

# Theoretical Foundations of Flavor Physics II

## CP-violation and heavy quarks



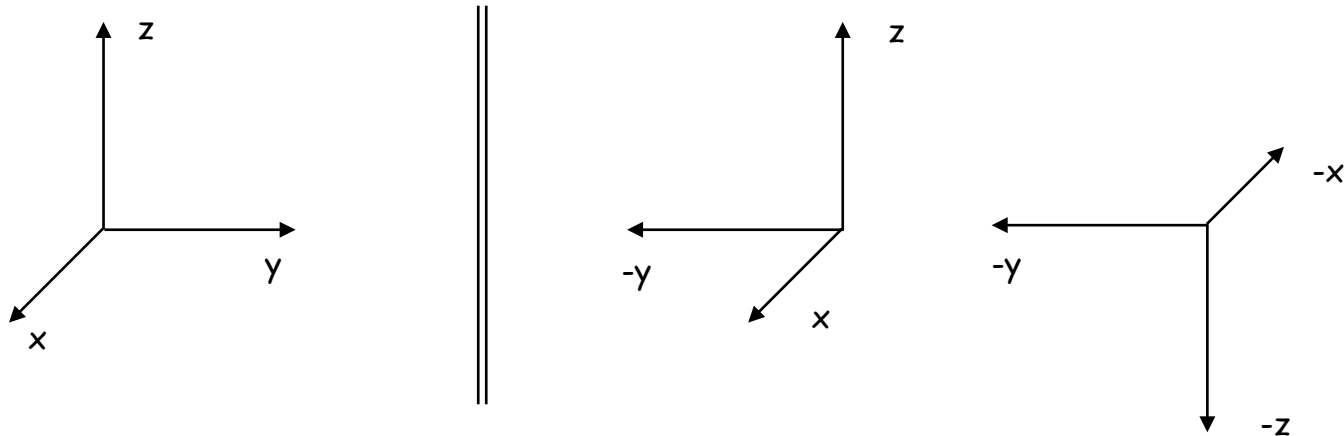
### Table of Contents:

- Introduction: why Flavor Physics
- Flavor in the Standard Model
- New Physics models and their consequences
- Conclusions

# Introduction: what are C,P, & T classically?

## ★ The meaning of discrete symmetries in classical mechanics

- Parity [P] transformation:  $\vec{r} \rightarrow -\vec{r}$  Reflection through a mirror, followed by a rotation of  $\pi$  around an axis defined by the mirror plane.



- Time-reversal [T] transformation:  $t \rightarrow -t$  Flips the arrow of time
- Charge-conjugation [C] transformation Changes particles into antiparticles (\*)

# Introduction: what are C,P, & T classically?

## ★ The meaning of discrete symmetries in classical mechanics

Parity [P] transformation:  $\vec{r} \rightarrow -\vec{r}$  || Time-reversal [T] transformation:  $t \rightarrow -t$

$$\vec{v} = \frac{d\vec{r}}{dt} \quad \text{odd under P} \quad \text{odd under T}$$

$$\vec{p} = m\vec{v} \quad \text{odd under P} \quad \text{odd under T}$$

$$\vec{F} = \frac{d\vec{p}}{dt} \quad \text{odd under P} \quad \text{even under T}$$

$$\vec{L} = \vec{r} \times \vec{p} \quad \text{even under P} \quad \text{odd under T} \quad (\text{so is spin})$$

Q: how is this supposed to work for quantum mechanics with  $[r_i, p_k] = i\delta_{ik}$  ?

- Lorentz force allows us to see how electric and magnetic fields react upon application of P and T

$$\vec{F}_{Lorentz} = q \left( \vec{E} + \vec{v} \times \vec{B} \right)$$

$$\vec{F} \text{ and } \vec{v} \text{ are odd under P:}$$
$$\vec{E} \rightarrow -\vec{E} \text{ and } \vec{B} \rightarrow \vec{B}$$

$$\vec{F} \text{ is even and } \vec{v} \text{ is odd under T:}$$
$$\vec{E} \rightarrow \vec{E} \text{ and } \vec{B} \rightarrow -\vec{B}$$

# Introduction: what are C,P, & T classically?

## ★ The meaning of discrete symmetries in classical electrodynamics

- We can now see how equations of motion change under P and T

Under P:  $\vec{E}(\vec{r}, t) \rightarrow -\vec{E}(-\vec{r}, t)$   
 $\vec{B}(\vec{r}, t) \rightarrow \vec{B}(-\vec{r}, t)$   
 $\nabla \rightarrow -\nabla$   
 $\vec{j}(\vec{r}, t) \rightarrow -\vec{j}(-\vec{r}, t)$

Under T:  $\vec{E}(\vec{r}, t) \rightarrow \vec{E}(\vec{r}, -t)$   
 $\vec{B}(\vec{r}, t) \rightarrow -\vec{B}(\vec{r}, -t)$   
 $\partial/\partial t \rightarrow -\partial/\partial t$   
 $\vec{j}(\vec{r}, t) \rightarrow -\vec{j}(\vec{r}, -t)$

| Equation  | P | T | C | CPT |
|---|---|---|---|-----|
| $\nabla \cdot \mathbf{E} = 4\pi\rho$  | + | + | - | -   |
| $\nabla \cdot \mathbf{B} = 0$   | - | - | - | -   |
| $\nabla \times \mathbf{B} - \frac{1}{c} \frac{\partial \mathbf{E}}{\partial t} = \frac{4\pi}{c} \mathbf{j}$ | - | - | - | -   |
| $\nabla \times \mathbf{E} + \frac{1}{c} \frac{\partial \mathbf{B}}{\partial t} = 0$                         | + | + | - | -   |

Q: What about  $\vec{E} \cdot \vec{B}$ ?

- Technically, there is no C-parity in classical physics (no antiparticles)...

Under C:  $\rho(\vec{r}, t) \rightarrow -\rho(\vec{r}, t), \quad \vec{j}(\vec{r}, t) \rightarrow -\vec{j}(\vec{r}, t)$   
 $\vec{E}(\vec{r}, t) \rightarrow -\vec{E}(\vec{r}, t), \quad \vec{B}(\vec{r}, t) \rightarrow -\vec{B}(\vec{r}, t)$  (fields changed signs since their sources changed signs)

Discrete symmetries are conserved in classical E&M. Need quantum mechanics?

# C,P, &T in Quantum Field Theory

## ★ The meaning of discrete symmetries in Quantum Field Theory

- C and P are unitary operators:  $C^\dagger = C^{-1}$  and  $P^\dagger = P^{-1}$ 
  - ... and if they are good symmetries, they commute with the Hamiltonian,

$$[C, \mathcal{H}] = 0 \quad \text{and} \quad [P, \mathcal{H}] = 0$$

- for the scattering matrix  $S = 1 + iT$ ,

$$CSC^\dagger = S \quad \text{and} \quad PSP^\dagger = S$$

- note, however that weak interactions break both, so  $[C, \mathcal{H}_W] \neq 0, [P, \mathcal{H}_W] \neq 0$

- ... but T is anti-unitary:  $i \frac{\partial \psi}{\partial t} = -\frac{\vec{\nabla}^2}{2m} \psi$ 
  - only possible if T also switched  $i \rightarrow -i$ , and  $\psi \rightarrow \psi^*$ !
  - T-odd      T-even

- recall that an anti-unitary operator  $A=UK$ , where  $U^\dagger = U^{-1}$   
and  $K[\alpha |\psi_1\rangle + \beta |\psi_2\rangle] = \alpha^* |\psi_1^\dagger\rangle + \beta^* |\psi_2^\dagger\rangle$

- it interchanges in- and out- states in the S-matrix:  $TST^{-1} = S^\dagger$

# C,P, &T in Quantum Field Theory

## ★ The meaning of discrete symmetries in Quantum Field Theory

- Quantum fields in QFT are Hermitian operators
  - written as linear combinations of creation/annihilation operators

$$[CP]\phi(\vec{r}, t)[CP]^\dagger = \exp(i\alpha)\phi^\dagger(-\vec{r}, t)$$

$$[CP]\psi(\vec{r}, t)[CP]^\dagger = \exp(i\beta)\gamma_0 C A^T \psi^\dagger T(-\vec{r}, t)$$

$$[CP]\bar{\psi}(\vec{r}, t)[CP]^\dagger = -\exp(-i\beta)\psi^T(-\vec{r}, t)C^{-1}\gamma_0$$

$$A\gamma_\mu = \gamma_\mu^\dagger A$$

$$\gamma_\mu C = -C\gamma_\mu^T$$

- We can summarize actions of discrete symmetries on fermionic currents:

|                                    | P                                   | T                                  | C                                   | CP                                  | CPT                                 |
|------------------------------------|-------------------------------------|------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| $\bar{\psi}\chi$                   | $\bar{\psi}\chi$                    | $\bar{\psi}\chi$                   | $\bar{\chi}\psi$                    | $\bar{\chi}\psi$                    | $\bar{\chi}\psi$                    |
| $\bar{\psi}\gamma_5\chi$           | $-\bar{\psi}\gamma_5\chi$           | $\bar{\psi}\gamma_5\chi$           | $\bar{\chi}\gamma_5\psi$            | $-\bar{\chi}\gamma_5\psi$           | $-\bar{\chi}\gamma_5\psi$           |
| $\bar{\psi}\gamma_L\chi$           | $\bar{\psi}\gamma_R\chi$            | $\bar{\psi}\gamma_L\chi$           | $\bar{\chi}\gamma_L\psi$            | $\bar{\chi}\gamma_R\psi$            | $\bar{\chi}\gamma_R\psi$            |
| $\bar{\psi}\gamma_R\chi$           | $\bar{\psi}\gamma_L\chi$            | $\bar{\psi}\gamma_R\chi$           | $\bar{\chi}\gamma_R\psi$            | $\bar{\chi}\gamma_L\psi$            | $\bar{\chi}\gamma_L\psi$            |
| $\bar{\psi}\gamma^\mu\chi$         | $\bar{\psi}\gamma_\mu\chi$          | $\bar{\psi}\gamma_\mu\chi$         | $-\bar{\chi}\gamma^\mu\psi$         | $-\bar{\chi}\gamma_\mu\psi$         | $-\bar{\chi}\gamma^\mu\psi$         |
| $\bar{\psi}\gamma^\mu\gamma_5\chi$ | $-\bar{\psi}\gamma_\mu\gamma_5\chi$ | $\bar{\psi}\gamma_\mu\gamma_5\chi$ | $\bar{\chi}\gamma^\mu\gamma_5\psi$  | $-\bar{\chi}\gamma_\mu\gamma_5\psi$ | $-\bar{\chi}\gamma^\mu\gamma_5\psi$ |
| $\bar{\psi}\gamma^\mu\gamma_L\chi$ | $\bar{\psi}\gamma_\mu\gamma_R\chi$  | $\bar{\psi}\gamma_\mu\gamma_L\chi$ | $-\bar{\chi}\gamma^\mu\gamma_R\psi$ | $-\bar{\chi}\gamma_\mu\gamma_L\psi$ | $-\bar{\chi}\gamma^\mu\gamma_L\psi$ |
| $\bar{\psi}\gamma^\mu\gamma_R\chi$ | $\bar{\psi}\gamma_\mu\gamma_L\chi$  | $\bar{\psi}\gamma_\mu\gamma_R\chi$ | $-\bar{\chi}\gamma^\mu\gamma_L\psi$ | $-\bar{\chi}\gamma_\mu\gamma_R\psi$ | $-\bar{\chi}\gamma^\mu\gamma_R\psi$ |
| $\bar{\psi}\sigma^{\mu\nu}\chi$    | $\bar{\psi}\sigma_{\mu\nu}\chi$     | $-\bar{\psi}\sigma_{\mu\nu}\chi$   | $-\bar{\chi}\sigma^{\mu\nu}\psi$    | $-\bar{\chi}\sigma_{\mu\nu}\psi$    | $\bar{\chi}\sigma^{\mu\nu}\psi$     |

Branco, Lavoura, Silva

# Example of CP-violating operators

★ In any quantum field theory CP-symmetry can be broken

- recall terms like  $\vec{E} \cdot \vec{B}$  for E&M; can write a similar one for QCD!

$$\mathcal{L} = \mathcal{L}_{QCD} + \frac{\theta g^2}{32\pi^2} G_a^{\mu\nu} \tilde{G}_{a\mu\nu}$$

- ... but this is a problem, as a combination

$$\bar{\theta} = \theta + \text{Arg} [\det M] \quad \text{with} \quad -\mathcal{L}_M = \overline{q_{Ri}} M_{ik} q_{Lk} + h.c.$$

- ...is observable as an electric dipole moment of a neutron:

$$d_n \simeq e m_q \bar{\theta} / M_n^2 \approx 10^{-16} \bar{\theta} \text{ ecm}$$

★ A variety of proposed solutions exist (axions, anthropic, etc)

How can CP-violation probed with flavor physics?

# B physics



- How can CP-violation be observed with b-quarks?
  - can be observed by comparing CP-conjugated decay rates in various ways, both with and w/out time dependence

$$a_{CP}(f) = \frac{\Gamma(B \rightarrow f) - \Gamma(\bar{B} \rightarrow \bar{f})}{\Gamma(B \rightarrow f) + \Gamma(\bar{B} \rightarrow \bar{f})}$$

- can manifest itself in charm  $\Delta B=1$  transitions (direct CP-violation)

$$\Gamma(B \rightarrow f) \neq \Gamma(CP[B] \rightarrow CP[f]) \quad \text{dCPV}$$

- or in  $\Delta B=2$  transitions (indirect CP-violation): mixing  $|B_{1,2}\rangle = p|B^0\rangle \pm q|\bar{B}^0\rangle$

$$R_m^2 = |q/p|^2 = \left| \frac{2M_{12}^* - i\Gamma_{12}^*}{\Delta m - (i/2)\Delta\Gamma} \right|^2 = 1 + A_m \neq 1 \quad \text{CPVmix}$$

- or in the interference b/w decays ( $\Delta B=1$ ) and mixing ( $\Delta B=2$ )

$$\lambda_f = \frac{q}{p} \frac{\bar{A}_f}{A_f} = R_m e^{i(\phi+\delta)} \left| \frac{\bar{A}_f}{A_f} \right| \quad \text{CPVint}$$

# Recall from this morning: CP-violation in the SM

★ CP-violation in the SM is related to a single phase of the CKM matrix

- there are MULTIPLE ways to parameterize CKM matrix

- Wolfenstein parameterization (parameters:  $\lambda \sim 0.22$ ,  $A \sim 0.83$ ,  $\rho \sim 0.15$ ,  $\eta \sim 0.35$ )

$$V \equiv \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} = \begin{bmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{bmatrix}$$

- Buras-Wolfenstein parameterization (with  $\bar{\rho} = \rho(1 - \lambda^2/2)$  and  $\bar{\eta} = \eta(1 - \lambda^2/2)$ )

$$V = \begin{bmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\bar{\rho} - i\bar{\eta}) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \bar{\rho} - i\bar{\eta}) & -A\lambda^2 & 1 \end{bmatrix} \quad (\text{note } \bar{\rho} + i\bar{\eta} = -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*})$$

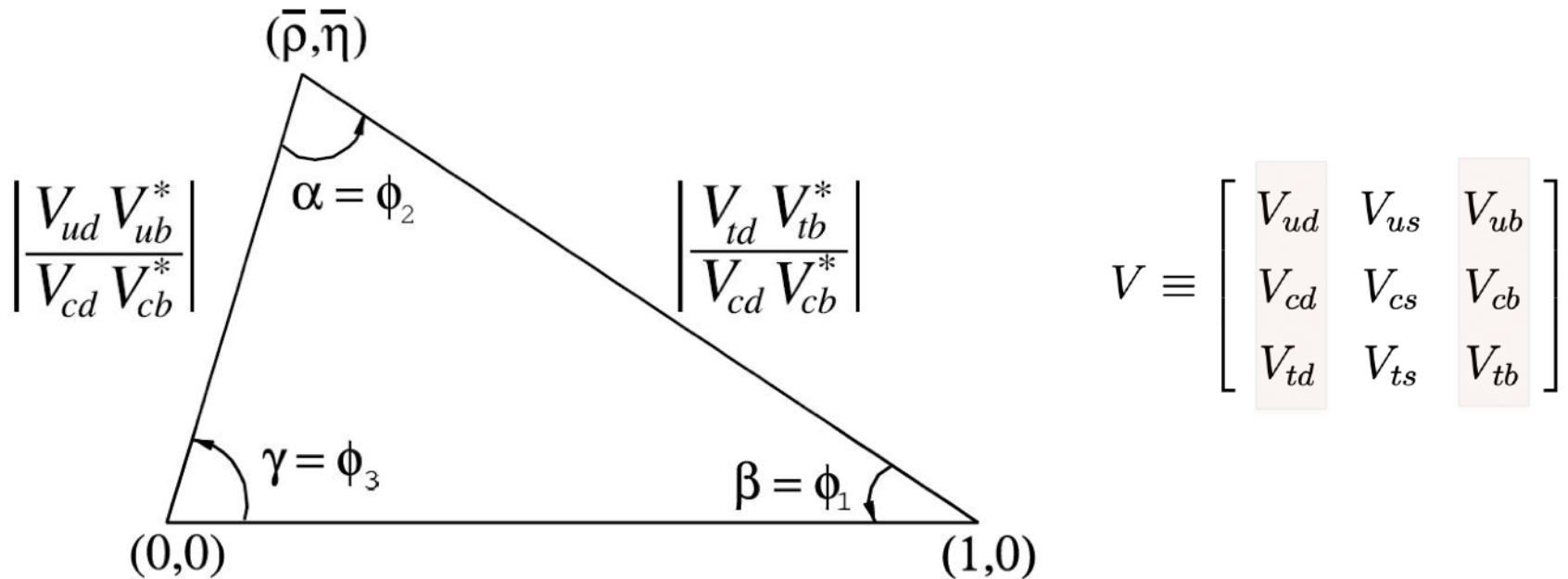
- off-diagonal terms in relations  $VV^\dagger=1$  look like triangles in a complex plane

★ CP-violation in flavor transitions can be learned by studying the CKM matrix

# Recall: CP-violation in the SM

## ★ There is a single phase of the CKM matrix for 3-generation SM

- off-diagonal terms in unitarity relations  $VV^\dagger=1$  look like triangles in a complex plane  $(\rho, \eta)$ , e.g.  $V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$  Each term is  $\mathcal{O}(\lambda^3)$



- angles are
  - $\phi_1(\beta) = \arg [-V_{cd}V_{cb}^*/V_{td}V_{tb}^*]$  phase of  $V_{td}$  in Wolfenstein param
  - $\phi_2(\alpha) = \arg [-V_{td}V_{tb}^*/V_{ud}V_{ub}^*]$
  - $\phi_3(\gamma) = \arg [-V_{ud}V_{ub}^*/V_{cd}V_{cb}^*]$  phase of  $V_{ub}$  in Wolfenstein param

## 2. Time-independent (direct) CP-violation

### ★ Direct CP-violating asymmetries probe CP-violation in $\Delta B=1$ amplitudes

- CP-asymmetries compare partial rates of CP-conjugated decays


$$a_{CP}(f) = \frac{\Gamma(B \rightarrow f) - \Gamma(\bar{B} \rightarrow \bar{f})}{\Gamma(B \rightarrow f) + \Gamma(\bar{B} \rightarrow \bar{f})} \quad (\text{both charged and neutral B's})$$

- a non-vanishing decay asymmetry requires that a decay amplitude
  - contain several components each of which has its own strong and weak phases
  - strong phases: do not change under CP transformation of the decay amplitude
  - weak phases: flip sign under CP transformation of the decay amplitude

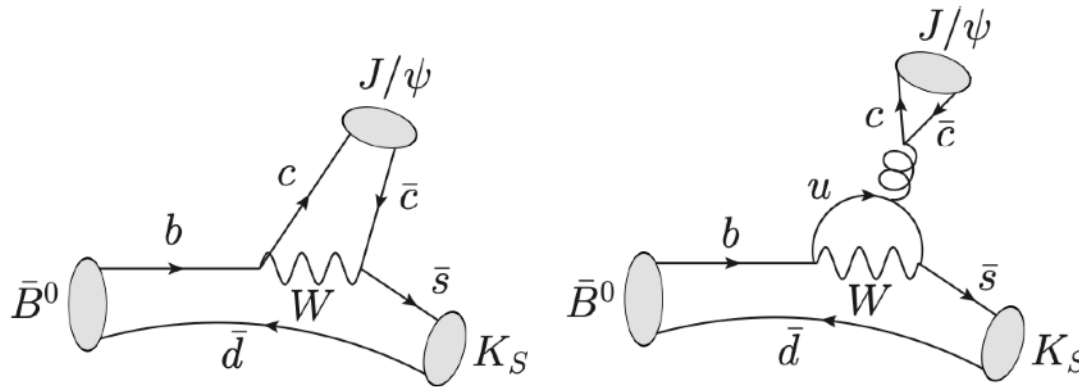
$$A(B \rightarrow f) \equiv A_f = |A_{f1}|e^{i\delta_1}e^{i\theta_1} + |A_{f2}|e^{i\delta_2}e^{i\theta_2}$$

- Now we can form the CP-asymmetry

$$a_{CP}(f) = 2r_f \sin(\theta_1 - \theta_2) \sin(\delta_1 - \delta_2) \quad \text{with} \quad r_f = \left| \frac{A_{f2}}{A_{f1}} \right|$$

  
weak                      strong

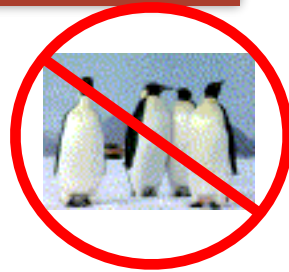
- How can one compute the amplitudes (especially the strong phase difference)
  - QCD factorization (with Bander-Silverman-Soni mechanism)



- experimental fits to flavor flow/flavor SU(3) amplitude basis

# Loopless studies of CP-violation: CKM angle $\phi_3$

★ There are ways to study CP-violation without penguin loops



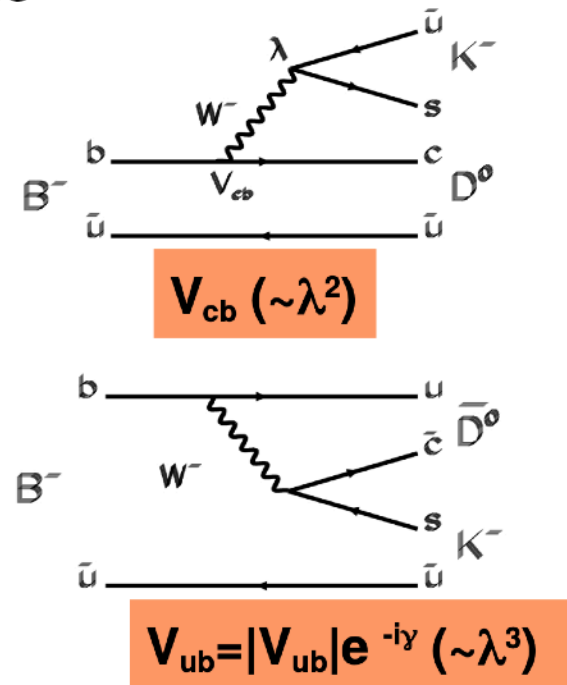
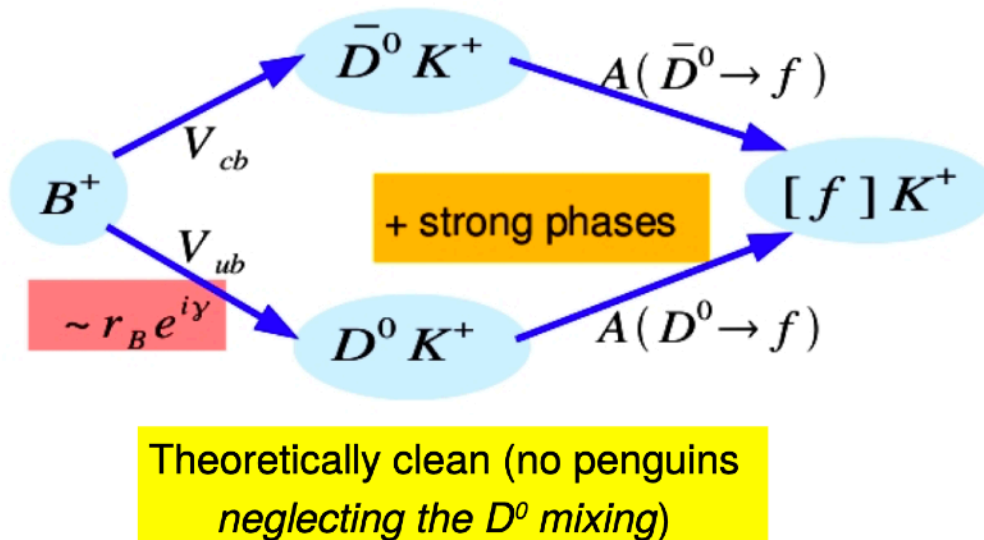
- cleanest signals involve interference of  $b \rightarrow c\bar{u}s$  and  $b \rightarrow u\bar{c}s$

- **via**  $B^\pm \rightarrow D \left( \left[ D^0, \bar{D}^0, D_{CP} \right] \rightarrow f \right) K^\pm$  GWS (Gronau, Wyler, London)
- **via**  $B^\pm \rightarrow D \left( \left[ D^0, \bar{D}^0 \right] \rightarrow K\pi \right) K^\pm$  ADS (Atwood, Dunietz, Soni)
- **via**  $B^\pm \rightarrow D (D \rightarrow KK^*) K^\pm$  GLS (Grossman, Ligeti, Soffer)
- **via**  $B^\pm \rightarrow D (D \rightarrow \text{multibody}) K^\pm$  (Giri, Grossman, Soffer, Zupan, Atwood, Soni)

| Process          | Observable        | Theory | Sys. dom. (Discovery) [ab <sup>-1</sup> ] | vs LHCb | vs Belle | Anomaly | NP  |
|------------------|-------------------|--------|---|---------|----------|---------|-----|
| ● GGSZ           | $\phi_3$          | ***    | >50                                       | **      | ***      | *       | **  |
| ● GLW            | $\phi_3$          | ***    | >50                                       | **      | ***      | *       | **  |
| ● ADS            | $\phi_3$          | **     | >50                                       | **      | ***      | *       | *** |
| ● Time-dependent | $\phi_3 - \phi_2$ | **     | -   | **      | **       | *       | *   |

# CKM angle $\phi_3$ : final state triangles

- ⊙  $D^{(*)}K^{(*)}$  decays: from BRs and BR ratios, no time-dependent analysis, just rates
- ⊙ the phase  $\gamma$  is measured exploiting interferences: two amplitudes leading to the same final states
- ⊙ some rates can be really small:  $\sim 10^{-7}$



M. Bona

# CKM angle $\phi_3$ : final state triangles

- Let us define the following observables

$$A_{\mathcal{CP}} = \frac{\Gamma(B^- \rightarrow D_{\mathcal{CP}}^0 K^-) - \Gamma(B^+ \rightarrow D_{\mathcal{CP}}^0 K^+)}{\Gamma(B^- \rightarrow D_{\mathcal{CP}}^0 K^-) + \Gamma(B^+ \rightarrow D_{\mathcal{CP}}^0 K^+)} = \frac{\pm 2r_B \sin(\delta_B) \sin(\gamma)}{1 + r_B^2 \pm 2r_B \cos(\delta_B) \cos(\gamma)}$$
$$R_{\mathcal{CP}} = \frac{\Gamma(B^- \rightarrow D_{\mathcal{CP}}^0 K^-) + \Gamma(B^+ \rightarrow D_{\mathcal{CP}}^0 K^+)}{\Gamma(B^- \rightarrow D^0 K^-) + \Gamma(B^+ \rightarrow D^0 K^+)} = 1 + r_B^2 \pm 2r_B \cos(\delta_B) \cos(\gamma)$$

Note:  $|D_{\mathcal{CP}\pm}\rangle = (|D^0\rangle \pm |\bar{D}^0\rangle)/\sqrt{2}$

- The state  $|D_{\mathcal{CP}}\rangle$  is defined by the final state:  $\pi^+\pi^-$ ,  $K^+K^-$  (CP=+),  $K_s^+\pi^0$  (CP=-) (assuming CP-conservation in D-decay)



# CKM angle $\phi_3$ : final state triangles

GLW(*Gronau, London, Wyler*) method:

more sensitive to  $r_B$

uses the CP eigenstates  $D^{(*)0}_{CP}$  with final states:

$K^+K^-$ ,  $\pi^+\pi^-$  (CP-even),  $K_S\pi^0$  ( $\omega, \phi$ ) (CP-odd)

$$R_{CP\pm} = 1 + r_B^2 \pm 2r_B \cos \gamma \cos \delta_B \quad A_{CP\pm} = \frac{\pm 2r_B \sin \gamma \sin \delta_B}{1 + r_B^2 \pm 2r_B \cos \gamma \cos \delta_B}$$

ADS(*Atwood, Dunietz, Soni*) method:  $B^0$  and  $\bar{B}^0$  in the same final state with  $D^0 \rightarrow K^+\pi^-$  (suppr.) and  $\bar{D}^0 \rightarrow K^+\pi^-$  (fav.)

$$R_{ADS} = r_B^2 + r_{DCS}^2 + 2r_B r_{DCS} \cos \gamma \cos(\delta_B + \delta_D)$$

the most sensitive way to  $\gamma$

$D^0$  Dalitz plot with the decays  $B^- \rightarrow D^{(*)0}[K_S\pi^+\pi^-] K^-$

**three free parameters to extract:  $\gamma$ ,  $r_B$  and  $\delta_B$**

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# CKM angle $\phi_3$ : initial state triangles

★ One can also use a fact that initial state at Belle II is quantum coherent

- which means that initial state can be CP-tagged
- can be done for both  $B_d$  (at  $\Upsilon(4S)$ ) or  $B_s$  (at  $\Upsilon(5S)$ ). For  $B_s$

$$A_{\text{CP}} = A(B_s^{\text{CP}} \rightarrow D_s^- K^+) = (A_1 + A_2)/\sqrt{2},$$

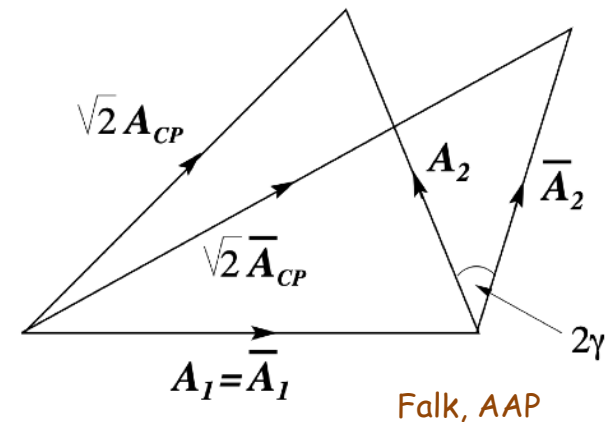
$$\bar{A}_{\text{CP}} = A(B_s^{\text{CP}} \rightarrow D_s^+ K^-) = (\bar{A}_1 + \bar{A}_2)/\sqrt{2}.$$

- measuring all amplitudes,

$$\alpha = \frac{2|A_{\text{CP}}|^2 - |A_1|^2 - |A_2|^2}{2|A_1||A_2|},$$

$$\bar{\alpha} = \frac{2|\bar{A}_{\text{CP}}|^2 - |\bar{A}_1|^2 - |\bar{A}_2|^2}{2|\bar{A}_1||\bar{A}_2|},$$

$$\sin 2\gamma = \pm \left( \alpha \sqrt{1 - \bar{\alpha}^2} - \bar{\alpha} \sqrt{1 - \alpha^2} \right)$$



- analysis is similar for  $B_d \rightarrow D\pi$  is similar, but coefficients are e time-dependent

### 3. Time-dependent CP-asymmetries

#### ★ Time-dependent CP-asymmetries probe CP-violation in $\Delta B=2$ amplitudes

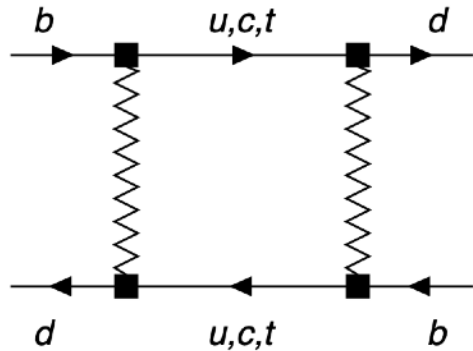
- SM: CP-violation in  $\Delta B=2$  and  $\Delta B=1$  transitions have the same origin, this fact does not have to be true in general NP model
- it most conveniently can be probed in transitions that involve mixing
  - use time-dependent CP asymmetries due to the interference between B-mixing and B decay amplitudes
  - interference between the two neutral B meson evolution eigenstates generates the time-dependent CP asymmetry

$$a_{CP}(f, t) = \frac{\Gamma(B(t) \rightarrow f) - \Gamma(\bar{B}(t) \rightarrow \bar{f})}{\Gamma(B(t) \rightarrow f) + \Gamma(\bar{B}(t) \rightarrow \bar{f})}$$

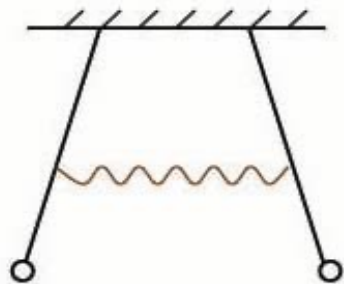
- Need to develop a formalism for time-dependent decays

# Time dependent decay amplitudes

★ In the SM, neutral B-mesons can mix via weak interaction diagrams



- only at one loop in the Standard Model, so can be sensitive to possible quantum effects due to new physics particles
- $\Delta B = 2$  interactions couple dynamics of  $B^0$  and  $\bar{B}^0$
- We need to study simultaneous time evolution,



*Coupled oscillators*

$$|B(t)\rangle = \begin{bmatrix} a(t) \\ b(t) \end{bmatrix} = a(t)|B^0\rangle + b(t)|\bar{B}^0(t)\rangle$$

- This is very similar to the case of coupled pendula in classical mechanics

# Time dependent decay amplitudes

- Time dependence: coupled Schrodinger equations

- note that CPT-invariance requires that  $M_{11} = M_{22}$  and  $\Gamma_{11} = \Gamma_{22}$

$$i \frac{d}{dt} |B(t)\rangle = \left[ M - i \frac{\Gamma}{2} \right] |B(t)\rangle \equiv \begin{bmatrix} A & p^2 \\ q^2 & A \end{bmatrix} |B(t)\rangle$$

Q: this Hamiltonian is clearly non-hermitian! What is goin on?

- Non-diagonal Hamiltonian: need to diagonalize the mass matrix

$$\begin{aligned} |B_L\rangle &= p|B^0\rangle + q|\bar{B}^0\rangle \\ |B_H\rangle &= p|B^0\rangle - q|\bar{B}^0\rangle \end{aligned} \quad (\text{"switch from flavor to mass eigenstates"})$$

- In the mass basis the mass matrix is diagonal, i.e.

$$Q^{-1} \left[ M - i \frac{\Gamma}{2} \right] Q = \begin{pmatrix} M_L - i\Gamma_L/2 & 0 \\ 0 & M_H - i\Gamma_H/2 \end{pmatrix}$$

- ... with mass and lifetime differences:  $\Delta M = M_H - M_L$  &  $\Delta\Gamma = \Gamma_L - \Gamma_H$

$$\text{Note that } m = \frac{M_H + M_L}{2} = M_{11} = M_{22} \quad \& \quad \Gamma = \frac{\Gamma_L + \Gamma_H}{2} = \Gamma_{11} = \Gamma_{22}$$

# Time dependent decay amplitudes

- The transformation matrices that diagonalize the Hamiltonian are

$$Q = \begin{pmatrix} p & p \\ q & -q \end{pmatrix} \quad \text{and} \quad Q^{-1} = \frac{1}{2pq} \begin{pmatrix} q & p \\ q & -p \end{pmatrix}$$

- To find the time development of the flavor eigenstates one needs to transform the evolution equation back to the flavor basis

$$\begin{bmatrix} |B^0(t)\rangle \\ |\bar{B}^0(t)\rangle \end{bmatrix} = Q \begin{pmatrix} e^{-iM_L t - \Gamma_L t/2} & 0 \\ 0 & e^{-iM_H t - \Gamma_H t/2} \end{pmatrix} Q^{-1} \begin{bmatrix} |B^0\rangle \\ |\bar{B}^0\rangle \end{bmatrix}$$

- ... which gives for the time evolution matrix in the flavor basis

$$Q \begin{pmatrix} e^{-iM_L t - \Gamma_L t/2} & 0 \\ 0 & e^{-iM_H t - \Gamma_H t/2} \end{pmatrix} Q^{-1} = \begin{pmatrix} g_+(t) & \frac{q}{p} g_-(t) \\ \frac{p}{q} g_-(t) & g_+(t) \end{pmatrix} \quad \text{Nierste}$$

$$\text{with} \quad \begin{aligned} g_+(t) &= e^{-imt} e^{-\Gamma t/2} \left[ \cosh \frac{\Delta\Gamma t}{4} \cos \frac{\Delta M t}{2} - i \sinh \frac{\Delta\Gamma t}{4} \sin \frac{\Delta M t}{2} \right], \\ g_-(t) &= e^{-imt} e^{-\Gamma t/2} \left[ -\sinh \frac{\Delta\Gamma t}{4} \cos \frac{\Delta M t}{2} + i \cosh \frac{\Delta\Gamma t}{4} \sin \frac{\Delta M t}{2} \right]. \end{aligned}$$

# Time dependent decay amplitudes

- This procedure provides a picture of how B-states evolve due to flavor oscillations,

$$|B^0(t)\rangle = g_+(t)|B^0\rangle + \frac{q}{p}g_-(t)|\bar{B}^0\rangle$$

$$|\bar{B}^0(t)\rangle = \frac{p}{q}g_-(t)|B^0\rangle + g_+(t)|\bar{B}^0\rangle$$

with

$$g_+(t) = e^{-imt} e^{-\Gamma t/2} \left[ \cosh \frac{\Delta\Gamma t}{4} \cos \frac{\Delta M t}{2} - i \sinh \frac{\Delta\Gamma t}{4} \sin \frac{\Delta M t}{2} \right],$$

$$g_-(t) = e^{-imt} e^{-\Gamma t/2} \left[ -\sinh \frac{\Delta\Gamma t}{4} \cos \frac{\Delta M t}{2} + i \cosh \frac{\Delta\Gamma t}{4} \sin \frac{\Delta M t}{2} \right].$$

- The only thing left is to relate  $q/p$ ,  $\Delta M$  and  $\Delta\Gamma$  to original parameters of H

secular equation:  $(\Delta M + i\frac{\Delta\Gamma}{2})^2 = 4 \left( M_{12} - i\frac{\Gamma_{12}}{2} \right) \left( M_{12}^* - i\frac{\Gamma_{12}^*}{2} \right)$

Re

Im

$$(\Delta M)^2 - \frac{1}{4}(\Delta\Gamma)^2 = 4|M_{12}|^2 - |\Gamma_{12}|^2$$

$$\Delta M \Delta\Gamma = -4 \operatorname{Re}(M_{12}\Gamma_{12}^*)$$

- Finally, the ratio  $\frac{q}{p} = -\frac{\Delta M + i\Delta\Gamma/2}{2M_{12} - i\Gamma_{12}} = -\frac{2M_{12}^* - i\Gamma_{12}^*}{\Delta M + i\Delta\Gamma/2}$

# Phases and amplitudes

- The B-meson states can have an arbitrary phase, so only relative phase is physical, which implies that there are three quantities that define B-mixing

$$|M_{12}|, \quad |\Gamma_{12}|, \quad \text{and} \quad \phi = \arg\left(-\frac{M_{12}}{\Gamma_{12}}\right)$$

- ... which gives for the mixing parameters

$$\Delta M \simeq 2|M_{12}| \quad \text{and} \quad \Delta\Gamma \simeq 2|\Gamma_{12}|\cos\phi$$

- ... and, up to a good approximation, to the phase of the box diagram,

$$\frac{q}{p} = -\frac{M_{12}^*}{M_{12}} = \frac{V_{tb}^*V_{tq}}{V_{tb}V_{tq}^*} \quad \text{and} \quad \left|\frac{q}{p}\right|^2 = 1 - a = 1 - \text{Im}\frac{\Gamma_{12}}{M_{12}}$$

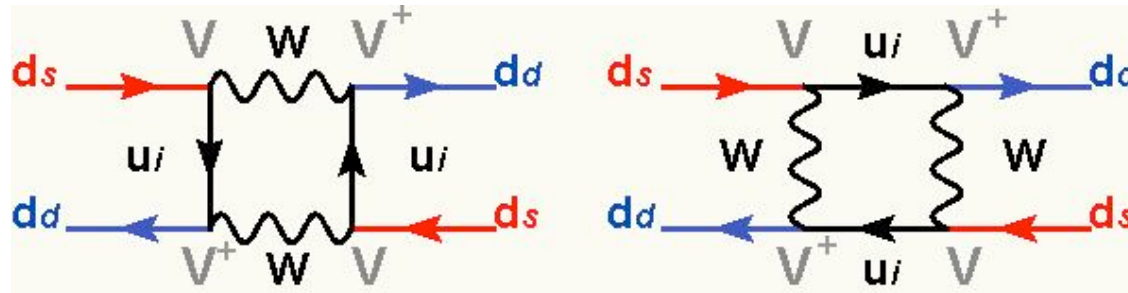
We can calculate B-mixing parameters in the SM: any sign of New Physics?



# FCNC in the SM: GIM-mechanism

## Glashow-Iliopoulos-Maiani (GIM) mechanism

- There are no  $\Delta Q=2$  interactions in the Standard Model...
- ... but we can make them via a “two-step process” (loop diagram):

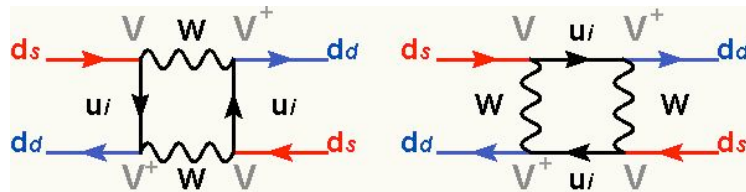


- Let's calculate them! For each internal quark type we get

$$\sim g^4 \left( V_{is} V_{id}^\dagger V_{js} V_{jd}^\dagger \right) \int \frac{d^4 k}{(4\pi)^4} \frac{(\text{some gamma matrices}) (k^2)}{(k - m_i)(k - m_j)(k^2 - m_W^2)^2}$$

Divergent: not good...

- However, CKM matrix is unitary:
- contribution of different internal flavors comes with different signs!



$$\begin{aligned} \text{top:} & \quad (V_{tb}V_{td}^\dagger V_{tb}V_{td}^\dagger) \sim (1 \times A\lambda^3)(1 \times A\lambda^3) \\ \text{top-charm:} & \quad (V_{tb}V_{td}^\dagger V_{cb}V_{cd}^\dagger) \sim (1 \times A\lambda^3)(A\lambda^2 \times (-\lambda)) \end{aligned}$$

- Thus, in the limit where  $k \gg m_i, m_j, M_W$ :

$$\begin{aligned} \text{top:} & \quad g^4 (A\lambda^3)^2 \int \frac{d^4k}{(4\pi)^4} \frac{(\text{some gamma matrices})(k^2)}{(\not{k})(\not{k})(k^2)^2} \\ \text{top-charm:} & \quad -g^4 (A\lambda^3)^2 \int \frac{d^4k}{(4\pi)^4} \frac{(\text{some gamma matrices})(k^2)}{(\not{k})(\not{k})(k^2)^2} \end{aligned}$$

... and similarly for other quarks

**Cancellation of divergences!**

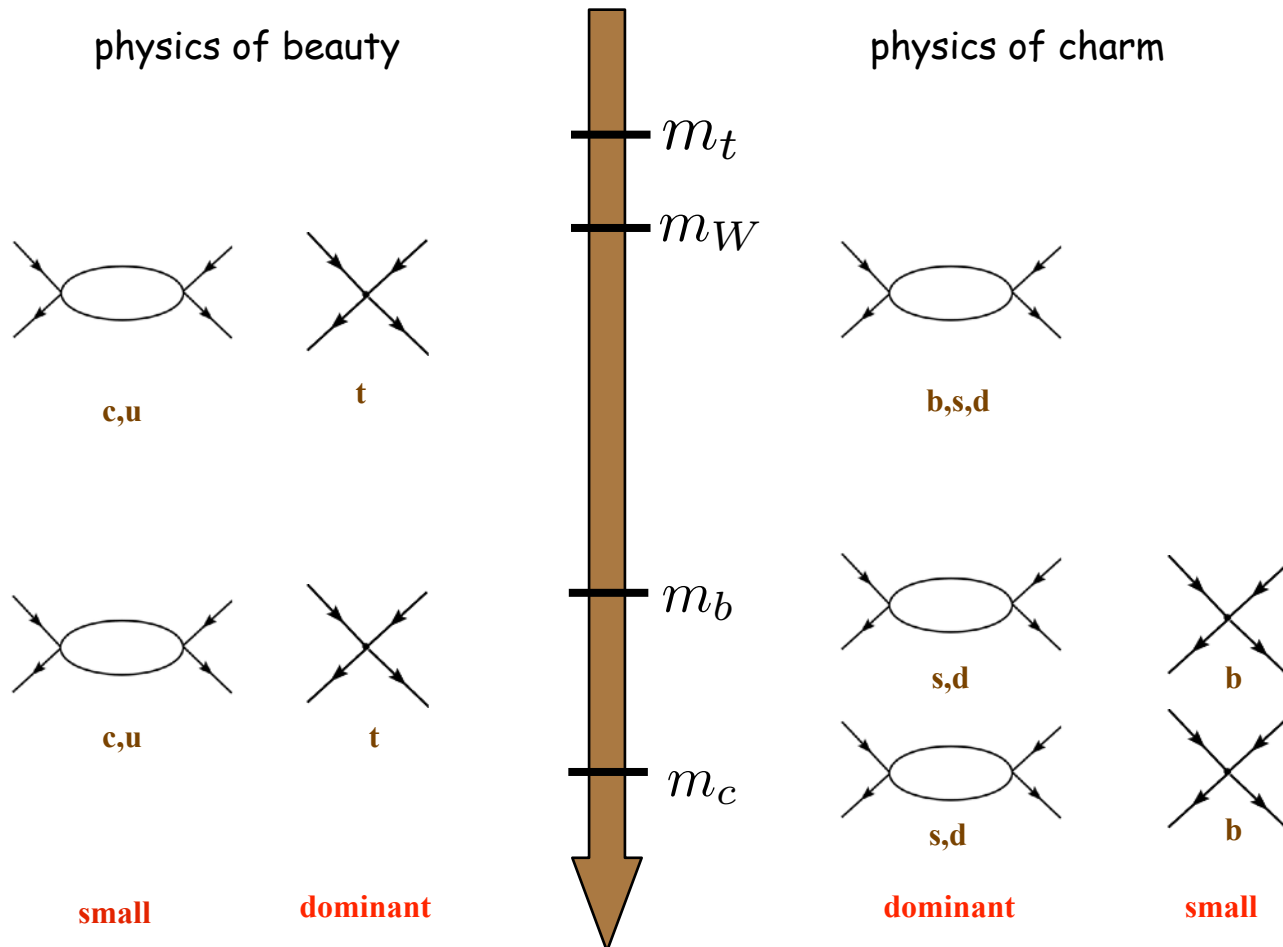
$$A \propto \sum_i m_i^2 (V_{is} V_{ib}^*)^2 g_k (m_i^2)$$

Glashow-Iliopoulos-Maiani

# Introduction: energy scales

★ Modern approach to flavor physics calculations: effective field theories

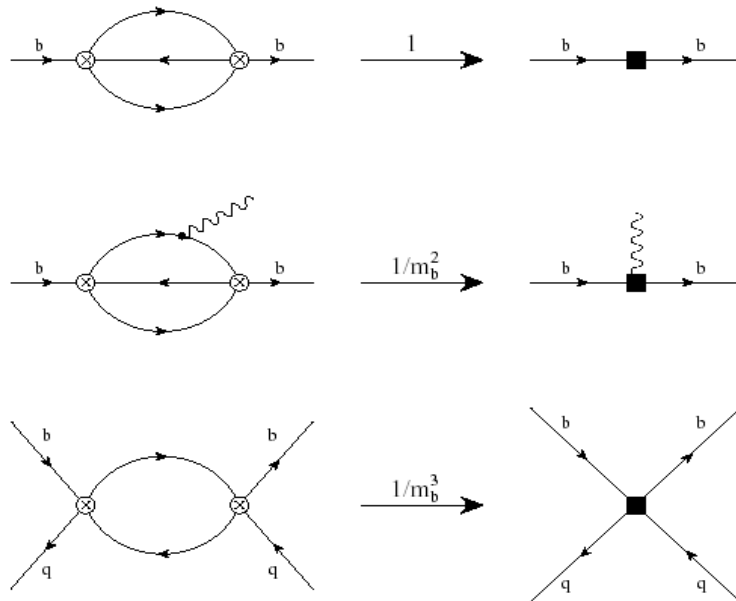
★ It is important to understand relevant energy scales for the problem at hand



- Assume quark-hadron duality: relate width to forward matrix element

$$\Gamma(H_b) = \frac{1}{2M_b} \langle H_b | T | H_b \rangle = \frac{1}{2M_b} \langle H_b | \text{Im} i \int d^4x T \{ H_{eff}^{\Delta B=1}(x) H_{eff}^{\Delta B=1}(0) \} | H_b \rangle$$

- This correlator can be expanded using OPE



**I. Bigi, M. Shifman, A. Vainshtein, M. Voloshin, N. Uraltsev, A. Falk, A. Manohar, M. Wise, M. Neubert, C. Sachrajda, P. Colangelo, F. de Fazio, ...**

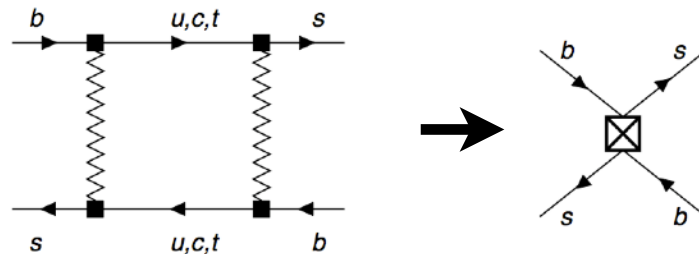
$$\Gamma(H_b) = \sum_k \frac{C_k(\mu)}{m_b^k} \langle H_b | O_k^{\Delta B=0}(\mu) | H_b \rangle$$

What are the results?

# Standard Model contributions

Both  $\Delta M_{B_s}$  and  $\Delta\Gamma_{B_s}$  can be computed in the limit  $m_b \rightarrow \infty$ :

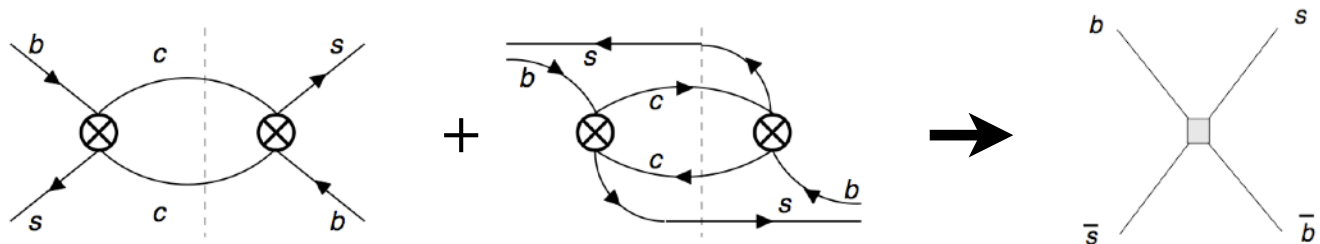
$\Delta M_{B_s}$ :



A. Buras, M. Jamin, P. Weisz

$$M_{12}(B_s) = \frac{G_F^2 M_{B_s}}{12\pi^2} M_W^2 (V_{tb} V_{ts}^*)^2 \hat{\eta}_B S_0(x_t) f_{B_s}^2 B$$

$\Delta\Gamma_{B_s}$ :



A. Lenz, U. Nierste

$$\Gamma_{21}(B_s) = \sum_i \frac{C_k(\mu)}{m_h^k} \langle B_s | \mathcal{O}_k^{\Delta B=2}(\mu) | \bar{B}_s \rangle.$$

$$\frac{\Delta\Gamma_s}{\Gamma_s} \approx 0.137 \pm 0.027$$

# Not so easy: SM contributions to $\Delta\Gamma_{B_s}$

$\Delta\Gamma_{B_s}$ : a calculation yields:

$$\Gamma_{21}(B_s) = - \frac{G_F^2 m_b^2}{12\pi(2M_{B_s})} (V_{cb}^* V_{cs})^2 [[F(z) + P(z)] \langle Q \rangle + [F_S(z) + P_S(z)] \langle Q_S \rangle + \delta_{1/m} + \delta_{1/m^2}]$$

★ ... with operators

WC (incl. pQCD corr): Beneke et al, Ciuchini et al

$$\left. \begin{aligned} Q &= (\bar{b}_i s_i)_{V-A} (\bar{b}_j s_j)_{V-A}, & Q_S &= (\bar{b}_i s_i)_{S-P} (\bar{b}_j s_j)_{S-P} \\ \tilde{Q} &= (\bar{b}_i s_j)_{V-A} (\bar{b}_j s_i)_{V-A}, & \tilde{Q}_S &= (\bar{b}_i s_j)_{S-P} (\bar{b}_j s_i)_{S-P} \end{aligned} \right\} \begin{aligned} \langle Q \rangle &= 2 \frac{1+N_c}{N_c} f_{B_s}^2 M_{B_s}^2 B \\ \langle Q_S \rangle &= \frac{1-2N_c}{N_c} \frac{M_{B_s}^4}{(m_b+m_s)^2} f_{B_s}^2 B_S \end{aligned}$$

★ ... so the result (up to  $1/m_b^2$ ) is:

$$\begin{aligned} \Delta\Gamma_{B_s} &= \left[ 0.0005B + 0.1732B_s + 0.0024B_1 - 0.0237B_2 - 0.0024B_3 - 0.0436B_4 \right. \\ &+ 2 \times 10^{-5}\alpha_1 + 4 \times 10^{-5}\alpha_2 + 4 \times 10^{-5}\alpha_3 + 0.0009\alpha_4 - 0.0007\alpha_5 \\ &+ 0.0002\beta_1 - 0.0002\beta_2 + 6 \times 10^{-5}\beta_3 - 6 \times 10^{-5}\beta_4 - 1 \times 10^{-5}\beta_5 \\ &\left. - 1 \times 10^{-5}\beta_6 + 1 \times 10^{-5}\beta_7 + 1 \times 10^{-5}\beta_8 \right] \quad (\text{ps}^{-1}). \end{aligned}$$

A.Badin, F. Gabbiani, A.A.P.  
Phys. Lett. B653, 230 (2007)

# Time-dependent CP-asymmetries

## ★ Time-dependent CP-asymmetries probe CP-violation in $\Delta B=2$ amplitudes

- Now we know how to deal with time-dependent rates

$$\Gamma(M(t) \rightarrow f) = \mathcal{N}_f |\langle f|S|M(t)\rangle|^2$$

$$\Gamma(\bar{M}(t) \rightarrow f) = \mathcal{N}_f |\langle f|S|\bar{M}(t)\rangle|^2$$

- ... which can be calculated using the developed formalism,  $\lambda_f = \frac{q}{p} \frac{\bar{A}_f}{A_f}$

$$\Gamma(M(t) \rightarrow f) = \mathcal{N}_f |A_f|^2 e^{-\Gamma t} \left\{ \frac{1 + |\lambda_f|^2}{2} \cosh \frac{\Delta\Gamma t}{2} + \frac{1 - |\lambda_f|^2}{2} \cos(\Delta M t) - \operatorname{Re} \lambda_f \sinh \frac{\Delta\Gamma t}{2} - \operatorname{Im} \lambda_f \sin(\Delta M t) \right\},$$

$$\Gamma(\bar{M}(t) \rightarrow f) = \mathcal{N}_f |A_f|^2 \frac{1}{1 - a} e^{-\Gamma t} \left\{ \frac{1 + |\lambda_f|^2}{2} \cosh \frac{\Delta\Gamma t}{2} - \frac{1 - |\lambda_f|^2}{2} \cos(\Delta M t) - \operatorname{Re} \lambda_f \sinh \frac{\Delta\Gamma t}{2} + \operatorname{Im} \lambda_f \sin(\Delta M t) \right\}.$$

# Time-dependent CP-asymmetries

★ Various time-dependent CP-asymmetries can now be formed

- The flavor-specific CP-asymmetry (aka semileptonic CP asymmetry)

$$a_{\text{fs}} \equiv \frac{\Gamma(\bar{M}(t) \rightarrow f) - \Gamma(M(t) \rightarrow \bar{f})}{\Gamma(\bar{M}(t) \rightarrow f) + \Gamma(M(t) \rightarrow \bar{f})} = \frac{1 - (1 - a)^2}{1 + (1 - a)^2} = a + \mathcal{O}(a^2).$$

- CP-asymmetry for decays to CP-eigenstates (such as  $f_{\text{CP}} = J/\psi K_S$ , etc.)

$$\begin{aligned} a_{f_{\text{CP}}}(t) &= \frac{\Gamma(\bar{M}(t) \rightarrow f_{\text{CP}}) - \Gamma(M(t) \rightarrow f_{\text{CP}})}{\Gamma(\bar{M}(t) \rightarrow f_{\text{CP}}) + \Gamma(M(t) \rightarrow f_{\text{CP}})} \\ &= -\frac{A_{\text{CP}}^{\text{dir}} \cos(\Delta M t) + A_{\text{CP}}^{\text{mix}} \sin(\Delta M t)}{\cosh(\Delta \Gamma t/2) + A_{\Delta \Gamma} \sinh(\Delta \Gamma t/2)} + \mathcal{O}(a) \end{aligned}$$

$$\text{where } A_{\text{CP}}^{\text{dir}} = \frac{1 - |\lambda_f|^2}{1 + |\lambda_f|^2}, \quad A_{\text{CP}}^{\text{mix}} = -\frac{2 \text{Im } \lambda_f}{1 + |\lambda_f|^2} \quad \text{and} \quad A_{\Delta \Gamma} = -\frac{2 \text{Re } \lambda_f}{1 + |\lambda_f|^2}$$

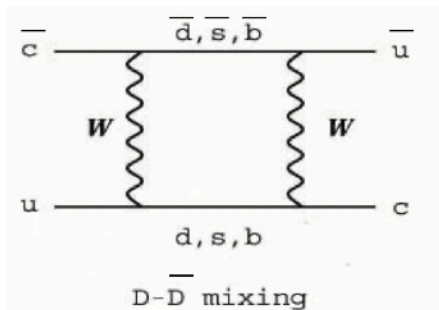
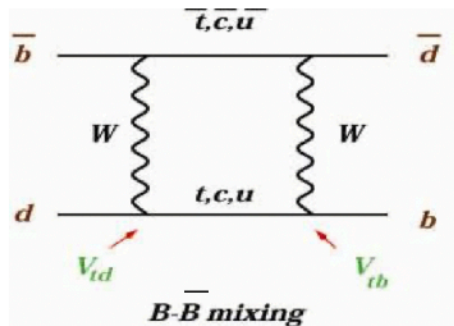


# Ex.: Belle II studies for time-dependent CPV

| Process                           | Observable | Theory | Sys. dom. (Discovery) [ab <sup>-1</sup> ] | vs LHCb | vs Belle | Anomaly | NP  |
|-----------------------------------|------------|--------|---|---------|----------|---------|-----|
| ● $B \rightarrow J/\psi K_S^0$    | $\phi_1$   | ***    | 5-10                                      | **      | **       | *       | *   |
| ● $B \rightarrow \phi K_S^0$      | $\phi_1$   | **     | >50                                       | **      | ***      | *       | *** |
| ● $B \rightarrow \eta' K_S^0$     | $\phi_1$   | **     | >50                                       | **      | ***      | *       | *** |
| ● $B \rightarrow \rho^\pm \rho^0$ | $\phi_2$   | ***    | >50                                       | *       | ***      | *       | *   |
| ● $B \rightarrow J/\psi \pi^0$    | $\phi_1$   | ***    | >50                                       | *       | ***      | -       | -   |
| ● $B \rightarrow \pi^0 \pi^0$     | $\phi_2$   | **     | >50                                       | ***     | ***      | **      | **  |
| ● $B \rightarrow \pi^0 K_S^0$     | $S_{CP}$   | **     | >50                                       | ***     | ***      | **      | **  |

# Things to take home

- We discuss how CP-violation can be studied with B-mesons
  - would D-mixing be different?
  - would CP-violation studies in charm be different?



| $\overline{D^0} - D^0$ mixing   | $\overline{B^0} - B^0$ mixing   |
|---|---|
| <ul style="list-style-type: none"> <li>• intermediate <b>down-type</b> quarks</li> <li>• SM: b-quark contribution is <b>negligible</b> due to <math>V_{cd}V_{ub}^*</math></li> <li>• <math>rate \propto f(m_s) - f(m_d)</math><br/>(<b>zero</b> in the SU(3) limit)</li> </ul> <p>Falk, Grossman, Ligeti, and A.A.P.<br/>Phys.Rev. D65, 054034, 2002<br/>2<sup>nd</sup> order effect!!!</p> | <ul style="list-style-type: none"> <li>• intermediate <b>up-type</b> quarks</li> <li>• SM: t-quark contribution is <b>dominant</b></li> <li>• <math>rate \propto m_t^2</math><br/>(expected to be large)</li> </ul> |
| <ol style="list-style-type: none"> <li>1. Sensitive to long distance QCD</li> <li>2. <b>Small</b> in the SM: <b>New Physics!</b><br/>(must know SM x and y)</li> </ol>  | <ol style="list-style-type: none"> <li>1. Computable in QCD (*)</li> <li>2. <b>Large</b> in the SM: <b>CKM!</b></li> </ol>  |

(\*) up to matrix elements of 4-quark operators

# Charm physics

- How can CP-violation be observed in charm system?
  - can be observed by comparing CP-conjugated decay rates in various ways, both with and w/out time dependence

$$a_{\text{CP}}(f) = \frac{\Gamma(D \rightarrow f) - \Gamma(\bar{D} \rightarrow \bar{f})}{\Gamma(D \rightarrow f) + \Gamma(\bar{D} \rightarrow \bar{f})}$$

- can manifest itself in charm  $\Delta C=1$  transitions (direct CP-violation)

$$\Gamma(D \rightarrow f) \neq \Gamma(\text{CP}[D] \rightarrow \text{CP}[f]) \quad \text{dCPV}$$

- or in  $\Delta C=2$  transitions (indirect CP-violation): mixing  $|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$

$$R_m^2 = |q/p|^2 = \left| \frac{2M_{12}^* - i\Gamma_{12}^*}{\Delta m - (i/2)\Delta\Gamma} \right|^2 = 1 + A_m \neq 1 \quad \text{CPVmix}$$

- or in the interference b/w decays ( $\Delta C=1$ ) and mixing ( $\Delta C=2$ )

$$\lambda_f = \frac{q}{p} \frac{\overline{A}_f}{A_f} = R_m e^{i(\phi+\delta)} \left| \frac{\overline{A}_f}{A_f} \right| \quad \text{CPVint}$$

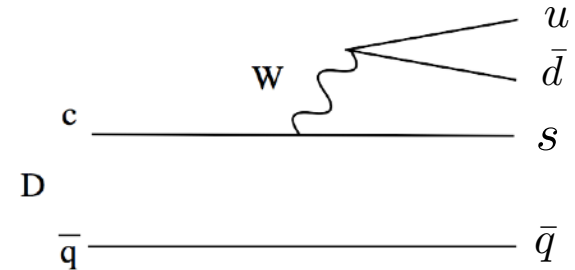
# Introduction: charm-specific lingo

★ Can be classified by SM CKM suppression of tree amplitude ( $V_{us} \sim \lambda$ )

★ Cabibbo-favored (CF:  $\lambda^0$ ) decay

- originates from  $c \rightarrow s$   $u\bar{d}$
- examples:  $D^0 \rightarrow K^-\pi^+$

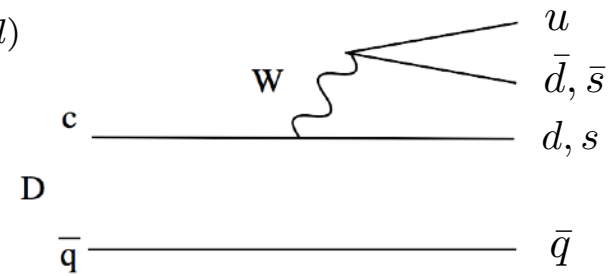
$$V_{cs}V_{ud}^*$$



★ Singly Cabibbo-suppressed (SCS:  $\lambda^1$ ) decay

- originates from  $c \rightarrow q$   $u\bar{q}$
- examples:  $D^0 \rightarrow \pi\pi$  and  $D^0 \rightarrow KK$

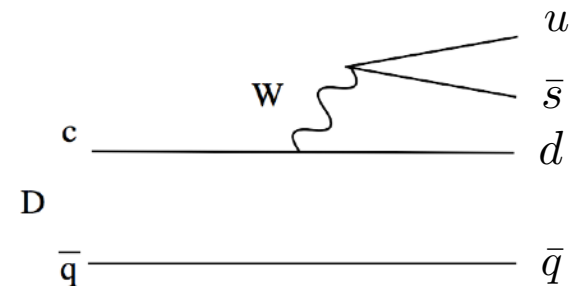
$$V_{cs(d)}V_{us(d)}^*$$



★ Doubly Cabibbo-suppressed (DCS:  $\lambda^2$ ) decay

- originates from  $c \rightarrow d$   $u\bar{s}$
- examples:  $D^0 \rightarrow K^+\pi^-$

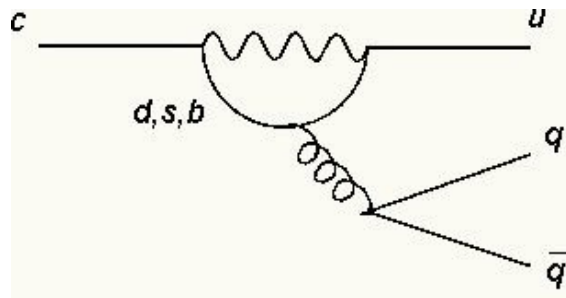
$$V_{cd}V_{us}^*$$



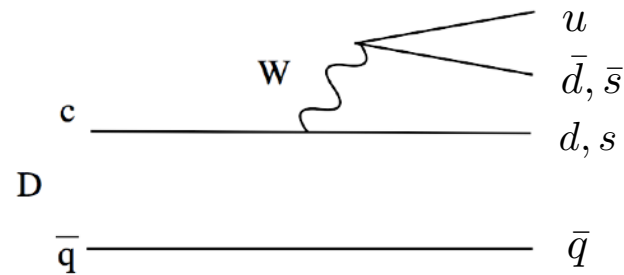
★ We shall concentrate on SCS decays. Why is that?

# Generic expectations for sizes of CPV effects

- ★ Generic expectation is that CP-violating observables in the SM are small  
 $\Delta c = 1$  amplitudes allow to reach third-generation quarks!



“Penguin” amplitude/contraction



“Tree” amplitude

- ★ The Unitarity Triangle relation for charm:

$$V_{ud}V_{cd}^* + V_{us}V_{cs}^* + V_{ub}V_{cb}^* = 0$$

$$\sim \lambda \quad \sim \lambda \quad \sim \lambda^5$$

With *b*-quark contribution neglected:  
 only **2** generations contribute  
 ⇒ **real 2x2 Cabibbo matrix**

Any CP-violating signal in the SM will be small, at most  $O(V_{ub}V_{cb}^*/V_{us}V_{cs}^*) \sim 10^{-3}$   
 Thus,  **$O(1\%)$  CP-violating signal can provide a “smoking gun” signature of New Physics**



## 2. Time-independent (direct) CP-violation

### ★ Direct CP-violating asymmetries probe CP-violation in $\Delta C=1$ amplitudes

- CP-asymmetries compare partial rates of CP-conjugated decays


$$a_{CP}(f) = \frac{\Gamma(D \rightarrow f) - \Gamma(\bar{D} \rightarrow \bar{f})}{\Gamma(D \rightarrow f) + \Gamma(\bar{D} \rightarrow \bar{f})} \quad (\text{both charged and neutral D's})$$

- a non-vanishing decay asymmetry requires that a decay amplitude
  - contain several components each of which has its own strong and weak phases
  - strong phases: do not change under CP transformation of the decay amplitude
  - weak phases: flip sign under CP transformation of the decay amplitude

$$A(D \rightarrow f) \equiv A_f = |A_{f1}| e^{i\delta_1} e^{i\theta_1} + |A_{f2}| e^{i\delta_2} e^{i\theta_2}$$

- Now we can form the CP-asymmetry

$$a_{CP}(f) = 2r_f \sin(\theta_1 - \theta_2) \sin(\delta_1 - \delta_2) \quad \text{with} \quad r_f = \left| \frac{A_{f2}}{A_{f1}} \right|$$

  
weak                      strong

# Direct CP-violation in charm: realities of life

- ★ **IDEA:** consider the DIFFERENCE of decay rate asymmetries:  $D \rightarrow \pi\pi$  vs  $D \rightarrow KK$   
For each final state the asymmetry

$D^0$ : no neutrals in the final state!

$$a_f = \frac{\Gamma(D \rightarrow f) - \Gamma(\bar{D} \rightarrow \bar{f})}{\Gamma(D \rightarrow f) + \Gamma(\bar{D} \rightarrow \bar{f})} \quad \rightarrow \quad a_f = a_f^d + a_f^m + a_f^i$$

↑ direct   
 ↑ mixing   
 ↑ interference

- ★ **A reason:**  $a_{KK}^m = a_{\pi\pi}^m$  and  $a_{KK}^i = a_{\pi\pi}^i$  (for CP-eigenstate final states), so, ideally, mixing asymmetries cancel ( $r_f = P_f/A_f$ )!

$$a_f^d = 2r_f \sin\phi_f \sin\delta_f$$

- ★ ... and the resulting DCPV asymmetry is  $\Delta a_{CP} = a_{KK}^d - a_{\pi\pi}^d \approx 2a_{KK}^d$  (double!)

$$A_{KK} = \frac{G_F}{\sqrt{2}} \lambda [(T + E + P_{sd}) + a\lambda^4 e^{-i\gamma} P_{bd}]$$

$$A_{\pi\pi} = \frac{G_F}{\sqrt{2}} \lambda [-(T + E) + P_{sd}) + a\lambda^4 e^{-i\gamma} P_{bd}]$$

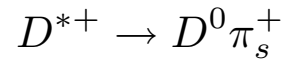
- ★ ... so it is doubled in the limit of  $SU(3)_F$  symmetry

**SU(3) is badly broken in D-decays**



# Experimental analysis from LHCb

- ★ Since we are comparing rates for  $D^0$  and anti- $D^0$ : need to tag the flavor at production



"D\*-trick" -- tag the charge of the slow pion  
(or muon for D's produced in B-decays)

- ★ The difference  $\Delta a_{CP}$  is also preferable experimentally, as

$$a_f^{\text{raw}} = a_f^{CP} + a_f^{\text{detect, D}} + a_D^{\text{detect, } \pi_s} + a_{D^*}^{\text{prod}}$$

↑
↑
↑
↑

physics
detection asymmetry of  $D^0$ 
detection asymmetry of soft pion
production asymmetry of  $D^{*+}$

- ★  $D^*$  production asymmetry and soft pion asymmetries are the same for  $KK$  and  $\pi\pi$  final states-- they cancel in  $\Delta a_{CP}$ !

- ★ Integrate over time,

$$a_{CP, f} = \int_0^\infty a_{CP}(f; t) D(t) dt = a_f^d + \frac{\langle t \rangle}{\tau} a_f^{\text{ind}}$$

↑  
distribution of proper decay time

- ★ Viola! Report observation!

- Experimental results

- note that while the new result does constitute an observation of CP-violation in the difference...

$$\Delta a_{CP}^{dir} = a_{CP}(K^- K^+) - a_{CP}(\pi^- \pi^+) = (-0.156 \pm 0.029)\% \quad \text{LHCb 2019}$$

- ... it is not yet so for the individual decay asymmetries

$$a_{CP}(K^- K^+) = (0.04 \pm 0.12 \text{ (stat)} \pm 0.10 \text{ (syst)})\%,$$

$$a_{CP}(\pi^- \pi^+) = (0.07 \pm 0.14 \text{ (stat)} \pm 0.11 \text{ (syst)})\%.$$

LHCb 2017

- Need confirmation from other experiments (Belle II)
- What does this result mean? New Physics? Standard Model?

## $\Delta A_{CP}$ within the Standard Model and beyond

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## Implications on the first observation of charm CPV at LHCb

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## The Emergence of the $\Delta U = 0$ Rule in Charm Physics

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## Revisiting $CP$ violation in $D \rightarrow PP$ and $VP$ decays

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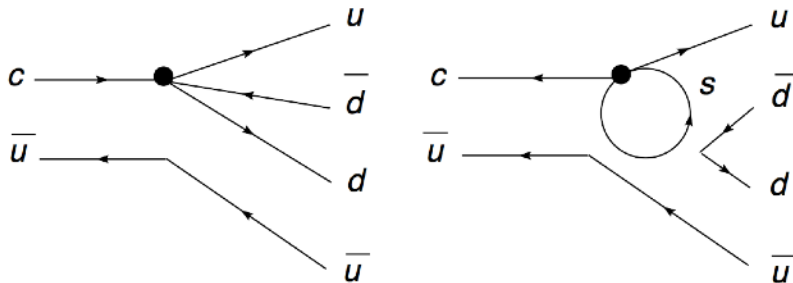
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## ★ These asymmetries are notoriously difficult to compute

### ★ In the Standard Model

- need to estimate size of penguin/penguin contractions vs. tree



### - unknown penguin contributions

- SU(3) analysis: some ME are enhanced?

Golden & Grinstein PLB 222 (1989) 501; Pirtshalava & Uttayarat 1112.5451

- could expect large  $1/m_c$  corrections (E/PE/PA/...)

Isidori et al PLB 711 (2012) 46; Brod et al 1111.5000

- flavor-flow diagrams

Brod et al 1203.6659; Bhattacharya et al PRD 85 (2012) 054014;  
Cheng & Chiang 1205.0580; 1909.03063; Gronau, Rosner

### ★ General comments on SU(3)/flavor flow — type analyses

- fit both SM and (possible) NP parts of the amplitudes: can one claim SM-only?
- many parameters: can one claim  $O(10^{-4})$  precision if rates are known to  $O(10^{-2})$ ?

### ★ Need direct calculations of amplitudes/CPV-asymmetries

- QCD sum rule calculations of  $\Delta a_{CP}$

Khodjamirian, AAP;  
Lenz, Piscopo, Rush

- SU(3) breaking analyses of  $D \rightarrow PV, VV$

- constant (but slow) lattice QCD progress in  $D \rightarrow \pi\pi, \pi\pi\pi$

Hansen, Sharpe

# Calculating CP-asymmetries in QCD

- Effective Hamiltonian for singly Cabibbo-suppressed (SCS) decays
  - drop all “penguin” operators ( $Q_i$  for  $i \geq 3$ ) as  $C_i$  are small,  $\lambda_q = V_{uq}V_{cq}^*$ ,

$$\mathcal{H}_{\text{eff}} = \frac{G_F}{\sqrt{2}} \left[ \sum_{q=d,s} \lambda_q (C_1 Q_1^q + C_2 Q_2^q) - \lambda_b \sum_{i=2,\dots,6,8g} C_i Q_i \right]$$

$$Q_1^q = (\bar{u}\Gamma_\mu q) (\bar{q}\Gamma^\mu c), \quad Q_2^q = (\bar{q}\Gamma_\mu q) (\bar{u}\Gamma^\mu c)$$

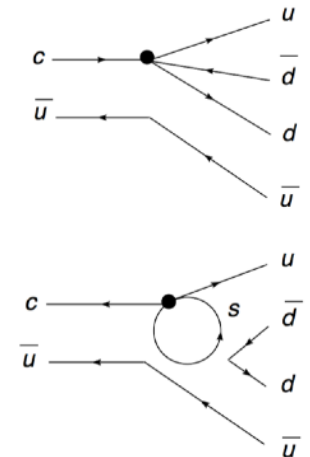
- recall that  $\sum_{q=d,s,b} \lambda_q = 0$  or  $\lambda_d = -(\lambda_s + \lambda_b)$  and  $\mathcal{O}^q \equiv \frac{G_F}{\sqrt{2}} \sum_{i=1,2} C_i Q_i^q$ , with  $q = d, s$ .



without QCD



with QCD



- As a result...  $\langle \pi^+ \pi^- | \tilde{Q}_2^s | D^0 \rangle = (9.50 \pm 1.13) \times 10^{-3} \exp[i(-97.5^\circ \pm 11.6)] \text{ GeV}^3$   
 $\langle K^+ K^- | \tilde{Q}_2^d | D^0 \rangle = (13.9 \pm 2.70) \times 10^{-3} \exp[i(-71.6^\circ \pm 29.5)] \text{ GeV}^3$

- Thus,  $r_\pi = \frac{|\mathcal{P}_{\pi\pi}^s|}{|\mathcal{A}_{\pi\pi}|} = 0.093 \pm 0.011$ ,  $r_K = \frac{|\mathcal{P}_{KK}^d|}{|\mathcal{A}_{KK}|} = 0.075 \pm 0.015$

and with  $\Delta a_{CP}^{dir} = -2r_b \sin \gamma (r_K \sin \delta_K + r_\pi \sin \delta_\pi)$

- Phases of  $r_{\pi\pi(KK)}$  are given by the phases of  $\mathcal{P}_{\pi\pi(KK)}^{s(d)}$  ?

No:

$$\begin{aligned} |a_{CP}^{dir}(\pi^- \pi^+)| &< 0.012 \pm 0.001\%, \\ |a_{CP}^{dir}(K^- K^+)| &< 0.009 \pm 0.002\%, \\ |\Delta a_{CP}^{dir}| &< 0.020 \pm 0.003\%. \end{aligned}$$

Yes:

$$\begin{aligned} a_{CP}^{dir}(\pi^- \pi^+) &= -0.011 \pm 0.001\%, \\ a_{CP}^{dir}(K^- K^+) &= 0.009 \pm 0.002\%, \\ \Delta a_{CP}^{dir} &= 0.020 \pm 0.003\%. \end{aligned}$$

Khodjamirian, AAP;  
Lenz, Piscopo, Rush

- Again, experiment:  $\Delta a_{CP}^{dir} = (-0.156 \pm 0.029)\%$

# 4. CP-violation in charmed baryons

- Other observables can be constructed for baryons, e.g.

$$A(\Lambda_c \rightarrow N\pi) = \bar{u}_N(p, s) [A_S + A_P \gamma_5] u_{\Lambda_c}(p_{\Lambda}, s_{\Lambda})$$

These amplitudes can be related to "asymmetry parameter"  $\alpha_{\Lambda_c} = \frac{2 \operatorname{Re}(A_S^* A_P)}{|A_S|^2 + |A_P|^2}$

... which can be extracted from  $\frac{dW}{d \cos \vartheta} = \frac{1}{2} (1 + P \alpha_{\Lambda_c} \cos \vartheta)$

Same is true for  $\bar{\Lambda}_c$ -decay

If CP is conserved  $\alpha_{\Lambda_c} \stackrel{CP}{\Rightarrow} -\bar{\alpha}_{\Lambda_c}$ , thus CP-violating observable is

$$A_f = \frac{\alpha_{\Lambda_c} + \bar{\alpha}_{\Lambda_c}}{\alpha_{\Lambda_c} - \bar{\alpha}_{\Lambda_c}}$$

FOCUS[2006]:  $A_{\Lambda\pi} = -0.07 \pm 0.19 \pm 0.24$

# Things to take home: charm

- Computation of charm amplitudes is a difficult task
  - no dominant heavy dof, as in beauty decays
  - light dofs give no contribution in the flavor SU(3) limit
  - D-mixing is a **second** order effect in SU(3) breaking ( $x,y \sim 1\%$  in the SM)
- For indirect CP-violation studies
  - constraints on Wilson coefficients of generic operators are possible, point to the scales much higher than those directly probed by LHC
  - consider new parameterizations that go beyond the “superweak” limit
- For direct CP-violation studies
  - unfortunately, large DCPV signal is no more; need more results in individual channels, especially including baryons
  - hit the “brown muck”: future observation of DCPV does not give easy interpretation in terms of fundamental parameters
  - need better calculations: lattice?
- Lattice calculations can, in the future, provide a result for  $a_{CP}$ !
- Need to give more thought on how large SM CPV can be...



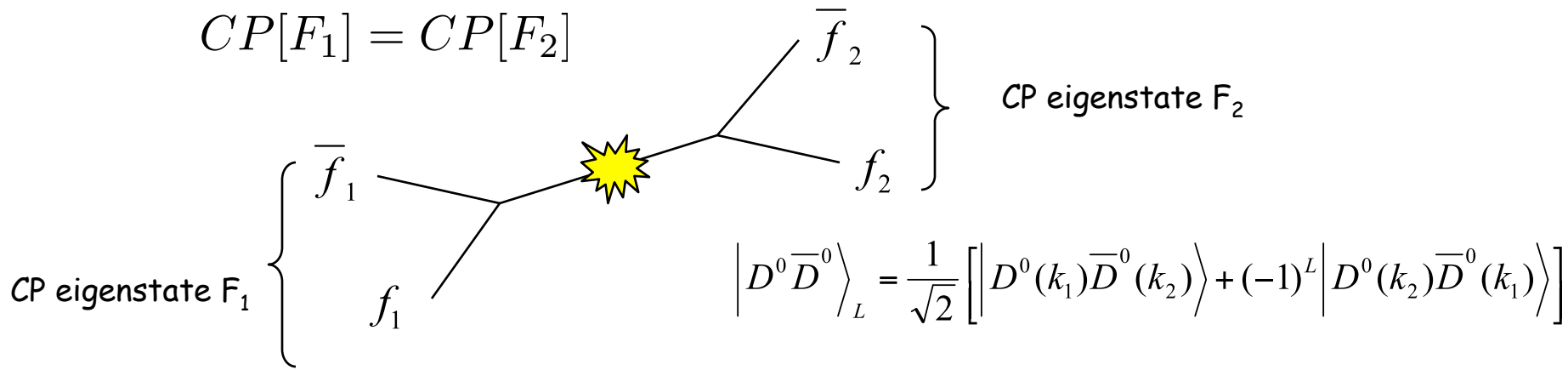


# How to observe CP-violation: easy

$\tau$ -charm factory

- ★ Recall that CP of the states in  $D^0\bar{D}^0 \rightarrow (F_1)(F_2)$  are anti-correlated at  $\psi(3770)$ :
  - ★ a simple signal of CP violation:  $\psi(3770) \rightarrow D^0\bar{D}^0 \rightarrow (CP_{\pm})(CP_{\pm})$

I. Bigi, A. Sanda; H. Yamamoto;  
Z.Z. Xing; D. Atwood, AAP



$$\Gamma_{F_1 F_2} = \frac{\Gamma_{F_1} \Gamma_{F_2}}{R_m^2} \left[ (2 + x^2 + y^2) |\lambda_{F_1} - \lambda_{F_2}|^2 + (x^2 + y^2) |1 - \lambda_{F_1} \lambda_{F_2}|^2 \right]$$

- ★ CP-violation in the rate  $\rightarrow$  of the **second order** in CP-violating parameters.
- ★ Cleanest measurement of CP-violation!

$$\lambda_f = \frac{q}{p} \frac{\bar{A}_f}{A_f}$$

AAP, Nucl. Phys. PS 142 (2005) 333  
hep-ph/0409130