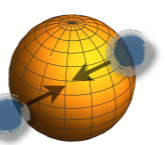
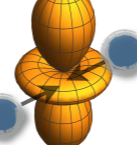
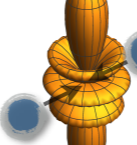

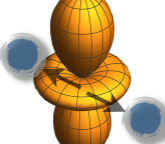
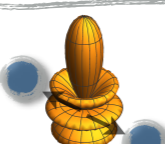
Resonant B decays

$$B \rightarrow K^* \ell\ell \rightarrow K\pi \ell\ell$$

$|X\rangle, |\rho\rangle, |K^*\rangle, |f_0\rangle \notin \text{QCD Fock space}$

QCD Fock space

- At low-energies QCD = hadronic degrees of freedom $\pi \sim \bar{u}d, K \sim \bar{s}u, p \sim uud$
- Overlaps of multi-hadron *asymptotic states* \rightarrow S matrix

	$ \pi\pi, \text{in}\rangle$		
			
$S(s) \equiv \langle \pi\pi, \text{out} $	 $e^{2i\delta_0(s)}$	0	0
	0	$e^{2i\delta_1(s)}$	0
	0	0	$e^{2i\delta_2(s)}$

depends on $s = E_{\text{cm}}^2$ and angular variables

diagonal in angular momentum

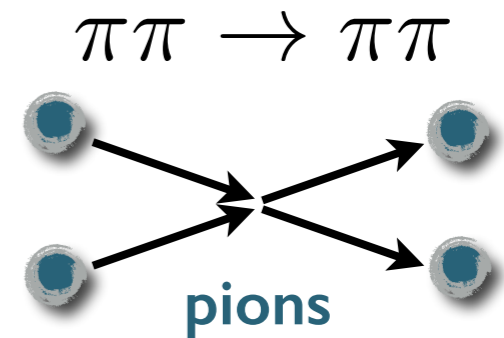
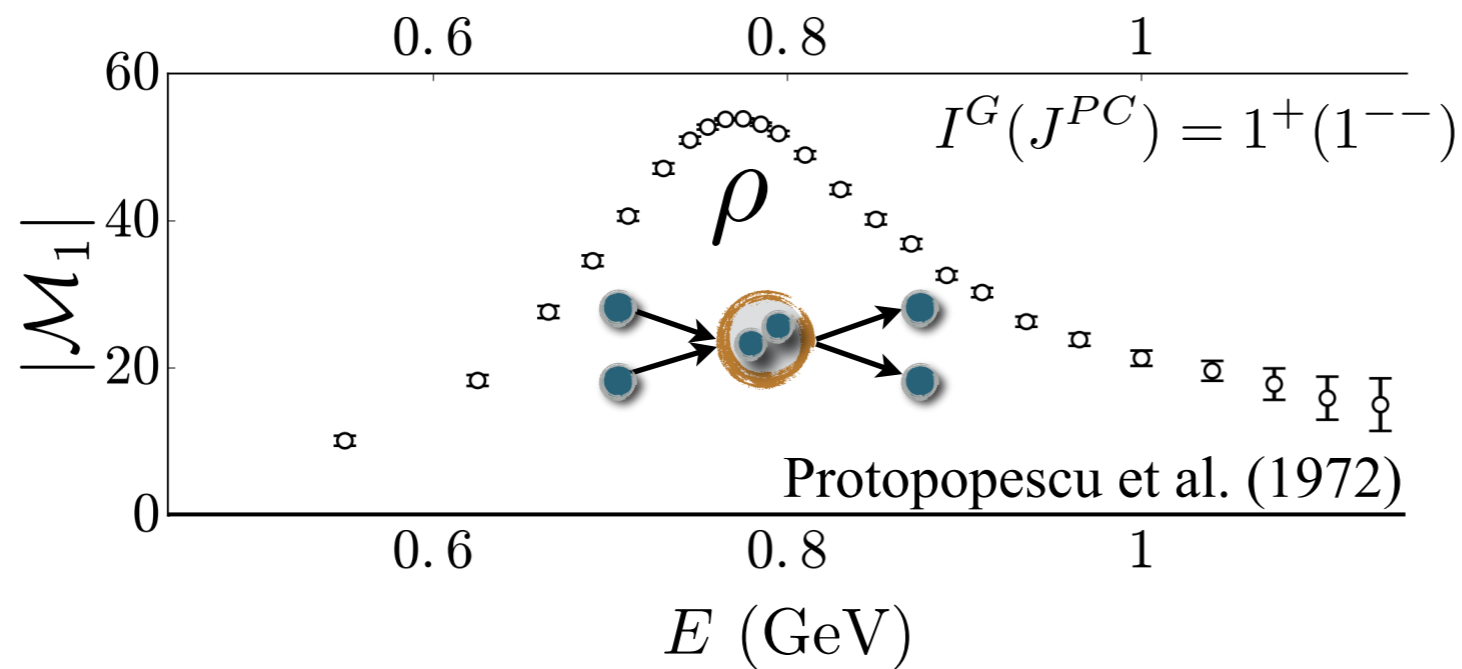
$\mathcal{M}_\ell(s) \propto e^{2i\delta_\ell(s)} - 1$

- An enormous space of information

$|\pi\pi\pi\pi, \text{in}\rangle \quad |K\bar{K}, \text{in}\rangle \quad \dots$

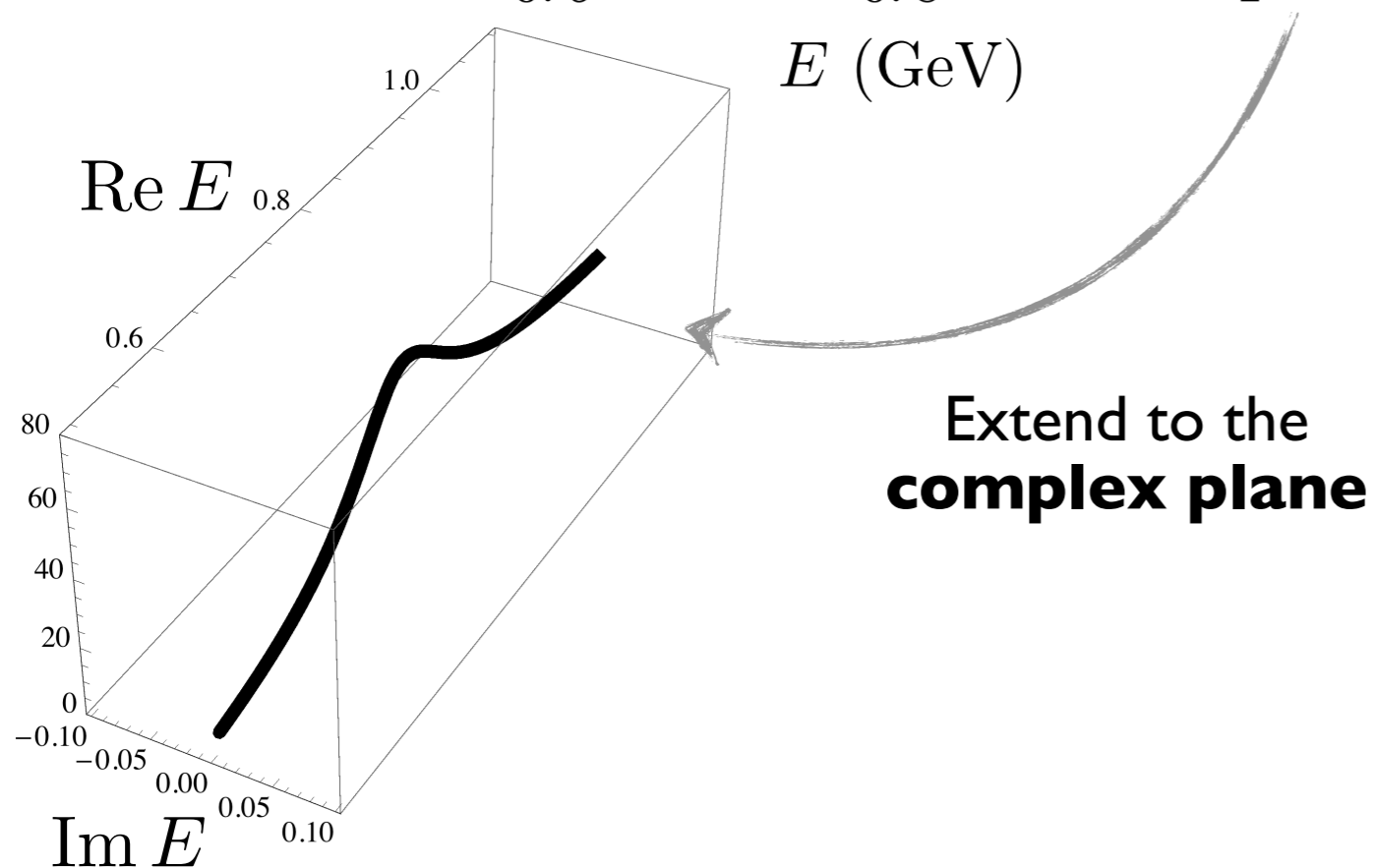
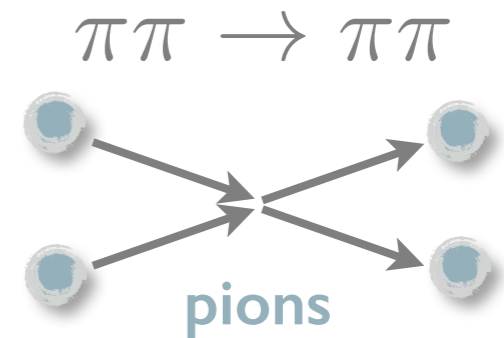
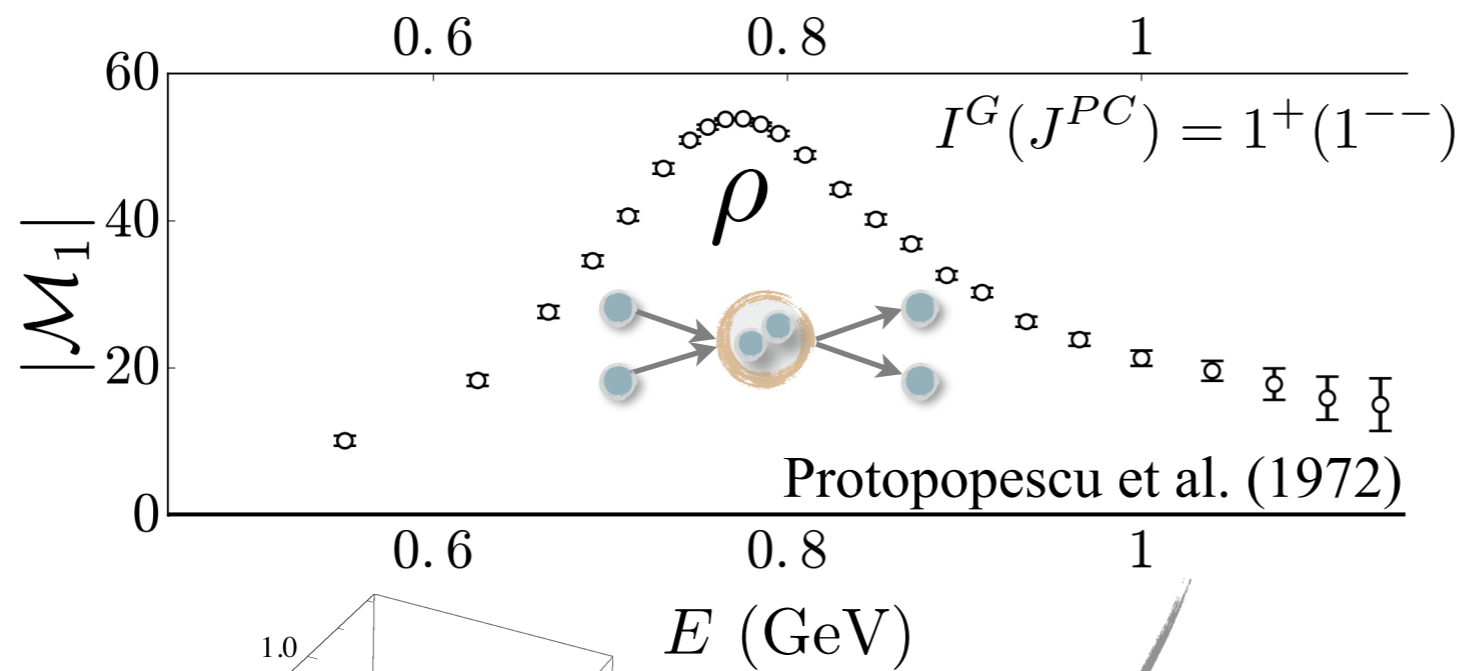
QCD resonances

□ Roughly speaking, a bump in: $|\mathcal{M}_\ell(s)|^2 \propto |e^{2i\delta_\ell(s)} - 1|^2 \propto \sin^2 \delta_\ell(s)$
 scattering rate



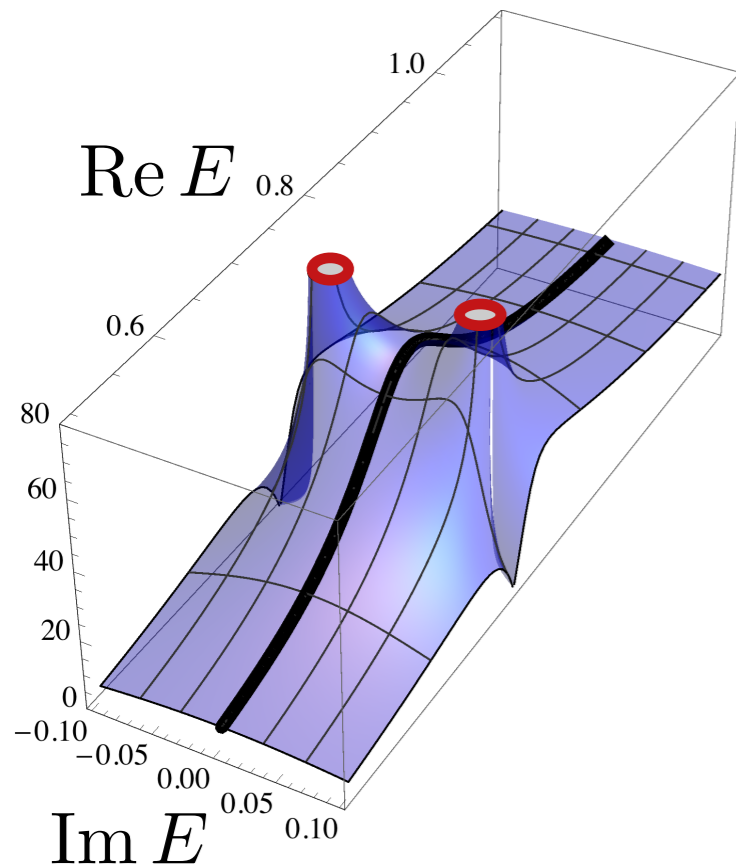
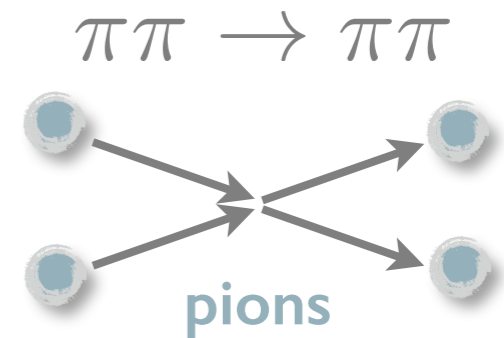
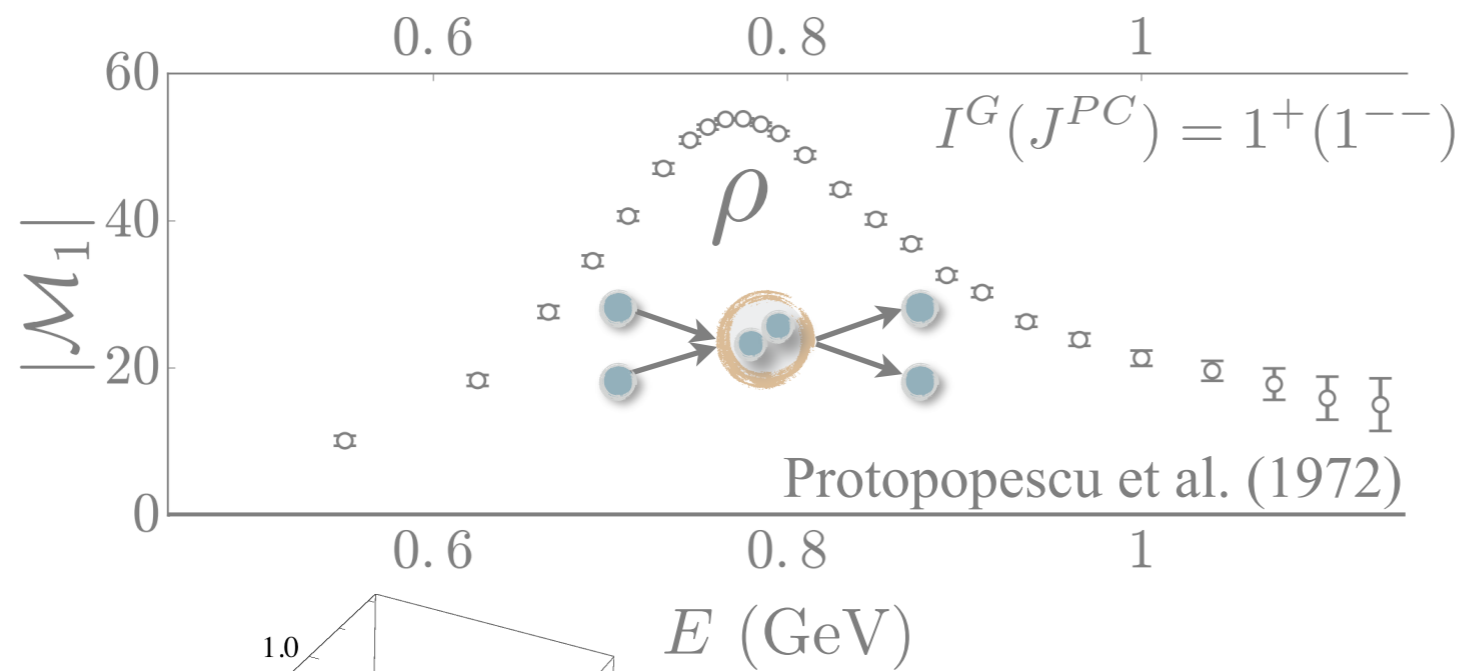
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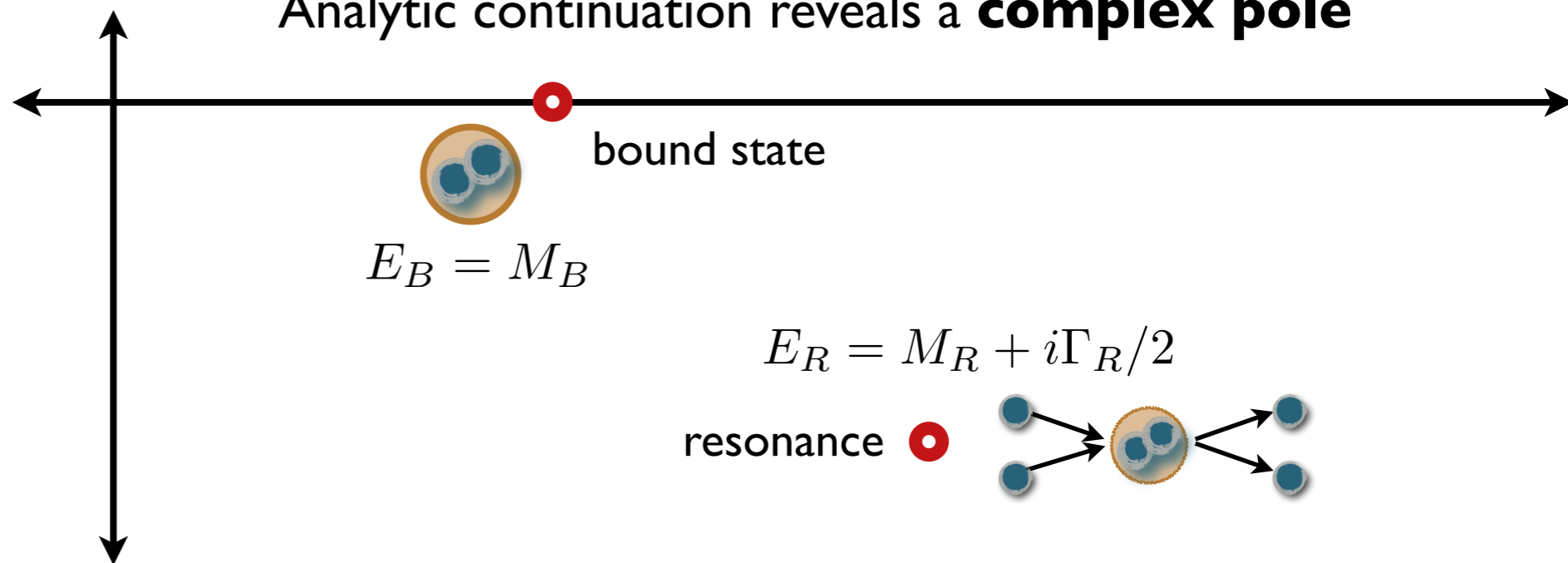


QCD resonances

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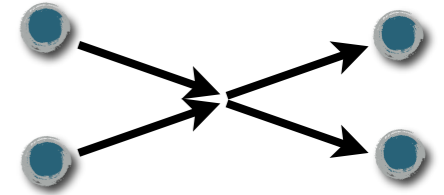
Analytic continuation reveals a **complex pole**



Analyticity

- Instead of $|\mathcal{M}(s)|^2 \rightarrow$ analytically continue the **amplitude** itself

For two-particle energies $(2m)^2 < s < (4m)^2$, what is the analytic structure?



- The optical theorem tells us...

$$\rho(s)|\mathcal{M}_\ell(s)|^2 = \text{Im } \mathcal{M}_\ell(s)$$

where $\rho(s) = \frac{\sqrt{1 - 4m^2/s}}{32\pi}$ is the two-particle phase space

- Unique solution is... $\mathcal{M}_\ell(s) = \frac{1}{\mathcal{K}_\ell(s)^{-1} - i\rho(s)}$

$\mathcal{K}_\ell(s)$ matrix (short distance)

phase-space cut (long distance)

Key message: *The scattering amplitude has a square-root branch cut*

Analyticity (all orders diagrammatic)

$$\mathcal{M}(s) \equiv \text{diagram 1} + \text{diagram 2} + \text{diagram 3} + \dots$$

on-shell particles = singularities:
non-analytic for $(2m)^2 < s < (4m)^2$

cutting rule

$$\text{diagram with } i\epsilon = \text{diagram with PV} + \text{diagram with } \rho(s)$$

$$\rho(s) \propto i\sqrt{s - (2m)^2}$$

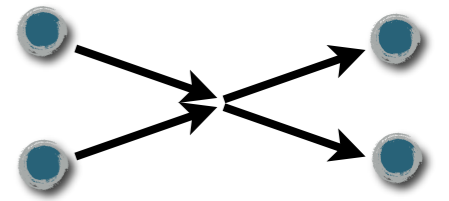
defines the *K matrix*

$$= \left[\text{diagram 1} + \text{diagram 2} + \dots \right] + \left[\text{diagram 1} + \text{diagram 2} + \dots \right] \rho(s) \left[\text{diagram 1} + \text{diagram 2} + \dots \right] + \dots$$

$$= \mathcal{K}(s) + \mathcal{K}(s)\rho(s)\mathcal{K}(s) + \dots = \frac{1}{\mathcal{K}(s)^{-1} - \rho(s)}$$

\mathcal{K} matrix (short distance)

phase-space cut (long distance)



— propagating pion

● Bethe-Salpeter kernel

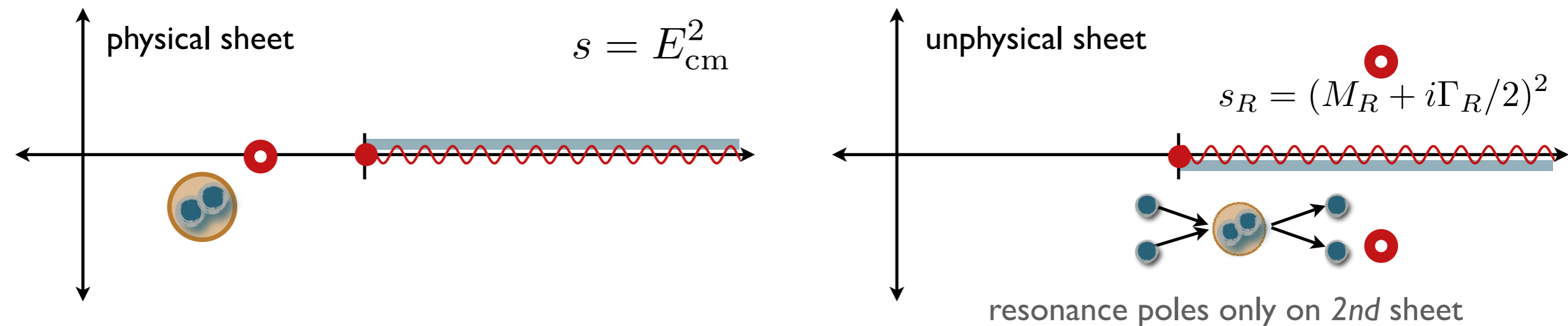
$$\text{diagram 1} = \int [\text{real, analytic}]$$

for $(2m)^2 < s < (4m)^2$

Cuts and sheets

$$\mathcal{M}_\ell(s) = \frac{1}{\mathcal{K}_\ell(s)^{-1} - i\rho(s)} \propto \frac{1}{p \cot \delta_\ell(s) - ip} \propto e^{2i\delta_\ell(s)} - 1 \quad \rho(s) \propto \sqrt{s - (2m)^2}$$

□ Each channel generates a *square-root cut* → doubles the number of sheets



□ Important lessons:

Details of analyticity = important for quantitative understanding

Possible to separate...

(i) *long-distance kinematic singularities*

(ii) *short-distance/microscopic physics (depending on interaction details)*

Non-perturbative QCD for flavour physics: Part II

Maxwell T. Hansen

March 19th, 2024



THE UNIVERSITY
of EDINBURGH

Special request... bit more about different discretizations

- ❑ Naive approach leads to doubling
- ❑ Need a modification to remove the doublers... many options:

Staggered quarks (BMW/FNAL/MILC)

Reduce doublers from 16 to 4, then take a fourth root

Domain-wall quarks (RBC/UKQCD)

Fifth dimension with theory living on a 4d membrane

Twisted-mass quarks (ETMC)

Break parity to automatically remove linear lattice effects

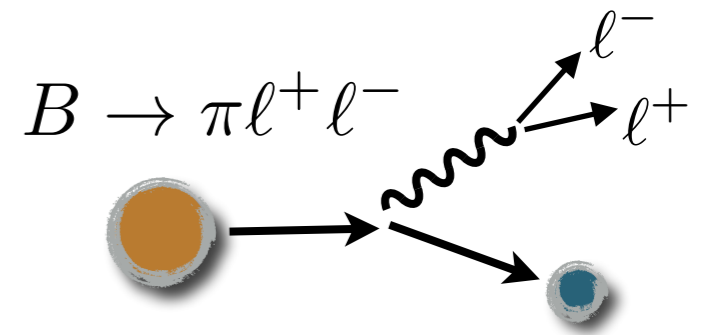
Wilson quarks (alpha, HadSpec)

Original approach

Matrix elements and LQCD

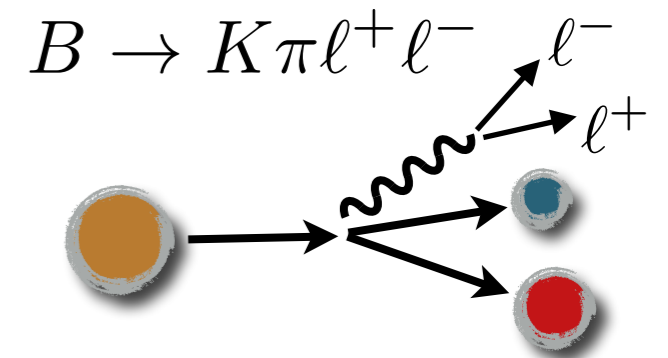
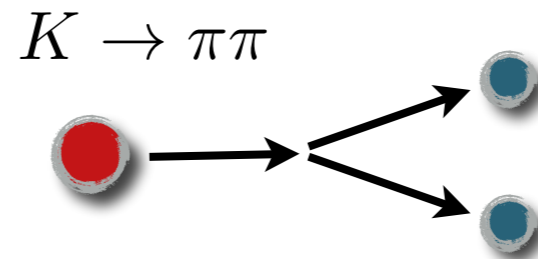
Single-hadron initial and final states

- Calculated directly in LQCD
- New theory challenge = QED
- See FLAG averages

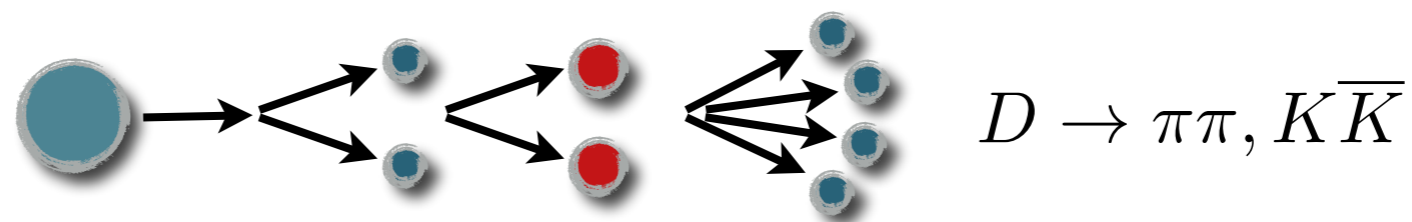


Two-hadron final states

- Significantly more challenging
- Subtle finite volume issues



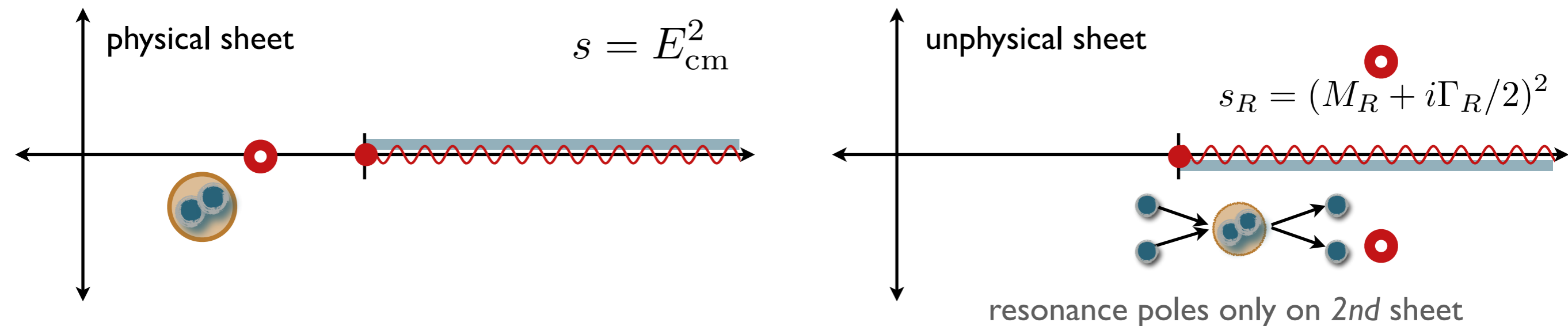
- Multi-hadron states for $\sqrt{s} > 4M_\pi$**
- All or nothing (must constrain all channels for a prediction)



Cuts and sheets

$$\mathcal{M}_\ell(s) = \frac{1}{\mathcal{K}_\ell(s)^{-1} - i\rho(s)} \propto \frac{1}{p \cot \delta_\ell(s) - ip} \propto e^{2i\delta_\ell(s)} - 1 \quad \rho(s) \propto \sqrt{s - (2m)^2}$$

□ Each channel generates a *square-root cut* → doubles the number of sheets



□ Important lessons:

Details of analyticity = important for quantitative understanding

Possible to separate...

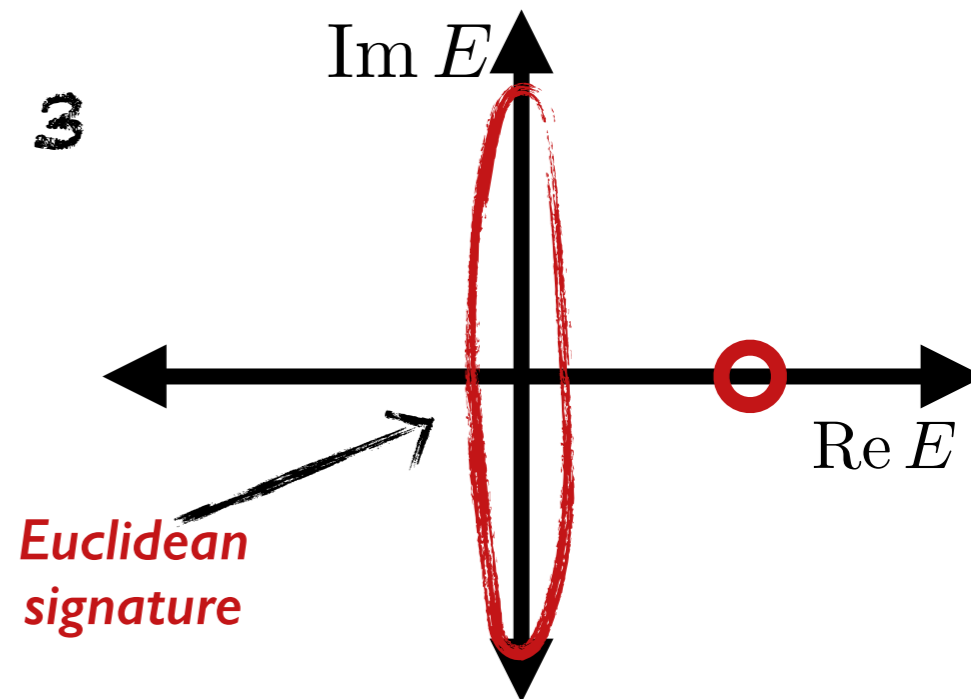
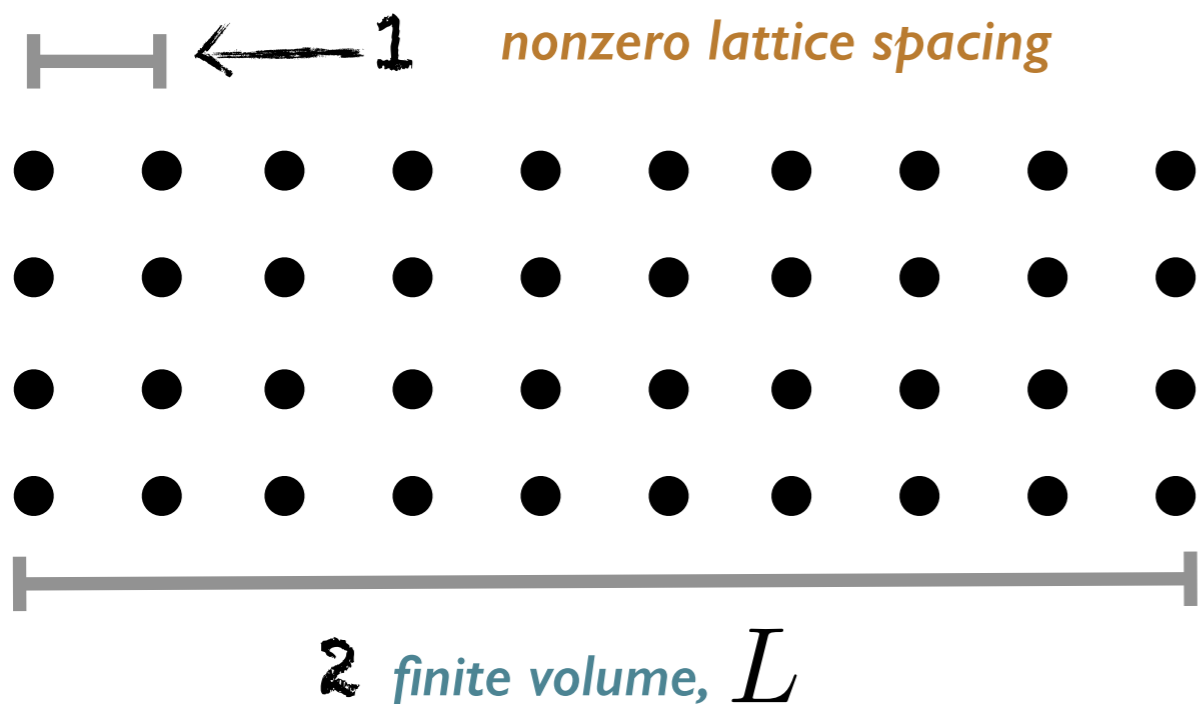
(i) *long-distance kinematic singularities*

(ii) *short-distance/microscopic physics (depending on interaction details)*

Challenges for lattice QCD

$$\text{observable?} = \int d^N \phi e^{-S} \left[\begin{array}{l} \text{interpolator} \\ \text{for observable} \end{array} \right]$$

To proceed we have to make *three modifications*



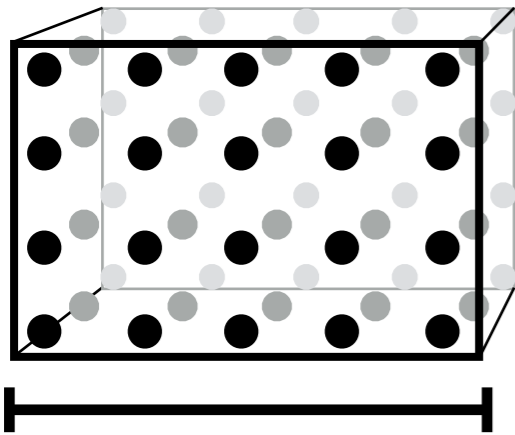
Also... $M_{\pi, \text{lattice}} > M_{\pi, \text{our universe}}$
(but physical masses \rightarrow increasingly common)



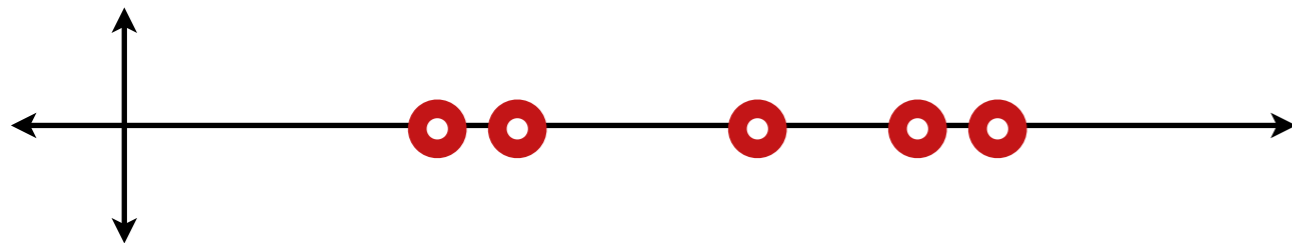
Difficulties for multi-hadron observables

□ The *Euclidean signature / imaginary time*...

- *Obscures* real time evolution (that defines scattering)
- *Prevents* normal LSZ (want $p_4^2 = -(p^2 + m^2)$, but we have only $p_4^2 > 0$)



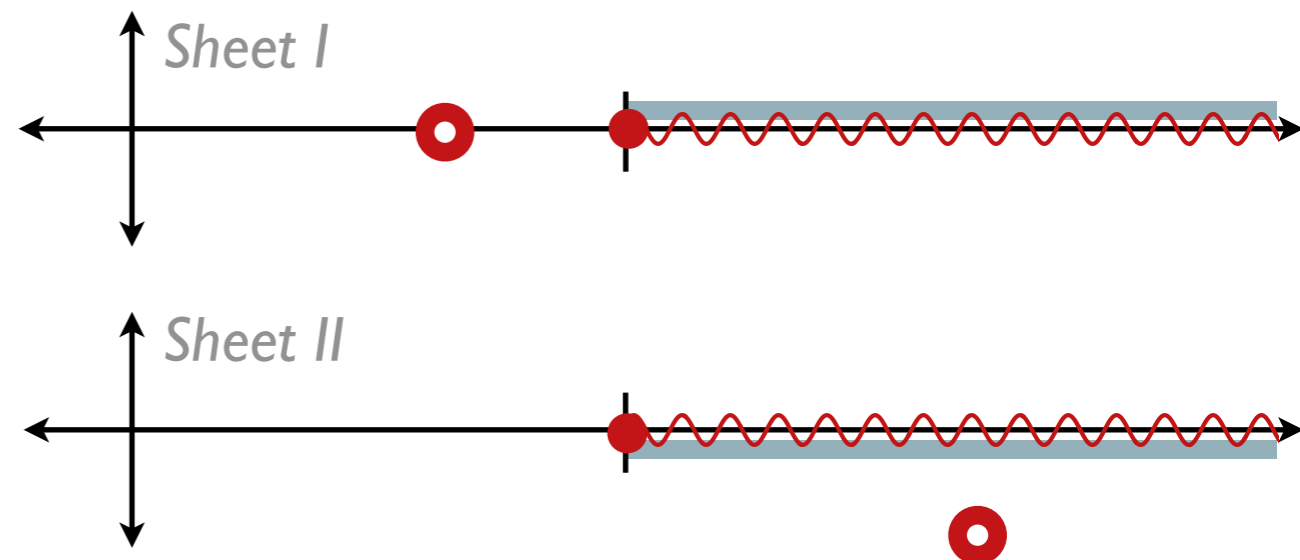
Finite-volume analytic structure



□ The *finite volume*...

- *Discretizes* the spectrum
- *Eliminates* the branch cuts and extra sheets
- *Hides* the resonance poles

Infinite-volume analytic structure

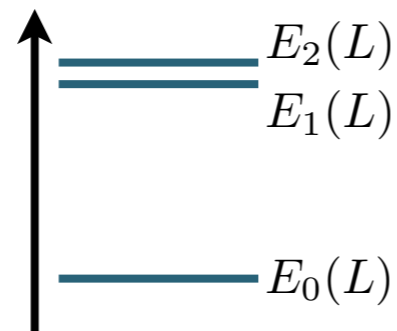
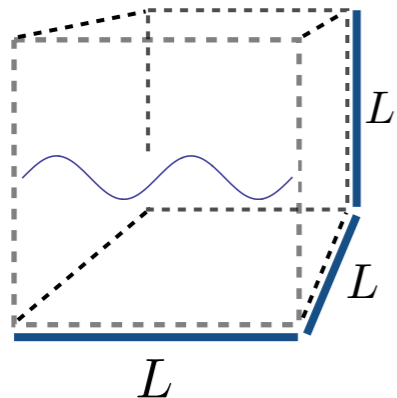


Importance of the finite volume

$|X\rangle, |\rho\rangle, |K^*\rangle, |f_0\rangle \notin$ **QCD Fock**

$|\pi\pi, \text{out}\rangle, |K\pi, \text{out}\rangle, \dots \in$ **QCD Fock space
(continuum of states)**

Relation is (highly) non-trivial

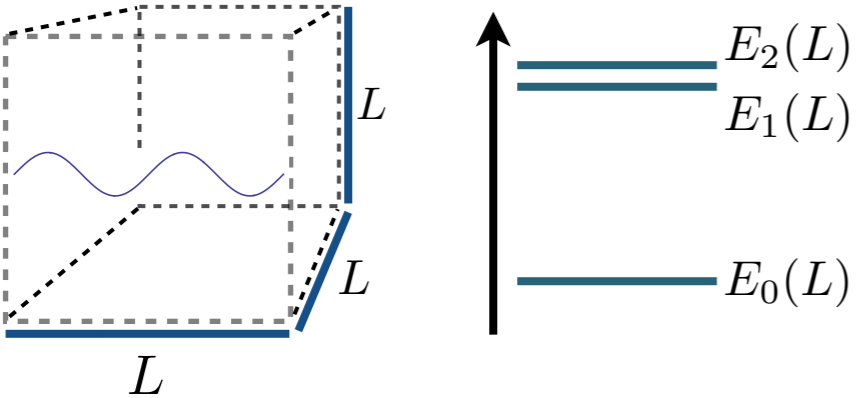


\in

Discrete set of finite-volume states

The finite-volume as a tool

□ Finite-volume set-up



□ **cubic**, spatial volume (extent L)

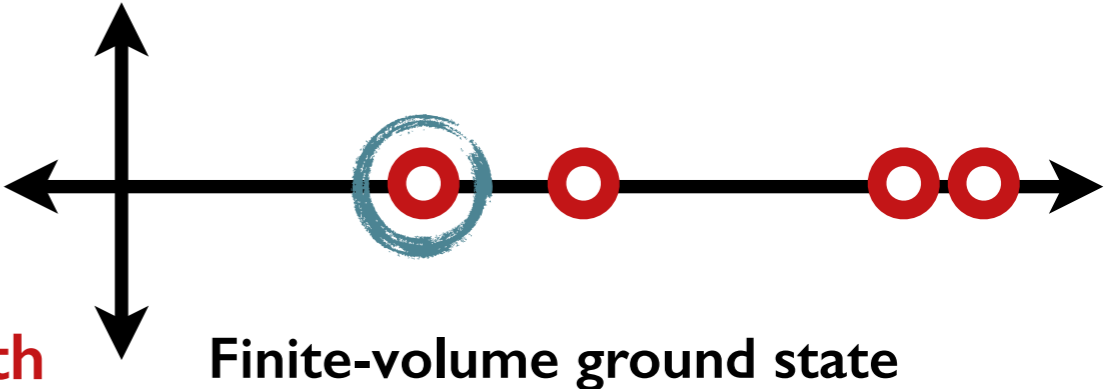
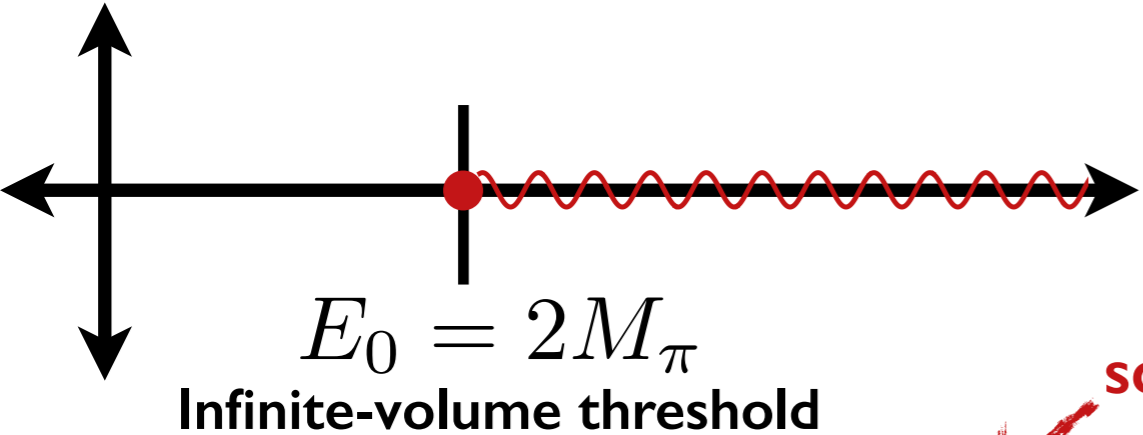
□ **periodic**

$$\vec{p} = \frac{2\pi}{L} \vec{n}, \quad \vec{n} \in \mathbb{Z}^3$$

□ L is large enough to neglect $e^{-M_\pi L}$

□ T and lattice also negligible

□ Scattering leaves an *imprint* on finite-volume quantities



$$\mathcal{M}_{\ell=0}(2M_\pi) = -32\pi M_\pi a$$

$$E_0(L) = 2M_\pi + \frac{4\pi a}{M_\pi L^3} + \mathcal{O}(1/L^4)$$

• Huang, Yang (1958) •

Derivation (all orders diagrammatic)

□ Consider the finite-volume correlator:

$$\mathcal{M}_L(P) = \text{diagram with one kernel} + \text{diagram with two kernels and } L \text{ box} + \text{diagram with three kernels and } L \text{ boxes} + \dots$$

e^{-mL} $1/L^n$

For two-particle energies $(2m)^2 < s < (4m)^2$, what is the L dependence?

$\mathcal{M}(s)$ probability amplitude	$\mathcal{M}_L(P)$ poles give f.v. spectrum
	propagating pion
	Bethe-Salpeter kernel
	$= \sum_{\mathbf{k}}$

$$\text{diagram with } L \text{ box} = \text{diagram with PV kernel} + \text{diagram with } F \text{ box}$$

$F =$ matrix of known geometric functions

Defines the K matrix

$$= \left[\text{diagram with kernel} + \text{diagram with PV kernel} + \dots \right] - \left[\text{diagram with kernel} + \text{diagram with PV kernel} + \dots \right] \text{diagram with } F \text{ box} \left[\text{diagram with kernel} + \text{diagram with PV kernel} + \dots \right] + \dots$$

$$= \frac{1}{\mathcal{K}(s)^{-1} + F(P, L)}$$

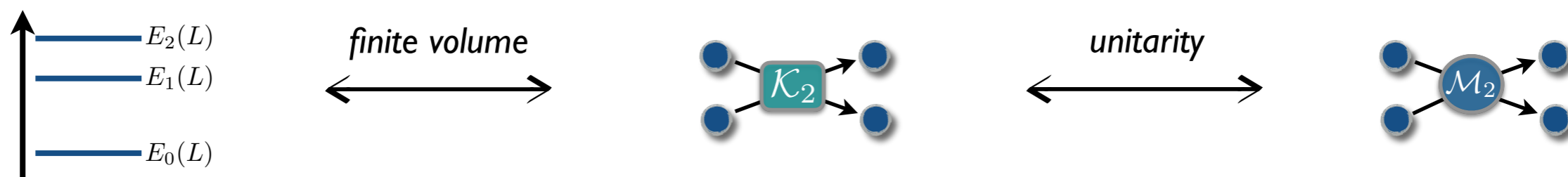
$$\det[\mathcal{K}^{-1}(s) + F(P, L)] = 0$$

• Lüscher (1986) • Kim, Sachrajda, Sharpe (2005) • MTH, Sharpe (*coupled channels*, 2012) •

General relation

$$\det[\mathcal{K}^{-1}(s) + F(P, L)] = 0$$

$F(P, L) \equiv$ Matrix of known geometric functions



Holds only for two-particle energies $s < (4m)^2$

Neglects e^{-mL}

Generalized to *non-degenerate masses, multiple channels, spinning particles*

Encodes angular momentum mixing

- Lüscher (1989) • *many others* •

Scattering processes and resonances from lattice QCD

Raúl A. Briceño,^{1,*} Jozef J. Dudek,^{1,2,†} and Ross D. Young^{3,‡}

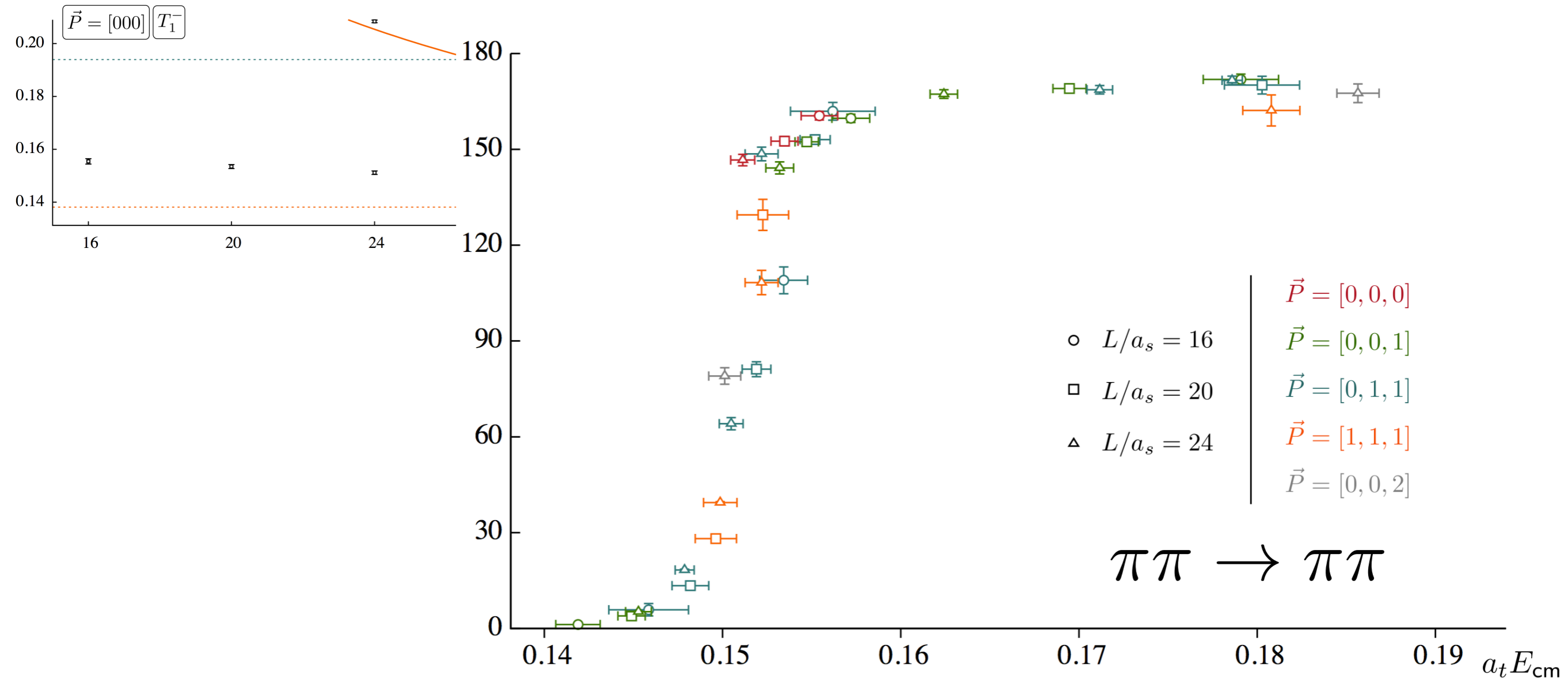
REVIEWS OF MODERN PHYSICS



Using the result

□ Single-channel case (*pions in a p-wave*)

$$\mathcal{K}(s_n)^{-1} = \rho \cot \delta(s_n) = -F(E_n, \vec{P}, L)$$

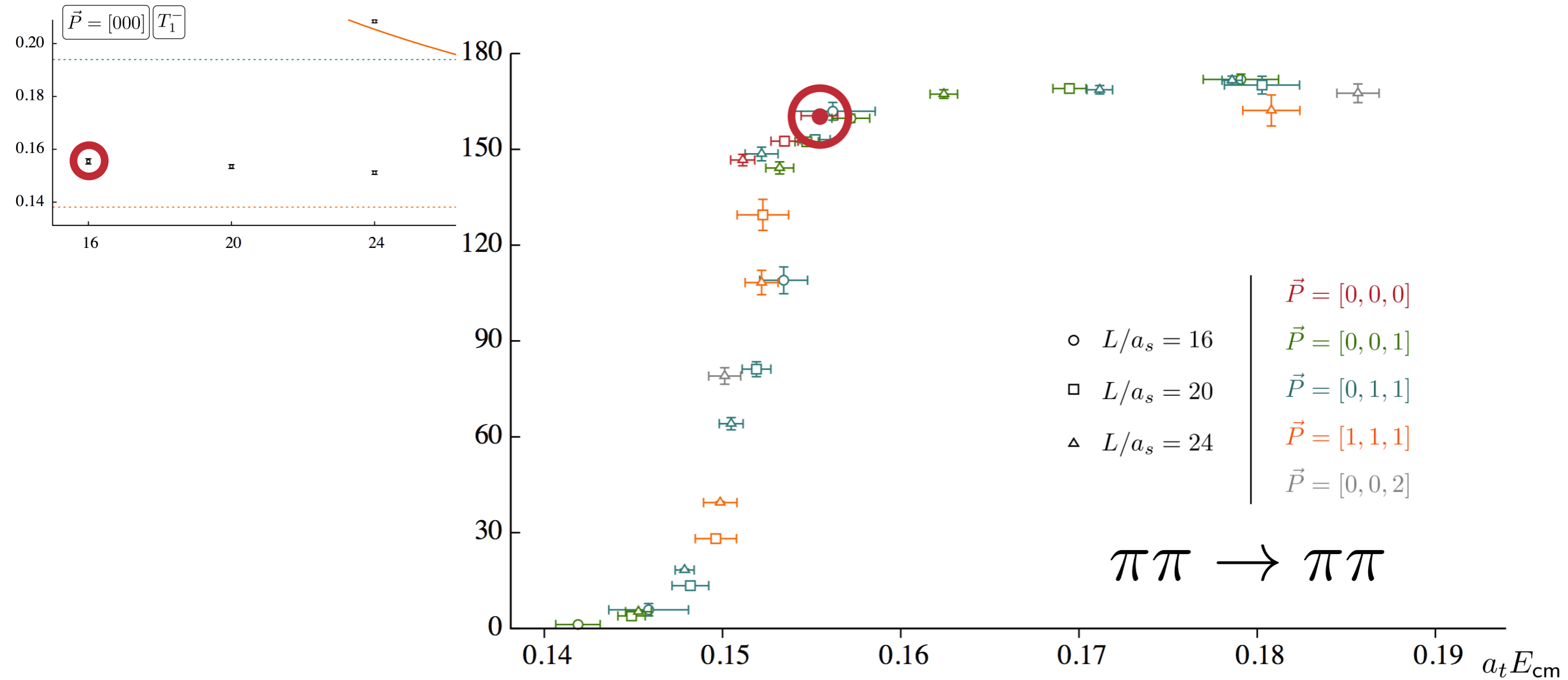


- Dudek, Edwards, Thomas in *Phys.Rev.* D87 (2013) 034505 •

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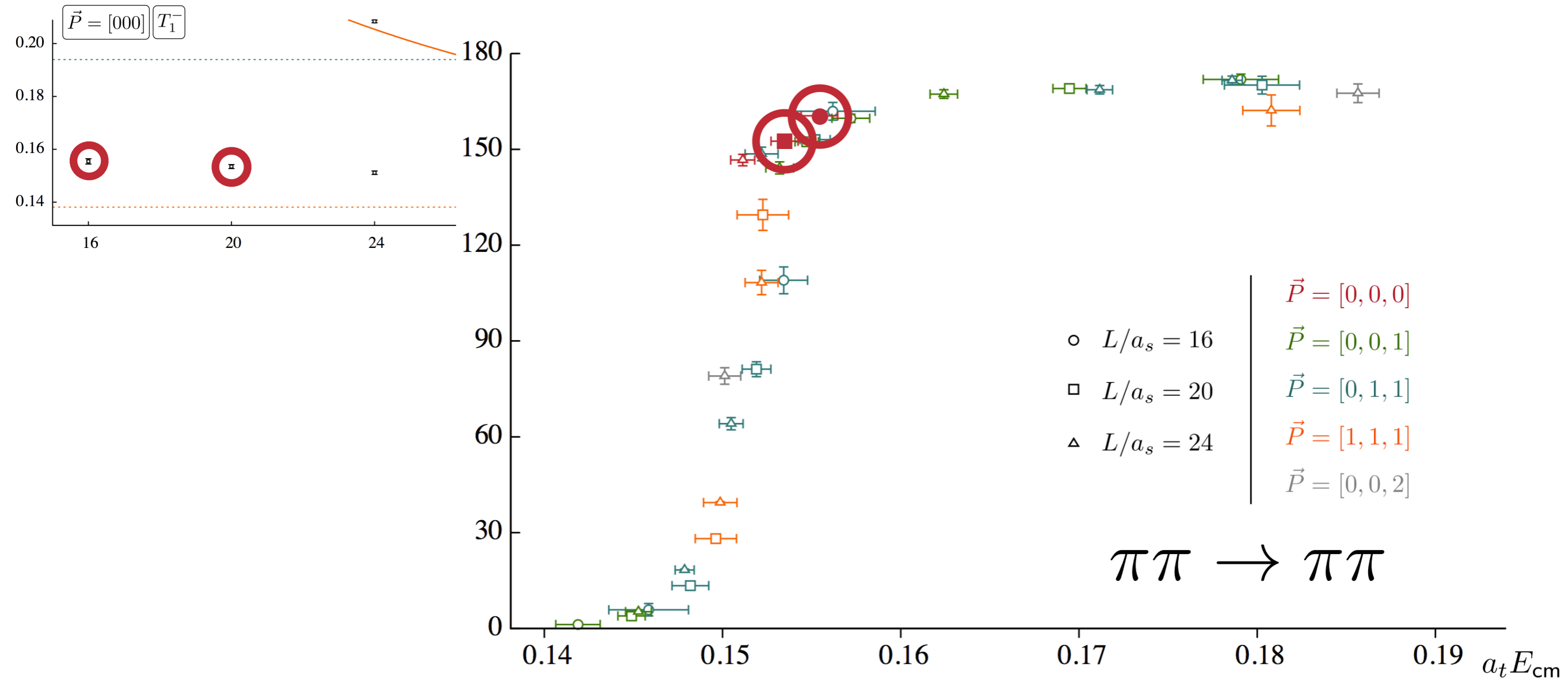


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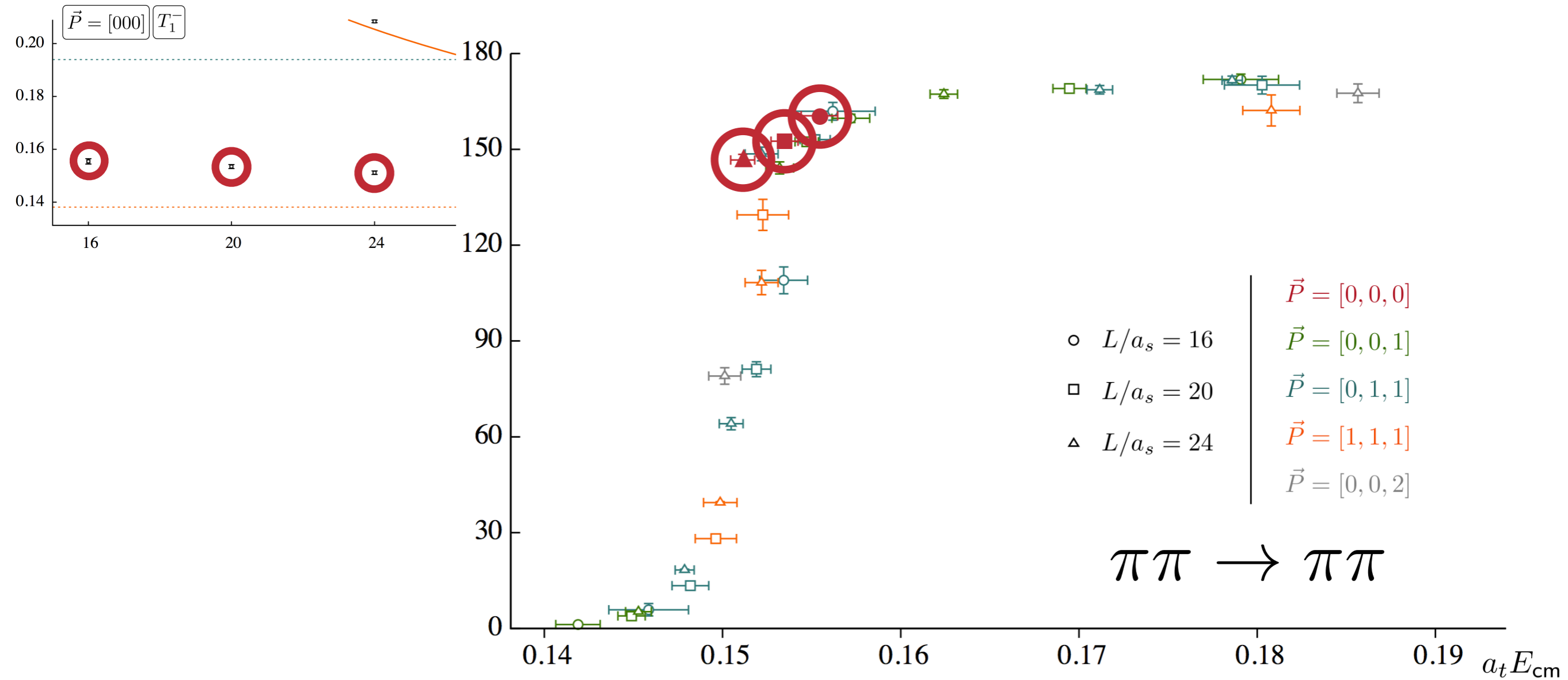


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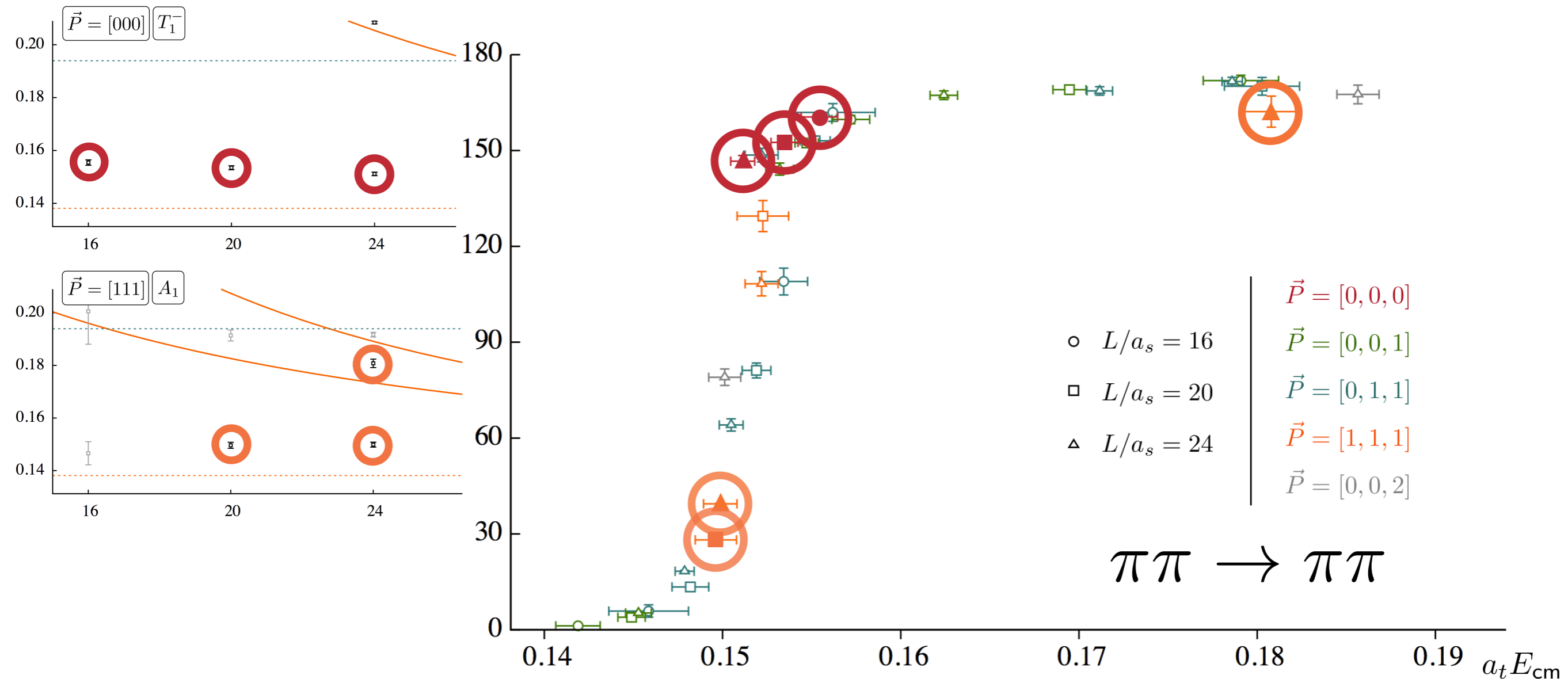


- Dudek, Edwards, Thomas in *Phys.Rev.* D87 (2013) 034505 •

Using the result

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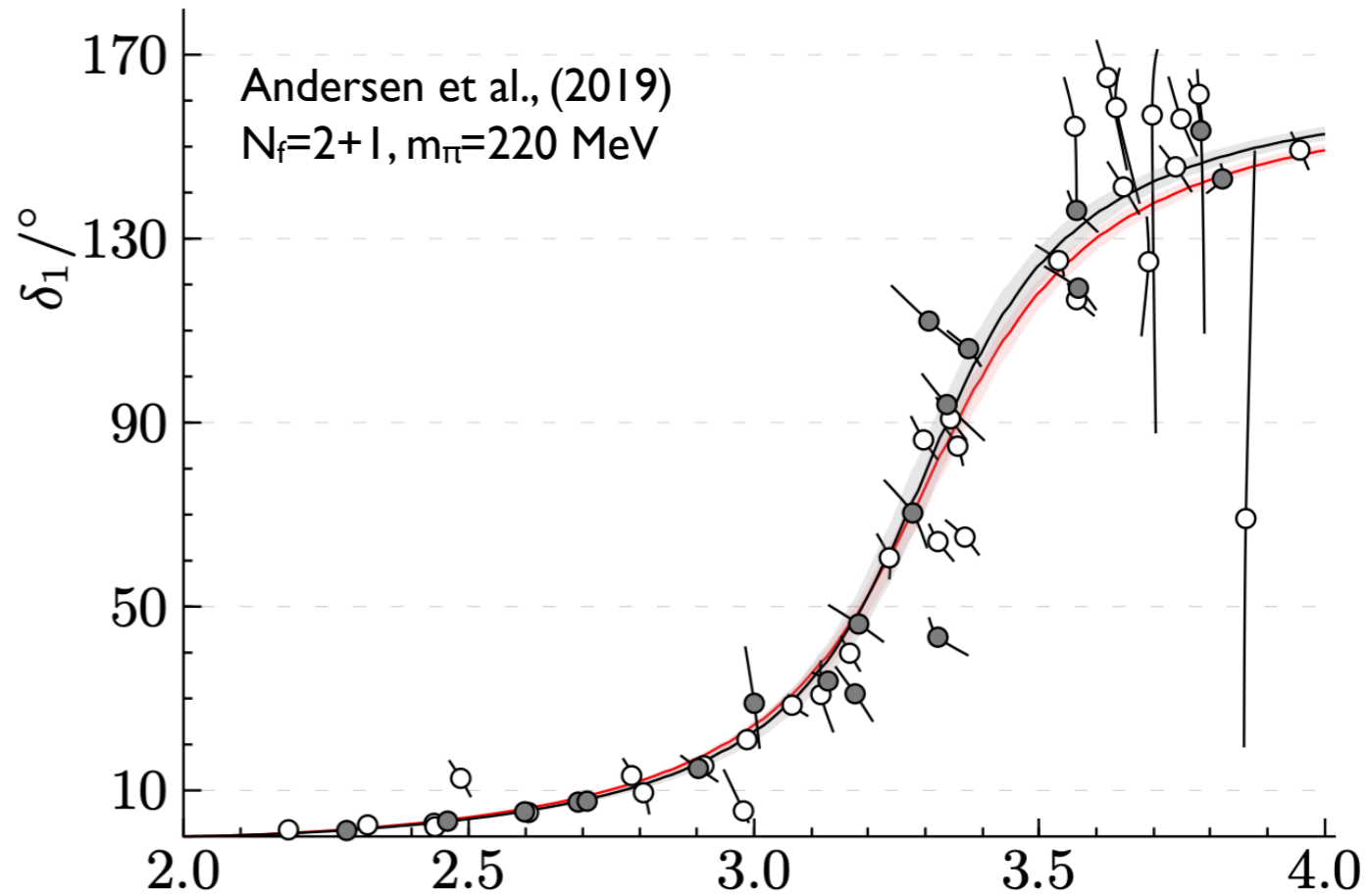
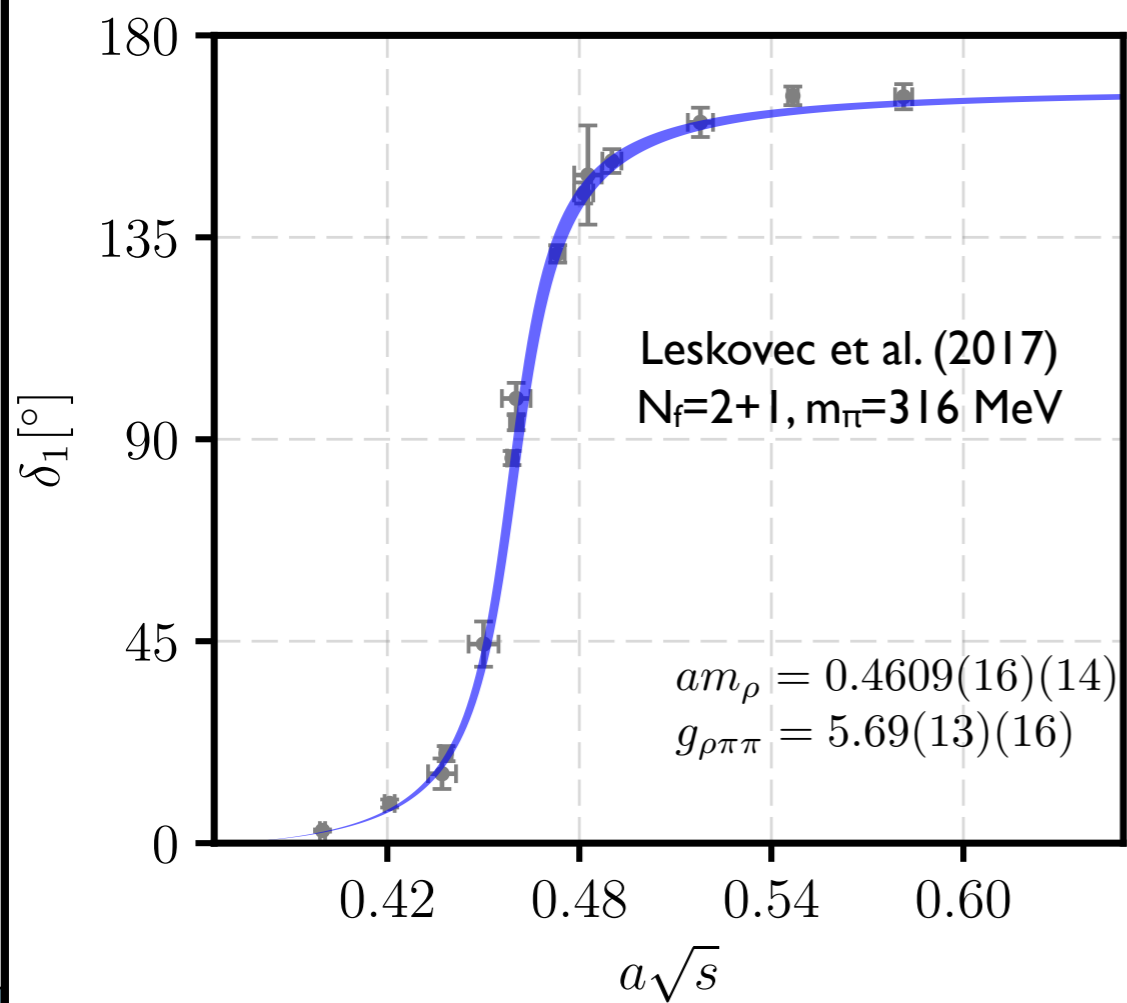
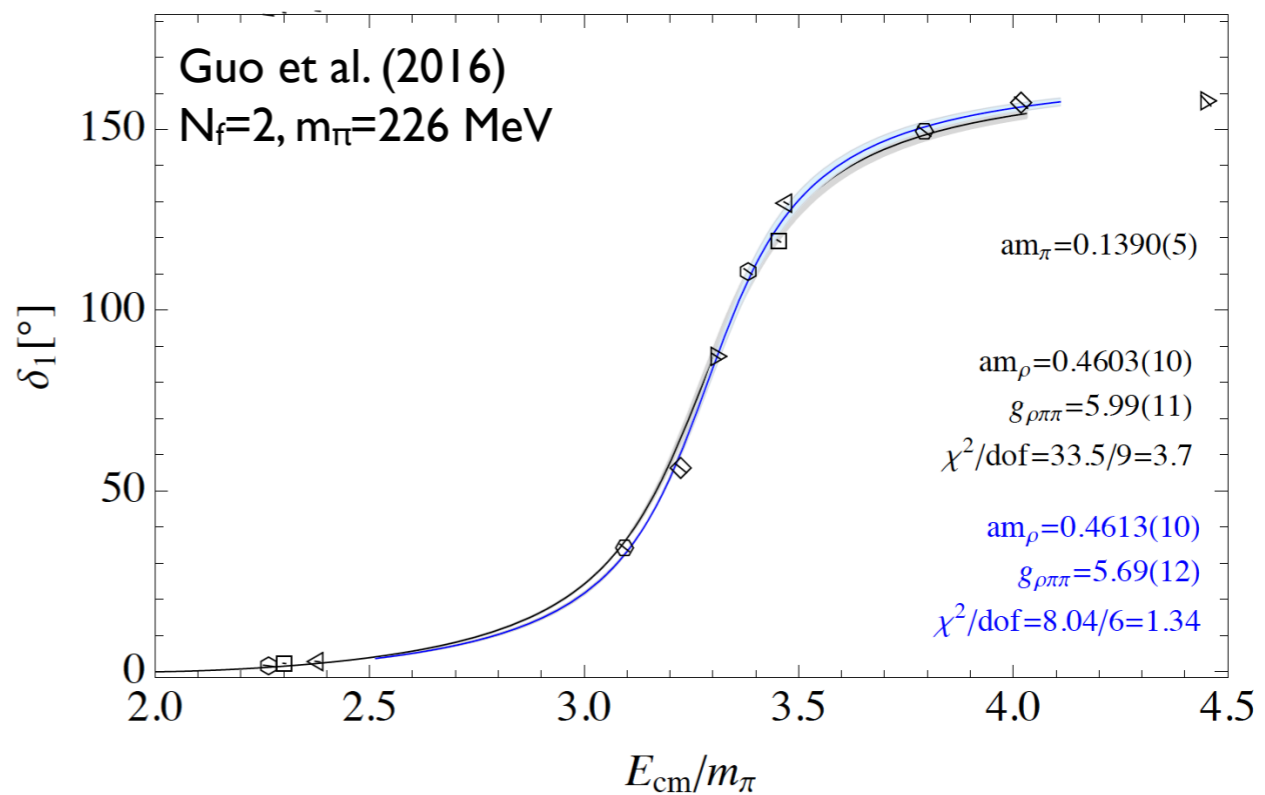
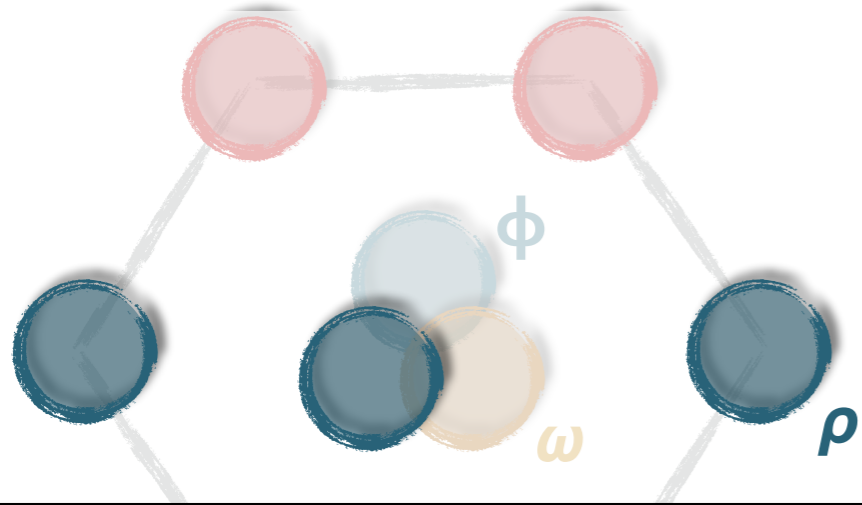
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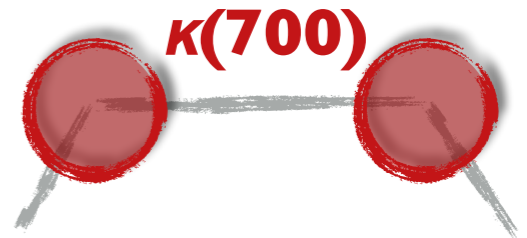
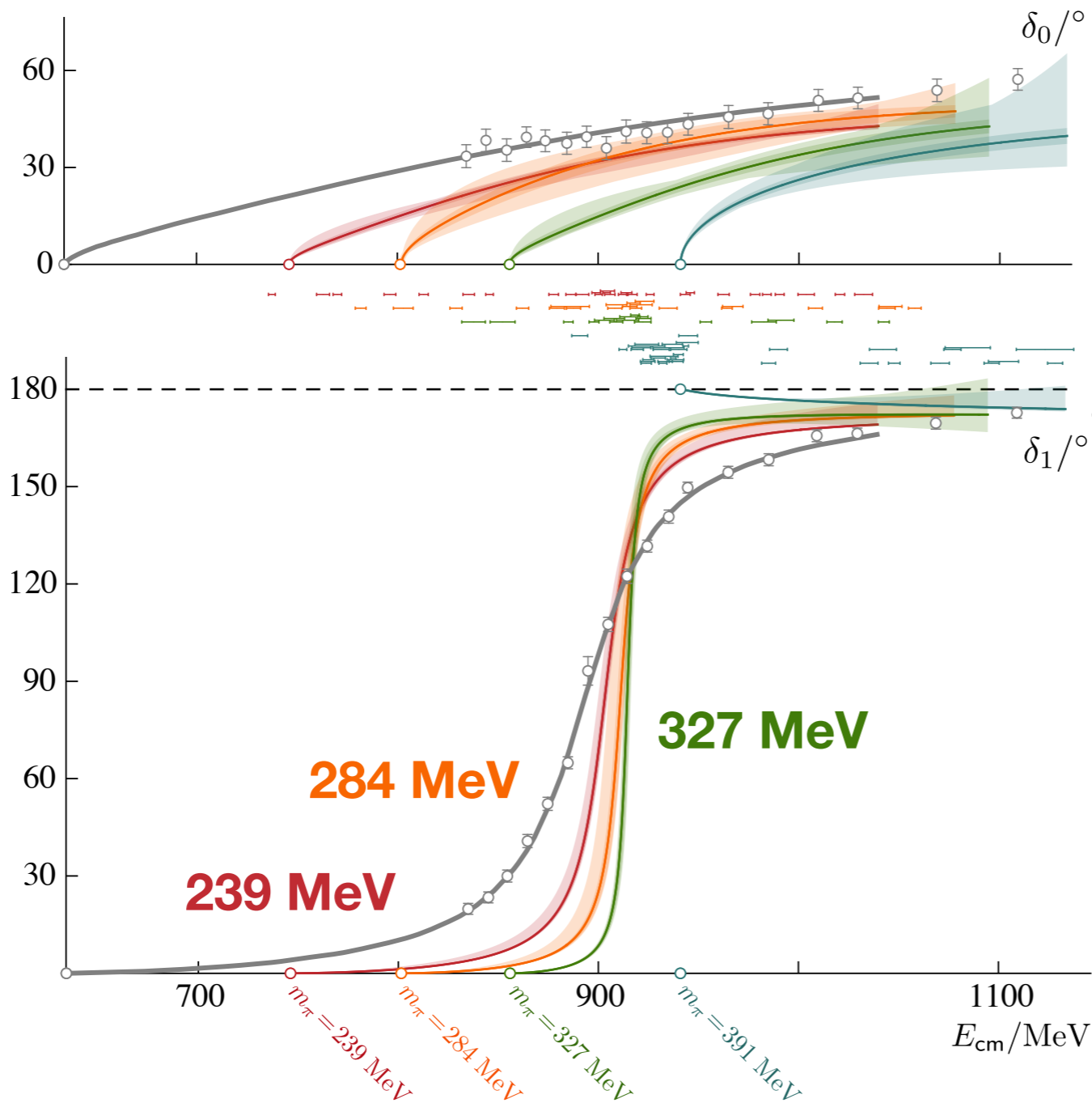
- Dudek, Edwards, Thomas in *Phys.Rev.* D87 (2013) 034505 •

$$\rho \rightarrow \pi\pi$$

$$I^G(J^{PC}) = 1^+(1^{--})$$



$\kappa, K^* \rightarrow K\pi$



$\kappa(700)$

$$I(J^P) = 1/2(0^+)$$

391 MeV



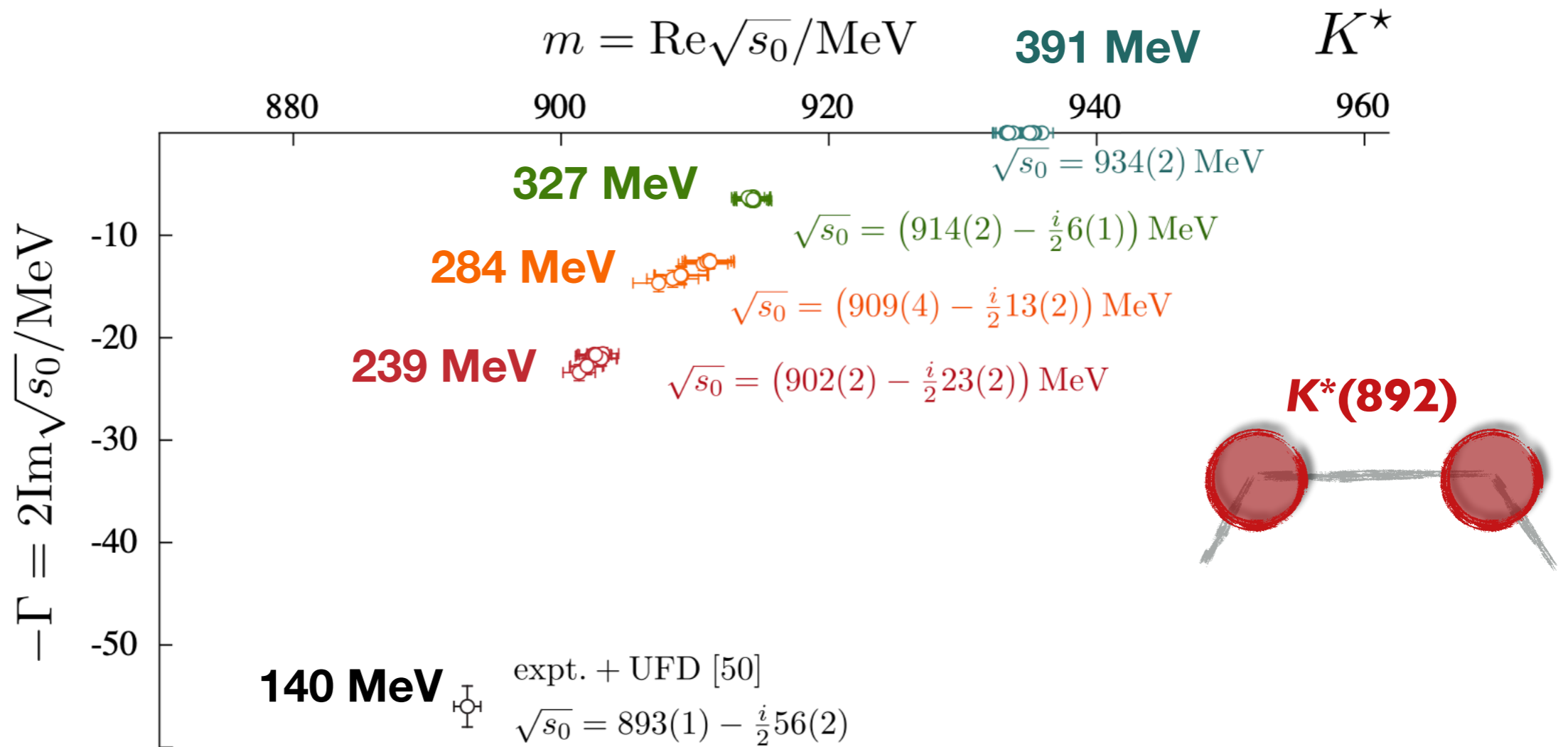
$K^*(892)$

$$I(J^P) = 1/2(1^-)$$

- Wilson et al. *Phys.Rev.Lett.* 123 (2019) 4, 042002 •

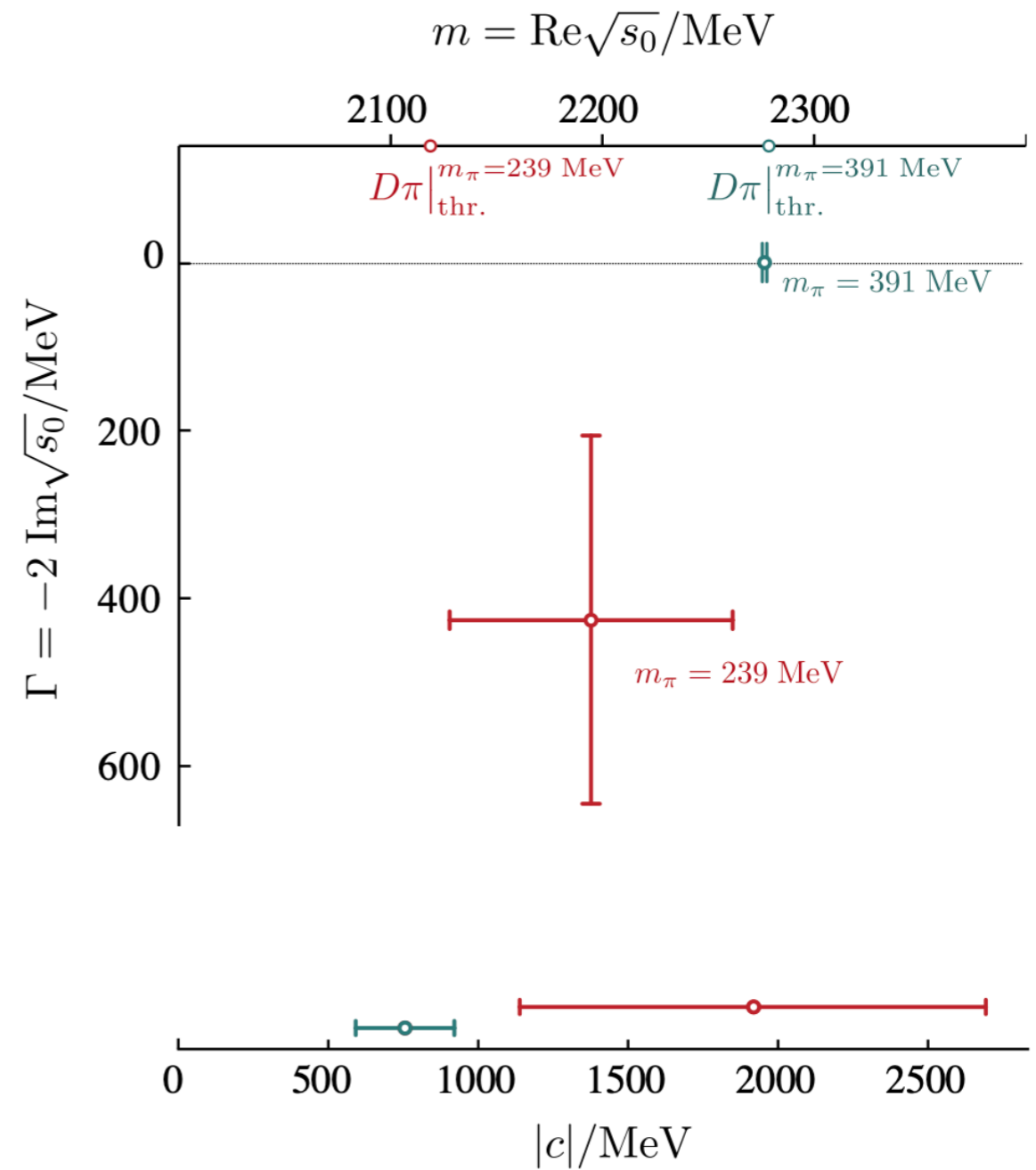
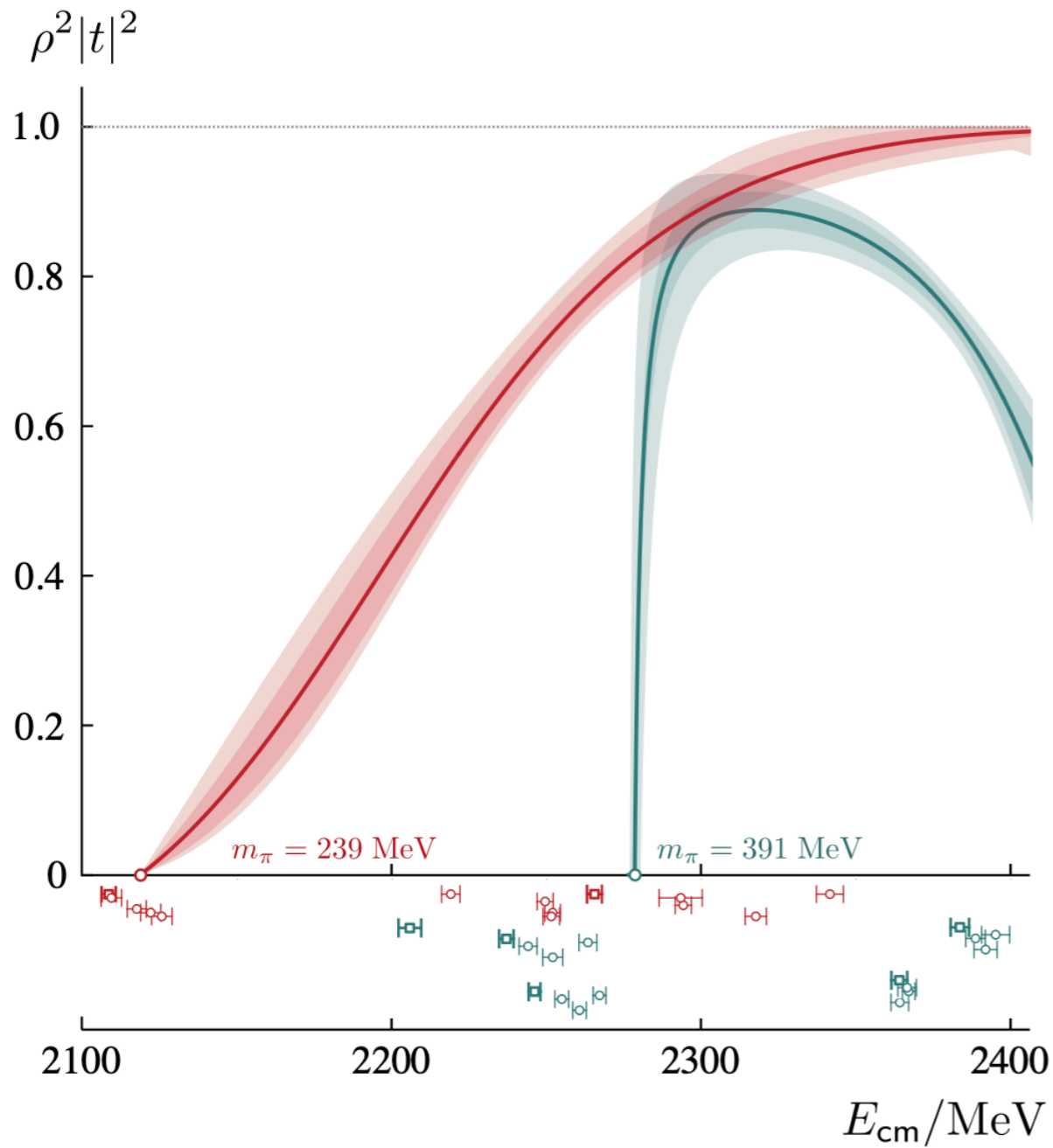
$$\kappa, K^* \rightarrow K\pi$$

$$I(J^P) = 1/2(1^-)$$



- Wilson et al. *Phys.Rev.Lett.* 123 (2019) 4, 042002 •

$D\pi \rightarrow D\pi, I = 1/2$

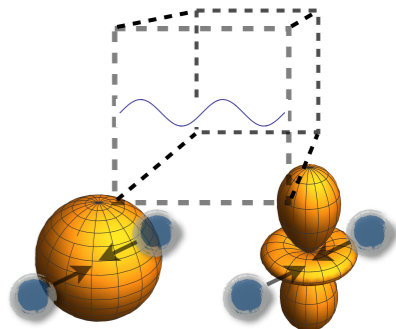


— *Isospin-1/2 $D\pi$ scattering and the lightest $D0^*$ resonance from lattice QCD* —
 Hadron Spectrum Collaboration — (2021) JHEP 07 (2021) 123

Coupled channels

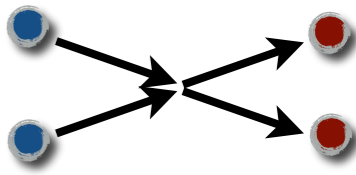
□ The cubic volume mixes different partial waves...

e.g. $K\pi \rightarrow K\pi$
 $\vec{P} \neq 0 \longrightarrow \det \left[\begin{pmatrix} \mathcal{K}_s^{-1} & 0 \\ 0 & \mathcal{K}_p^{-1} \end{pmatrix} + \begin{pmatrix} F_{ss} & F_{sp} \\ F_{ps} & F_{pp} \end{pmatrix} \right] = 0$



...as well as different flavor channels...

e.g. $a = \pi\pi$
 $b = K\bar{K} \longrightarrow \det \left[\begin{pmatrix} \mathcal{K}_{a \rightarrow a} & \mathcal{K}_{a \rightarrow b} \\ \mathcal{K}_{b \rightarrow a} & \mathcal{K}_{b \rightarrow b} \end{pmatrix}^{-1} + \begin{pmatrix} F_a & 0 \\ 0 & F_b \end{pmatrix} \right] = 0$



□ Workflow...

Correlators with a large operator basis
 $\langle \mathcal{O}_a(\tau) \mathcal{O}_b^\dagger(0) \rangle$

Reliably extract finite-volume energies
 $\langle \Omega_m(\tau) \Omega_m^\dagger(0) \rangle \sim e^{-E_m(L)\tau}$

Vary L and P to recover a dense set of energies

$[000], \Delta_1$	○	○	○	○	○
$[001], \Delta_1$	○	○	○	○	○
$[011], \Delta_1$	○	○	○	○	○

→ $E_n(L)$

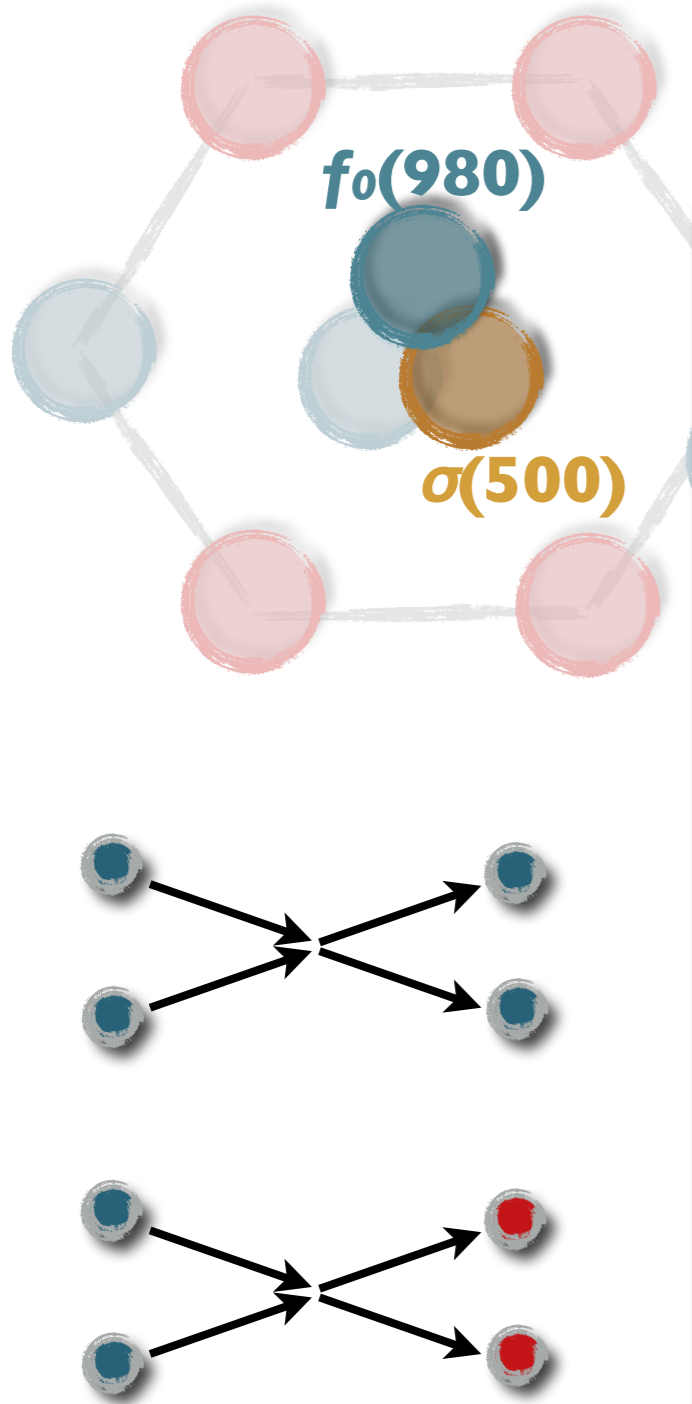


Identify a broad list of K-matrix parametrizations

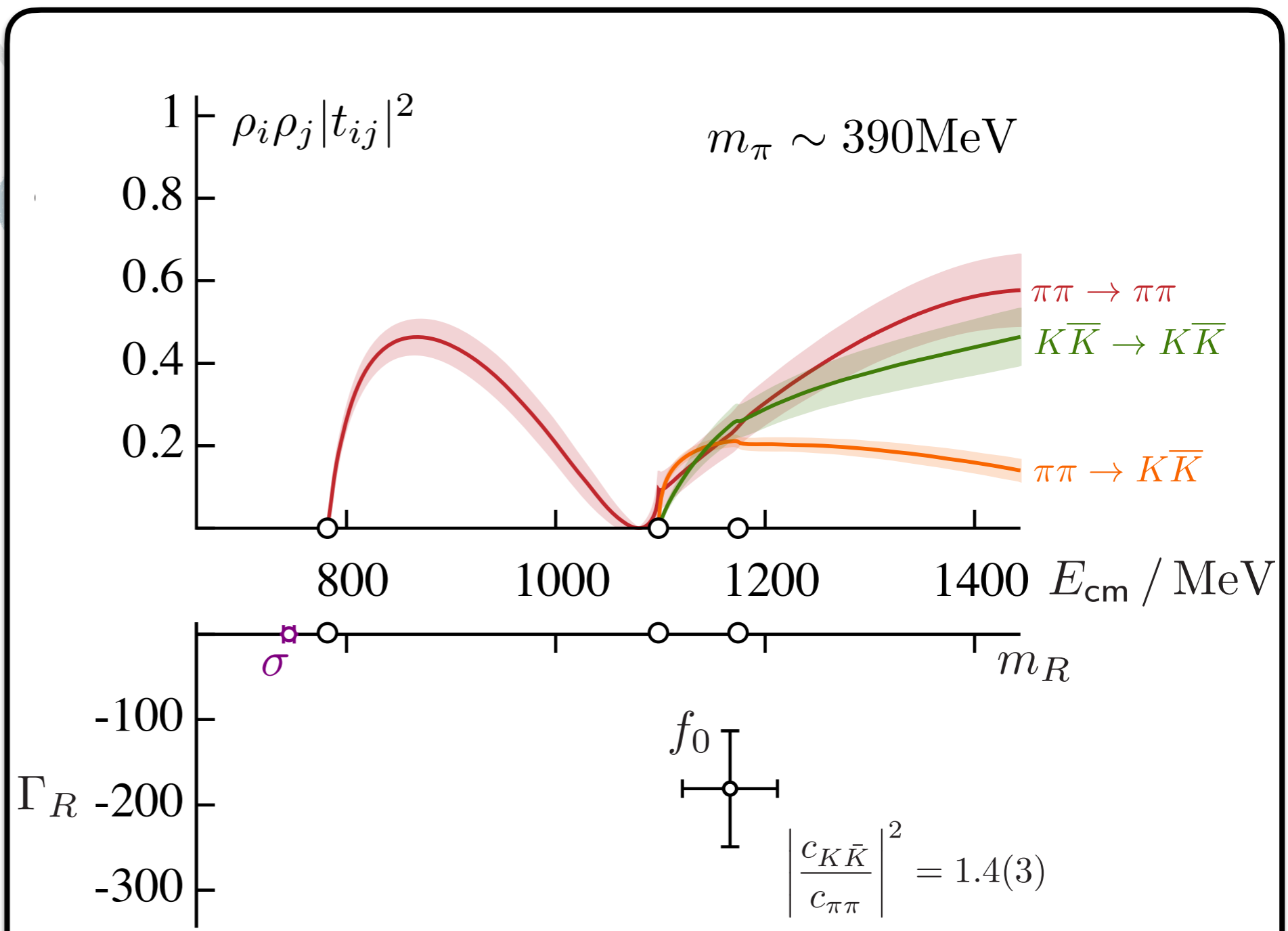
- polynomials and poles
- EFT based
- dispersion theory based

Perform global fits to the finite-volume spectrum

$$I^G(J^{PC}) = 0^+(0^{++})$$



Coupled-channel scattering

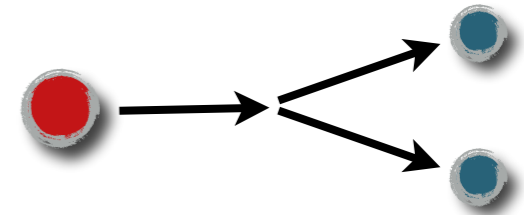


Briceño, Dudek, Edwards & Wilson (2017)

Formal progress: Transition amplitudes

Weak decay

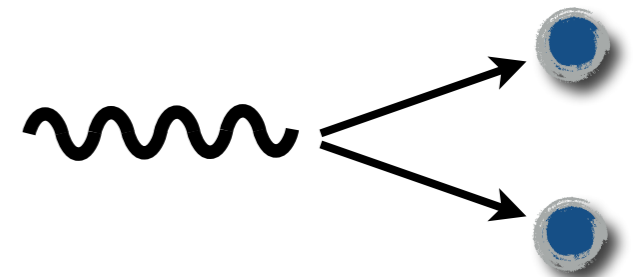
$$\langle \pi\pi, \text{out} | \mathcal{H} | K \rangle \equiv$$



Lellouch, Lüscher (2001) • Kim, Sachrajda, Sharpe (2005) • Christ, Kim, Yamazaki (2005) • MTH, Sharpe (2012)

Time-like form factors

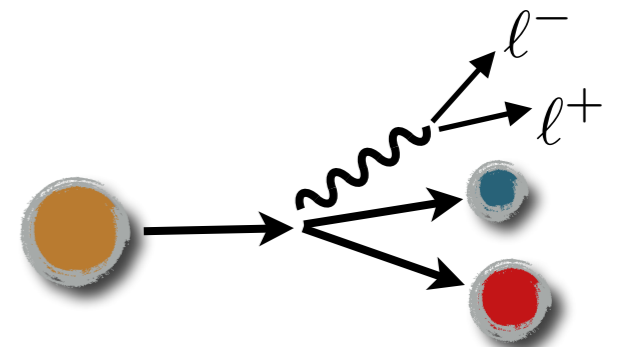
$$\langle \pi\pi, \text{out} | \mathcal{J}_\mu | 0 \rangle \equiv$$



Meyer (2011)

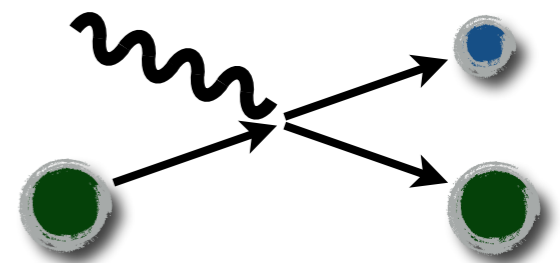
Resonance form factors

$$\langle K\pi, \text{out} | \mathcal{J}_{\alpha\beta} | B \rangle \equiv$$



Particles with spin

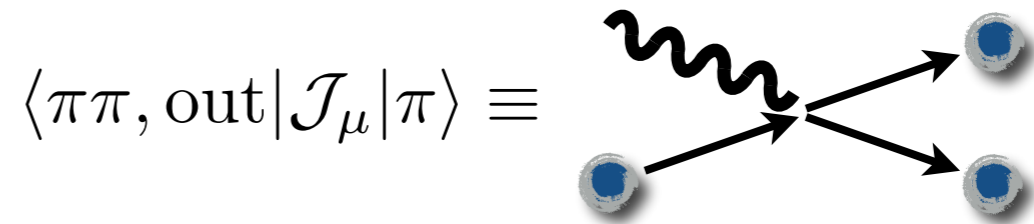
$$\langle N\pi, \text{out} | \mathcal{J}_\mu | N \rangle \equiv$$



Agadjanov *et al.* (2014) • Briceño, MTH, Walker-Loud (2015) • Briceño, MTH (2016)

Pion photo-production

Formal relation



$$\langle \pi\pi, \text{out} | \mathcal{J}_\mu | \pi \rangle \equiv$$

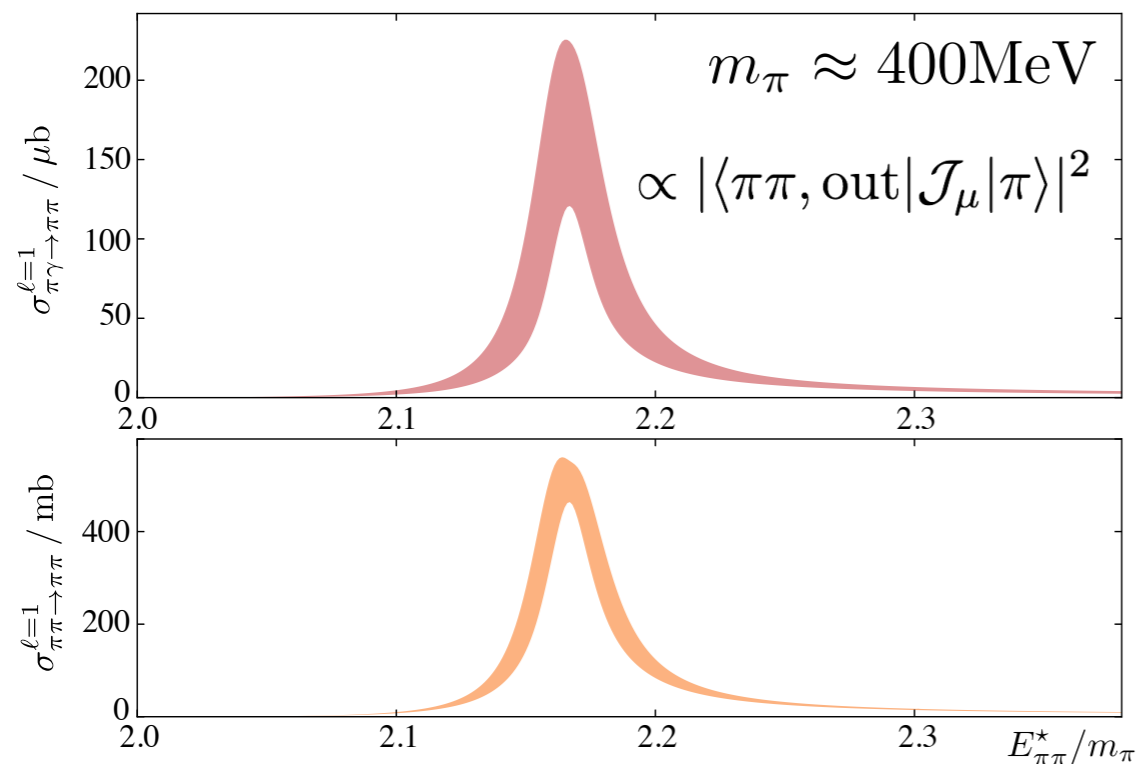
get this from the lattice

experimental observable

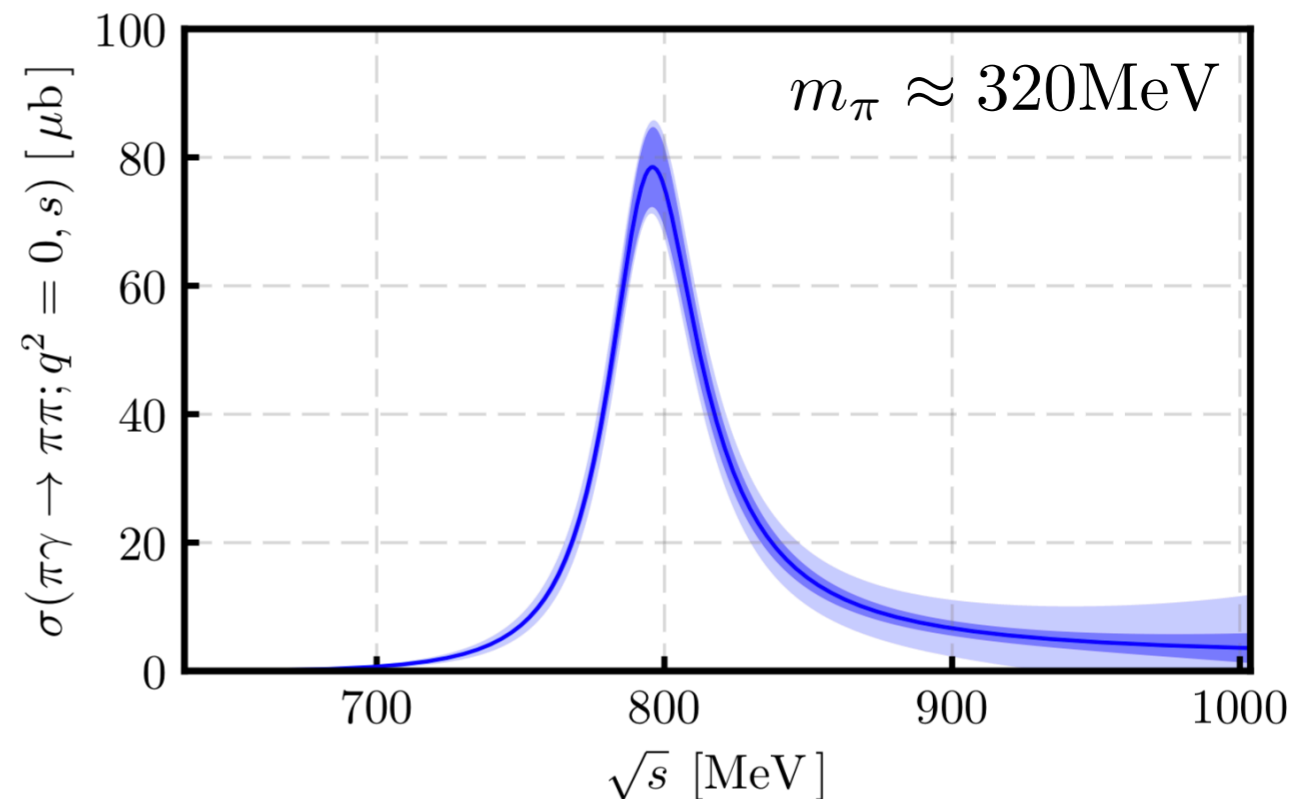
$$|\langle n, L | \mathcal{J}_\mu | \pi \rangle|^2 = \langle \pi | \mathcal{J}_\mu | \pi\pi, \text{in} \rangle \mathcal{R}(E_n, L) \langle \pi\pi, \text{out} | \mathcal{J}_\mu | \pi \rangle$$

Briceño, MTH, Walker-Loud (2015)

Numerical implementation



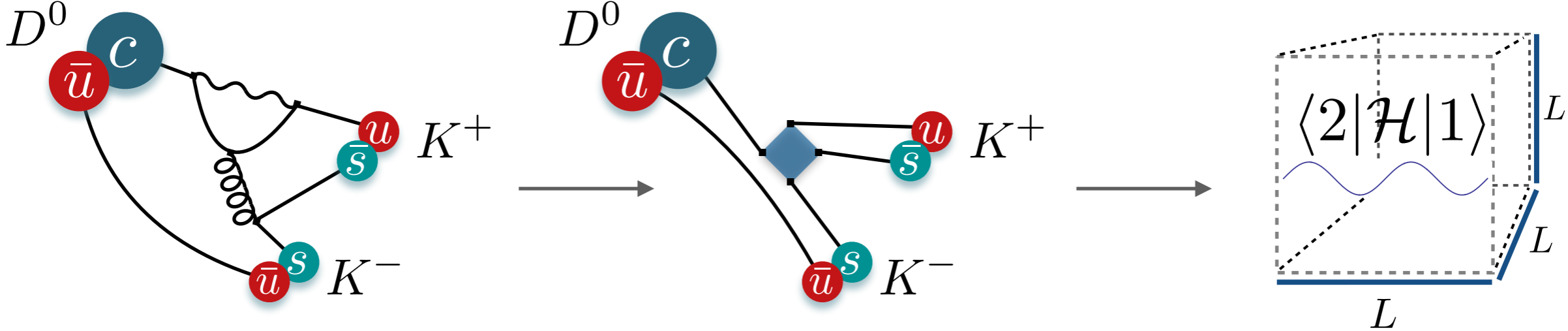
Briceño et. al., Phys. Rev. D93, 114508 (2016)



Alexandrou et. al., Phys. Rev. D98, 074502 (2018)

Hadronic D decays

Integrating out electroweak physics \rightarrow basis of four-quark operators



Complicated: non-perturbative *renormalization*, many *operators* and *contractions*
 See the RBC/UKQCD calculation of $K \rightarrow \pi\pi$

multi-hadron final state

$$\langle n, L | \mathcal{H}_{\text{weak}}^{\overline{\text{MS}}} | D, L \rangle$$

renormalized weak Hamiltonian

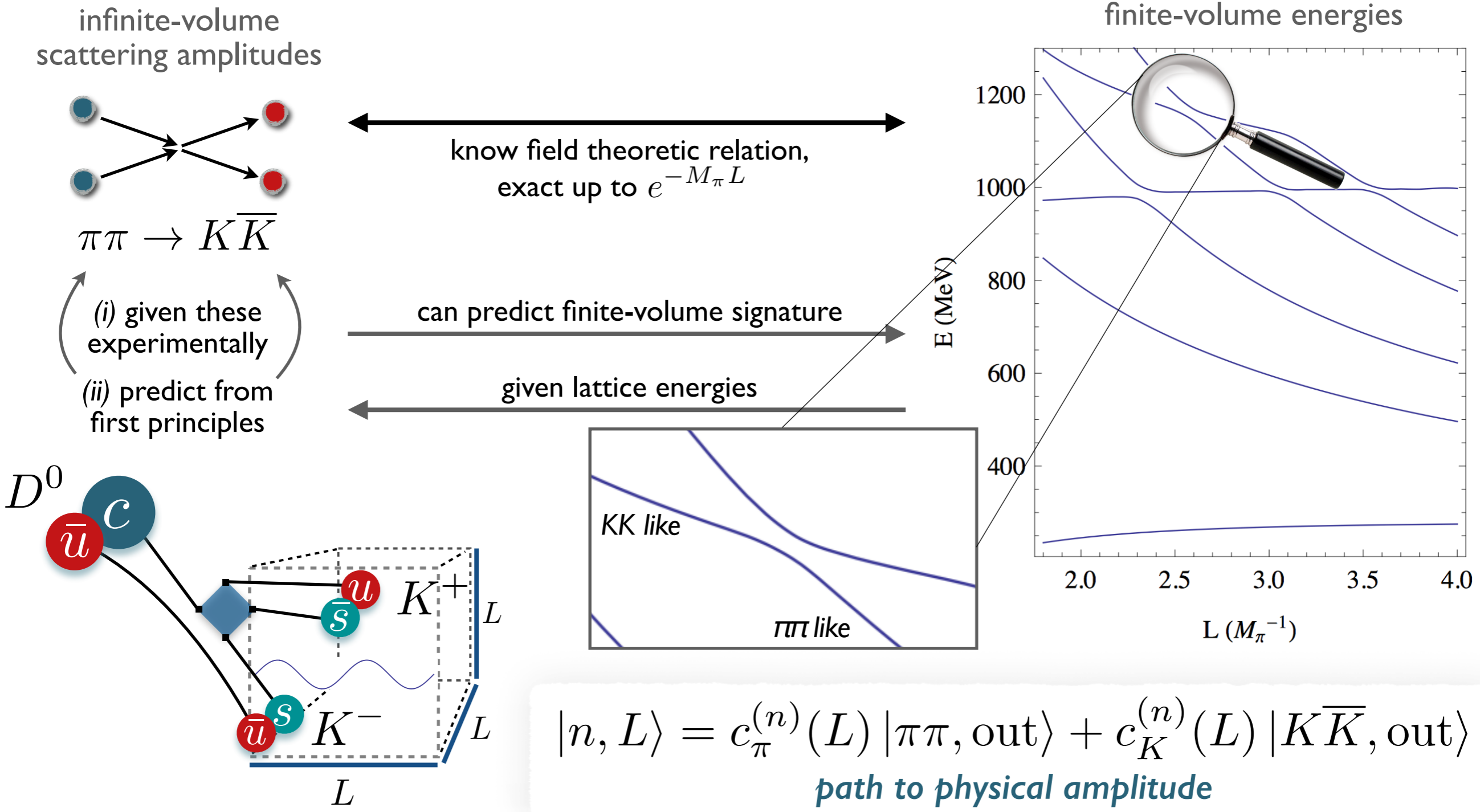
incoming D meson
 $e^{-M_\pi L}$ volume effects

$\pi\pi, K\bar{K}, \pi\pi\pi\pi, \dots$ have same quantum numbers + no asymptotic separation in the box

How do we interpret $\langle n, L |$?

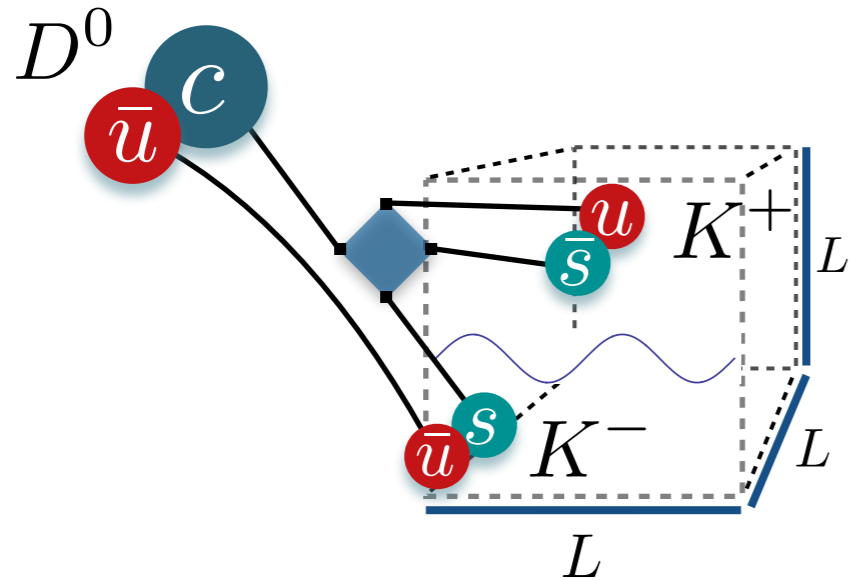
The finite-volume as a tool

□ Coupled channels leave an *imprint* on finite-volume energies



• MTH, Sharpe, *Phys.Rev.* **D86** (2012) 016007 •

How far in the future?



$$\langle n, L | \mathcal{H}_{\text{weak}}^{\overline{\text{MS}}} | D, L \rangle$$

$$|n, L\rangle = c_{\pi}^{(n)}(L) |\pi\pi, \text{out}\rangle + c_K^{(n)}(L) |K\bar{K}, \text{out}\rangle$$

- ❑ Pilot calculation underway at the University of Edinburgh
- ❑ Wilson-quark ensembles at the $SU(3)_F$ symmetric point
- ❑ See [Fabian Joswig](#) talks: Lattice2022 and MIT Colloquium

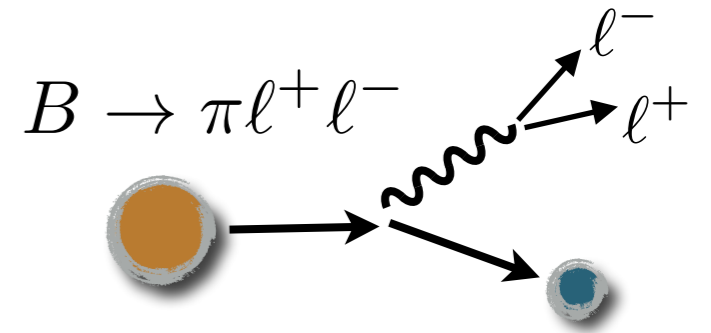
biggest challenge = still missing strategy for treating $\pi\pi\pi\pi$ etc, channels

$$|n, L\rangle = c_{\pi}^{(n)}(L) |\pi\pi, \text{out}\rangle + c_K^{(n)}(L) |K\bar{K}, \text{out}\rangle + c_{4\pi}^{(n)} |\pi\pi\pi\pi, \text{out}\rangle + \dots$$

Matrix elements and LQCD

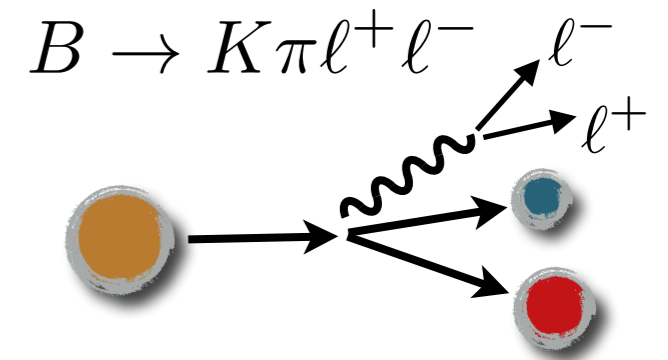
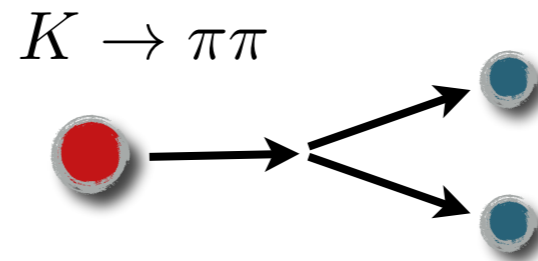
Single-hadron initial and final states

- Calculated directly in LQCD
- New theory challenge = QED
- See FLAG averages

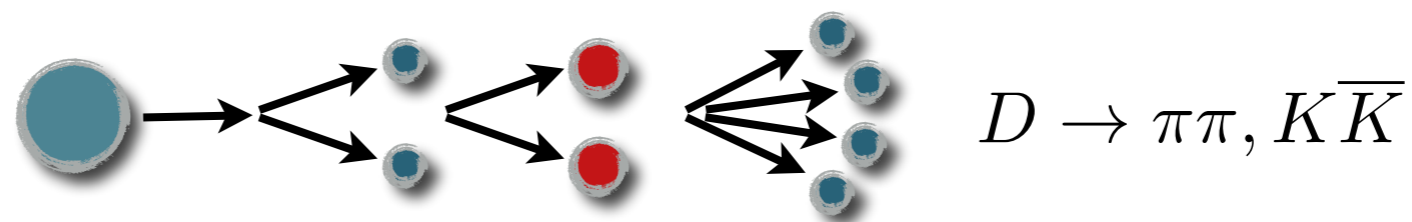


Two-hadron final states

- Significantly more challenging
- Subtle finite volume issues



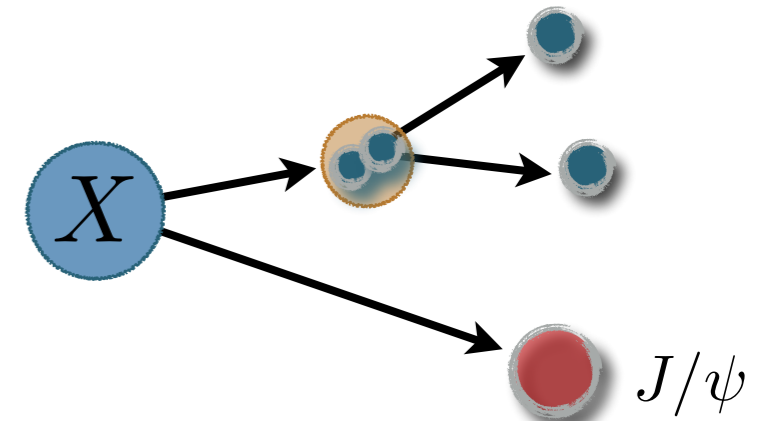
- Multi-hadron states for $\sqrt{s} > 4M_\pi$**
- All or nothing (must constrain all channels for a prediction)



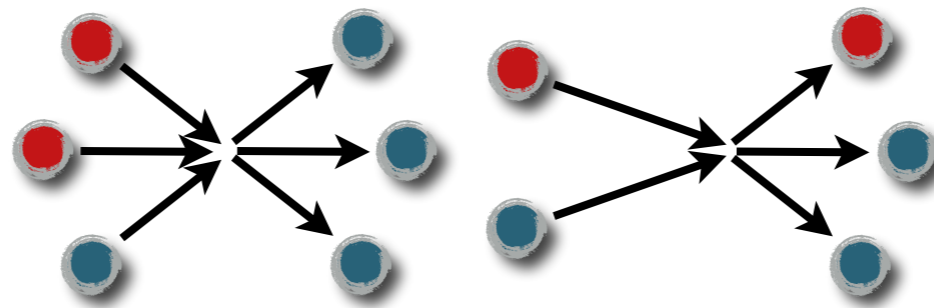
3-particle amplitudes

2-to-2 only samples J^P 0^+ 1^- 2^+ ...

many interesting resonances have significant 3-body decays



Goal: *finite-volume + unitarity formalism* for generic two- and three-particle systems



Applications...

exotic resonance pole positions, couplings, quantum numbers

$$\omega(782), a_1(1420) \rightarrow \pi\pi\pi$$

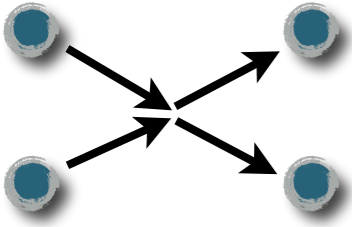
$$X(3872) \rightarrow J/\psi\pi\pi$$

$$X(3915)[Y(3940)] \rightarrow J/\psi\pi\pi$$

form factors and transitions

and much more!... (3-body forces, weak transitions, gluons content)

Complication: degrees of freedom

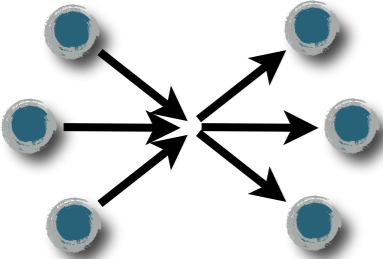


12 momentum components

-10 Poincaré generators

2 degrees of freedom

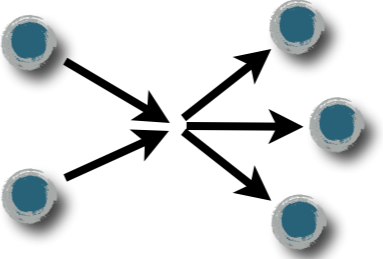
$$\vec{p}_1 + \vec{p}_2 \rightarrow \vec{p}_3 + \vec{p}_4 \longrightarrow \text{Mandelstam } s, t$$



18 momentum components

-10 Poincaré generators

8 degrees of freedom



15 momentum components

-10 Poincaré generators

5 degrees of freedom

Complication: on-shell states

- Classical pairwise scattering



Complication: on-shell states

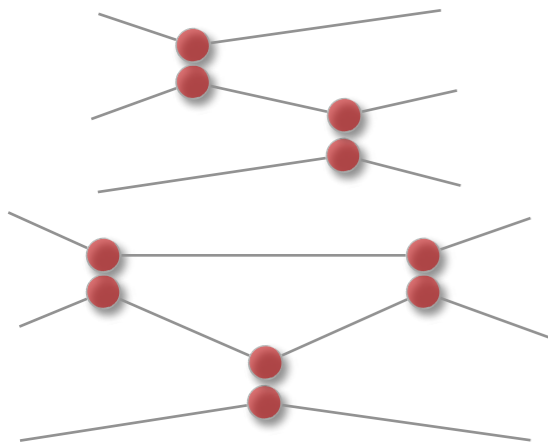
- Classical pairwise scattering



Complication: on-shell states

□ Classical pairwise scattering

for $m_1 = m_2 = m_3$ up to 3 binary collisions are possible



Dispersion Relations for Three-Particle Scattering Amplitudes. I*

MORTON RUBIN
Physics Department, University of Wisconsin, Madison, Wisconsin
 AND
 ROBERT SUGAR
Physics Department, Columbia University, New York, New York
 AND
 GEORGE TIKTOPOULOS
Palmer Physical Laboratory, Princeton University, Princeton, New Jersey
 (Received 31 January 1966)

$$b = \frac{(m_1 + m_3)(m_2 + m_3)}{m_1 m_2}$$

It follows that if $b^{n-2}(b-1) > 1$, (IV.18) then $2n+1$ successive binary collisions are kinematically impossible.

$m_1 = m_2 = m_3 - \epsilon$:
 4 collisions possible

$$\pi\pi K$$

$$b < 2$$

5 collisions possible

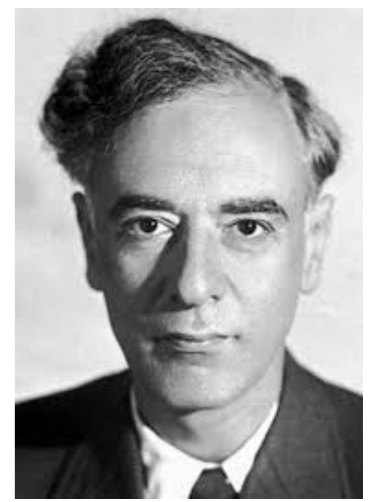
$$\pi K K$$

□ Correspond to Landau singularities

$$i\mathcal{M}_{3 \rightarrow 3} \equiv \text{fully connected correlator} = \text{[diagram 1]} + \text{[diagram 2]} + \dots$$

complicate analyticity & unitarity

difficult to disentangle kinematic singularities from resonance poles



Two key observations

- Intermediate $K_{\text{df},3}$ removes singularities

$$\mathcal{K}_{\text{df},3} \equiv \text{fully connected diagrams w/ PV pole prescription} - \text{diagram 1} + \text{diagram 2} + \dots$$

same degrees of freedom as M_3

smooth real function

relation to $M_3 = \text{known}$

- $K_{\text{df},3}$ has a systematic low-energy expansion

$$\mathcal{K}_{\text{df},3}(p_3, p_2, p_1; k_3, k_2, k_1) = \mathcal{K}_{\text{df},3}^{\text{iso},0} + \mathcal{K}_{\text{df},3}^{\text{iso},1} \Delta + \dots \quad \Delta = \frac{s - (3m)^2}{(3m)^2}$$

smooth real function

analogous to effective range expansion

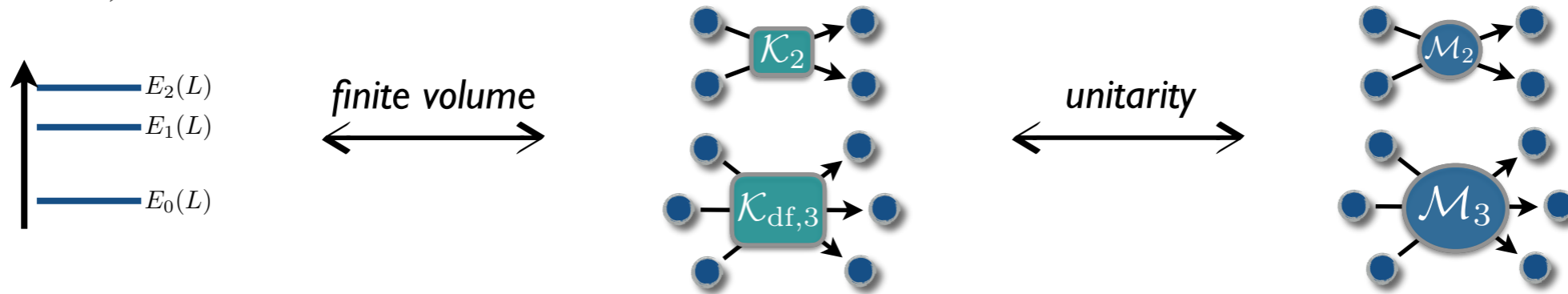
$$p \cot \delta = -\frac{1}{a} + \frac{1}{2} r p^2 + \mathcal{O}(p^4)$$

gives handle on many degrees of freedom
(DOFs enter order by order)

Status...

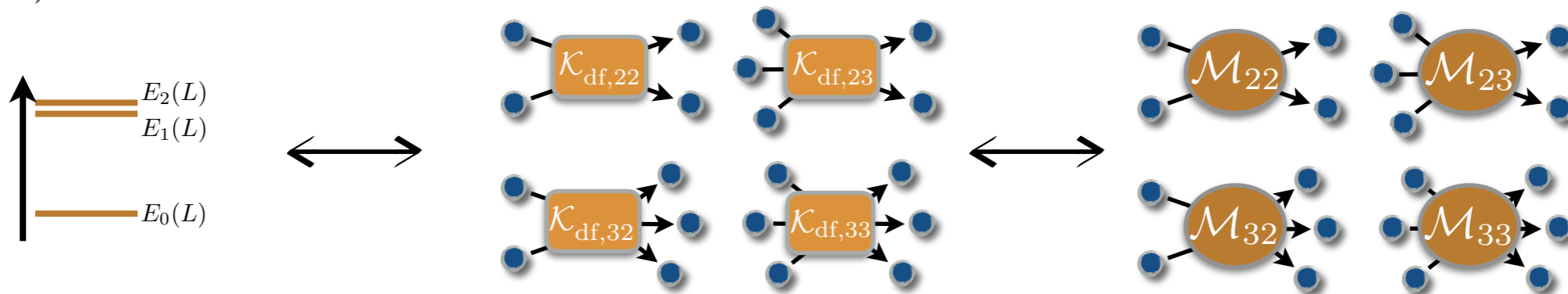
□ General relation between *energies* and *two-and-three scalar scattering*

No 2-to-3, no sub-channel resonance



• MTH, Sharpe (2014, 2015) •

2-to-3, no sub-channel resonance



• Briceño, MTH, Sharpe (2017) •

Including sub-channel resonances + *different isospins* + *non-degenerate*

$$\pi\pi\pi \rightarrow \rho\pi \rightarrow \omega \rightarrow \rho\pi \rightarrow \pi\pi\pi$$

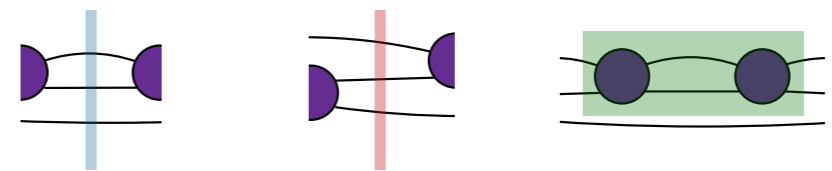
• Briceño, MTH, Sharpe (2018) • MTH, Romero-López, Sharpe (2020) • Blanton, Sharpe (2020)

General relation

$$\det [\mathcal{K}_{\text{df},3}^{-1}(s) + F_3(P, L|\mathcal{K}_2)] = 0$$

$F_3(P, L|\mathcal{K}_2) \equiv$ Matrix of functions depending on kinematics + two-particle dynamics

$$F_3 \equiv \frac{1}{3}F + F \mathcal{K}_2 \frac{1}{1 - (F + G)\mathcal{K}_2} F$$



Holds only for three-particle energies

Neglects e^{-mL}

- MTH, Sharpe (2014-2016) • *See also Döring, Mai, Hammer, Pang, Rusetsky* •

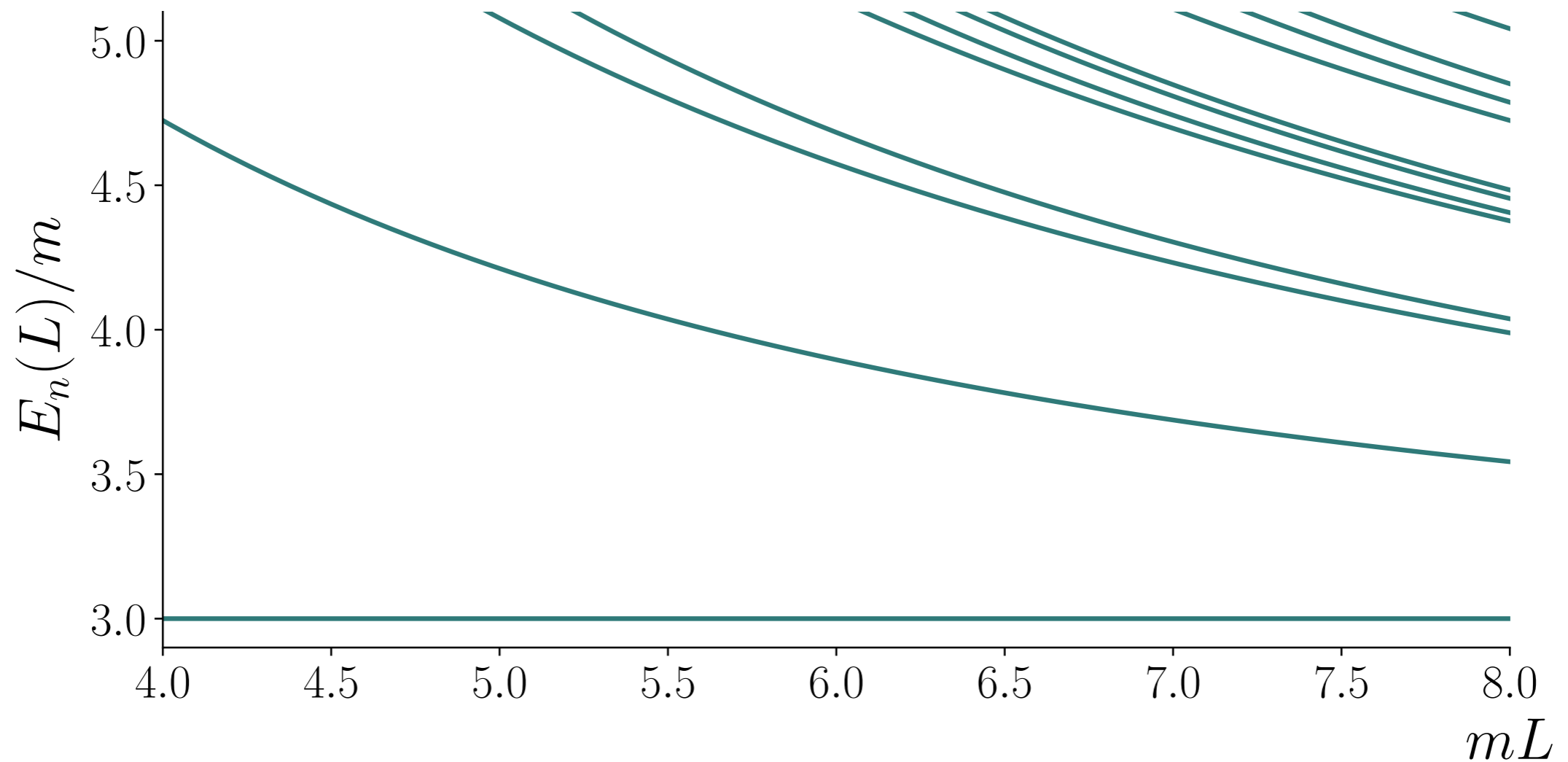
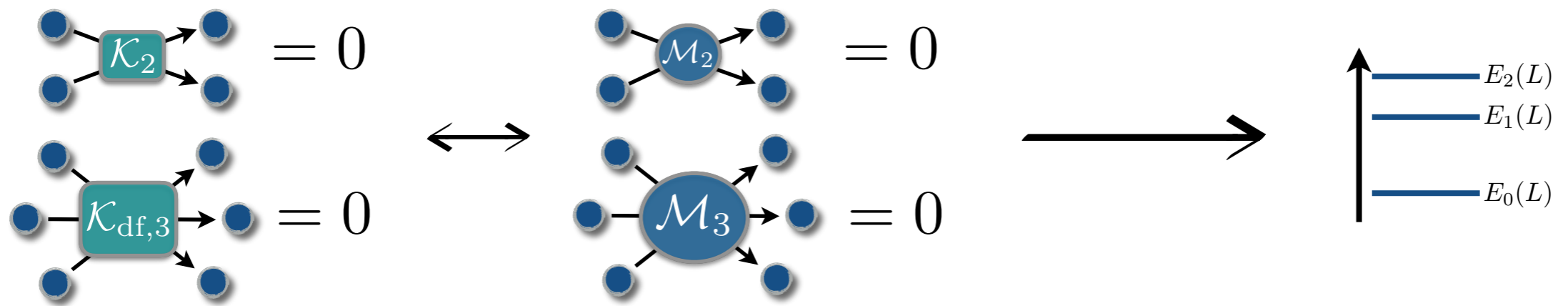


Review: Lattice QCD and Three-particle Decays of Resonances

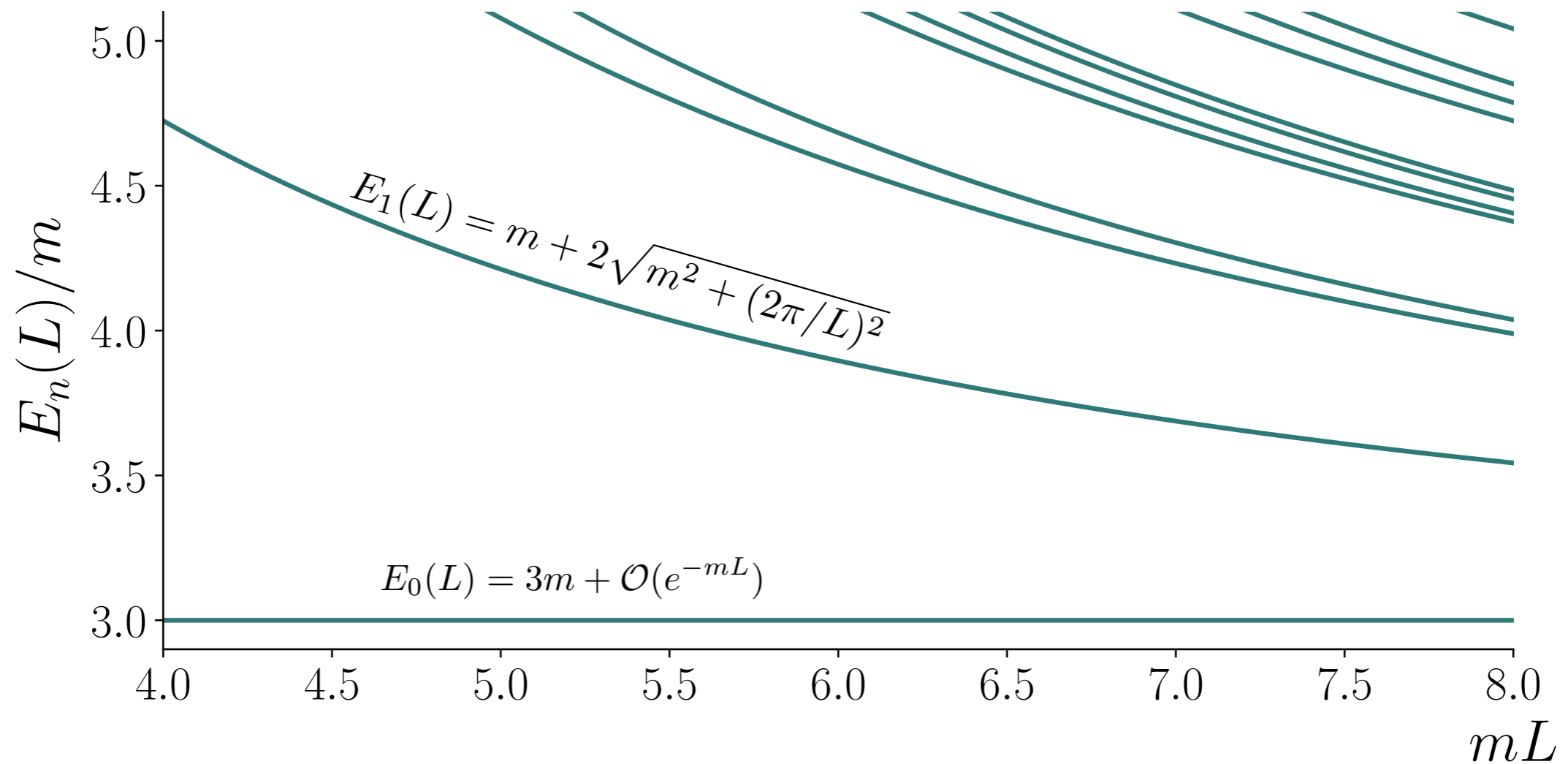
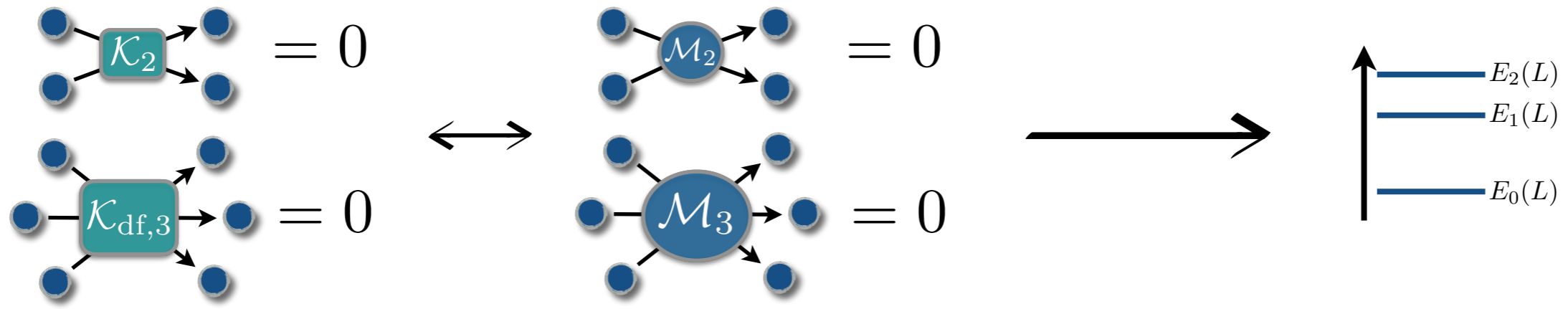
MTH and Sharpe, 1901.00483



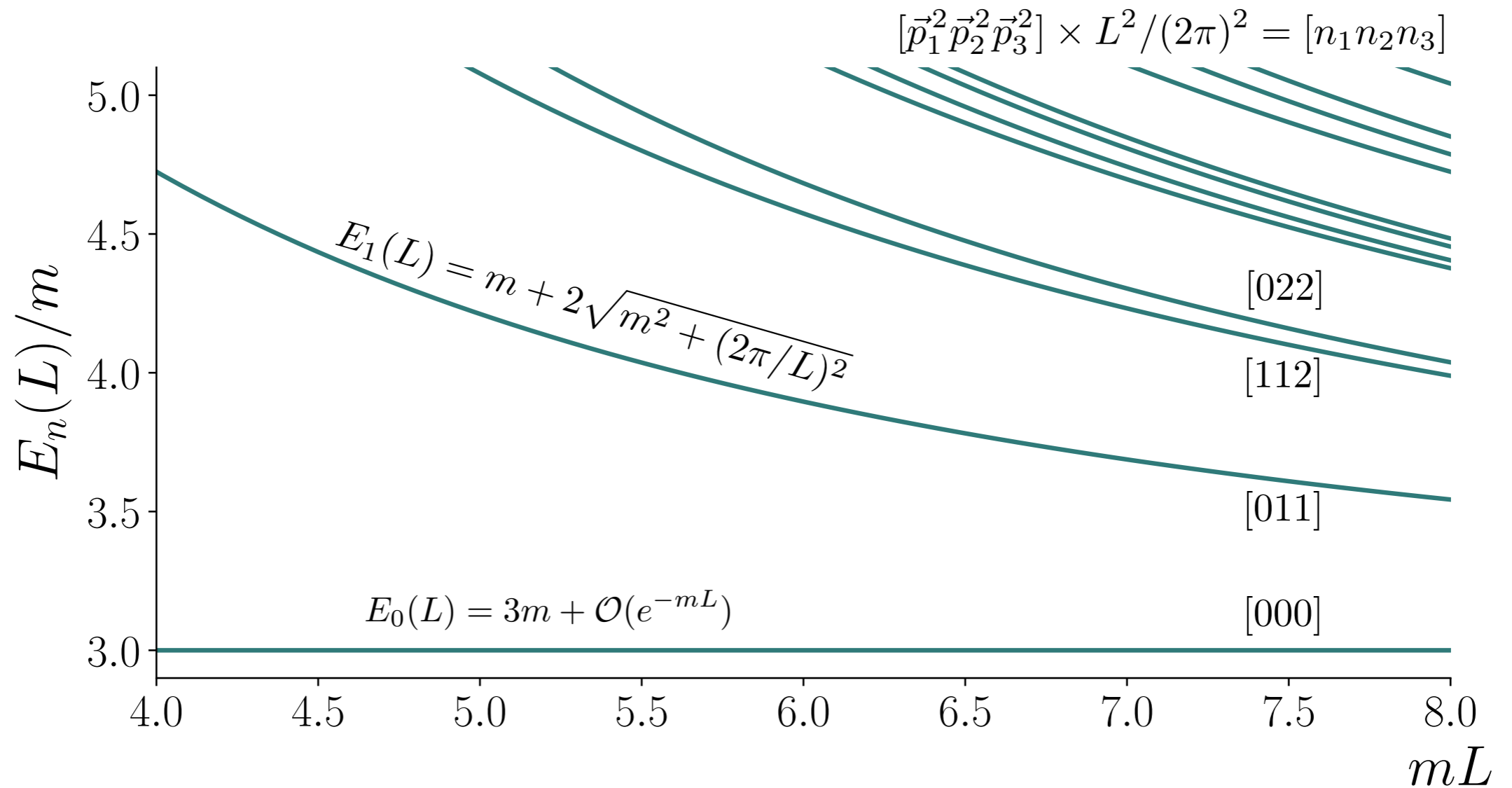
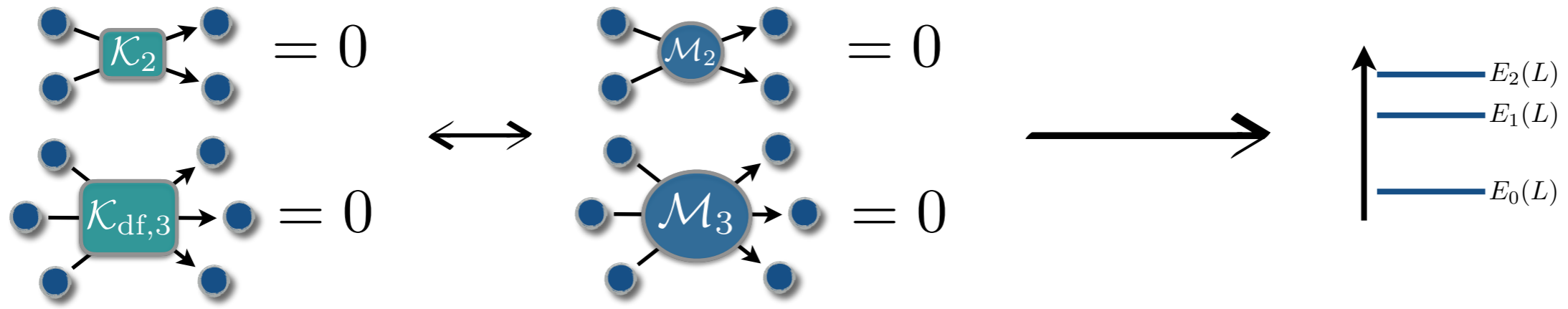
Non-interacting energies



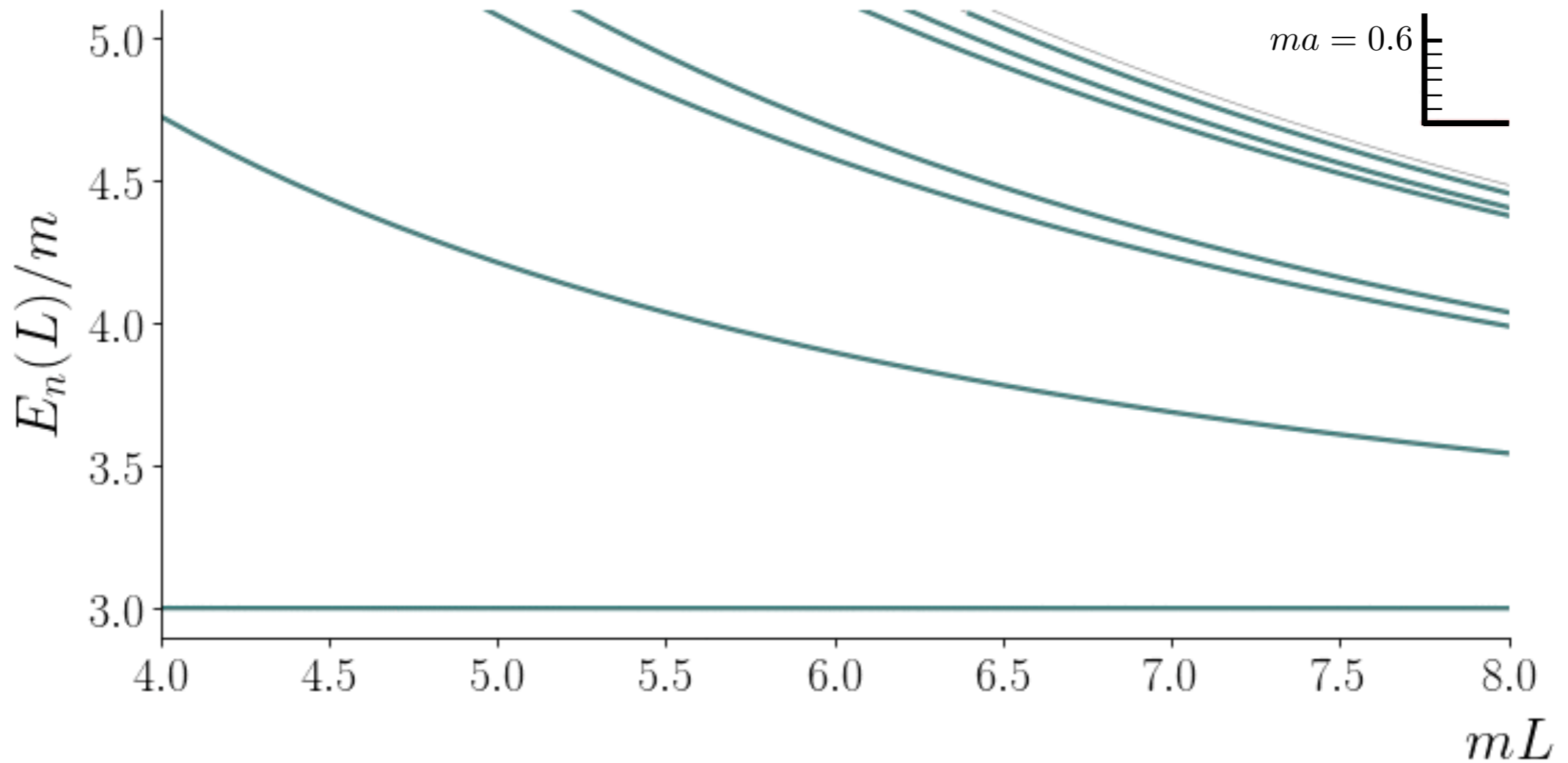
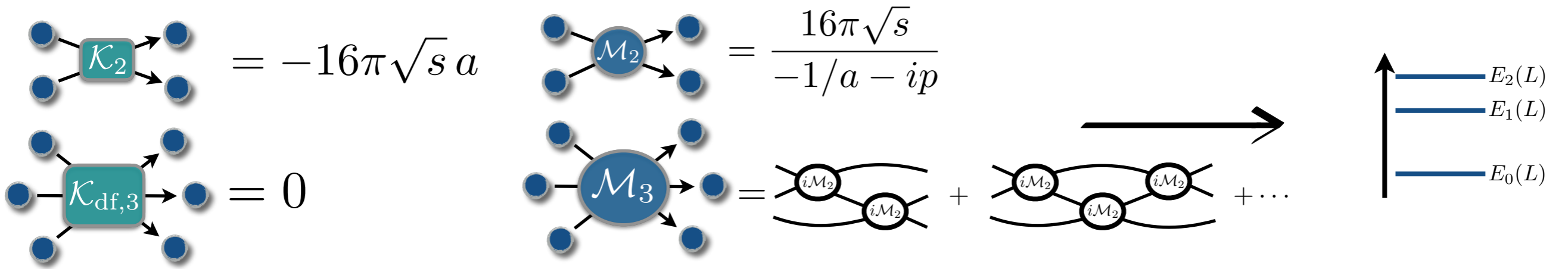
Non-interacting energies



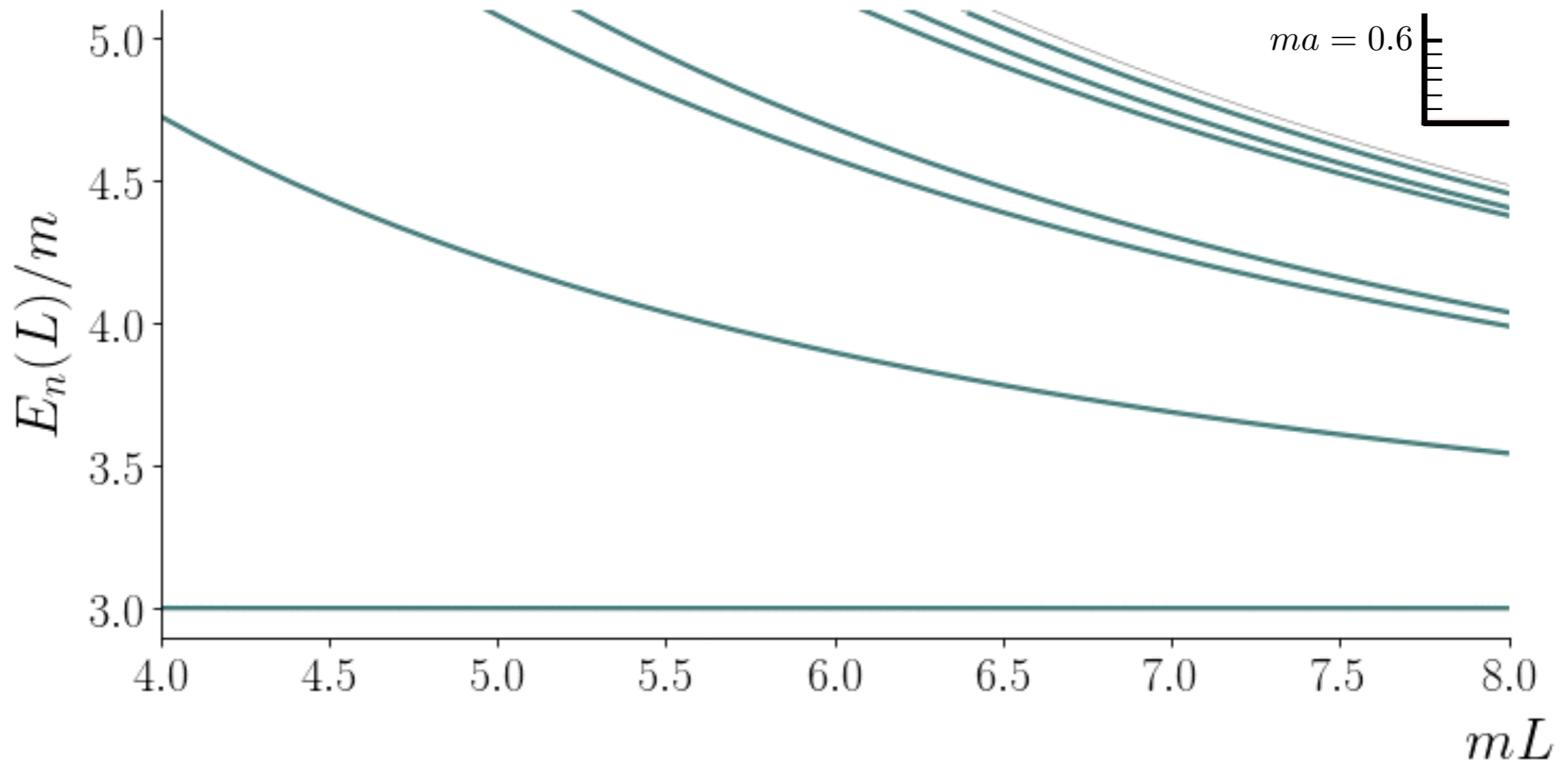
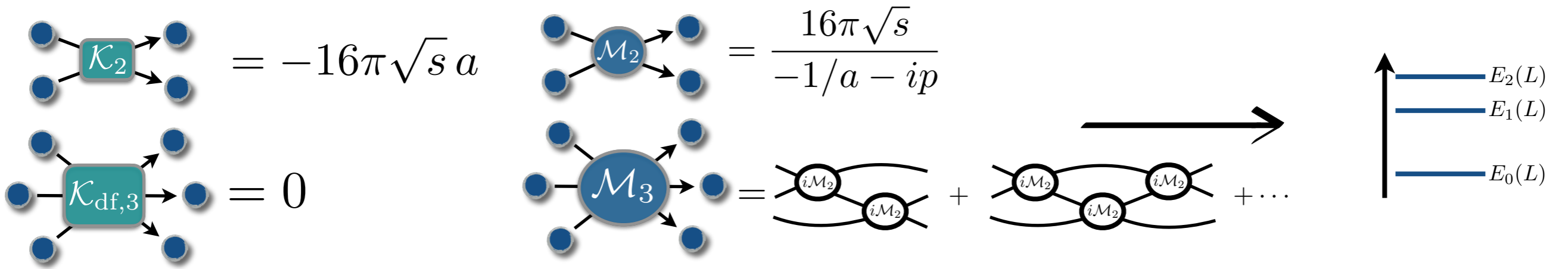
Non-interacting energies



Two-particle interactions



Two-particle interactions

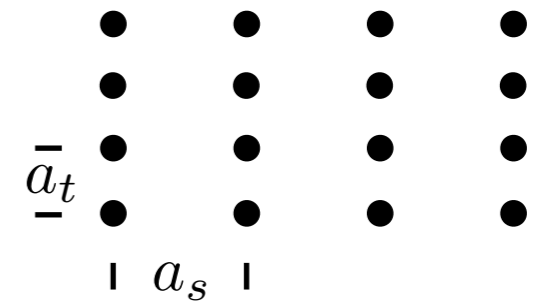


$\pi^+ \pi^+ \pi^+ \rightarrow \pi^+ \pi^+ \pi^+$ in lattice QCD

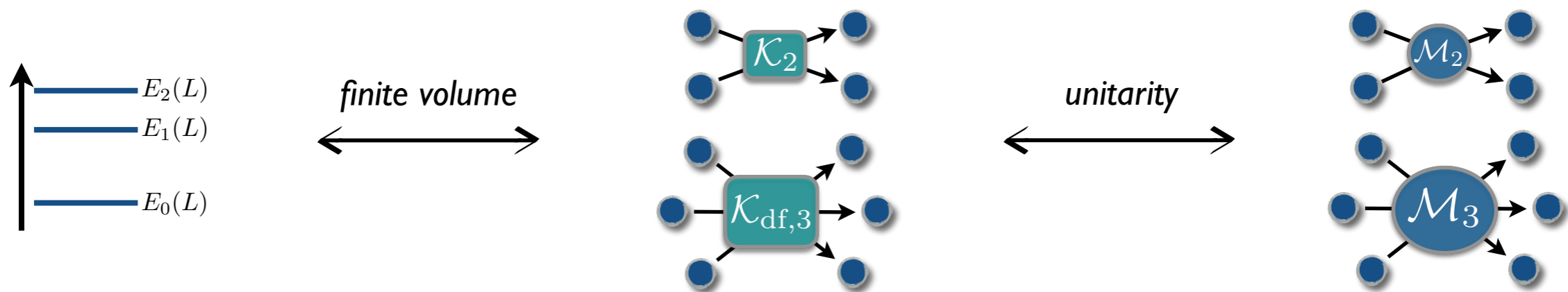
lattice details

$$N_f = 2 + 1 \quad a_s/a_t = 3.444(6) \quad L_s/a_s = 20, 24$$

$$m_\pi \approx 400\text{MeV} \quad a_s \approx 0.12\text{fm}$$



□ Workflow outline

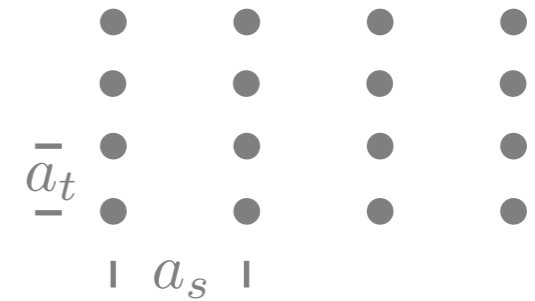


$\pi^+ \pi^+ \pi^+ \rightarrow \pi^+ \pi^+ \pi^+$ in lattice QCD

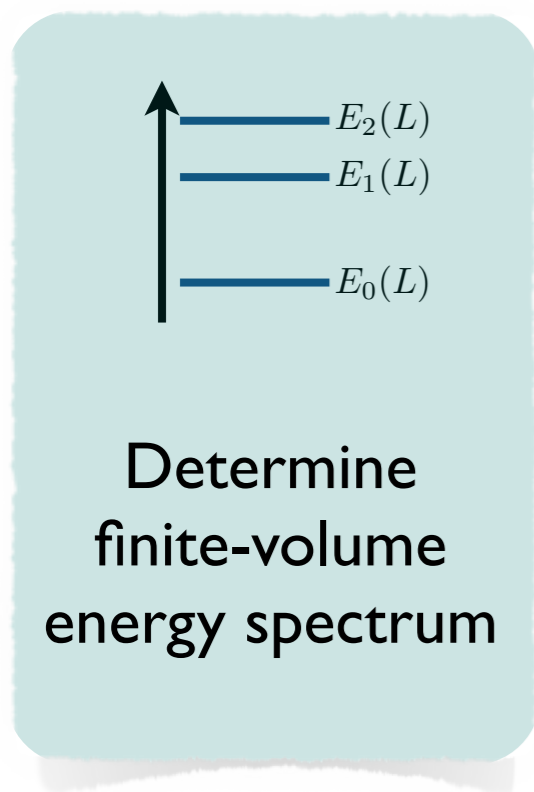
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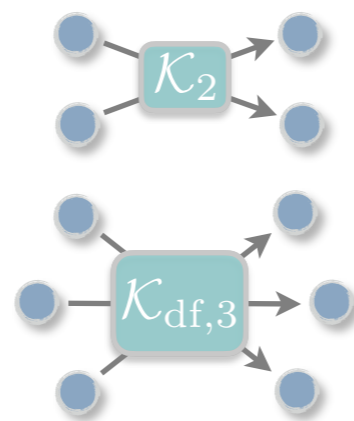
$$m_\pi \approx 400\text{MeV} \quad a_s \approx 0.12\text{fm}$$



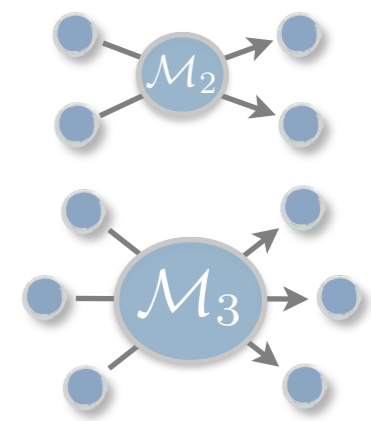
□ Workflow outline



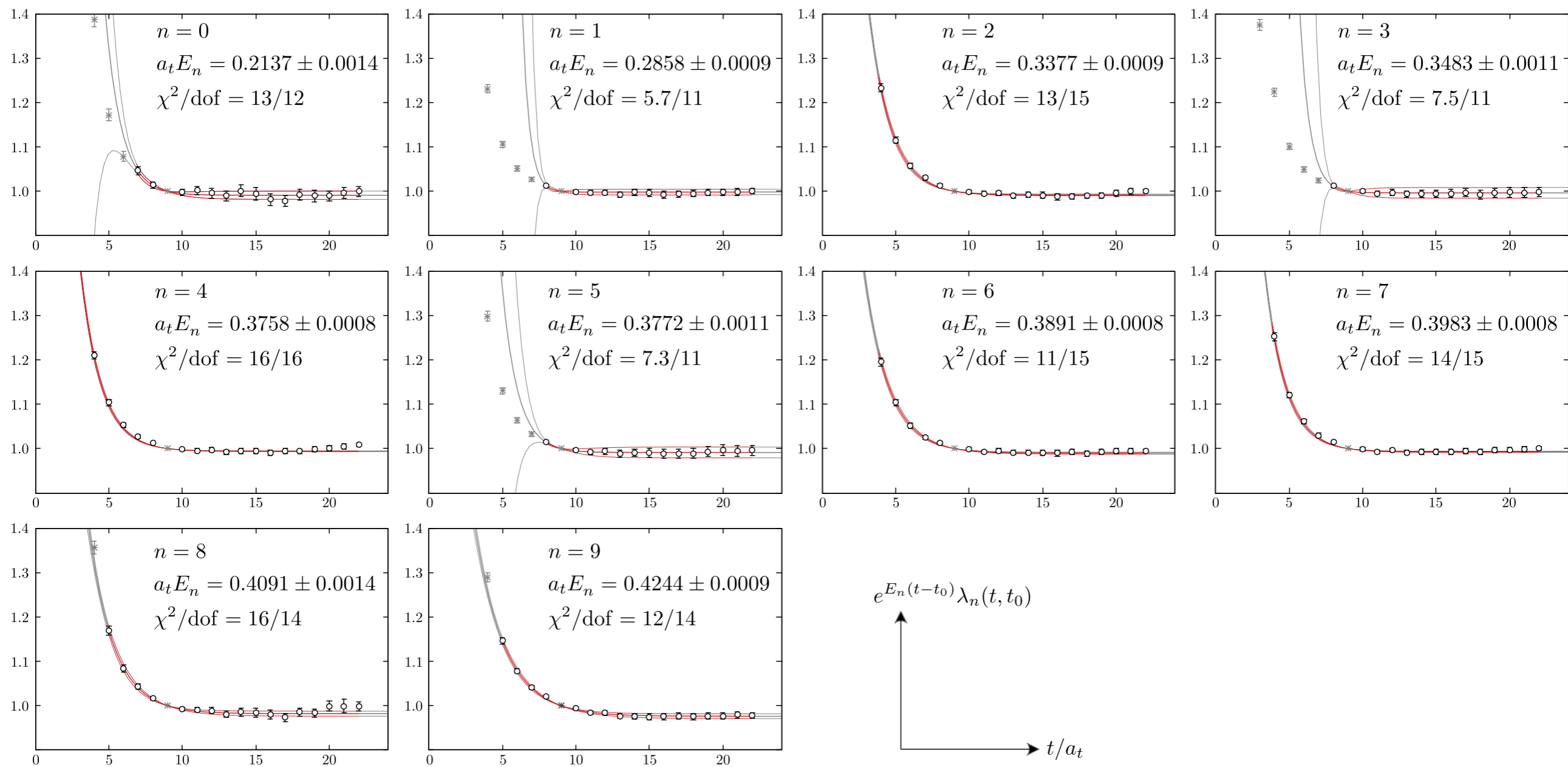
finite volume



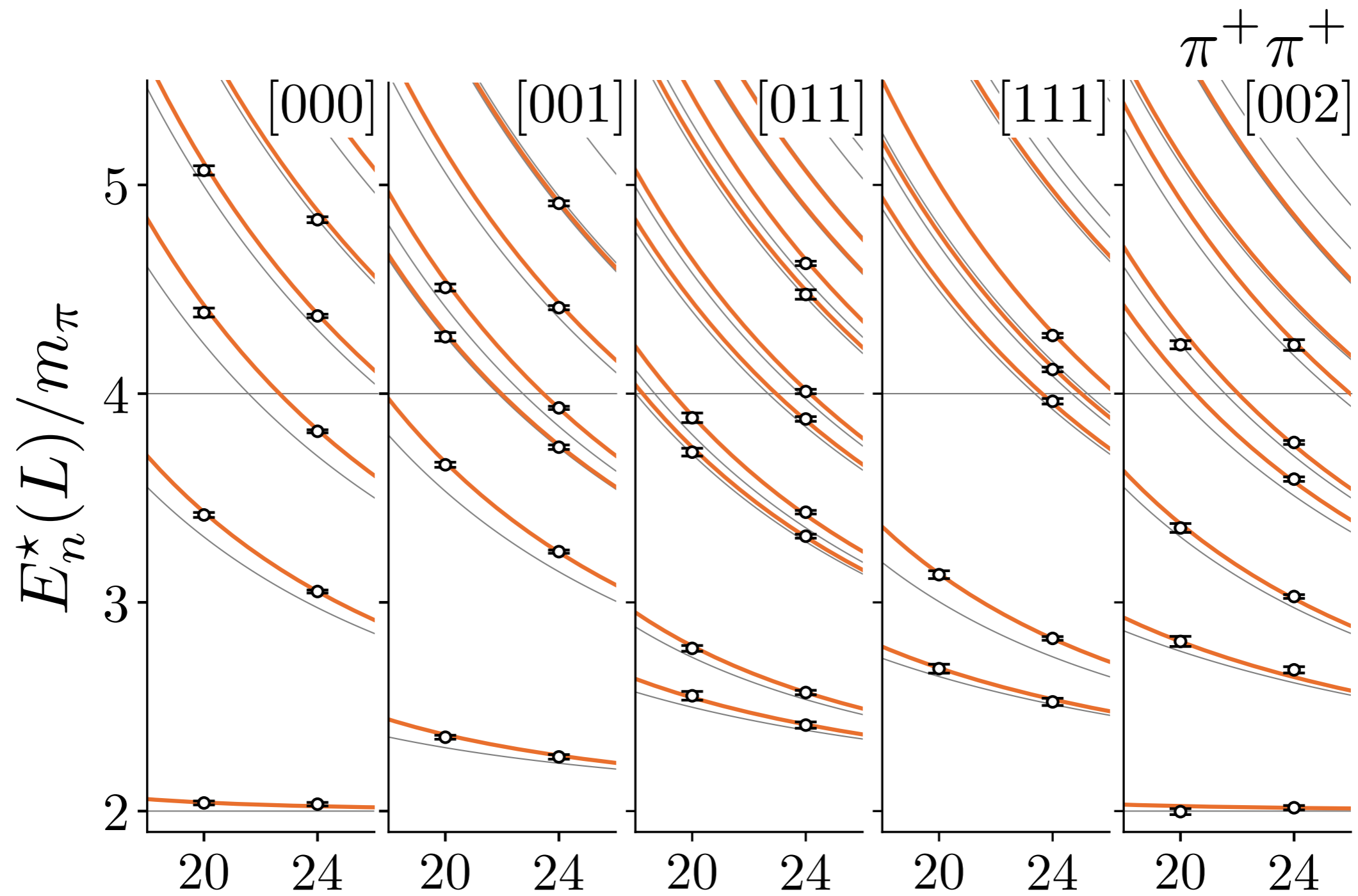
unitarity



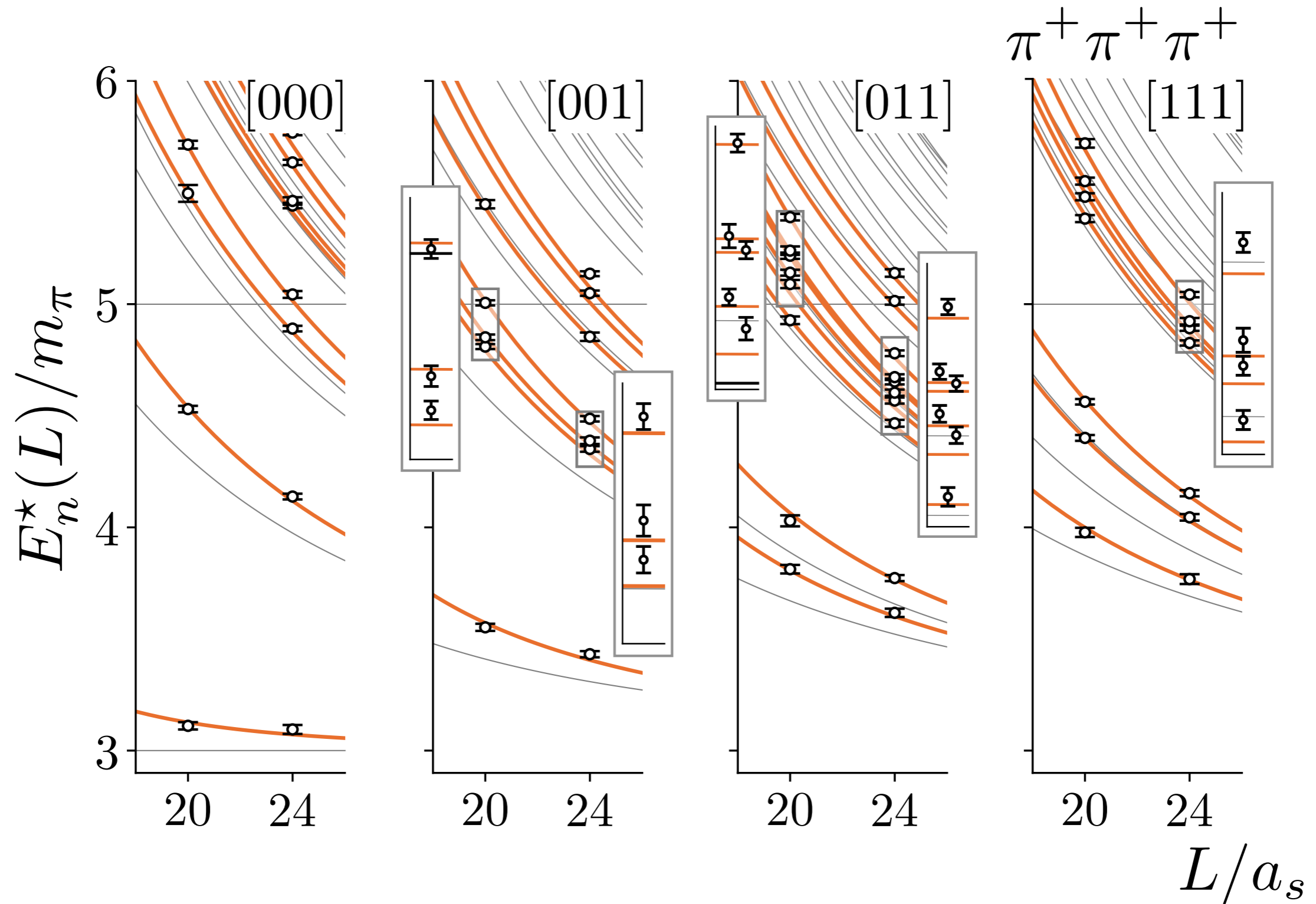
$$I = 3 (\pi^+ \pi^+ \pi^+), \quad \mathbf{P} = [000], \quad \Lambda = A_1^-, \quad L/a_s = 24$$



$\pi^+\pi^+$ energies



$\pi^+\pi^+\pi^+$ energies

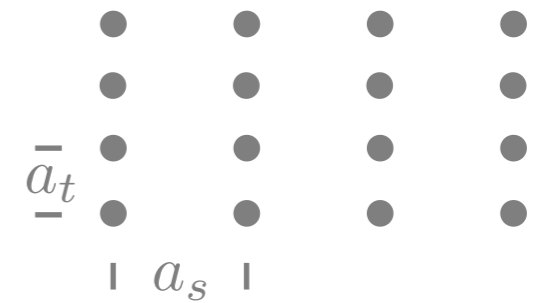


$$\pi^+ \pi^+ \pi^+ \rightarrow \pi^+ \pi^+ \pi^+$$

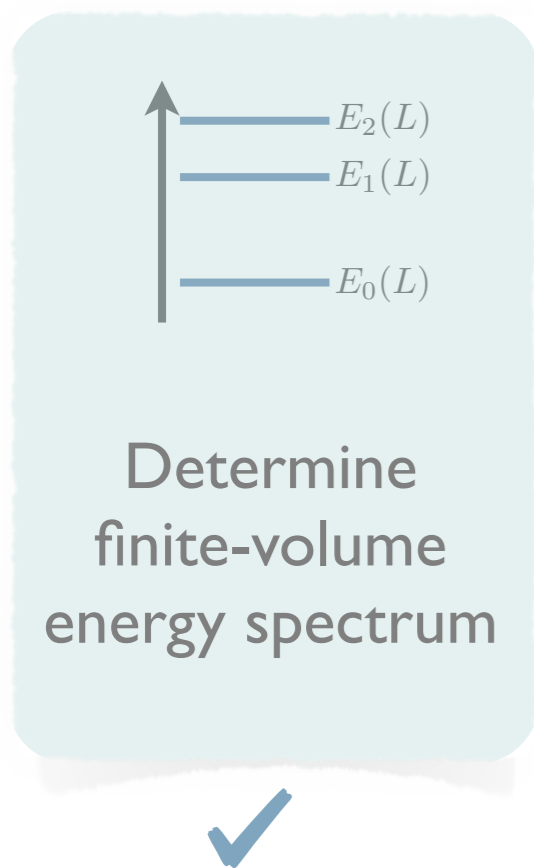
lattice details

$$N_f = 2 + 1 \quad a_s/a_t = 3.444(6) \quad L_s/a_s = 20, 24$$

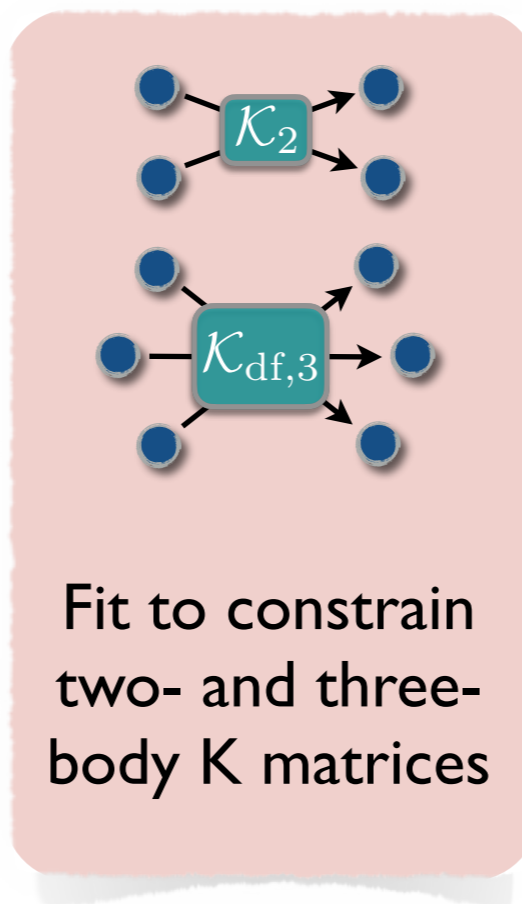
$$m_\pi \approx 400\text{MeV} \quad a_s \approx 0.12\text{fm}$$



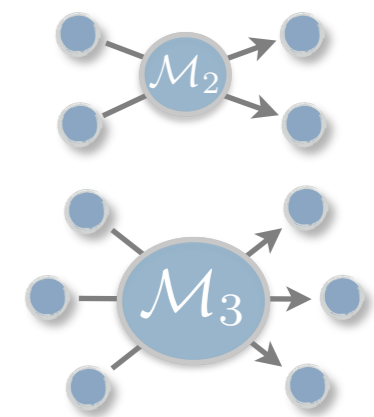
□ Workflow outline



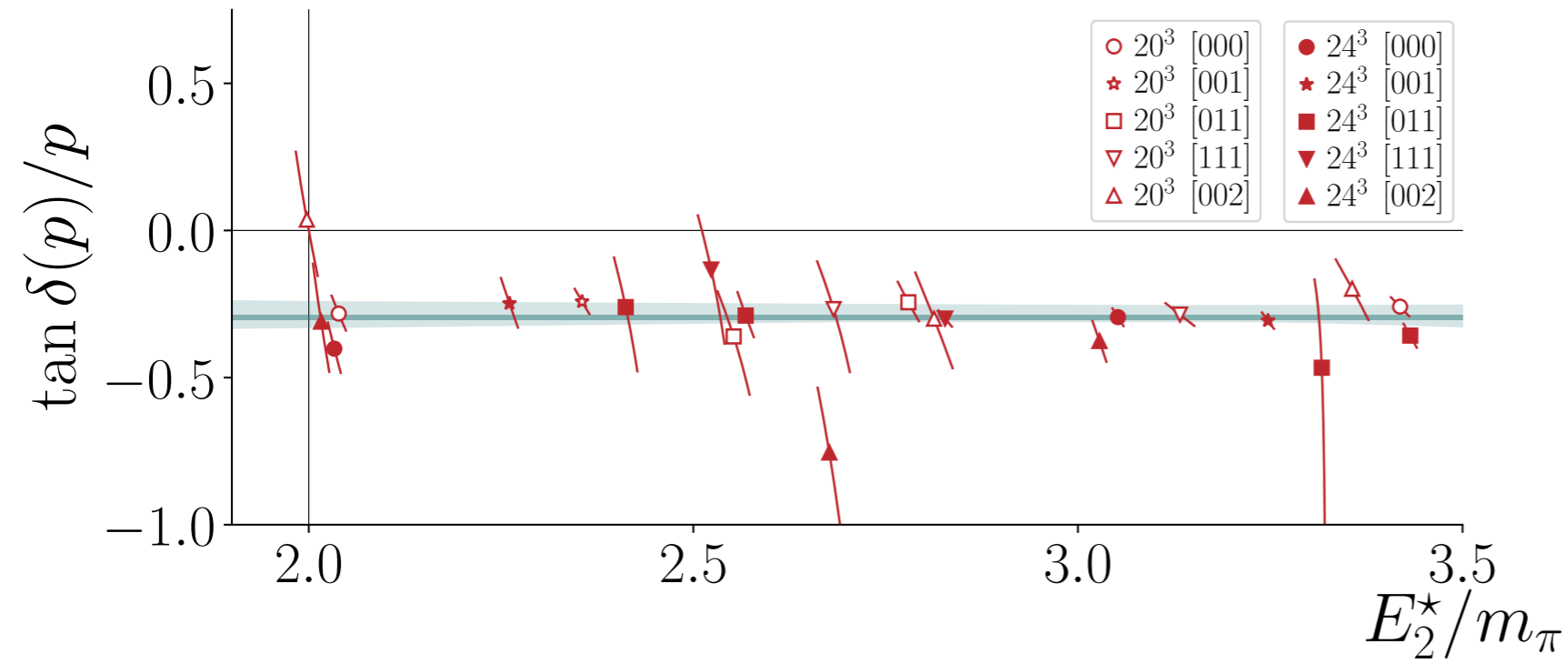
finite volume



unitarity



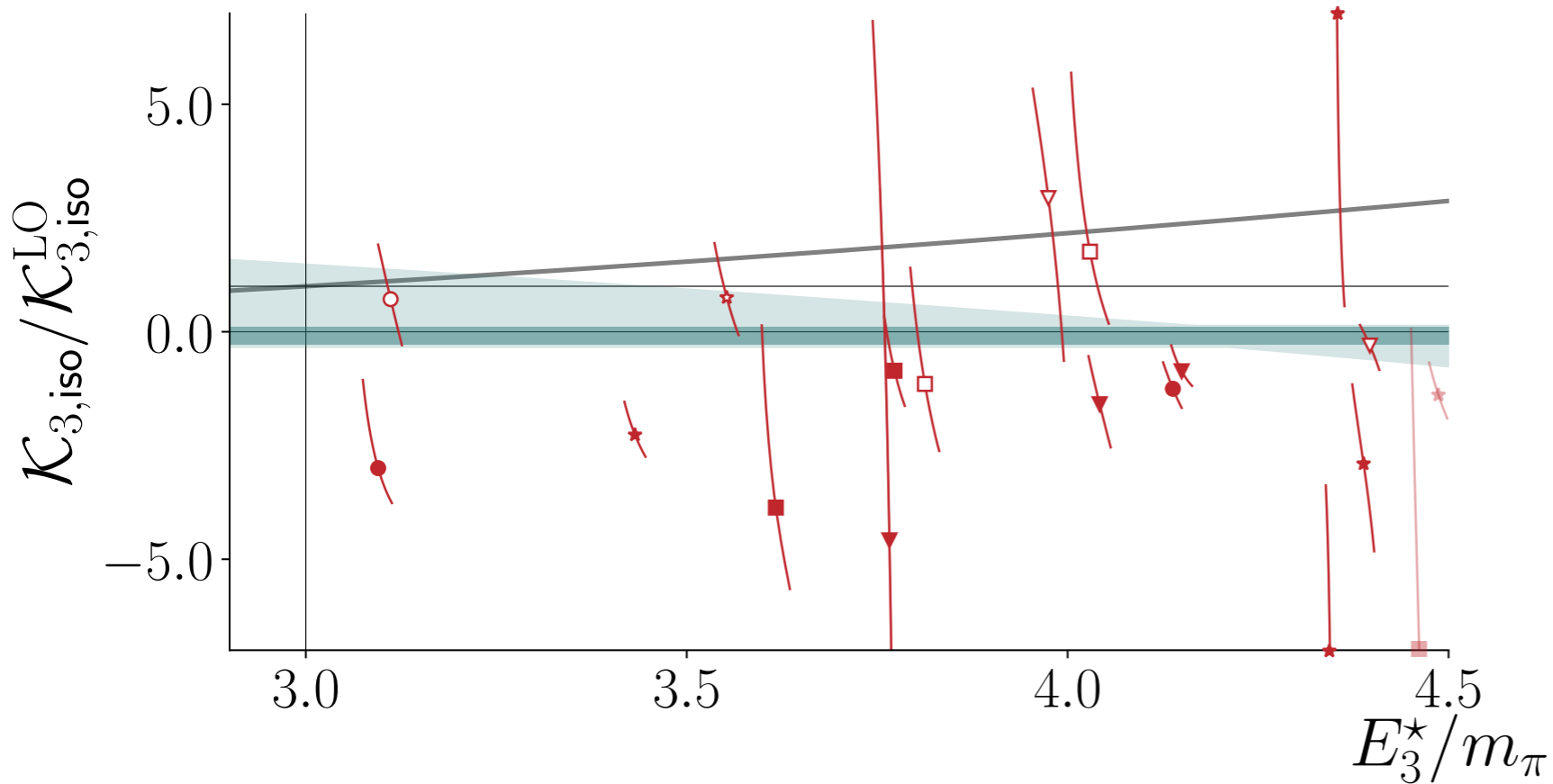
K matrix fits



Finite-volume formalism
relates energies to K matrices

One-to-one for $K_{\text{df},3}$
depending only on $E_{\text{cm}} = E^*$

Fit both two and three-body
K to various polynomials



Cut on the CM
energy in the fits

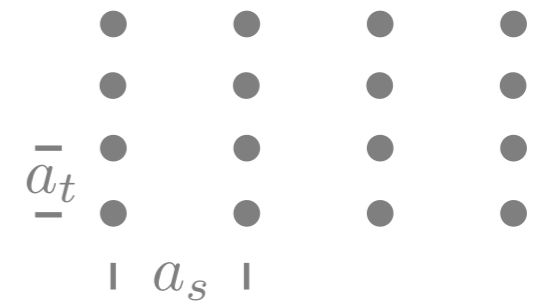
$K_{\text{df},3}$ is scheme
dependent (removed
upon converting to \mathcal{M}_3)

$$\pi^+ \pi^+ \pi^+ \rightarrow \pi^+ \pi^+ \pi^+$$

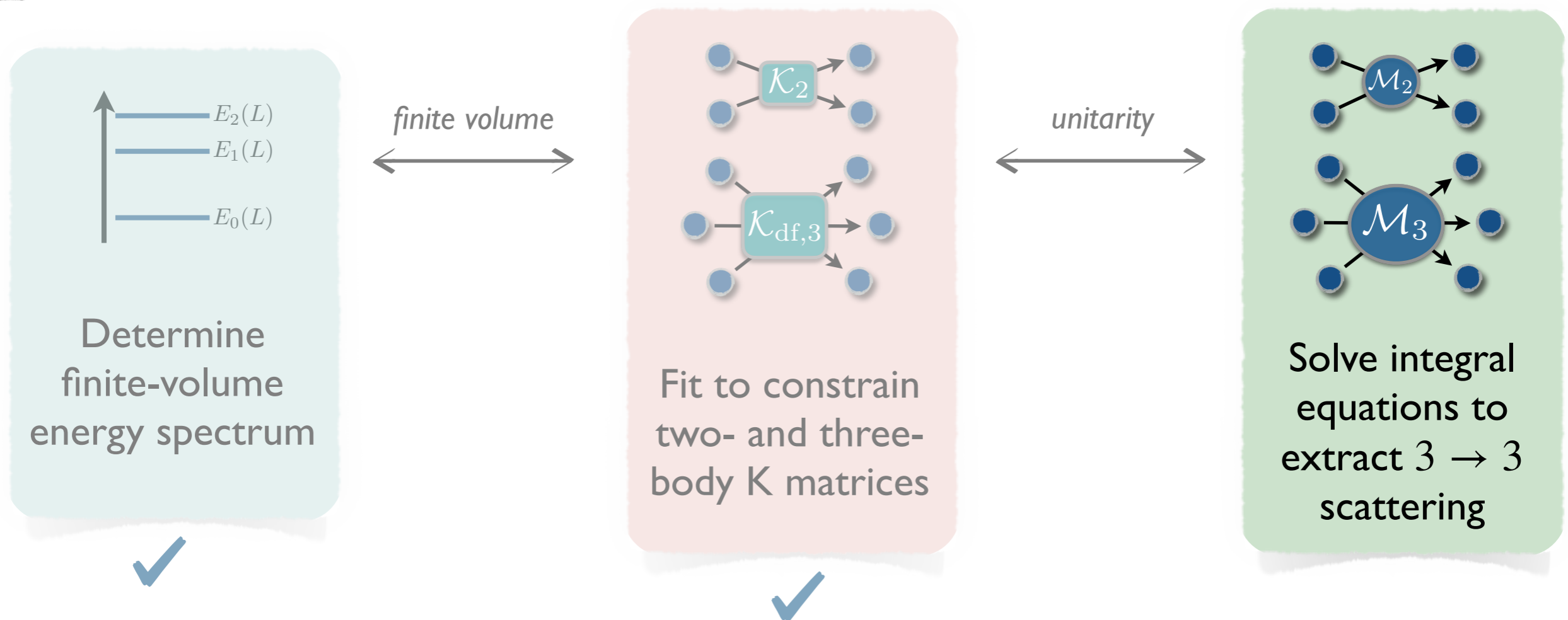
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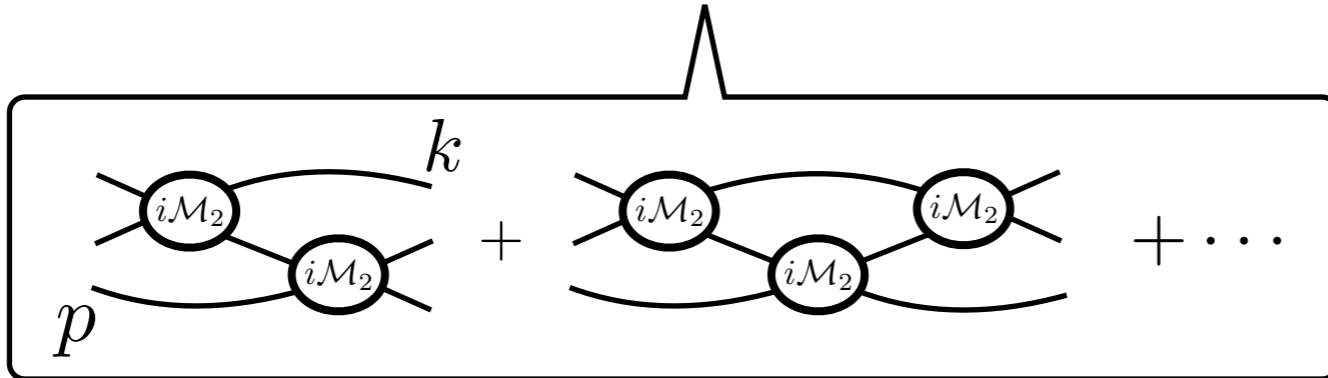


□ Workflow outline



Integral equation

$$\mathcal{M}_3^{\text{un}}(E_3^*, \mathbf{p}, \mathbf{k}) = \mathcal{D}^{\text{un}}(E_3^*, \mathbf{p}, \mathbf{k}) + \mathcal{E}^{\text{un}}(E_3^*, \mathbf{p}) \mathcal{T}(E_3^*) \mathcal{E}^{\text{un}}(E_3^*, \mathbf{k})$$



Vanishes for $K_{\text{df},3} = 0$

$$D(N, \epsilon) = -\mathcal{M} \cdot G(\epsilon) \cdot \mathcal{M} - \mathcal{M} \cdot G(\epsilon) \cdot P \cdot D(N, \epsilon)$$

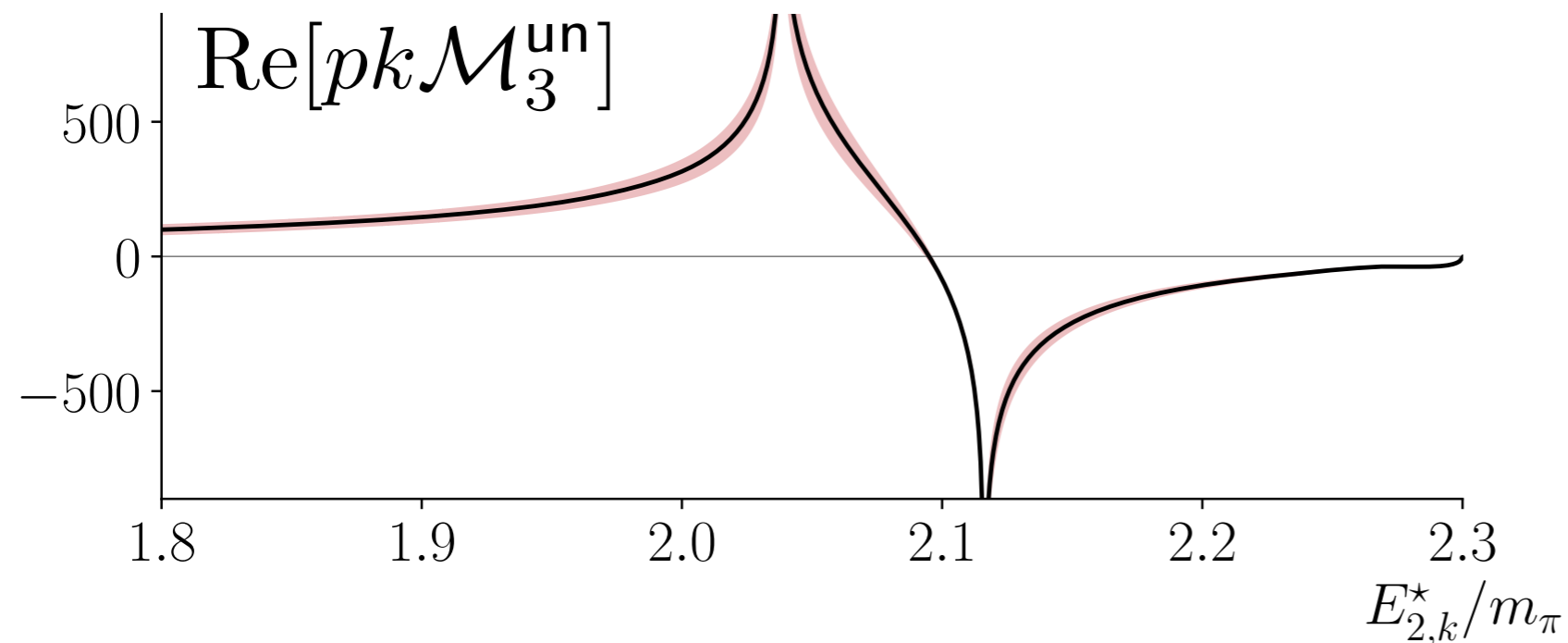
$$\mathcal{D}^{\text{un}}(E_3^*, \mathbf{p}, \mathbf{k}) = \lim_{\epsilon \rightarrow 0} \lim_{N \rightarrow \infty} D_{pk}(N, \epsilon)$$

□ See also...

Solving relativistic three-body integral equations in the presence of bound states

Andrew W. Jackura,^{1,2,*} Raúl A. Briceño,^{1,2,†} Sebastian M. Dawid,^{3,4,‡} Md Habib E Islam,^{2,§} and Connor McCarty^{5,¶} *arXiv: 2010.09820*

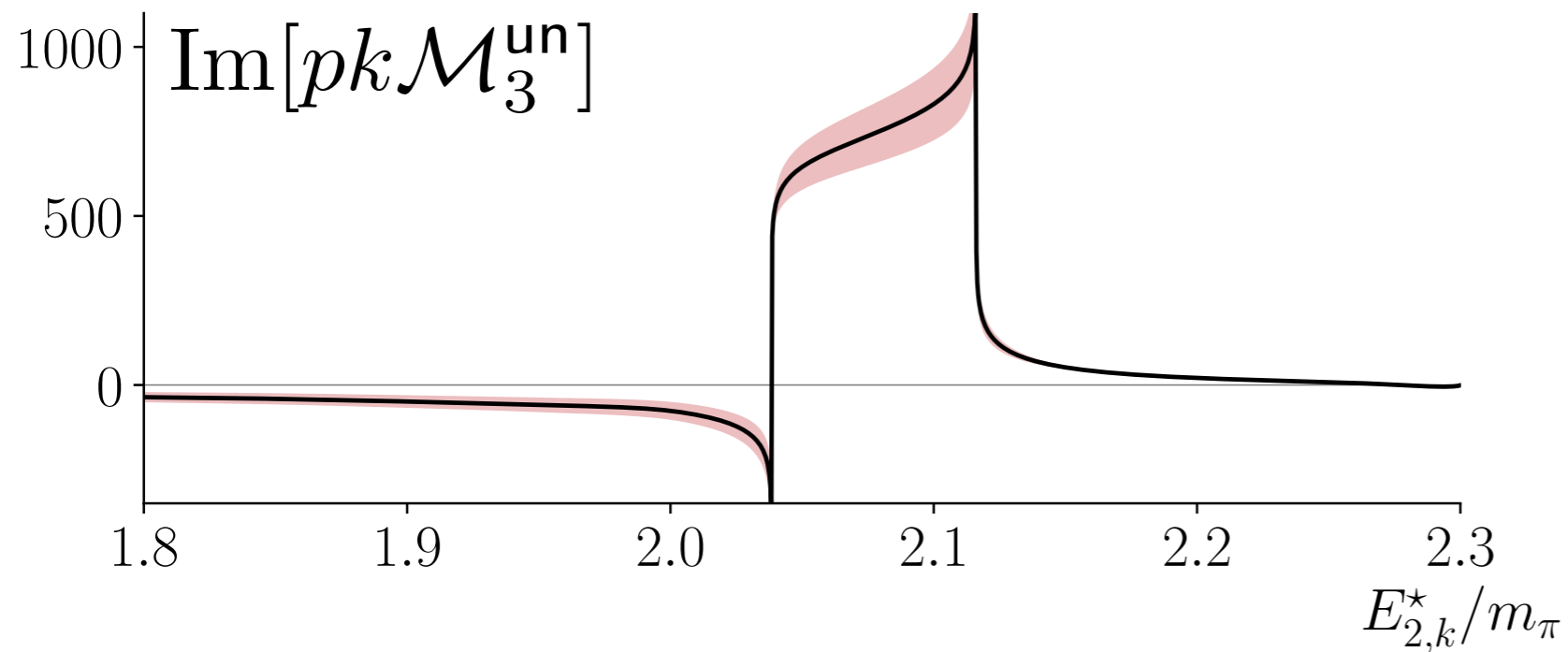
Integral equation



Total angular momentum = 0

Two-particle sub-system
angular momentum = 0

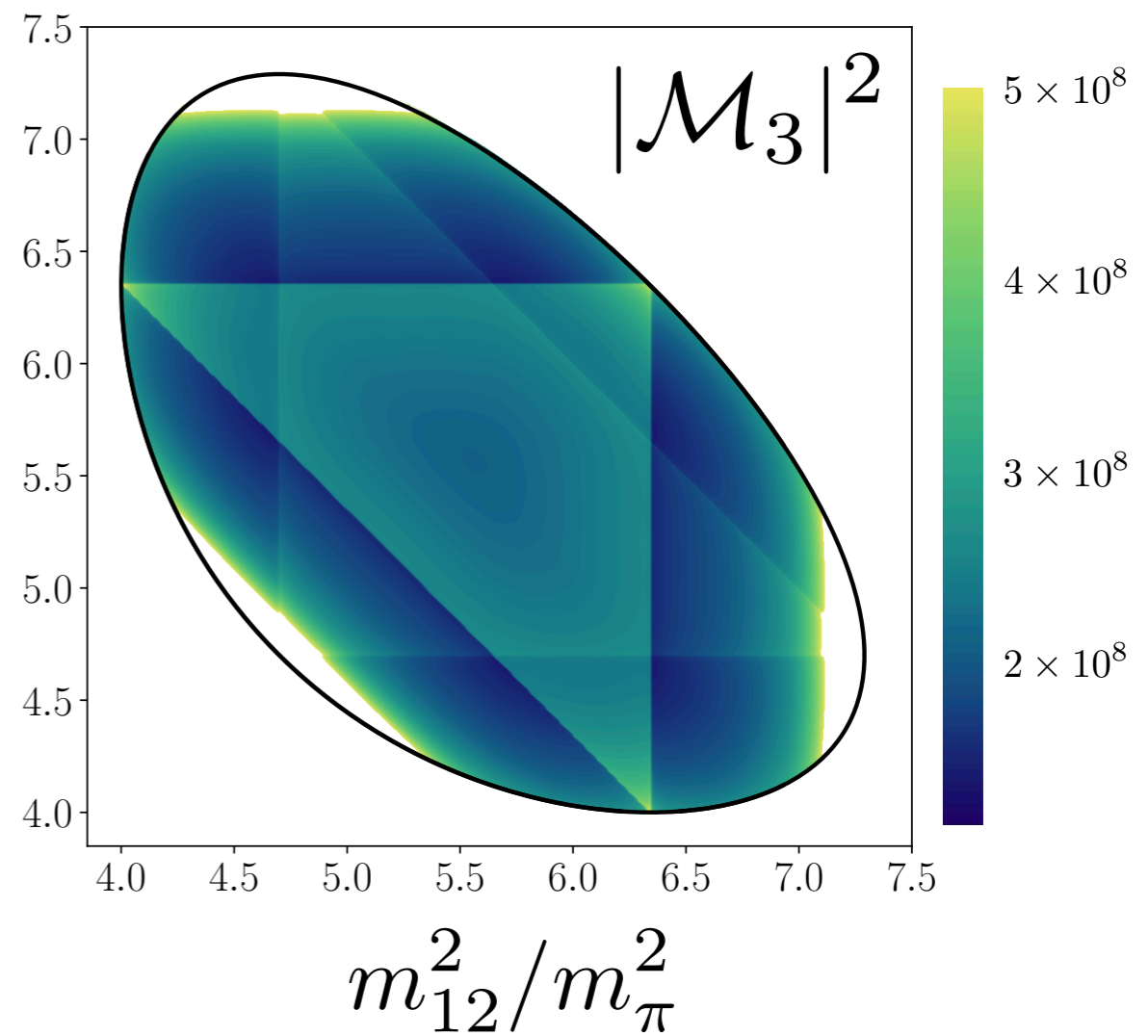
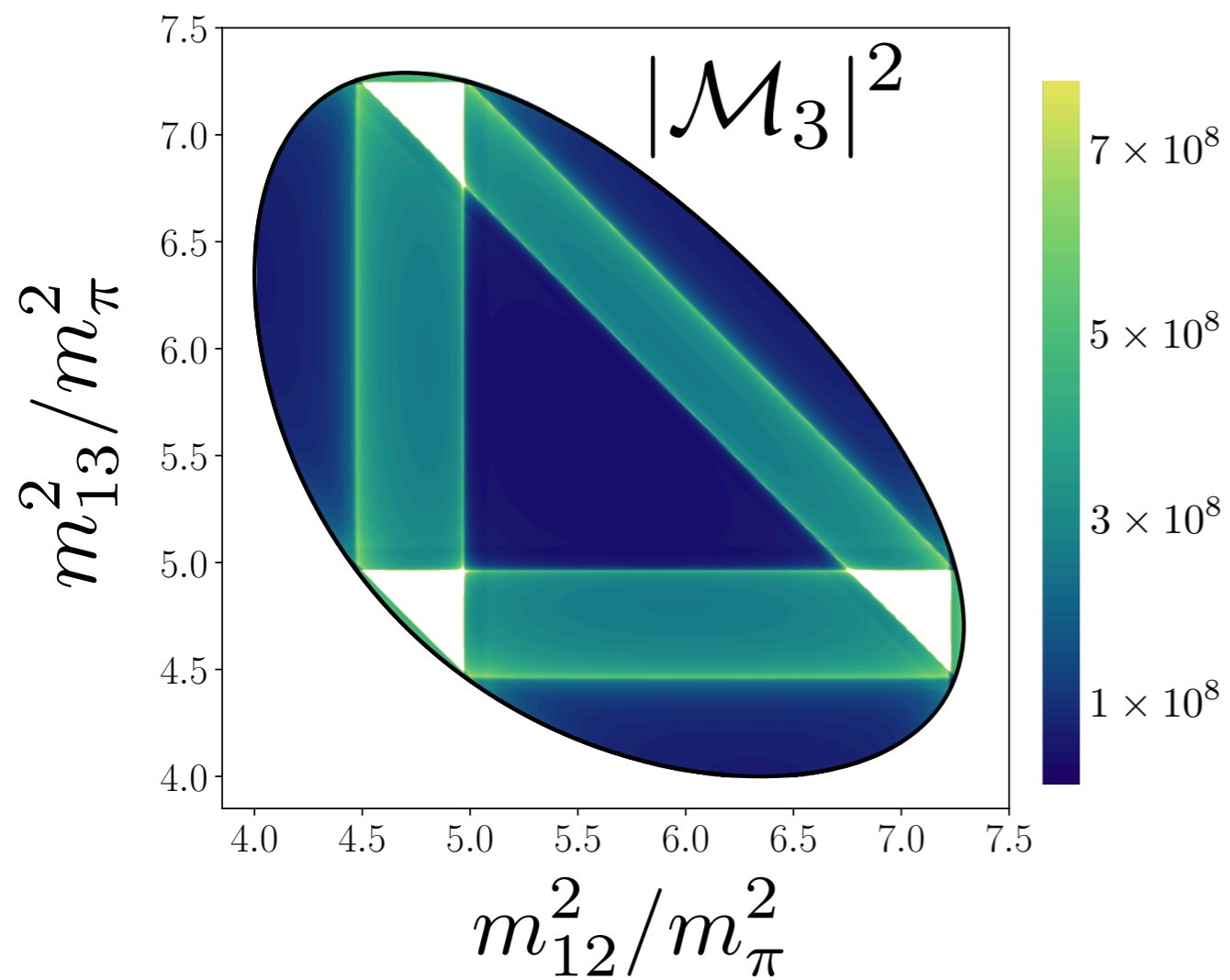
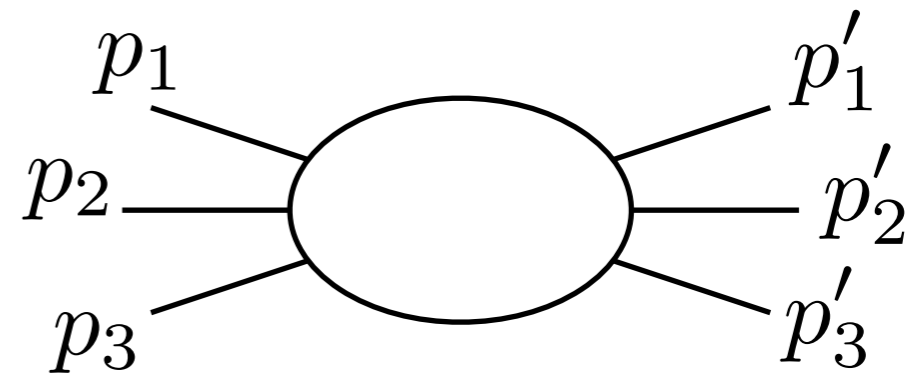
Plot at fixed E_3^* and p



Both two- and three-body
uncertainties estimated

Still need to symmetrize

$$\mathcal{M}_3 = \sum_{i,j \in \{1,2,3\}} \mathcal{M}_3^{\text{un}}(p'_i, p_j)$$

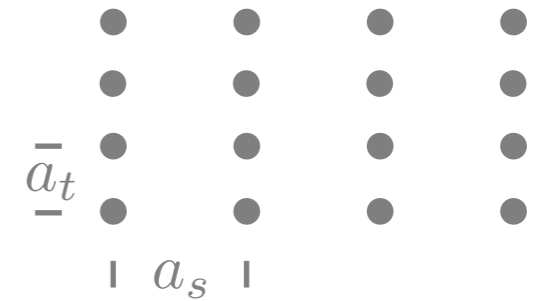


$$\pi^+ \pi^+ \pi^+ \rightarrow \pi^+ \pi^+ \pi^+$$

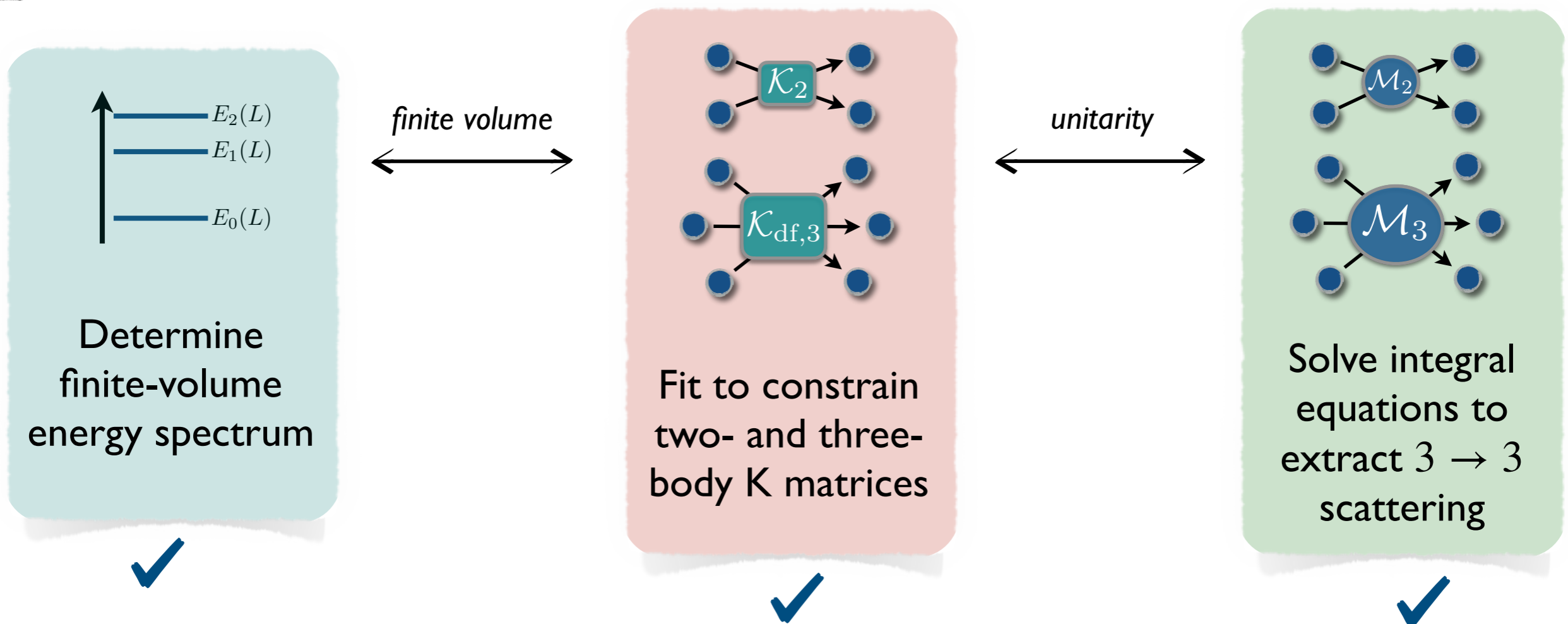
lattice details

$$N_f = 2 + 1 \quad a_s/a_t = 3.444(6) \quad L_s/a_s = 20, 24$$

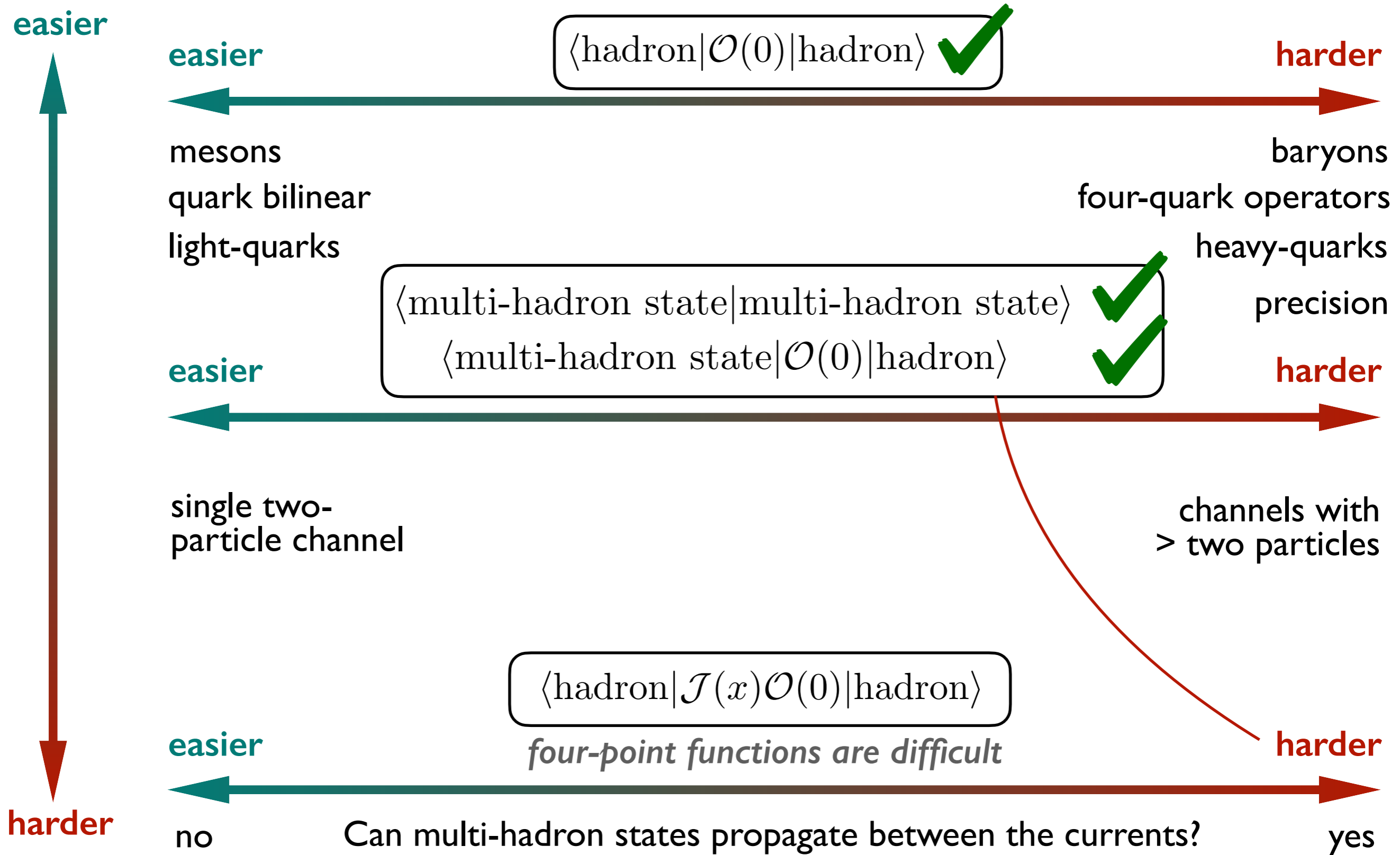
$$m_\pi \approx 400\text{MeV} \quad a_s \approx 0.12\text{fm}$$



Workflow outline



(Incomplete) landscape of lattice observables



Formal & numerical progress: Long-distance matrix elements

- Formal method understood... *assuming only two-hadron intermediate states*



- Issue of growing exponentials (*Christ et al.*)

$$\langle \overline{K} | \mathcal{H}_W(0) \mathcal{H}_W(-|\tau|) | K \rangle_L = \sum_n c_n(L) e^{-(E_n(L) - M_K)|\tau|} \xrightarrow{\int_{-T}^0 d\tau} \sum_n c_n \frac{1 - e^{-(E_n - M_K)T}}{M_K - E_n}$$

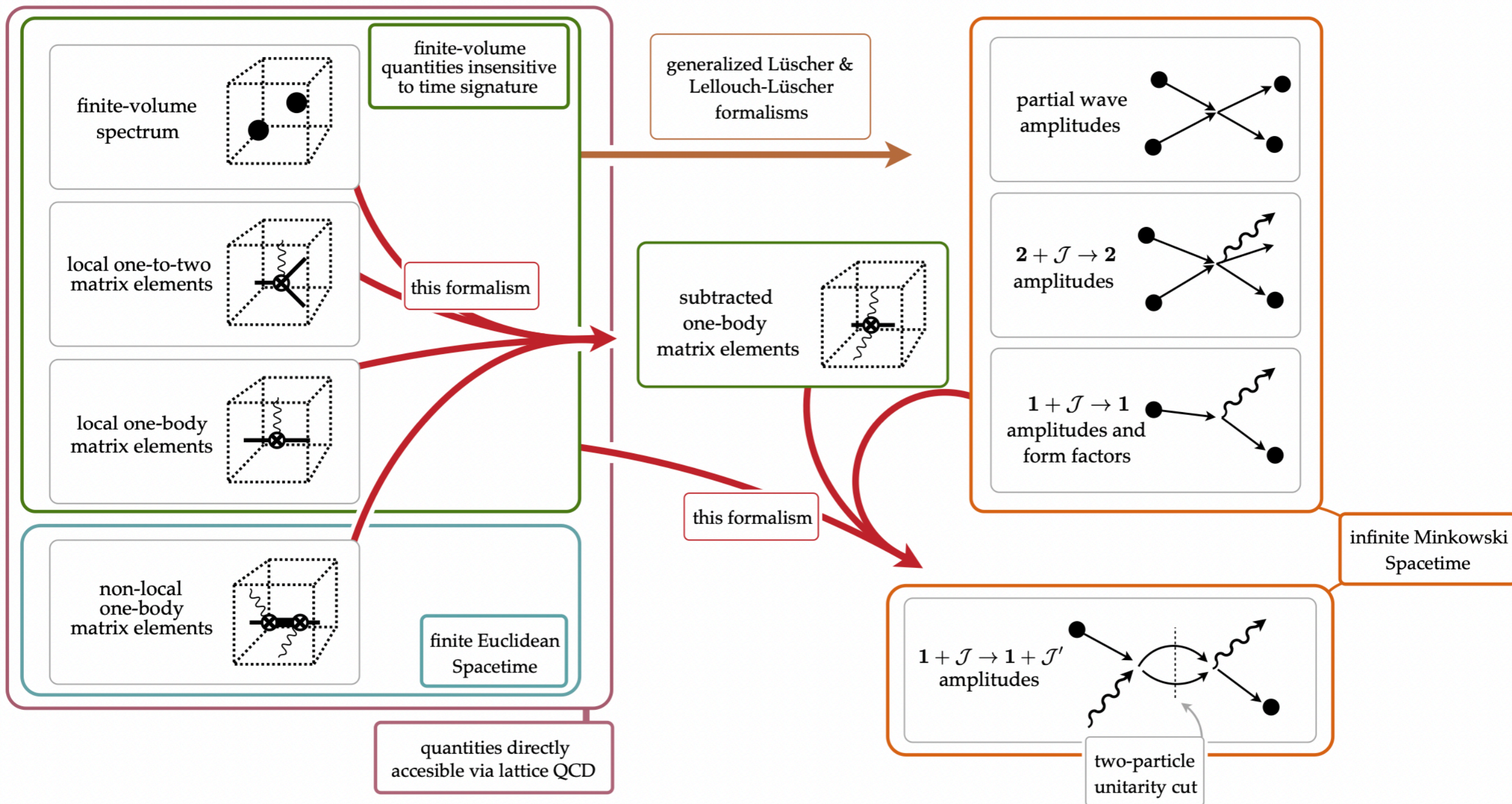
- Issue of power-like finite-volume effects (after discarding exponential)

$$F_L = \sum_n \frac{c_n}{M_K - E_n}$$

Christ, Feng, Martinelli, Sachrajda (2015) • Christ *et al.* (2016)

- Briceño, Davoudi, MTH, Schindler, Baroni (2019) • Erben, Gülpers, MTH, Hodgson, Portelli (2022)

Formal & numerical progress: Long-distance matrix elements



Christ, Feng, Martinelli, Sachrajda (2015) • Christ *et al.* (2016)

• Briceño, Davoudi, MTH, Schindler, Baroni (2019) • Erben, Gülpers, MTH, Hodgson, Portelli (2022)

Not discussed here

- Three-hadron transitions ($K \rightarrow \pi\pi\pi$, $\gamma^* \rightarrow \pi\pi\pi$)

finite-volume methods exist

- Left-hand branch cuts

finite-volume methods break on left-hand cuts (e.g. T_{cc}^+)

- Spectral densities from regulated inverse Laplace transform

- Resonance form factors ($\pi\pi \rightarrow \pi\pi\gamma$)

Conclusions

❑ LQCD is in the era of ‘rigorous resonance spectroscopy’

❑ The finite-volume = *a useful tool*

❑ Challenges and progress

formal analysis was technical → *ground work is now set*

scattering demands high precision excited states → *advanced algorithms make this possible*

3-body amplitude is highly singular → *intermediate K matrix is not*

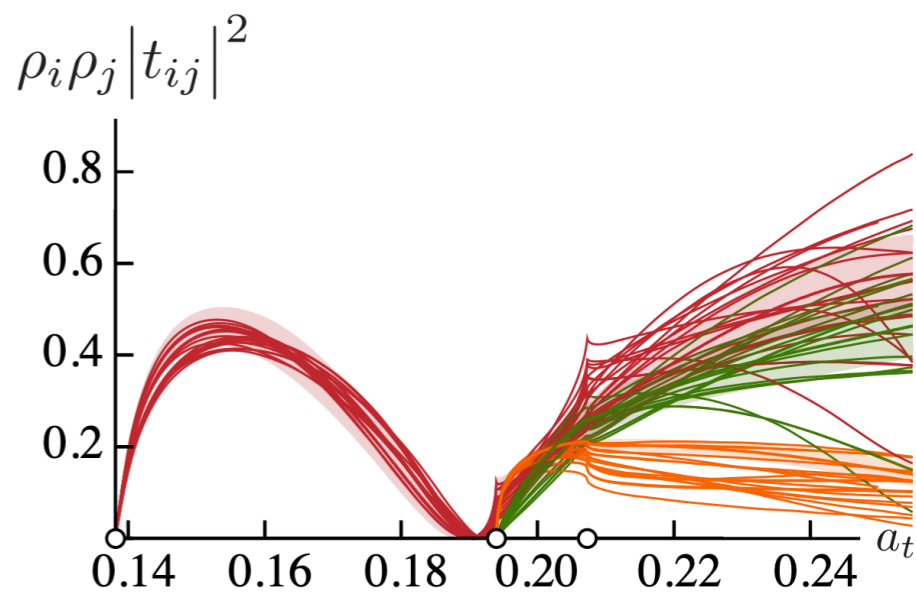
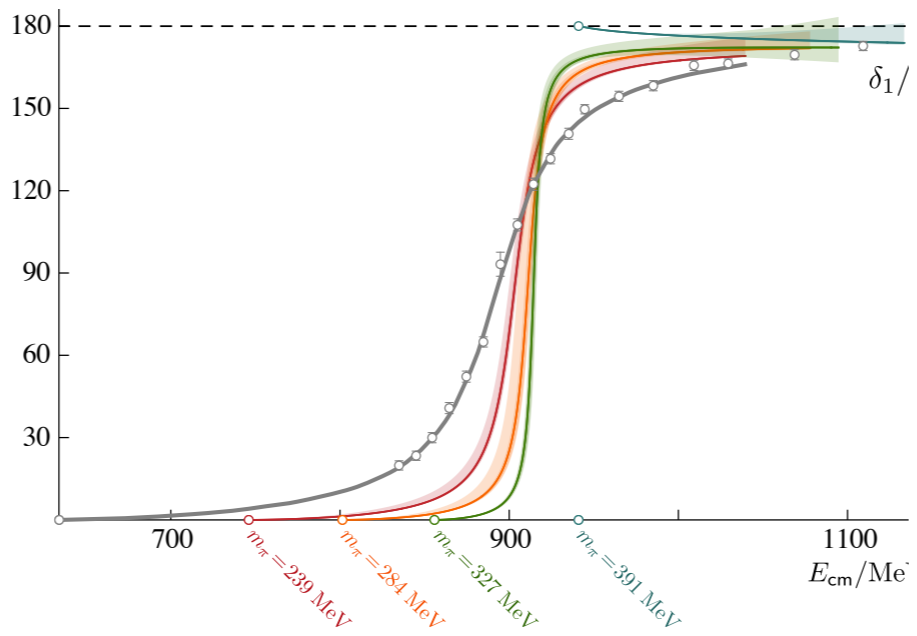
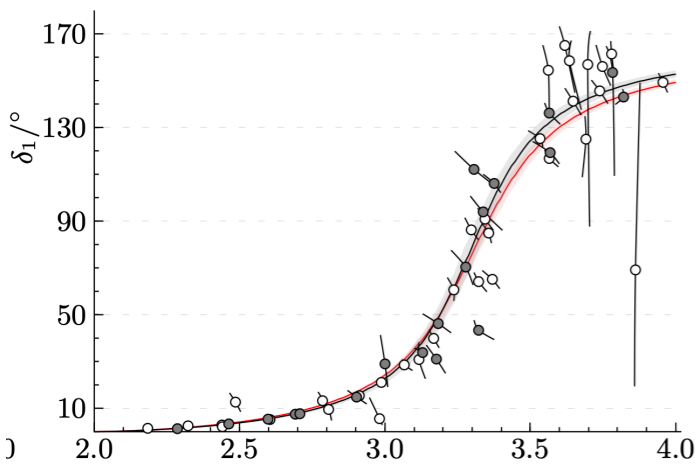
❑ Next steps...

complete 3-particle formalism → *extend to N-particle formalism*

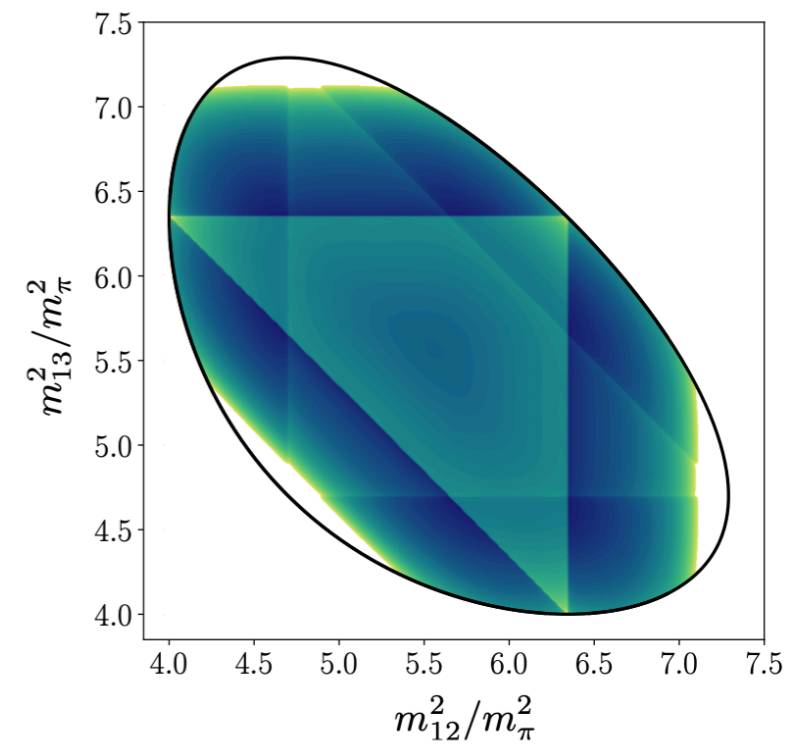
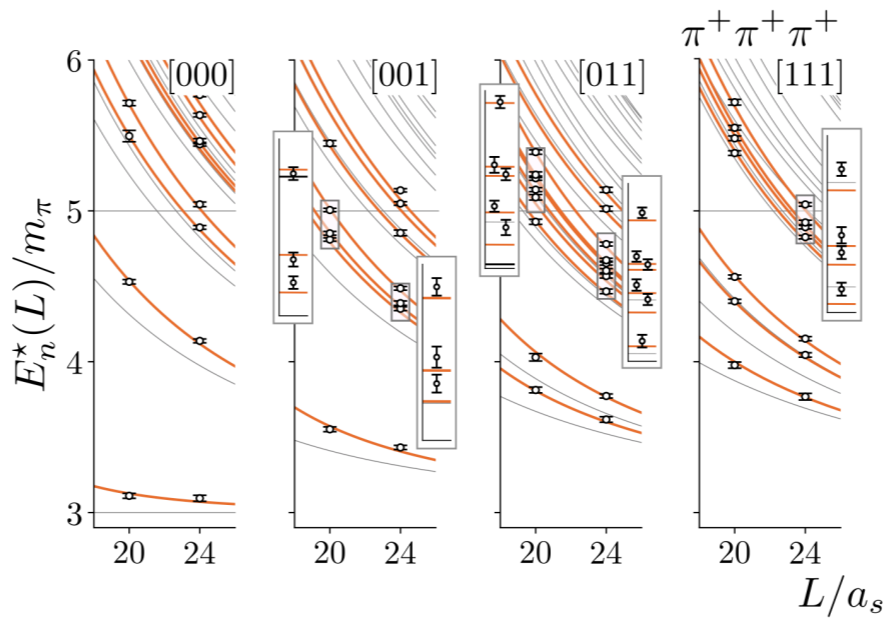
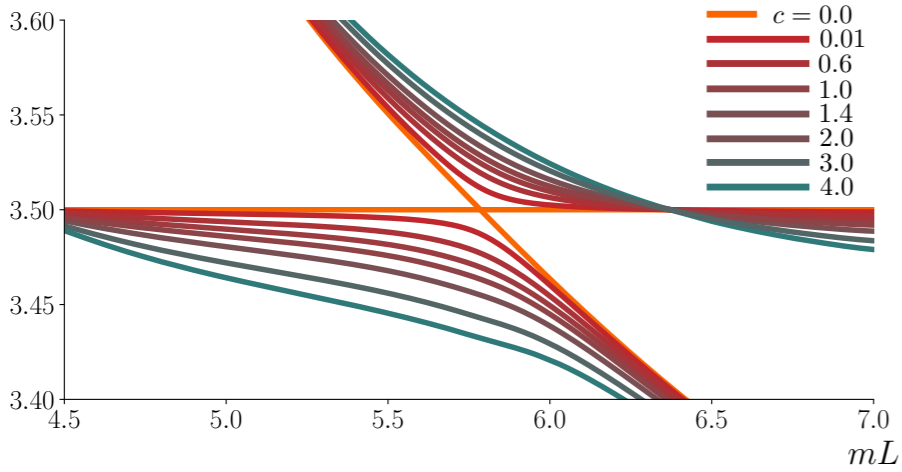
extend studies involving an external current

push more channels into the precision regime

Big Picture



Stay tuned, and...
 Thanks for listening!



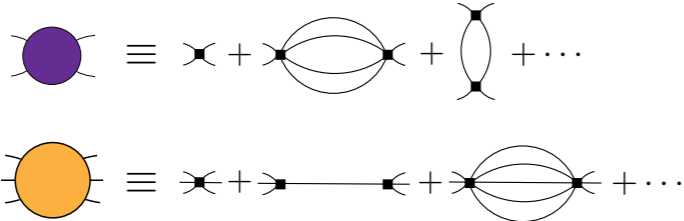
Back-up slides

3-particle derivation

□ Study 3-body correlator in an *all-orders skeleton expansion*

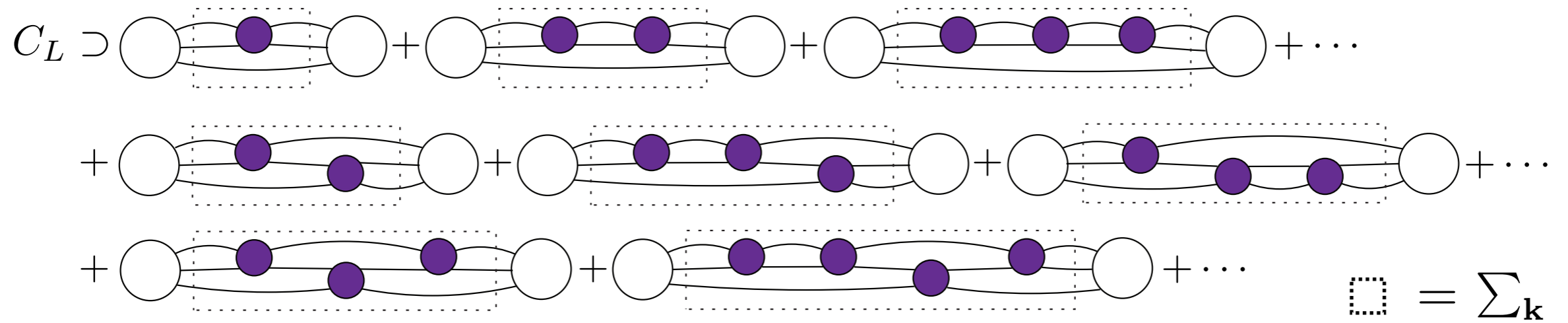
$$\begin{aligned}
 C_L = & \text{[Diagram 1]} + \text{[Diagram 2]} + \text{[Diagram 3]} + \dots \\
 & + \text{[Diagram 4]} + \text{[Diagram 5]} + \text{[Diagram 6]} + \dots \\
 & + \text{[Diagram 7]} + \text{[Diagram 8]} + \text{[Diagram 9]} + \dots \\
 & + \text{[Diagram 10]} + \text{[Diagram 11]} + \dots \\
 & + \dots \\
 & + \text{[Diagram 12]} + \text{[Diagram 13]} + \dots
 \end{aligned}$$

□ = $\sum_{\mathbf{k}}$

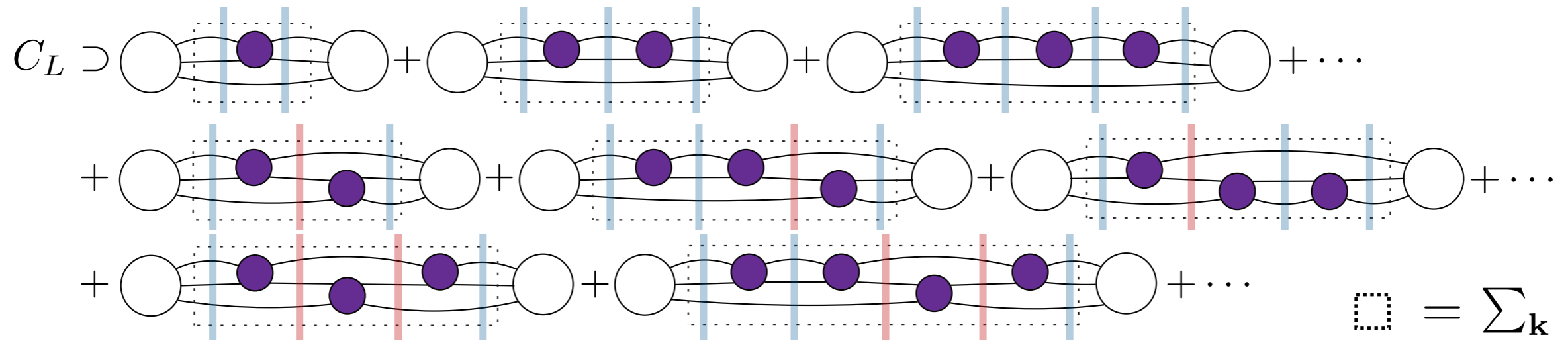


kernels have suppressed L dependence
 lines = fully dressed hadrons

Two types of cuts



Two types of cuts



$$\mathbf{A}'_3 \mathbf{F} \mathbf{K}_2 \mathbf{F} \mathbf{A}_3 + \mathbf{A}'_3 \mathbf{F} [\mathbf{K}_2 \mathbf{F}]^2 \mathbf{A}_3 + \mathbf{A}'_3 \mathbf{F} [\mathbf{K}_2 \mathbf{F}]^3 \mathbf{A}_3 + \dots = \mathbf{A}'_3 \mathbf{F} \frac{1}{1 - \mathbf{K}_2 \mathbf{F}} \mathbf{K}_2 \mathbf{F} \mathbf{A}_3$$

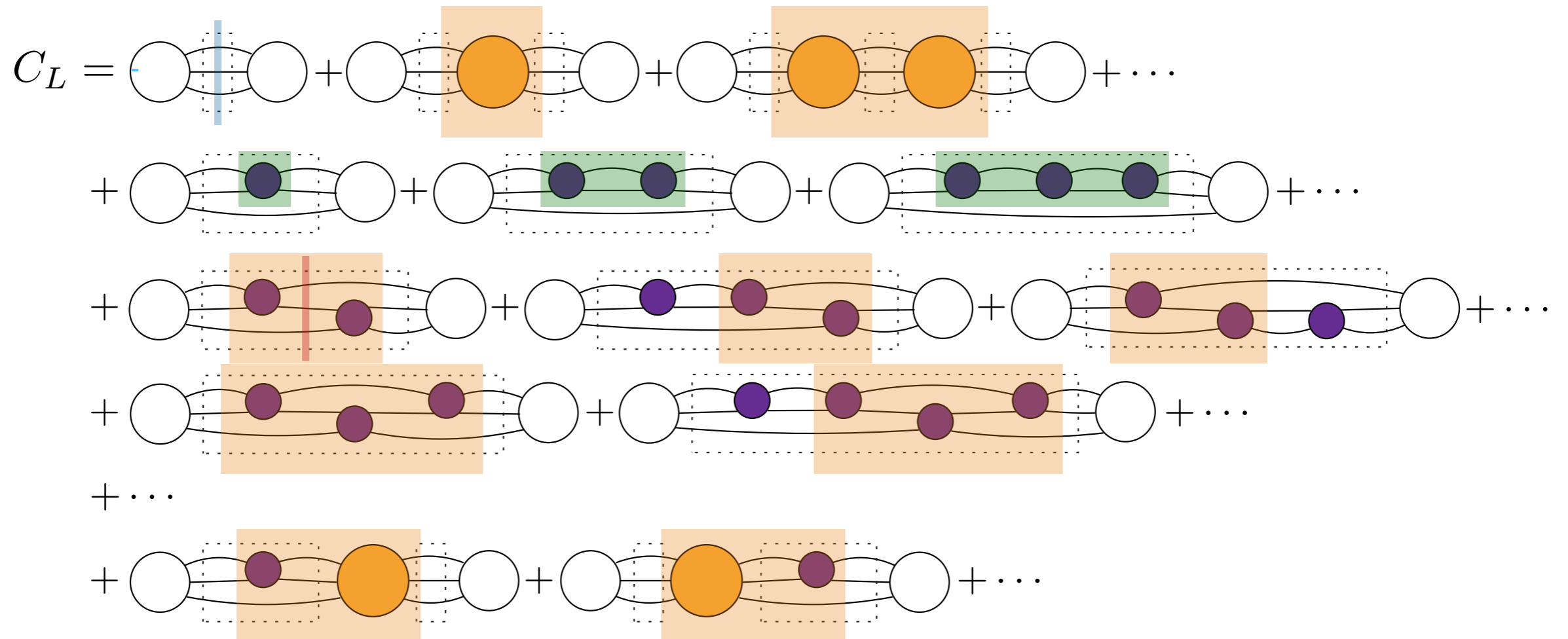
$$\mathbf{A}'_3 \mathbf{F} \mathbf{K}_2 \mathbf{G} \mathbf{K}_2 \mathbf{F} \mathbf{A}_3 + \dots$$

have not yet considered entire diagram contributions

missing contributions from *off-shellness*

missing smooth terms (short-distance parts)

Short-distance parts & summation



$$C_L - C_\infty = \mathbf{A}'_3 \mathbf{F}_{33} \mathbf{A}_3 + \mathbf{A}'_3 \mathbf{F}_{33} \mathbf{K}_{\text{df},3} \mathbf{F}_{33} \mathbf{A}_3 + \dots$$

$$= \mathbf{A}'_3 \frac{1}{\mathbf{F}_{33}^{-1} + \mathbf{K}_{\text{df},3}} \mathbf{A}_3$$

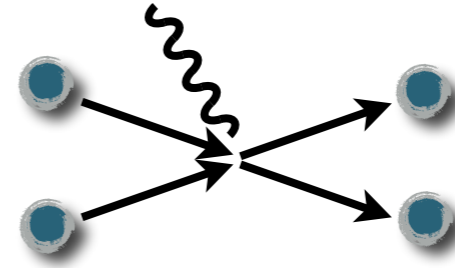
$$\mathbf{F}_{33} \equiv \frac{1}{3} \mathbf{F} + \mathbf{F} \mathbf{K}_2 \frac{1}{1 - (\mathbf{F} + \mathbf{G}) \mathbf{K}_2} \mathbf{F}$$

no term left behind

$$2 + \mathcal{J} \rightarrow 2$$

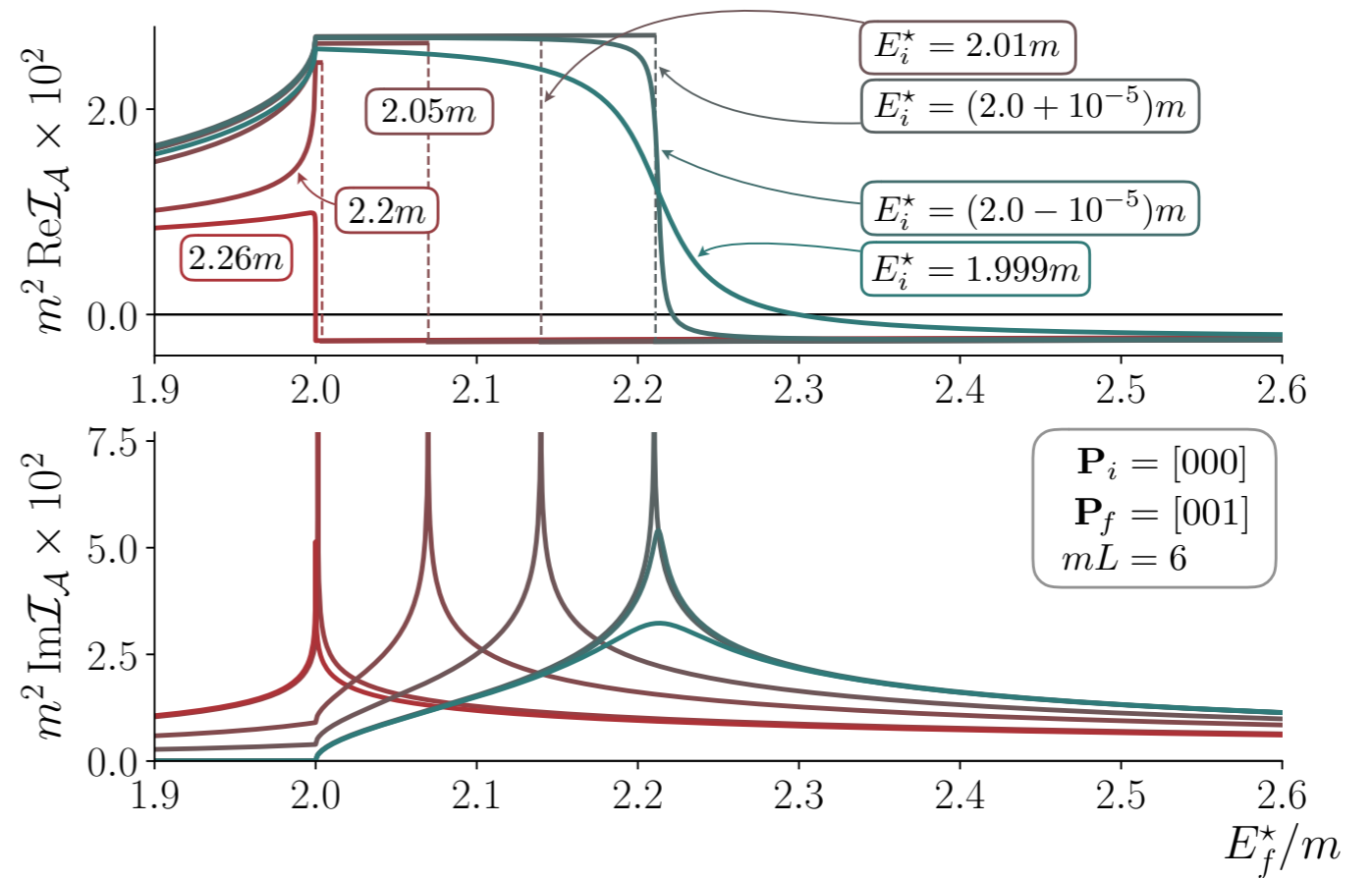
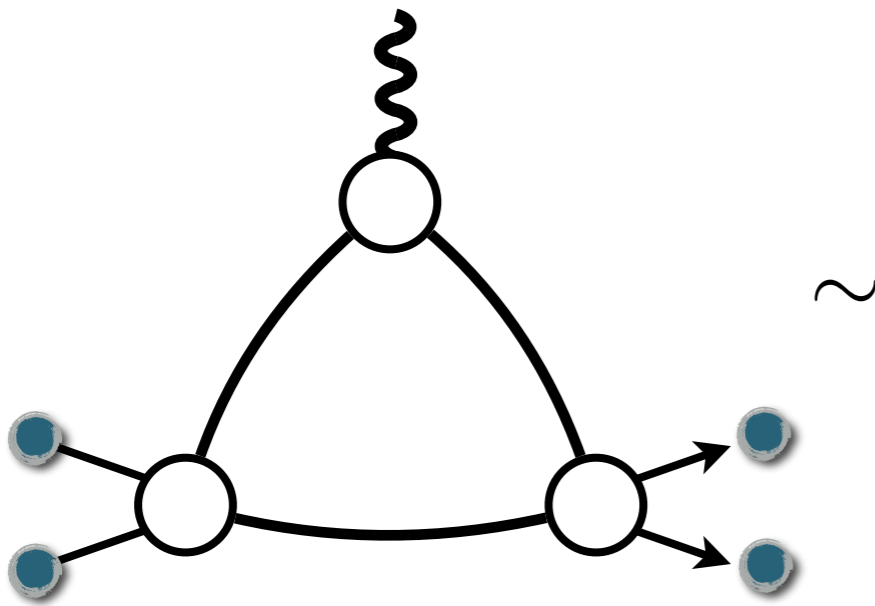
- Formalism for multi-hadron form factors

$$\langle \pi\pi, \text{out} | \mathcal{J}_\mu | \pi\pi, \text{in} \rangle \equiv$$



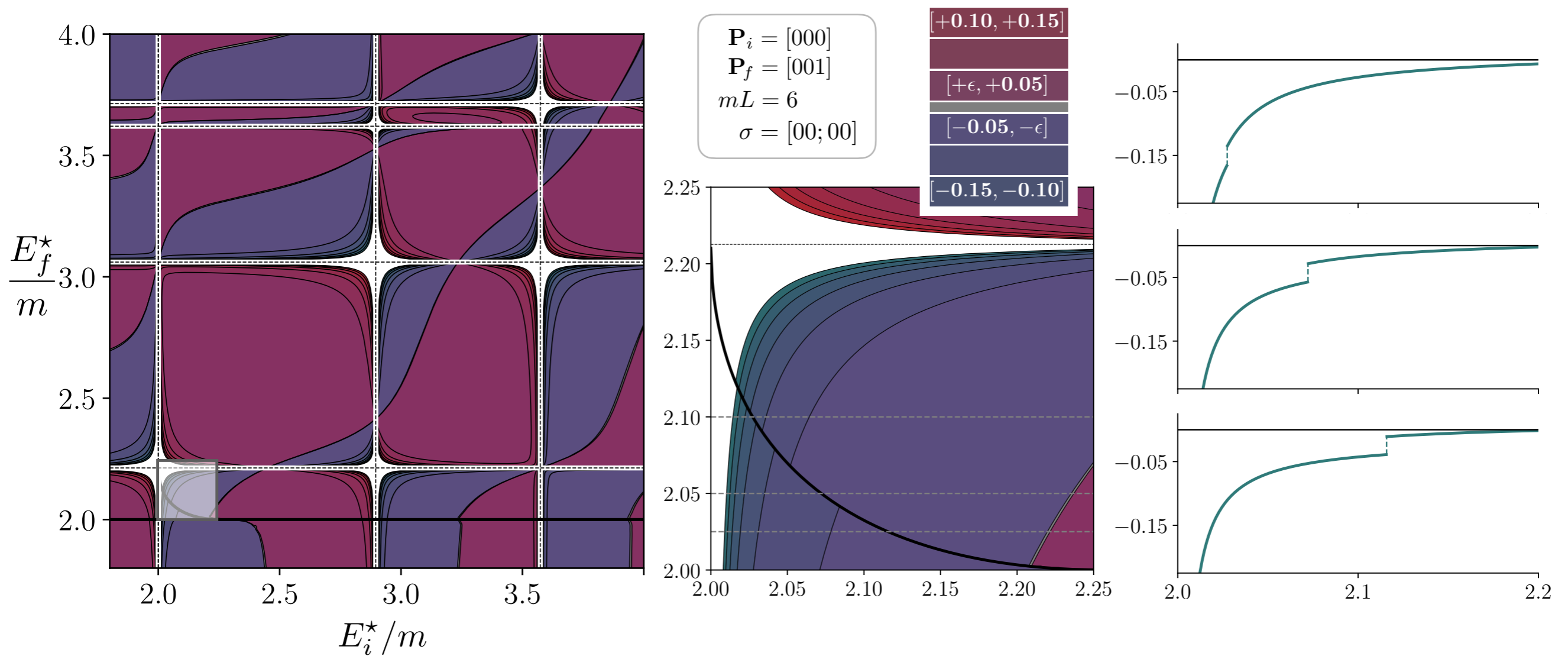
- Continuation to the pole \rightarrow *resonance form factors*

- Must carefully treat *triangle singularities*



$$2 + \mathcal{J} \rightarrow 2$$

$$C_L^{2 \rightarrow 2}(P_f, P_i) = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \text{Diagram 4} + \dots$$



Two strategies...

Finite-volume as a tool

- LQCD → Energies and matrix elements

$$\langle \mathcal{O}_j(\tau) \mathcal{O}_i^\dagger(0) \rangle = \sum_n \langle 0 | \mathcal{O}_j(\tau) | E_n \rangle \langle E_n | \mathcal{O}_i^\dagger(0) | 0 \rangle = \sum_n e^{-E_n(L)\tau} Z_{n,j} Z_{n,i}^*$$

- Our task is relate $E_n(L)$ and $\langle E_{m'} | \mathcal{J}(0) | E_m \rangle$ to **experimental observables**
- Applicable only in limited energy range for two- and three-hadron states

Spectral function method

- Formally applies for any number of particles / any energy range
- An answer to the question... “Can’t you just analytically continue?”
- Still important challenges and limitations to consider

Two strategies...

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Correlation functions \rightarrow observables

- Lattice QCD gives finite-volume Euclidean correlators

$$\langle 0 | \mathcal{O}_1(0) e^{-\hat{H}\tau} \mathcal{O}_2(0) | 0 \rangle_L \quad \text{have}$$

- Complete physical information is contained in...

$$\langle 0 | \mathcal{O}_1(0) f(\hat{H}) \mathcal{O}_2(0) | 0 \rangle_\infty \quad \text{want}$$

Correlation functions \rightarrow observables

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- Complete physical information is contained in...

$$\langle 0 | \mathcal{O}_1(0) f(\hat{H}) \mathcal{O}_2(0) | 0 \rangle_\infty \quad \text{want}$$

- Detailed choice of $f(E)$ and operators determines the observable

R-ratio

$$\langle 0 | j_\mu(0) \delta(\hat{H} - \omega) j_\mu(0) | 0 \rangle_\infty$$

Meyer • Bailas, Hashimoto, Ishikawa (2020)
Alexandrou et al. (2022)

D-meson total lifetime

$$\langle D | \mathcal{H}_W(0) \delta(M_D - \hat{H}) \mathcal{H}_W(0) | D \rangle_\infty$$

MTH, Meyer, Robaina (2017)

$\pi\pi \rightarrow \pi\pi$ amplitude

$$\langle \pi | \pi(0) \frac{1}{E - \hat{H} + i\epsilon} \pi(0) | \pi \rangle_\infty$$

Bulava, MTH (2019)

$j \rightarrow \pi\pi$ amplitude

$$\langle \pi | \pi(0) \frac{1}{E - \hat{H} + i\epsilon} j_\mu(0) | 0 \rangle_\infty$$

Linear reconstruction

$$\underbrace{\langle \mathcal{O}(0) e^{-\hat{H}\tau} \mathcal{O}(0) \rangle}_{\text{have}} = \int d\omega e^{-\omega\tau} \underbrace{\langle \mathcal{O}(0) \delta(\omega - \hat{H}) \mathcal{O}(0) \rangle}_{\text{want}}$$

$$\underbrace{G(\tau)}_{\text{have}} = \int d\omega e^{-\omega\tau} \underbrace{\rho(\omega)}_{\text{want}}$$

□ **Linear, model-independent reconstruction** (e.g. Backus-Gilbert-like, Chebyshev)

$$\sum_{\tau} \mathcal{K}(\bar{\omega}, \tau) G(\tau) = \sum_{\tau} \mathcal{K}(\bar{\omega}, \tau) \int d\omega e^{-\omega\tau} \rho(\omega)$$

Linear reconstruction

$$\underbrace{\langle \mathcal{O}(0) e^{-\hat{H}\tau} \mathcal{O}(0) \rangle}_{\text{have}} = \int d\omega e^{-\omega\tau} \underbrace{\langle \mathcal{O}(0) \delta(\omega - \hat{H}) \mathcal{O}(0) \rangle}_{\text{want}}$$

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□ **Linear, model-independent reconstruction** (e.g. Backus-Gilbert-like, Chebyshev)

$$\begin{aligned} \sum_{\tau} \mathcal{K}(\bar{\omega}, \tau) G(\tau) &= \sum_{\tau} \mathcal{K}(\bar{\omega}, \tau) \int d\omega e^{-\omega\tau} \rho(\omega) = \int d\omega \left[\sum_{\tau} \mathcal{K}(\bar{\omega}, \tau) e^{-\omega\tau} \right] \rho(\omega) \\ &= \int d\omega \hat{\delta}_{\Delta}(\bar{\omega}, \omega) \rho(\omega) \end{aligned}$$

← δ is exactly known

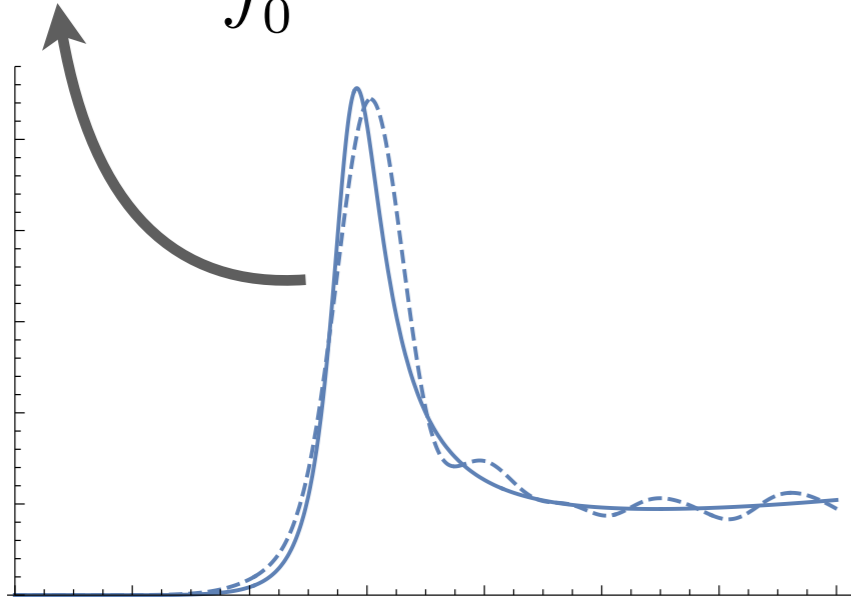
□ **Non-linear** (*not discussed here...*)

- Maximum Entropy Method (MEM)
- Direct fits
- Neural networks

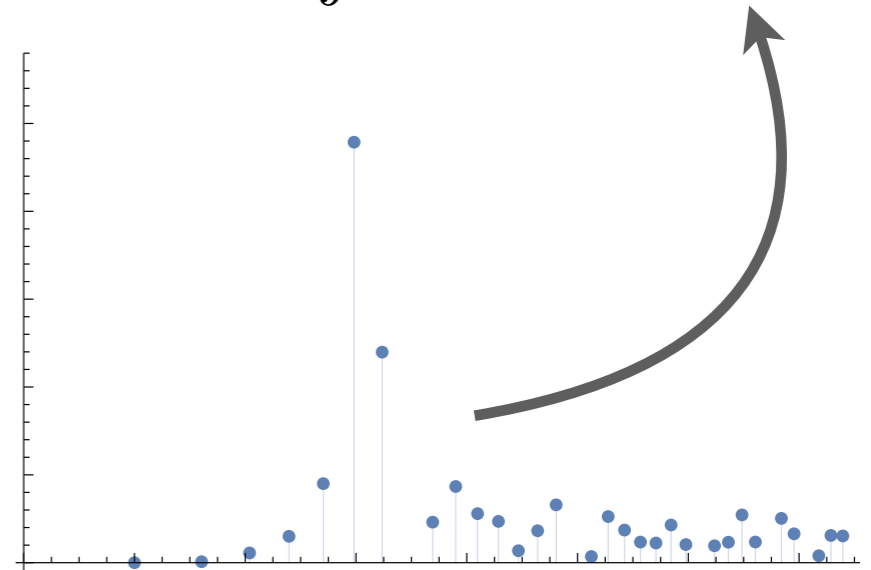
See multiple ECT and CERN workshops, work by Aarts, Allton, Amato, Brandt, Burnier, Del Debbio, Francis, Giudice, Hands, Harris, Hashimoto, Jäger, Karpie, Liu, Meyer, Monahan, Orginos, Robaina, Rothkopf, Ryan, ...*

Role of the finite volume

$$\hat{\rho}_L(\bar{\omega}) \equiv \int_0^\infty d\omega \hat{\delta}_\Delta(\bar{\omega}, \omega) \rho_L(\omega)$$



$$G_L(\tau) = \int d\omega e^{-\omega\tau} \rho_L(\omega)$$



- Any reconstructed spectral function that \neq forest of deltas...
contains implicit smearing (or else $L \rightarrow \infty$)

We require...

$$1/L \ll \Delta \ll \mu_{\text{physical}}$$

smearing function
covers many delta peaks

smearing does not overly
distort observable

1+1 O(3) Model

□ Integrable theory with some nice similarities to QCD

- Asymptotically free
- Dynamically generated mass gap
- Iso-spin like symmetry
- Conserved iso-vector vector current

$$S[\sigma] = \frac{1}{2g^2} \int d^2x \partial_\mu \sigma(x) \cdot \partial_\mu \sigma(x)$$

$$j_\mu^c(x) = \frac{1}{g^2} \epsilon^{abc} \sigma^a(x) \partial_\mu \sigma^b(x)$$

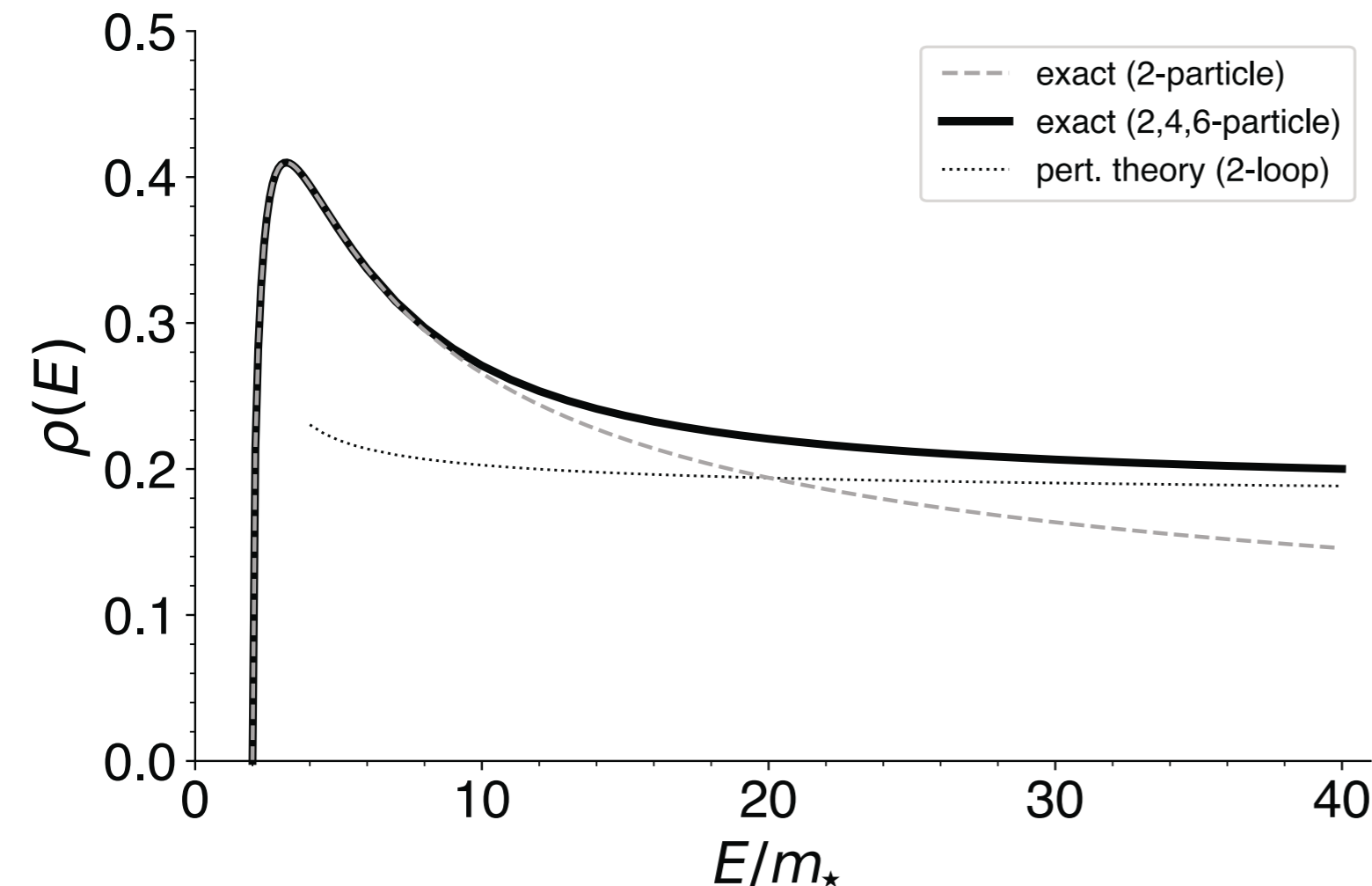
conserved current

$$\rho(E) = 2\pi \langle \Omega | \hat{j}_1^a(0) \delta^2(\hat{P} - p) \hat{j}_1^a(0) | \Omega \rangle$$

spectral function

$$\rho^{(2)}(E) = \frac{3\pi^3}{8\theta^2} \frac{\theta^2 + \pi^2}{\theta^2 + 4\pi^2} \tanh^3 \frac{\theta}{2}$$

$$\theta = 2 \cosh^{-1} \frac{E}{2m}$$



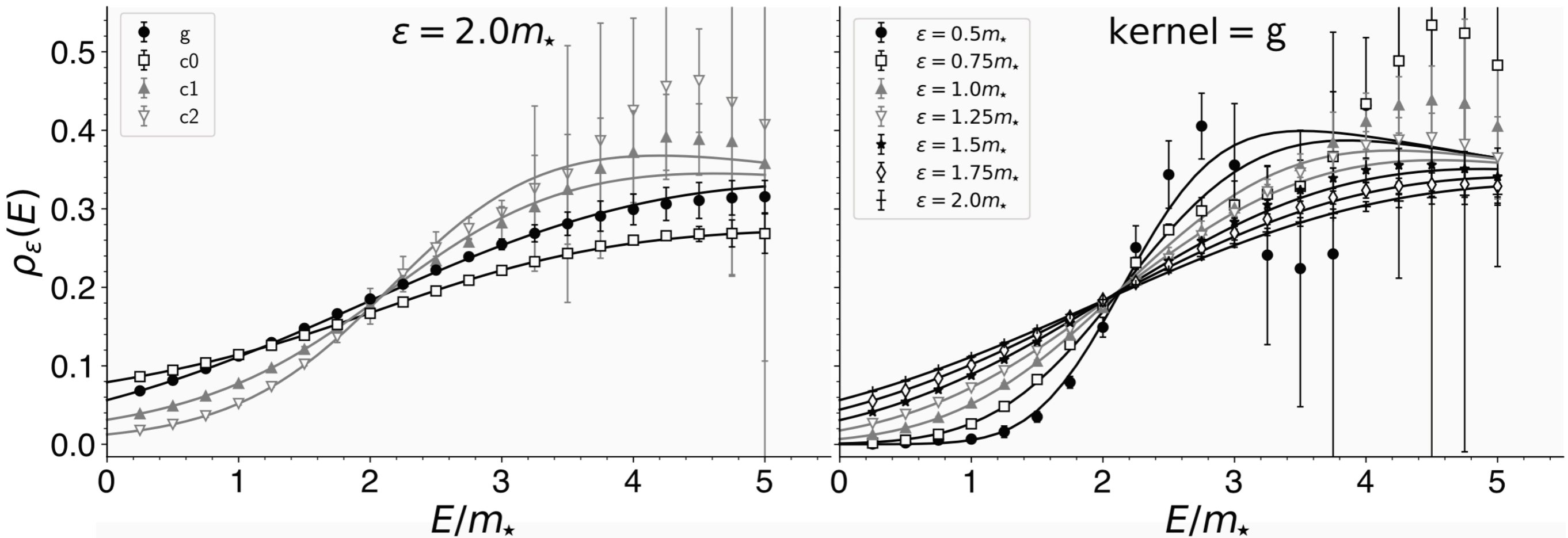
Smearred spectral function vs analytic result

□ Construct different smearings of $\rho(\omega)$

$$\rho_\epsilon^\lambda(E) = \int_0^\infty d\omega \delta_\epsilon^\lambda(E, \omega) \rho(\omega)$$

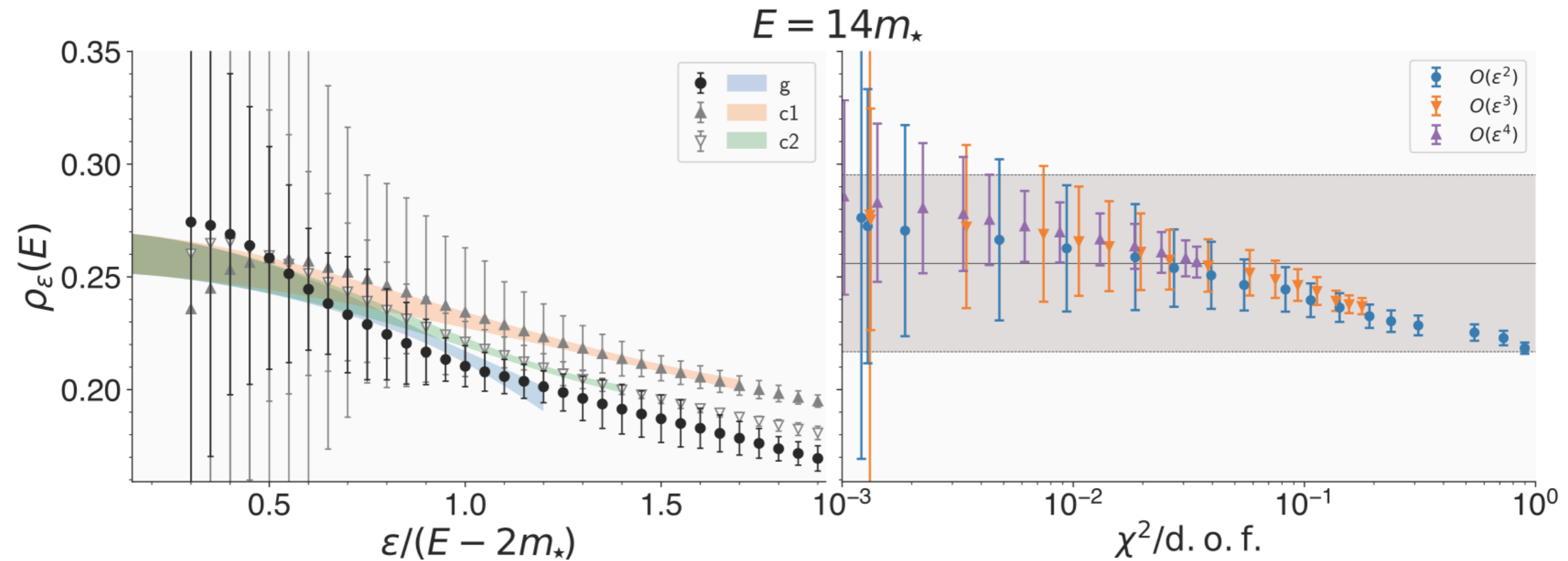
$$\delta_\epsilon^g(x) = \frac{1}{\sqrt{2\pi}\epsilon} \exp\left[-\frac{x^2}{2\epsilon^2}\right], \quad \delta_\epsilon^{c0}(x) = \frac{1}{\pi} \frac{\epsilon}{x^2 + \epsilon^2},$$

$$\delta_\epsilon^{c1}(x) = \frac{2}{\pi} \frac{\epsilon^3}{(x^2 + \epsilon^2)^2}, \quad \delta_\epsilon^{c2}(x) = \frac{8}{3\pi} \frac{\epsilon^5}{(x^2 + \epsilon^2)^3}.$$



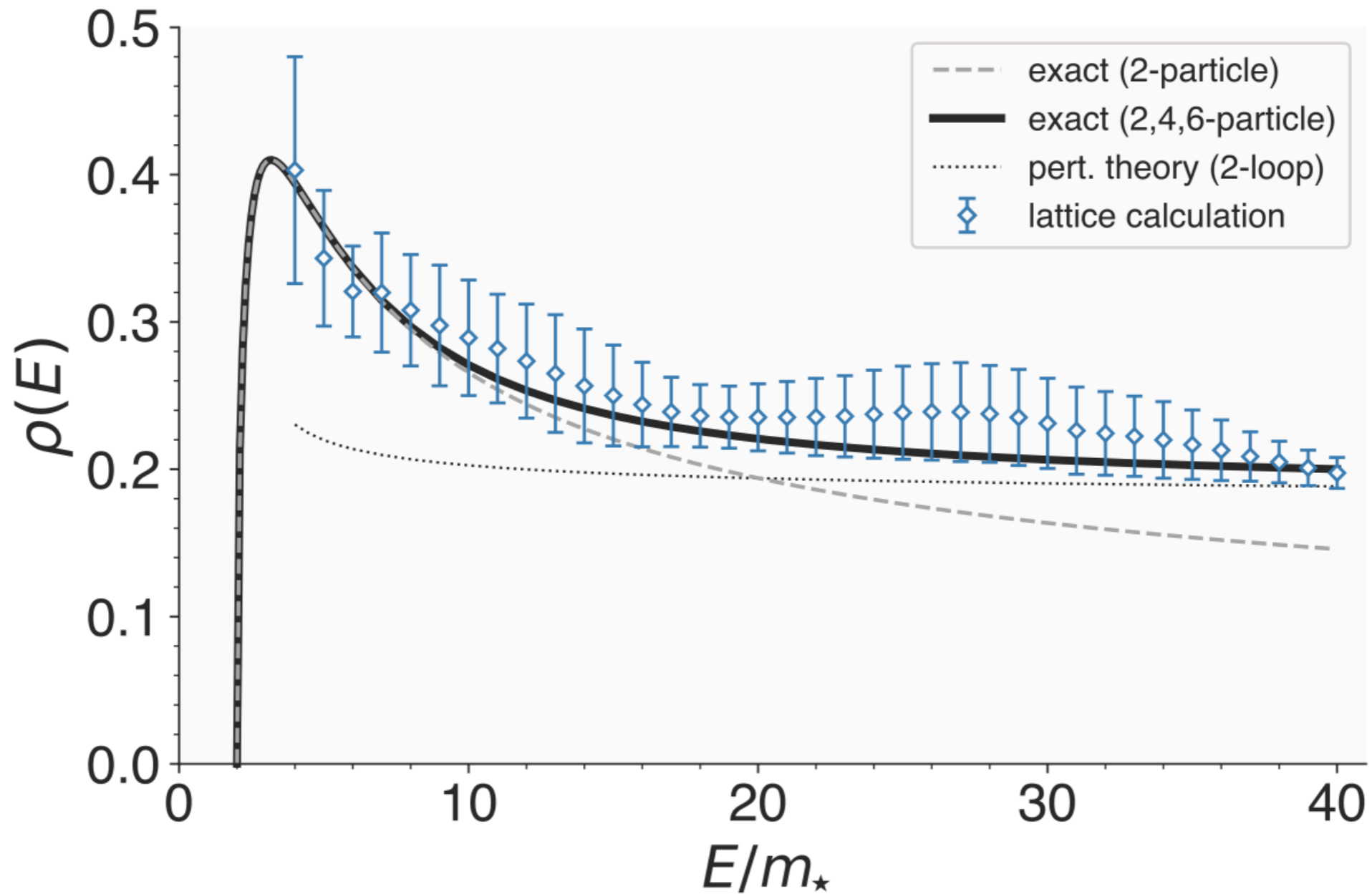
Extrapolation

☐ Targeting $\rho(E)$ for $E = 14m_*$ here



☐ Use known relations between different smearing kernels

Result



Bulava, MTH, Hansen, Patella, Tantaló (2021)

Many QCD applications already published... see work by A. Barone, S. Hashimoto, A. Jüttner, T. Kaneko, R. Kellermann, R. Frezzotti, G. Gagliardi, V. Lubicz, F. Sanfilippo, S. Simula ...