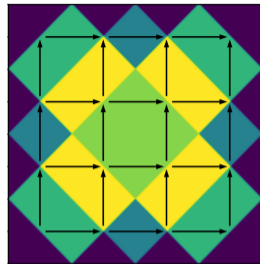


Hadron Resonances from Lattice QCD

Status and Prospects

Carsten Urbach



Gefördert durch
DFG Deutsche
Forschungsgemeinschaft

<NUMERIQS>



UNIVERSITÄT **BONN**

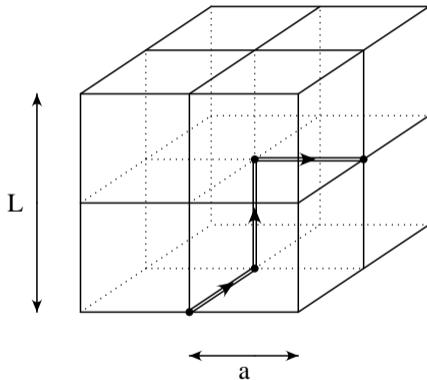
Quantum Chromodynamics

$$S[A_\mu, \bar{\psi}, \psi] = \int d^4x \left\{ \frac{1}{4} G_{\mu\nu}^2 + \bar{\psi}_q (i\gamma_\mu D_\mu + m_q) \psi_q \right\}$$

- astonishingly simple action, intriguingly complex dynamics
 - running coupling: QCD is non-perturbative at low energies
- ⇒ hadron spectrum requires non-perturbative methods

Lattice QCD Regularisation

- quantum field theory requires regularisation
- lattice regularisation:
 - ⇒ discretise space-time
 - hyper-cubic $L^3 \times T$ -lattice with lattice spacing a
 - ⇒ momentum cut-off: $k_{\max} \propto 1/a$
 - derivatives \Rightarrow finite differences
 - integrals \Rightarrow sums
 - gauge potentials A_μ in $G_{\mu\nu} \Rightarrow$ link matrices U_μ (' $\bullet \longrightarrow \bullet$ ')
- work in Euclidean space-time \Rightarrow **use Monte Carlo**



Lattice QCD Regularisation

- Monte Carlo: access to equilibrium, vacuum properties
- fundamental observables:
Euclidean correlation functions

$$\langle \mathcal{O}_i^\dagger(p, t) \mathcal{O}_j(p, t') \rangle \propto \sum_n c_{i,n} c_{j,n} e^{-E_n t}$$

- with interpolating operators \mathcal{O}_i with certain quantum numbers
- simulations at bare parameters need to renormalise

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- **continuum limit:**

$$\lim_{a \rightarrow 0}$$

(i.e. at least 3 lattice spacing values)

- **infinite volume limit:**

$$\lim_{L \rightarrow \infty}$$

- **physical mass limit:**

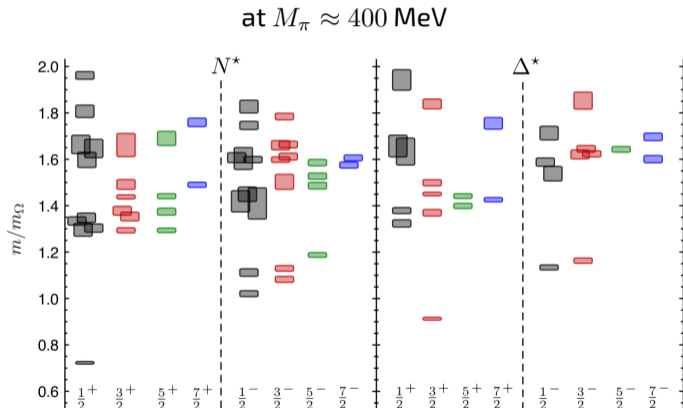
$$\lim_{m_\ell \rightarrow m_\ell^{\text{phys}}} \quad \text{or} \quad M_\pi^2 \rightarrow (M_\pi^{\text{phys}})^2$$

And then: Compute the Spectrum!

- excited baryon spectrum (2011)

[Edwards et al., Phys.Rev.D 84 (2011) 074508]

- spin identified, 3 M_π values
- both parities up to $J = 7/2$



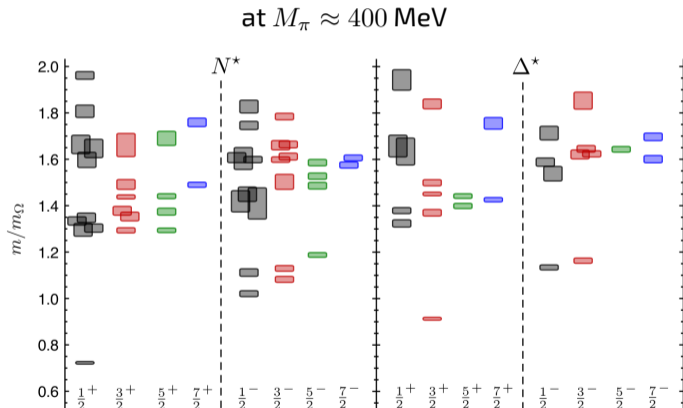
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“... a counting of levels that is consistent with the non-relativistic qqq constituent quark model.”



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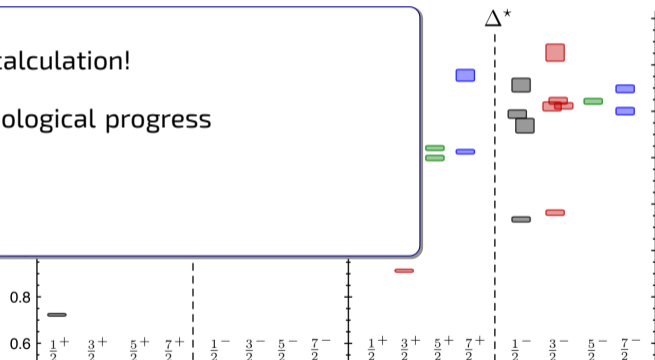
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"... a counting
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- really impressive calculation!
- significant methodological progress

at $M_\pi \approx 400$ MeV



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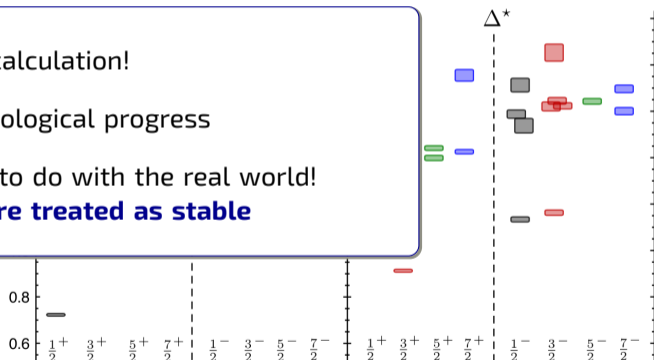
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- spin identification
- both parities
- author's conclusion

"... a counting
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- really impressive calculation!
- significant methodological progress
- but has not much to do with the real world!
unstable states are treated as stable

at $M_\pi \approx 400$ MeV



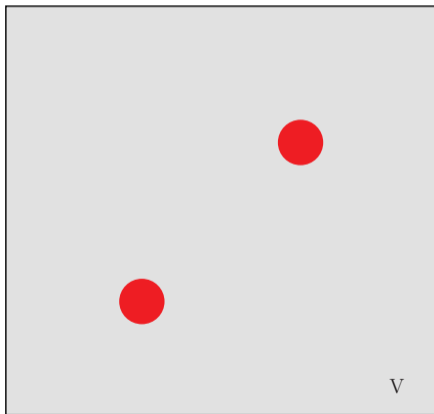
[Edwards et al., Phys.Rev.D 84 (2011) 074508]

Particle Interactions from Lattice QCD

- lattice stochastic methods:
work in finite volume / Euclidean space-time
- ⇒ real valued, quantised eigenvalues of the lattice Hamiltonian
no continuum of states
- Maiani and Testa:
interactions properties cannot be studied directly
[Maiani and Testa, (1990)]
- ⇒ there is no one-to-one correspondence of an energy level to a resonance state
- the connection is only provided by the Lüscher method!

Lüscher Method

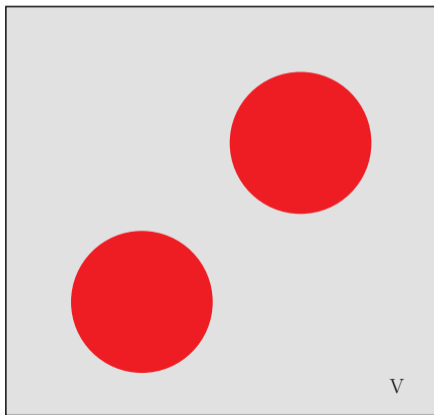
finite volume: boon and bane!



- for $V \rightarrow \infty$:
 - \Rightarrow interaction probability very low
 - $\Rightarrow E_{2p}(p=0) = 2E_{1p}$

Lüscher Method

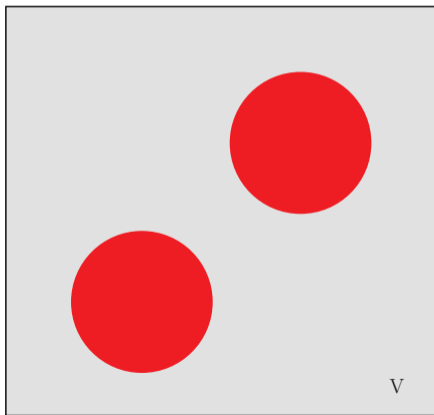
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 - $\Rightarrow E_{2p}(p=0)$ receives corrections $\propto 1/V$
- Lüscher: correction in $1/V$ related to scattering properties!

[Lüscher, 1986]

The 1 + 1-dimensional Analog

- plane wave acquires phase shift $\delta(k)$
- finite extend L , periodic BC

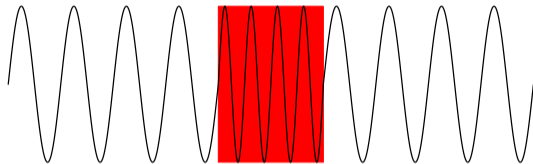
$$e^{ikL+2i\delta(k)} = e^{ik0} = 1$$

- quantisation condition

$$k_n L + 2\delta(k_n) = 2n\pi$$

- momenta k_n from dispersion relation

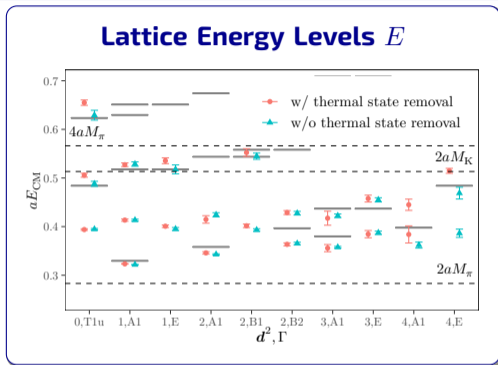
$$W_n = 2\sqrt{m^2 + k_n^2}$$



Procedure

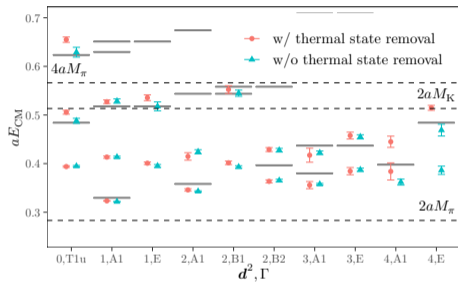
- 1 determine non-interacting m
- 2 determine energies W_n
- 3 $W_n \rightarrow k_n$
- 4 $k_n \rightarrow \delta(k_n)$

The General Case



The General Case

Lattice Energy Levels E



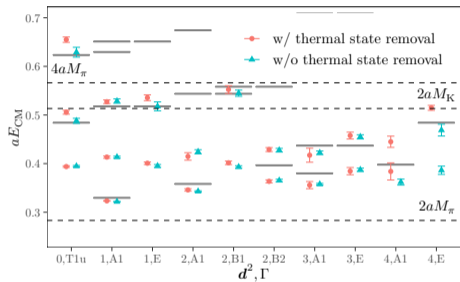
Determinant Equation

$$\det [\mathcal{M}^{\Gamma, \mathbf{d}}(E) - \cot(\delta)] = 0$$

(\mathcal{M} Lüscher function)

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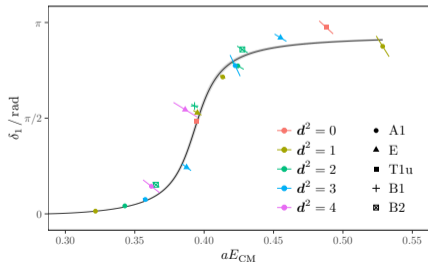
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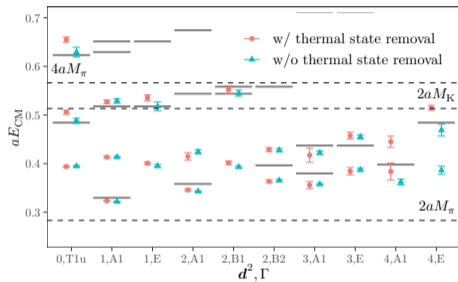
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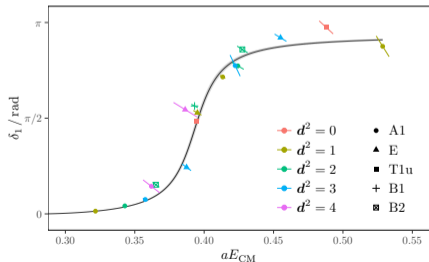
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Parametrise Energy Dependence
e.g. Breit-Wigner or better



Lüscher Method: The Simplest Case

- consider only S -wave, no mixing, and assume finite range expansion

$$\Delta E = -\frac{2\pi a_0}{\mu L^3} \left(1 + c_1 \frac{a_0}{L} + c_2 \frac{a_0^2}{L^2} + c_3 \frac{a_0^3}{L^3} \dots \right)$$

- The scattering length a_0 can be determined by inverting this equation!
- c_i known, L the box extent, μ the reduced two particle non-interacting mass
- works excellent e.g. for $\pi\pi$ scattering with $I = 0, 2$

Example: Complex ϕ^4 Theory

- how well does this work?

[Romero-Lopez, Rusetsky, CU, EPIC (2018)]

⇒ complex ϕ^4 theory as toy model

- lattice action

$$S = \sum_x \left(-\kappa \sum_{\mu} (\varphi_x^* \varphi_{x+\mu} + cc) + \lambda (|\varphi_x|^2 - 1)^2 + |\varphi_x|^2 \right)$$

- big advantage: fast to simulate

⇒ can simulate basically arbitrary volumes

- and study the interaction of two scalar particles

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⇒ can simulate basically arbitrary volumes

- and study the interaction of two scalar particles
(or three, four, five, ... scalar particles)

Example: Complex ϕ^4 Theory

- need to compute $\Delta E = E_2 - 2M_1$
- single particle energy from

$$C_1(t) = \sum_{t'} \sum_{x,y} \langle \hat{O}_\varphi(\mathbf{x}, t') \hat{O}_\varphi^\dagger(\mathbf{y}, t + t') \rangle \stackrel{t \rightarrow \infty}{\propto} e^{-M_1 t}$$

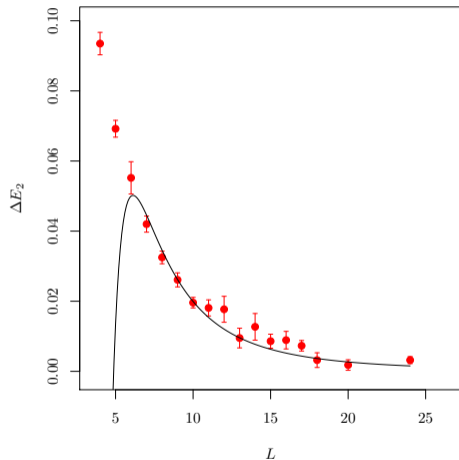
- n -particle energy from

$$C_1(t) = \sum_{t'} \sum_{x,y} \langle \hat{O}_{2\varphi}(\mathbf{x}, t') \hat{O}_{2\varphi}^\dagger(\mathbf{y}, t + t') \rangle \stackrel{t \rightarrow \infty}{\propto} e^{-E_2 t} + \text{thermal pollutions}$$

- thermal pollutions due to finite time extend T and periodic BCs
- ⇒ have to be taken care of

Example: Complex ϕ^4 Theory

- compute ΔE as function of L
- for chosen bare parameters:
repulsive interaction
- depending on fit range sensitive
to a_0 or r
- for too small L description
breaks down



$\Rightarrow \Delta E_2$ gives access to a_0 and r

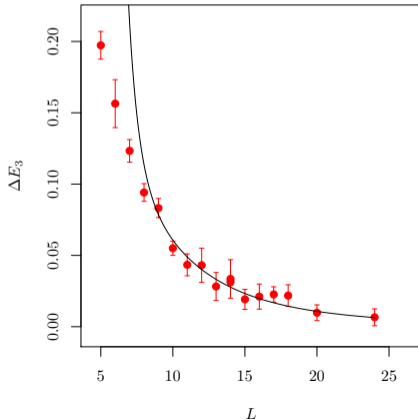
Example: Complex ϕ^4 Theory

- three particle formula (zero total momentum)

$$\Delta E_3 = E_3 - 3M_1 = -\frac{12\pi a_0}{M_1 L^3}(1 + \dots) - \frac{D}{48M_1^3 L^6}$$

[see e.g. Sharpe 2017]

- D encodes three body interaction
- data well described
- a_0, r input from ΔE_2
- clear evidence for non-zero three particle interaction!



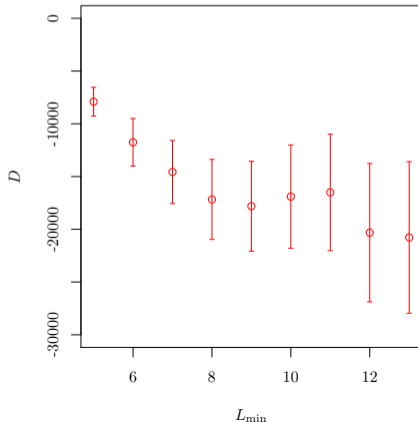
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Example: Complex ϕ^4 Theory

- phase-shift reconstruction
- for the example: consider S -wave only
- determinant equation reduces to

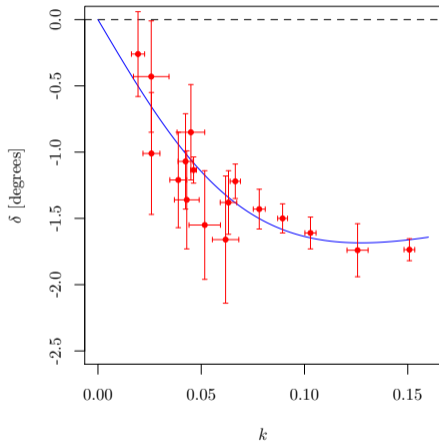
$$\Rightarrow \pi^{3/2} \cot(\delta_0) = Z_{00}(1, q^2)/q$$

Lüscher function Z

\Rightarrow every energy level \rightarrow one pair $(\delta_0(k), k)$

- blue line reconstructed from

$$\cot \delta = \frac{1}{a_0} + \frac{r}{2} k^2$$

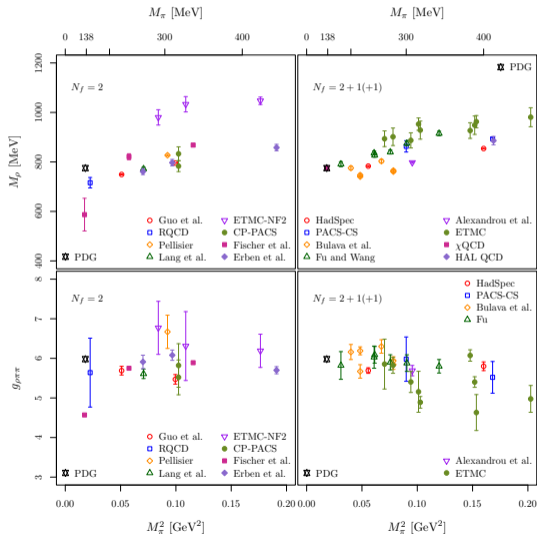


Results: Well Separated Resonances

- status of LQCD results for hadron resonances
- comparisons compiled in a review with M. Mai and Ulf-G. Meißner
[\[Mai, Meißner, Urbach, Phys. Rept. 1001 \(2023\) 1-66\]](#)
- here: my personal choice
- mostly states where more than one lattice study available
- first focus on the “easy” cases, well separated resonances

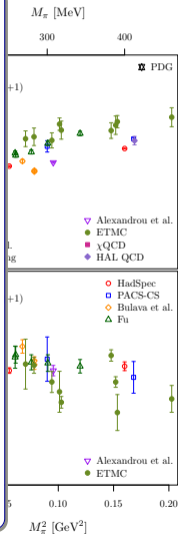
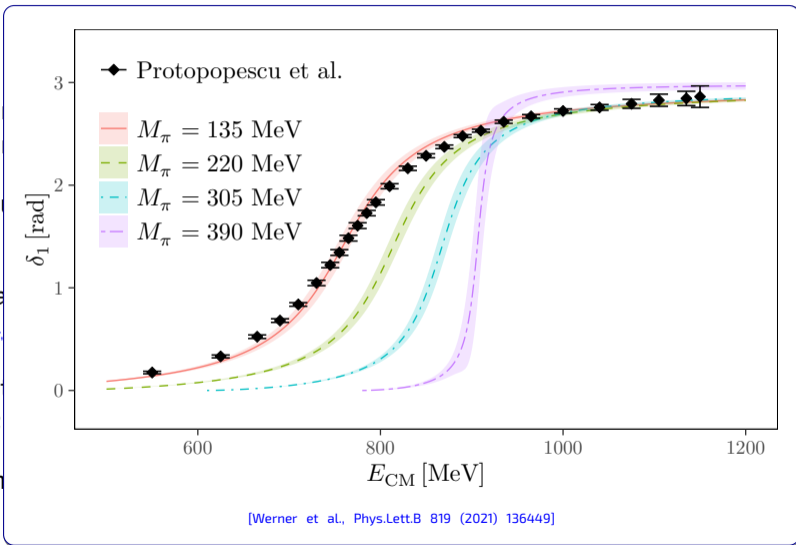
The $\rho(770)$

- ρ -resonance a poster Breit-Wigner resonance
- best studied resonance from Lattice QCD
- summary of 16 Lattice studies
[\[Mai, Meißner, Urbach, Phys. Rept. 1001 \(2023\) 1-66\]](#)
- bare lattice results for $N_f = 2$ and $N_f = 2 + 1(+1)$
- systematics clearly visible



The $\rho(770)$

- ρ -resonance
- best state
- QCD
- summary
- bare lattice
- $N_f = 2$
- systematic

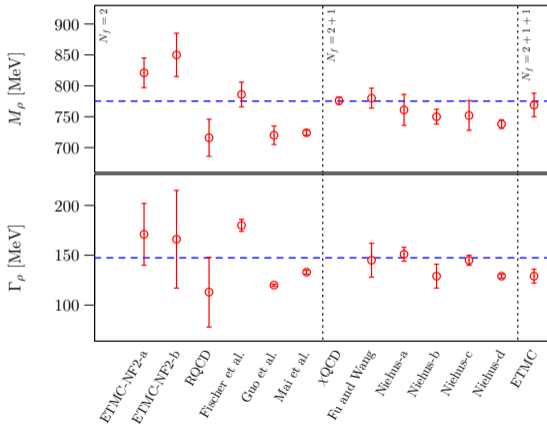


ρ -Meson Summary

- focus complex mass value at physical point

[Mai, Meißner, Urbach, Phys. Rept. 1001 (2023) 1-66]

- uncertainties shrink over time
- but there are still discrepancies
different chiral extrapolations!
- N_f dependence?

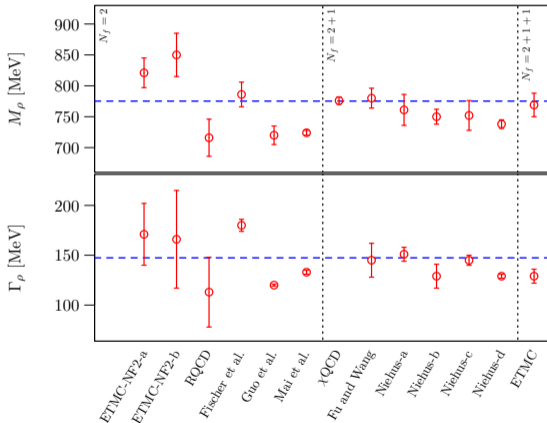


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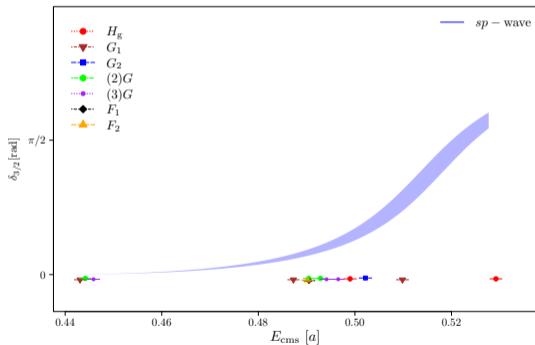
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different chiral extrapolations!
- N_f dependence?
- **calculation at physical pion mass
with several lattice spacings needed!**



Elastic πN -scattering and the Δ Resonance

- Δ : lowest lying baryon resonance
- significantly more challenging
 - proliferation of noise
 - elastic window small
 - S - and P -wave mixing
- 6(7) LQCD studies
- little control on systematics

Example $\delta_{3/2}$ phase shift



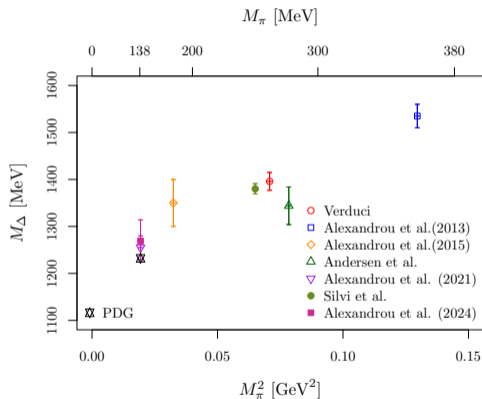
[Alexandrou et al., Phys.Rev.D 109 (2024) 3, 3]

Elastic πN -scattering and the Δ Resonance

- lattice overview for Δ

[Mai, Meißner, Urbach, Phys. Rept. 1001 (2023) 1-66]

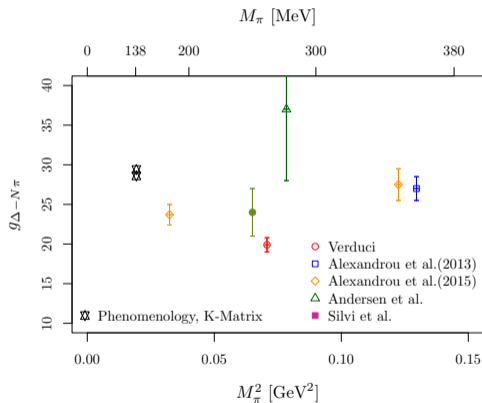
- resonance mass in reasonable agreement with experiment
- width (or coupling) more problematic
- scattering lengths still await precise determinations
- note: Alexandrou 2021 and 2024 are different analysis stages on the same data



[Mai, Meißner, Urbach, Phys. Rept. 1001 (2023) 1-66; plus update]

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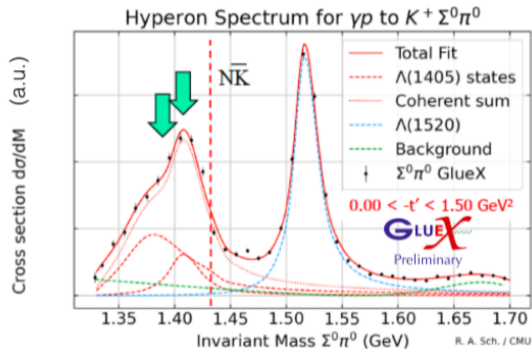
[Mai, Meißner, Urbach, Phys. Rept. 1001 (2023) 1-66; plus update]

Results: Coupled Channels/Thresholds

- many exotic states found experimentally recently
- non-standard nature
- threshold effects and coupled channels relevant
- however: LQCD treatment significantly more challenging

$\Lambda(1405)$: The Mother of Complicated Pole Structures

- $\frac{1}{2}^-$ $\Lambda(1405)$ just below $N\bar{K}$ threshold
- decays predominantly to $\Sigma\pi$
- two pole structure?
- phenomenologically still undecided
- chiral EFTs + unitarity: two pole structure
- Lattice QCD: requires coupled channel analysis!



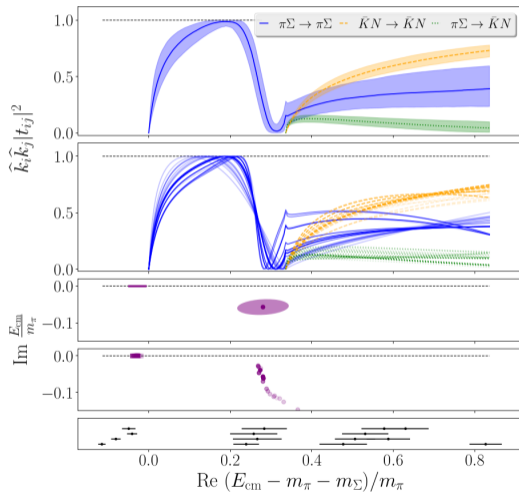
[GlueX, Wickramaarachchi et al, EPJ Web Conf. 271 (2022) 07005]

The $\Lambda(1405)$ from LQCD

- a single LQCD calculation available

[Bulava et al., Phys.Rev.Lett. 132 (2024) 5, 051901 & PRD]

- based on a single ensemble
 $M_\pi \approx 200$ MeV, $M_K \approx 487$ MeV
- coupled channel Lüscher approach
- sophisticated analysis procedure
- model averaging to avoid bias



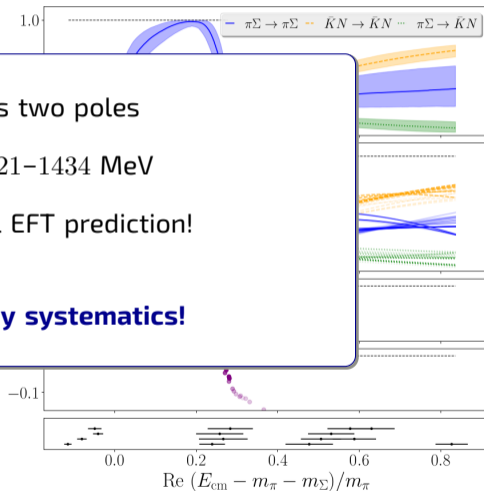
The $\Lambda(1405)$ from LQCD

- a sim
- base
- cou
- sop
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[Bulava

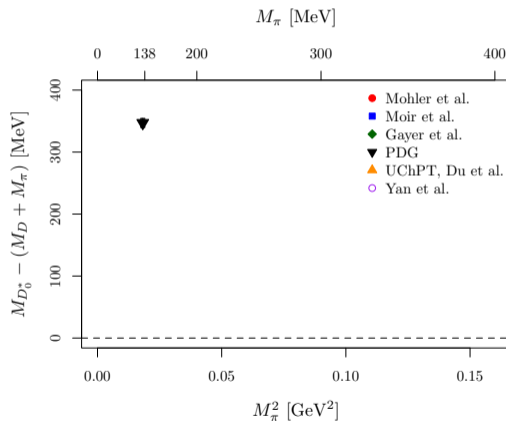
M_π

- this one lattice investigation favours two poles
- $\text{Re } E_1 = 1325\text{--}1380 \text{ MeV}$, $\text{Re } E_2 = 1421\text{--}1434 \text{ MeV}$
- first indication confirming the Chiral EFT prediction!
- however, please remember:
one ensemble doesn't control many systematics!



$D\pi$ -scattering and the $D_0^*(2300)$

- open charm, $J^P = 0^+$ and $I = 1/2$, decay to $D\pi$
- slightly above $D\pi$ threshold

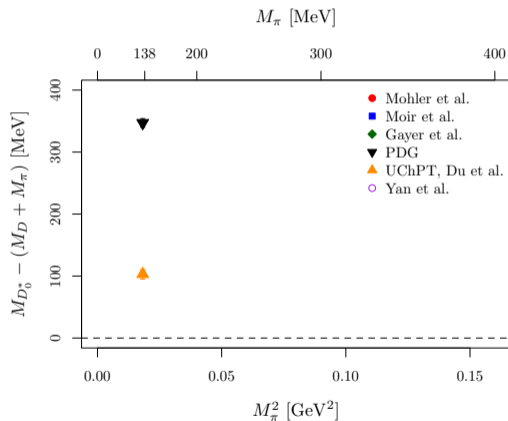


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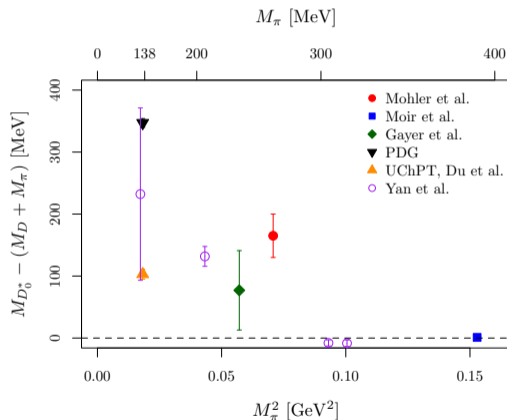
[Du et al., PRD 98 (9) (2018), 094018]



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- a bunch of LQCD results
- virtual state below $M_\pi \approx 300$ MeV
- inconclusive at M_π^{phys} !



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decay

- slight

- experimental

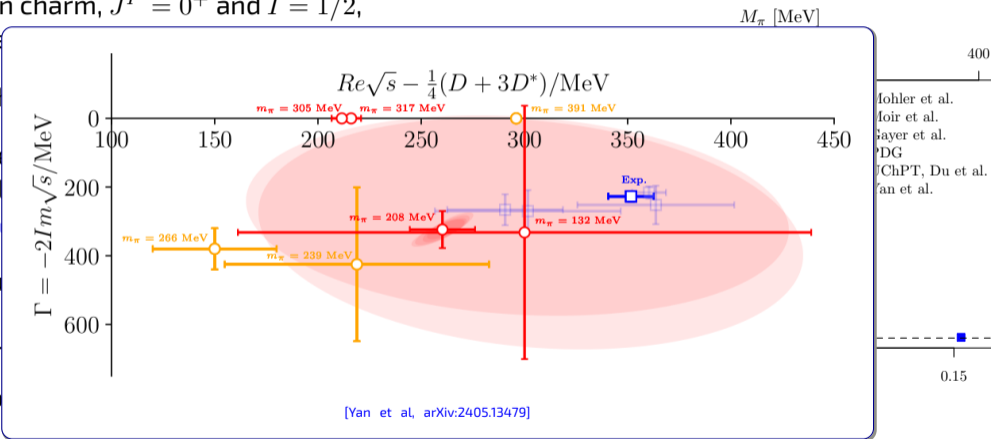
analysis

[Du et al.]

- abundant

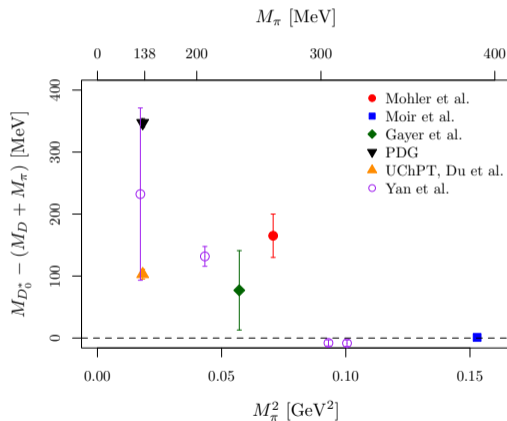
- virtual

- incomplete



$D\pi$ -scattering and the $D_0^*(2300)$

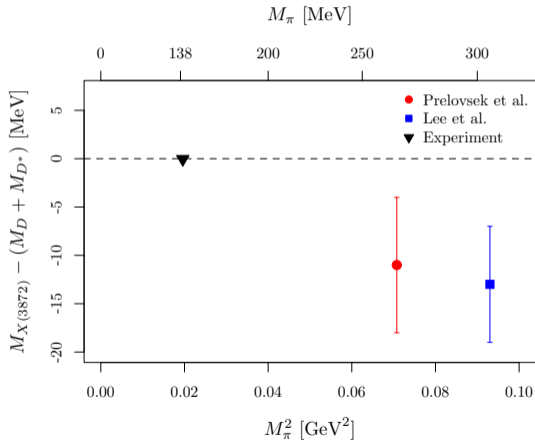
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- a bunch of LQCD results
- virtual state below $M_\pi \approx 300$ MeV
- inconclusive at M_π^{phys} !
- PDG revising their conclusions.



[Mai, Meißner, Urbach, Phys. Rept. 1001 (2023) 1-66; plus update]

The $X(3872)$ (now called $\chi_{c1}(3872)$)

- one of the first exotic states
- narrow, $J^{PC} = 1^{++}$ charmonium like
- two lattice studies available, one $N_f = 2$, one $N_f = 2 + 1 + 1$
[Prelovsek et al., Lee et al.]
- both exploratory, single ensemble investigations
- candidate $I = 0$ states very close to threshold



The elusive $Z_c(3900)$ (now called $T_{c\bar{c}1}(3900)$)

- closed charm, $I^G = 1^+$, $J^{PC} = 1^{+-}$
- rather narrow $\Gamma \approx 30$ MeV
- decay channels
 $J/\psi\pi, \eta_c\pi\pi, D\bar{D}^*, DD^*$
- number of LQCD calculations of $Z_c(3900)$
- LQCD conclusion so far:
no evidence for the Z_c was found
- only HAL QCD finds a state at threshold

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We have to control systematics!

Need to:

- investigate pion mass dependence
- study lattice spacing dependence
- perform a full coupled channel investigation

A Third Particle Enters the Game

- Three particle decays highly relevant
- Three-pion decays of
 $K, \eta, \omega, a_1(1260), a_1(1420)$
- Decays of exotica, e.g.:
 $X(3872) \rightarrow \bar{D}^* D \rightarrow \bar{D} D \pi,$
 $Y(4260) \rightarrow J/\psi \pi \pi$
- Roper resonance $\rightarrow \pi N$ and $\pi \pi N$
- Few-body physics: reactions with light nuclei

Lattice Energy Levels E
Finite Volume, discrete, real

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EFTs

Interaction Properties
Infinite Volume, possibly complex

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Three equivalent EFTs

- RFT (Relativistic Field Theory)
[Hansen, Sharpe, 2014]
- NREFT (Non-relativistic EFT)
[Hammer, Pang, Rusetsky, 2017]
- FVU (Finite Volume Unitarity)
[Mai, Döring, 2017]

Understanding Systematics of 3pt Quantisation in ϕ^4 -Theory

- two scalar fields ϕ_0, ϕ_1

[Garofalo, Mai, Romero-López, Rusetsky, Urbach, 2023]

- include interaction term

$$S_{\text{int}} = +\frac{g}{2}\phi_0\phi_1^3$$

- allows decay $\phi_0 \rightarrow 3\phi_1$

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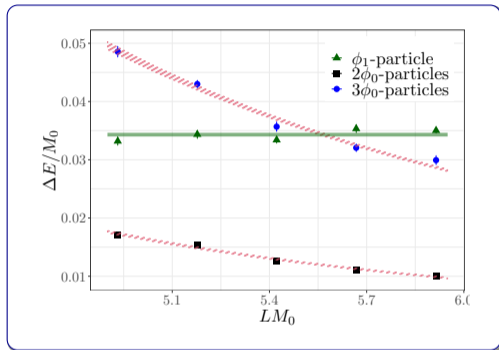
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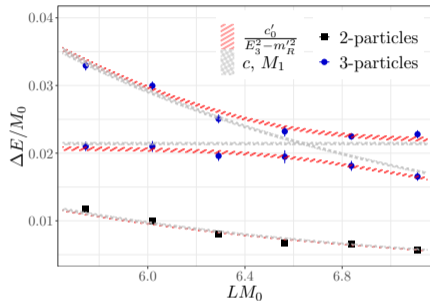
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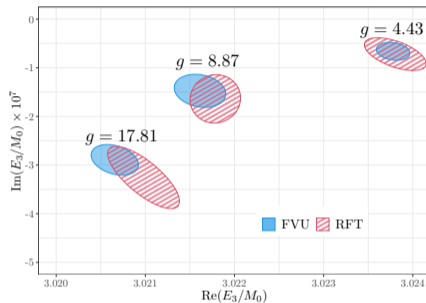
- allows decay $\phi_0 \rightarrow 3\phi_1$
- at $g = 0$ no coupling
- at $g > 0$ avoided level crossing



First observation in
a Lattice calculation

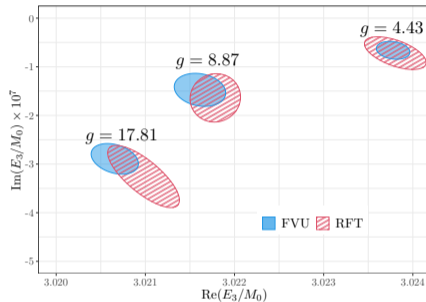
Understanding Systematics of 3pt Quantisation in ϕ^4 -Theory

- can go further in this model
[Garofalo, Mai, Romero-López, Rusetsky, Urbach, 2023]
- reconstruct complex mass
- compare FVU and RFT approaches
- we find good agreement!
- but systematics visible



Understanding Systematics of 3pt Quantisation in ϕ^4 -Theory

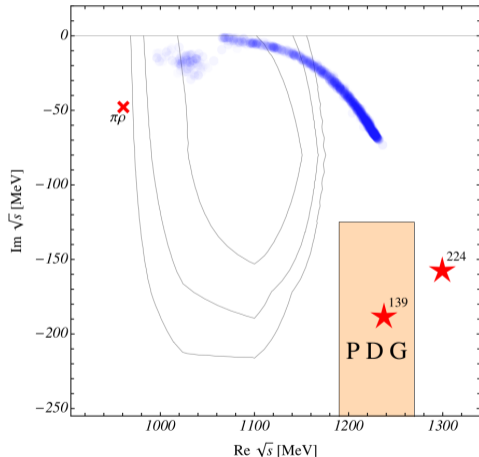
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Equivalence of FVU and RFT shown in practice in controlled model

The Axial $a_1(1260)$ Meson

- $I^G(J^{PC} = 1^-(1^{++})$ a_1 axial meson
- decays to three pions exclusively
- the only LQCD calculation of 3-body effects
- single ensemble, $M_\pi = 224$ MeV
- proof of feasibility



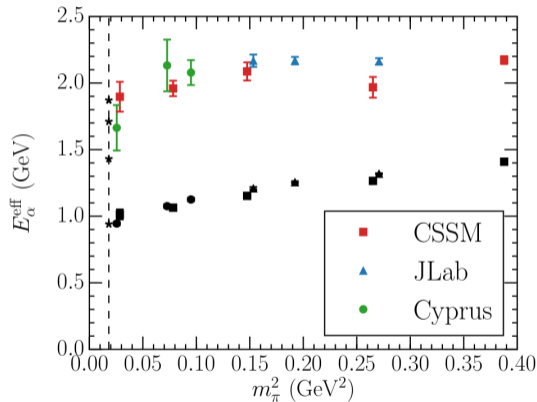
[Mai et al., Phys.Rev.Lett. 127 (2021) 22, 222001]

The Roper Resonance

- long standing puzzle from quark model viewpoint
- lighter than parity partner of N
- $N\pi$ and $N\pi\pi$ important decay channels
- many LQCD investigations
references see review
 - no true Lüscher analysis so far
one utilising Hamiltonian EFT
 - no LQCD calculation including 3-body dynamics

The Roper Resonance

- one LQCD conclusion:
qqq state ruled out
- Leinweber et al. claim Roper partially seen...
- I think: nothing more definite to conclude yet!
- need to include $N\pi\pi$ operators
- and coupled channel Lüscher analysis



[Leinweber et al., JPS Conf.Proc. 10 (2016) 010011]

...and Glueballs?

- famous quenched calculation from Morningstar and Peardon (1999)
- in dynamical QCD particularly hard problem
- mixing with many lower lying states
- quenched not a good approximation
- novel ideas with
 - gradient flow
[\[Sakai and Sasaki, Phys.Rev.D 107 \(2023\) 3, 034510\]](#)
 - dynamical simulations
[\[Bulava et al., AIP Conf.Proc. 2249 \(2020\) 1, 030032\]](#)

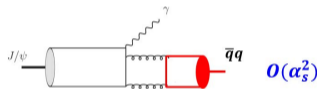
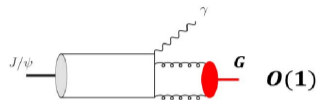
Glueballs from Radiative J/ψ Decays

- can one identify one of the ten scalar mesons as predominantly a glueball?
- highly non-trivial question (and maybe not well defined)
- radiative decays of J/ψ might be a good place to look for scalar glueballs
- decay into $\bar{q}q$ naïvly suppressed by α_s^2
- here: exploratory quenched study, two lattice spacings

[L.Gui, et al. (CLQCD), Phys. Rev. Lett. 110, 021601 (2013)]

- for tensor glueballs see

[Y.B. Yang et al.(CLQCD), Phys. Rev. Lett. 111, 091601 (2013)]



- **not** based on Lüscher method
- matrix element

$$\propto \langle G | j^\mu | J/\psi \rangle$$

estimated from three-point function

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Main conclusion

$$\Gamma(J/\psi \rightarrow \gamma \rightarrow G_{0+})/\Gamma_{\text{tot}} = 3.8(9) \times 10^{-3} \text{ from LQCD}$$

consistent with $f_0(1710)$ PDG production rate

$$\text{BR}(J/\psi \rightarrow \gamma \rightarrow \gamma f_0(1710)) = 1.9 \times 10^{-3}$$

and inconsistent with rates of other scalar mesons.

Quenched, so systematics are uncontrolled!

estimated from three-point function

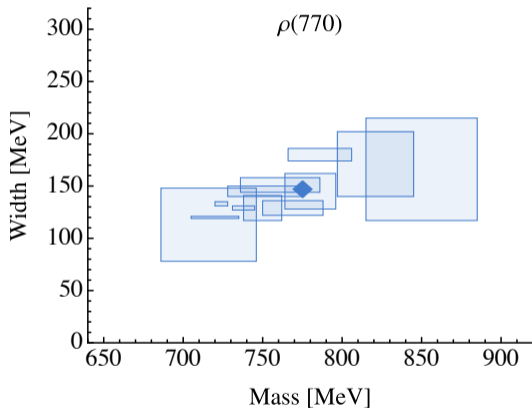
1)

α_s^2)

d

Summary

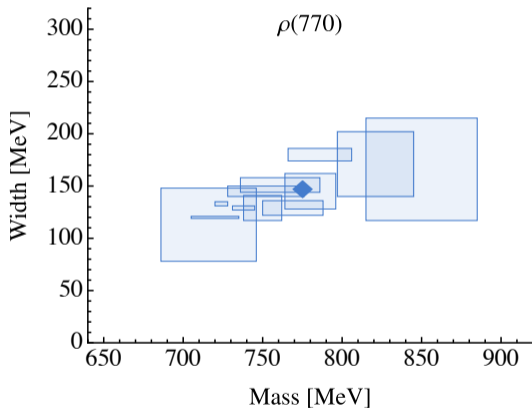
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- well separated resonances on a good way
- coupled channels / threshold phenomena promising results emerge
- can expect more in the future!



[Mai, Meißner, Urbach, Phys. Rept. 1001 (2023) 1-66]

Summary

- resonances in LQCD challenging problem
- well separated resonances on a good way
- coupled channels / threshold phenomena promising results emerge
- can expect more in the future!
- ... **thank you for you attention!**



[Mai, Meißner, Urbach, Phys. Rept. 1001 (2023) 1-66]