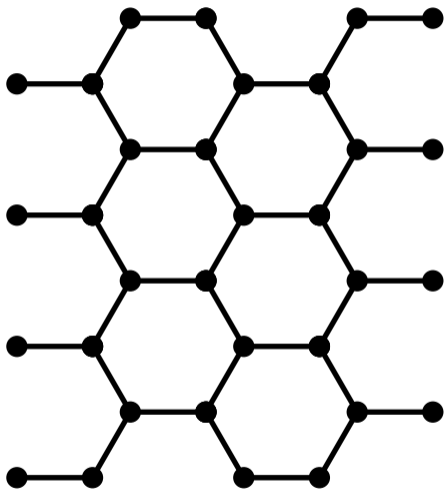


# How Experience from Lattice QCD can help in Condensed Matter

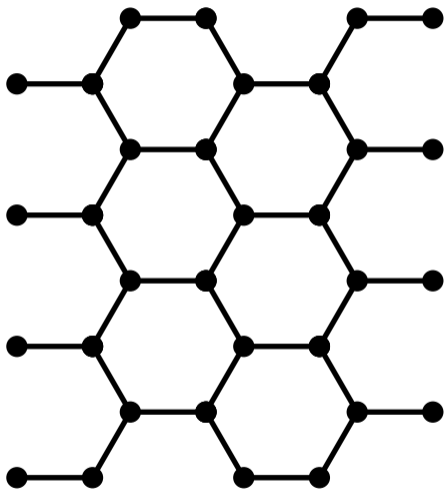
Johann Ostmeyer

Helmholtz-Institut für Strahlen- und Kernphysik

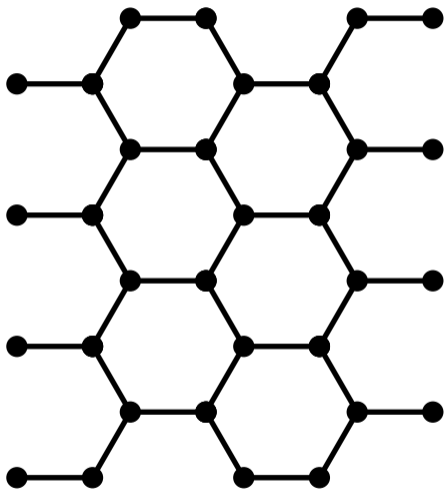
September 23, 2019



- ▶ Carbon atoms
- ▶ 2-dimensional hexagonal lattice



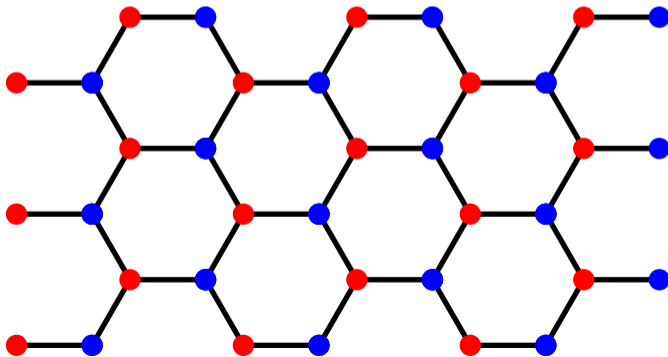
- ▶ Carbon atoms
- ▶ 2-dimensional hexagonal lattice
- ▶  $\approx 125$  times stronger than steel



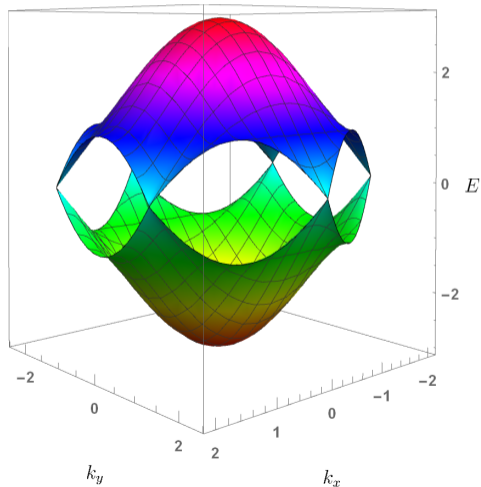
- ▶ Carbon atoms
- ▶ 2-dimensional hexagonal lattice
- ▶  $\approx 125$  times stronger than steel
- ▶ Might be the transistor material of the future

$$H = - \sum_{\langle x,y \rangle} c_x^\dagger c_y$$

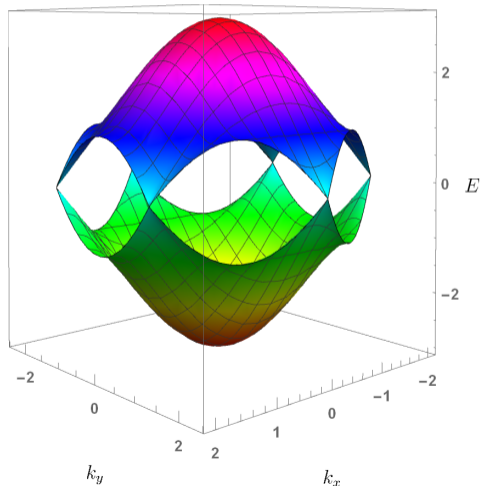
$\langle x,y \rangle$  denotes nearest neighbours



$$E_k^0 = \pm \sqrt{3 + 2 \left( \cos \left( \frac{3}{2}k_x + \frac{\sqrt{3}}{2}k_y \right) + \cos \left( \frac{3}{2}k_x - \frac{\sqrt{3}}{2}k_y \right) + \cos \left( \sqrt{3}k_y \right) \right)}$$



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- ▶ Two energy bands
- ▶ Touching at single points

$$H = -\kappa \sum_{\langle x,y \rangle, s} c_{x,s}^\dagger c_{y,s}$$



$$H = -\kappa \sum_{\langle x,y \rangle, s} c_{x,s}^\dagger c_{y,s} + \frac{1}{2} U \sum_x q_x^2$$

$$q_x = n_{x,\uparrow} + n_{x,\downarrow} - 1$$

$$n_{x,s} = c_{x,s}^\dagger c_{x,s}$$

- ▶  $U$  is a repulsive coupling

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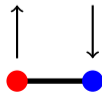
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- ▶ Expect a phase transition at critical  $U_c$

$$H = -\kappa \sum_{\langle x,y \rangle, s} c_{x,s}^\dagger c_{y,s} + \frac{1}{2} U \sum_x q_x^2 + m_s \sum_x \text{sgn}(x) \left( c_{x,\uparrow}^\dagger c_{x,\uparrow} - c_{x,\downarrow}^\dagger c_{x,\downarrow} \right)$$

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- ▶  $U$  is a repulsive coupling
- ▶ For  $U \gg 1$  no hopping  $\Rightarrow$  insulator
- ▶ Expect a phase transition at critical  $U_c$
- ▶ Staggered mass  $m_s$  introduces bias between sublattices



- ▶ Wick rotation

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- ▶ Discretise time into  $N_t$  slices of length  $\delta = \frac{\beta}{N_t}$

$$Z = \int \prod_{t=0}^{N_t-1} \mathcal{D}[\psi_t^*, \psi_t, \eta_t^*, \eta_t] e^{-\sum_{\alpha} (\psi_{\alpha,t+1}^* \psi_{\alpha,t+1} + \eta_{\alpha,t+1}^* \eta_{\alpha,t+1})} \\ \times \langle \psi_{t+1}, \eta_{t+1} | e^{-\delta H} | \psi_t, \eta_t \rangle$$

- ▶ Wick rotation
- ▶ Discretise time into  $N_t$  slices of length  $\delta = \frac{\beta}{N_t}$
- ▶ Hubbard-Stratonovich transformation

$$e^{-\frac{1}{2}U \sum_x q_x^2} \propto \int \mathcal{D}\phi_t e^{-\frac{1}{2U} \sum_x \phi_{x,t}^2 + i \sum_x \phi_{x,t} q_x}$$

- ▶ Wick rotation
- ▶ Discretise time into  $N_t$  slices of length  $\delta = \frac{\beta}{N_t}$
- ▶ Hubbard-Stratonovich transformation
- ▶ Hybrid Monte Carlo simulation

$$\mathcal{H} = \frac{\delta}{2U} \phi^2 + \chi^\dagger (MM^\dagger)^{-1} \chi + \frac{1}{2} \pi^2$$

$$M_{(x,t)(y,t')} = \delta_{xy} (\delta_{tt'} - \delta_{t-1,t'} (e^{-i\delta \cdot \phi_{x,t}} - \delta \cdot m_s)) - \delta \cdot \kappa \delta_{\langle x,y \rangle} \delta_{tt'}$$



- ▶ Equations of motion  $\dot{\phi} = \pi$ ,  $\dot{\pi} = -F(\phi)$

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$$\phi_i = \phi_{i-1} + c_i \Delta t \pi_{i-1}$$

$$\pi_i = \pi_{i-1} - d_i \Delta t F(\phi_i)$$

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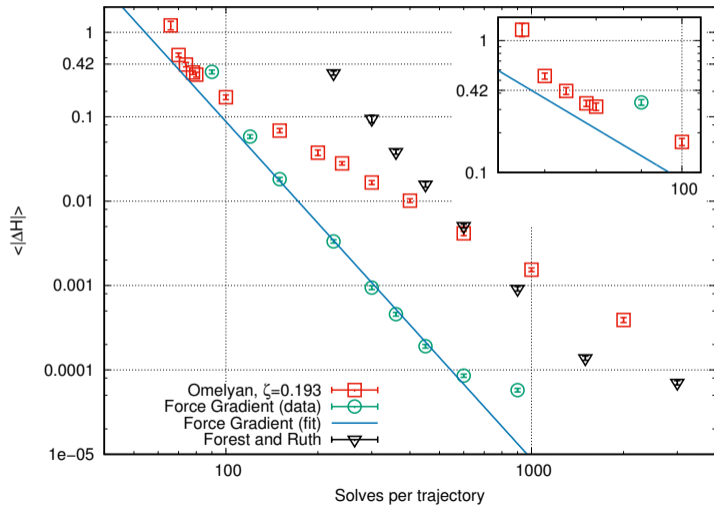
- ▶ Example: 2nd order Omelyan integrator

$$c_1 = c_3 = \zeta$$

$$c_2 = 1 - 2\zeta$$

$$d_1 = d_2 = \frac{1}{2}$$

$$d_3 = 0$$



- ▶ Want acceptance  $\approx 0.66$   
 $\Rightarrow \Delta \mathcal{H} \approx 0.42$
- ▶ Chose 2nd order Omelyan integrator

- ▶ Have to solve  $(MM^\dagger)\eta = \chi$

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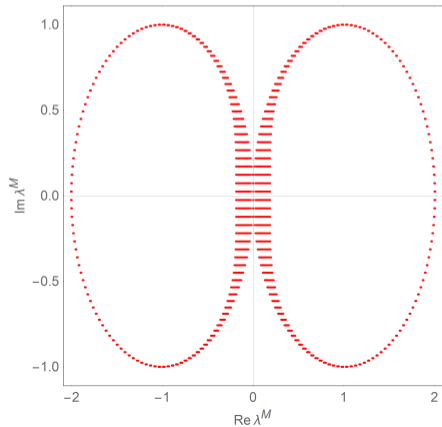


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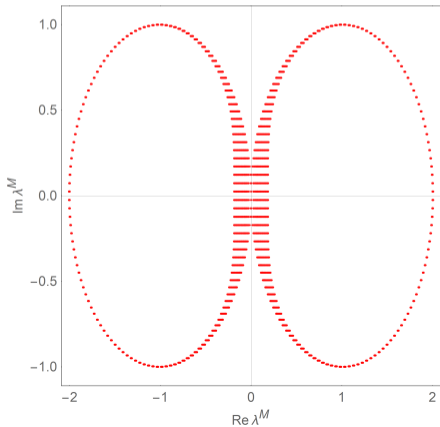
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$\approx 2.2 \times$  faster

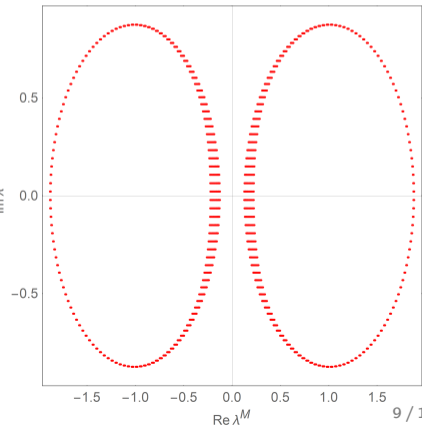
- ▶ Inversion of  $MM^\dagger$  has runtime  $\propto \left| \frac{\lambda_{\max}^M}{\lambda_{\min}^M} \right|^2$



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- ▶  $|\lambda_{\min}^M| \geq \delta \cdot m_s$



$m_s > 0$  →



Sample from probability distribution

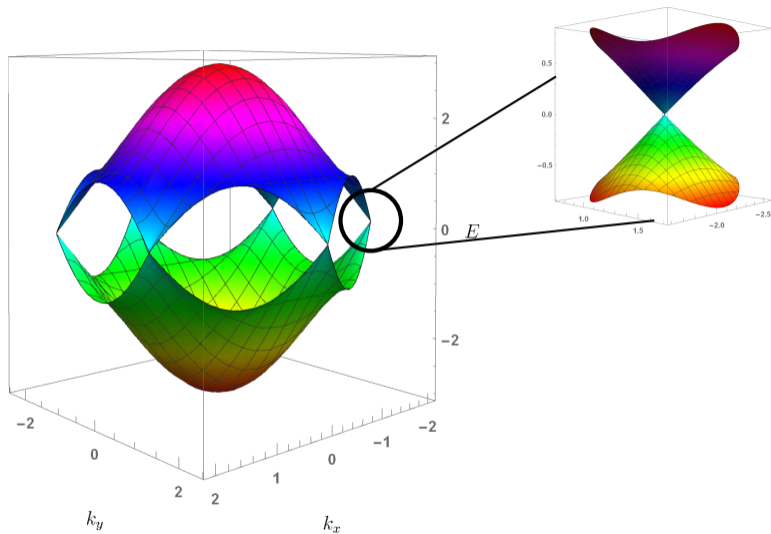
$$\begin{aligned} \det(MM^\dagger) &= \int \mathcal{D}\chi \mathcal{D}\chi^\dagger e^{-\chi^\dagger (MM^\dagger)^{-1} \chi} \\ &= \int \mathcal{D}\chi_1 \mathcal{D}\chi_1^\dagger \mathcal{D}\chi_2 \mathcal{D}\chi_2^\dagger e^{-\chi_1^\dagger (M_{m_s} M_{m_s}^\dagger)^{-1} \chi_1 - \chi_2^\dagger \left( \frac{MM^\dagger}{M_{m_s} M_{m_s}^\dagger} \right)^{-1} \chi_2} \end{aligned}$$

Sample from probability distribution

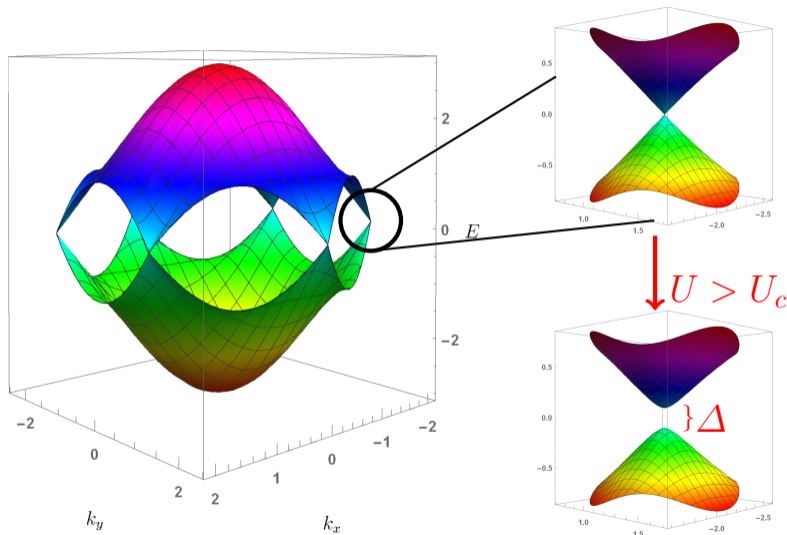
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- ▶  $M_{m_s} M_{m_s}^\dagger$  easy to invert
- ▶  $\frac{MM^\dagger}{M_{m_s} M_{m_s}^\dagger}$  has small influence, allows coarser time steps

# Expected phase transition



# Expected phase transition

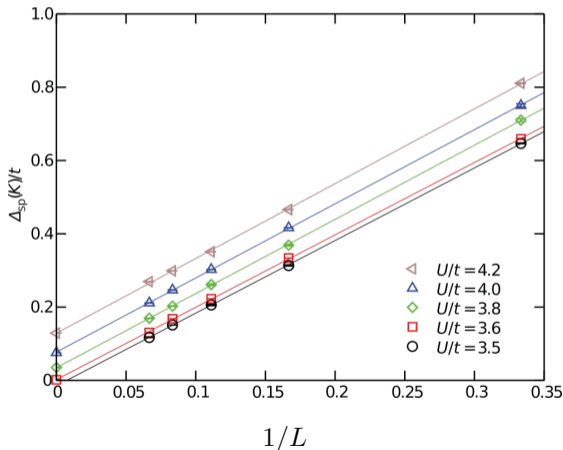




- ▶ Mostly usage of algorithms scaling with volume cubed [Blankenbecler *et al.* 1981; Ulybyshev *et al.* 2018]

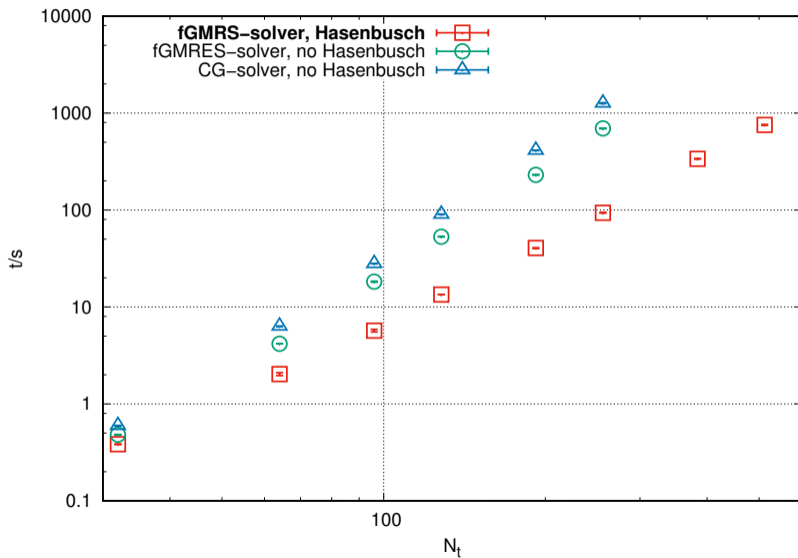
## Previous works

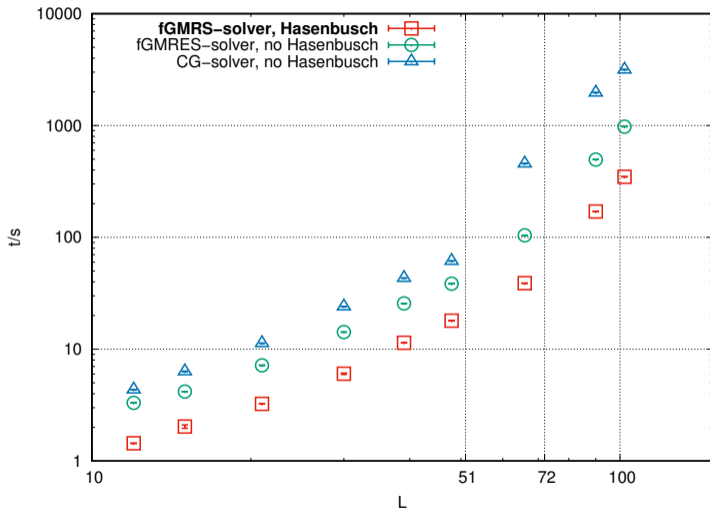
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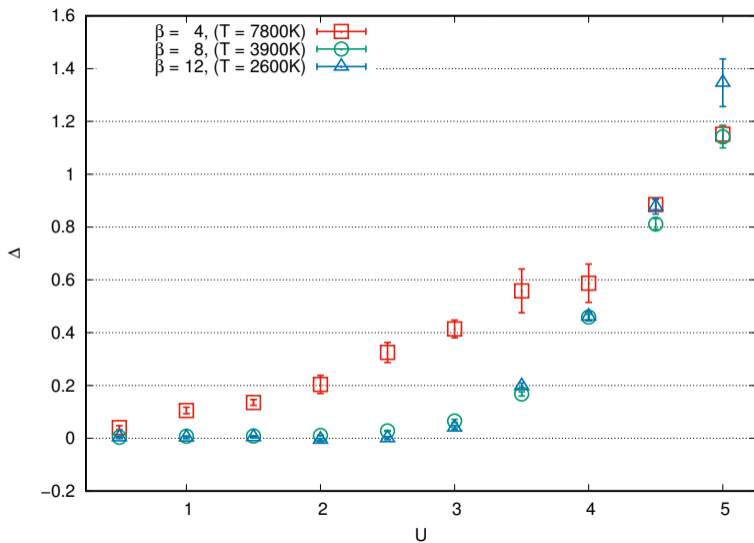
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- ▶ Poor thermodynamic limit extrapolation [Meng *et al.* 2010]
- ▶ To our knowledge no continuum limit extrapolation
- ▶ Largest systems simulated to date  $2 \times 48^2 \times 80$  [Stauber *et al.* 2017]



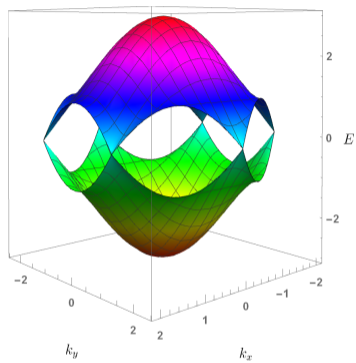
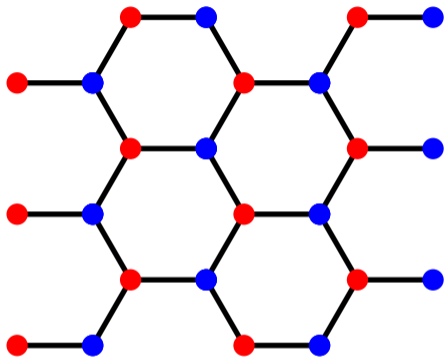


Linear volume scaling  $\rightarrow$  largest lattices  $2 \times 102^2 \times 64$

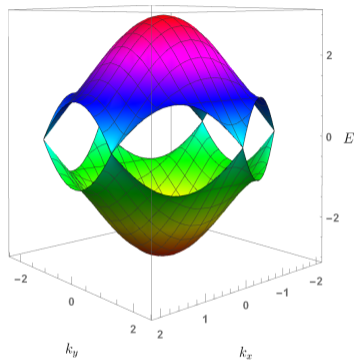
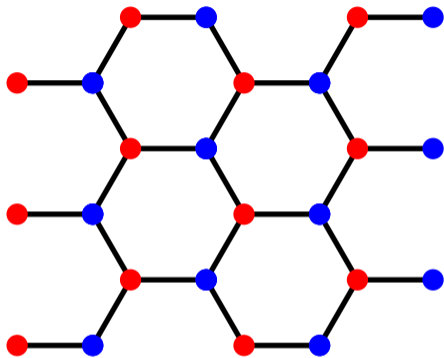
# Preliminary results



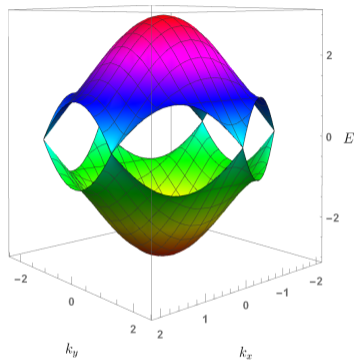
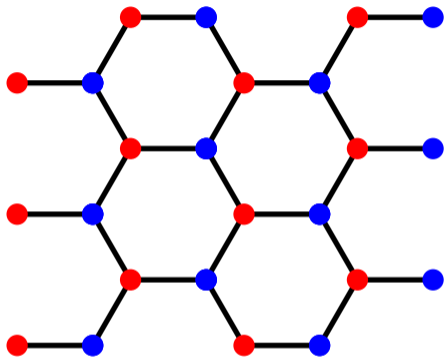
# Summary







- ▶ Hubbard model: Hopping + onsite interaction



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- ▶ Field approach to graphene very similar to QCD

- ▶ Port the code to GPU

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- ▶ Try out the  $n$ th root trick

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- ▶ Investigate the phase transition
- ▶ Try out the  $n$ th root trick
- ▶ Other interactions, chemical potential, several layers...

The image features a central graphic consisting of several concentric circles. The outermost circle is black, followed by a dark red ring, a lighter red ring, and a central dark blue circle. Overlaid on this graphic is the text "That's all Folks!" in a white, elegant cursive font. The text is positioned diagonally across the center, with "That's" on the left and "Folks!" on the right, ending with an exclamation point.

*That's all Folks!*

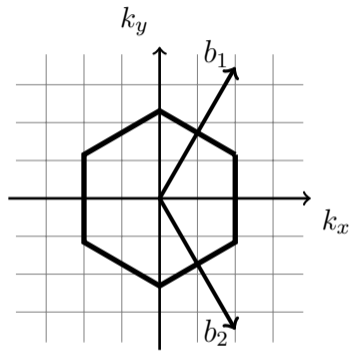
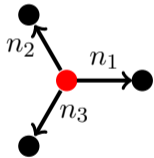
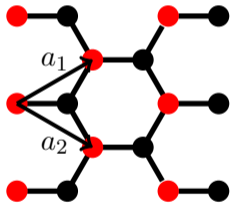
$$\begin{aligned}
H &\equiv H_{\text{tb}} + H_{\text{I}} + H_{\text{m}} \\
&= -\kappa \sum_{\langle x,y \rangle, s} c_{x,s}^\dagger c_{y,s} + \frac{1}{2} \sum_{x,y} V_{xy} q_x q_y + m_s \sum_x \text{sgn}(x) \left( c_{x,\uparrow}^\dagger c_{x,\uparrow} + c_{x,\downarrow} c_{x,\downarrow}^\dagger \right) \\
&= -\kappa \sum_{\langle x,y \rangle} \left( p_x^\dagger p_y + h_x^\dagger h_y \right) + \frac{U}{2} \sum_x q_x^2 + m_s \sum_x \text{sgn}(x) \left( p_x^\dagger p_x + h_x^\dagger h_x \right)
\end{aligned}$$

$$\text{sgn}(x) = \begin{cases} -1 & x \in A \\ 1 & x \in B \end{cases}$$

Particle-hole transformation:

$$p_x^\dagger \equiv c_{x,\uparrow}^\dagger, p_x \equiv c_{x,\uparrow}, h_x^\dagger \equiv c_{x,\downarrow}, h_x \equiv c_{x,\downarrow}^\dagger$$





$$k_D \equiv \frac{2\pi}{9a} \begin{pmatrix} 3 \\ \pm\sqrt{3} \end{pmatrix}$$

# Band structure (Fourier trafo)

$$\begin{aligned}
 H &= -\kappa \sum_{\langle x,y \rangle} c_x^\dagger c_y + m_s \sum_x \text{sgn}(x) (c_x^\dagger c_x) \\
 &= -\kappa \sum_{x \in A, n} (a_x^\dagger b_{x+n} + b_{x+n}^\dagger a_x) + m_s \sum_{x \in A} (a_x^\dagger a_x - b_{x+n_1}^\dagger b_{x+n_1}) \\
 &= \frac{1}{N} \sum_{k, k'} \sum_{x \in A} e^{i(k'-k)x} \left( -\kappa \sum_n (e^{ik'n} a_k^\dagger b_{k'} + e^{-ikn} b_k^\dagger a_{k'}) \right. \\
 &\quad \left. + m_s (a_k^\dagger a_{k'} - e^{i(k'-k)n_1} b_k^\dagger b_{k'}) \right) \\
 &= \sum_k \left( -\kappa \sum_n (e^{ikn} a_k^\dagger b_k + e^{-ikn} b_k^\dagger a_k) + m_s (a_k^\dagger a_k - b_k^\dagger b_k) \right) \\
 &= \sum_k (a_k^\dagger, b_k^\dagger) \begin{pmatrix} m_s & -\kappa \sum_n e^{ikn} \\ -\kappa \sum_n e^{-ikn} & -m_s \end{pmatrix} \begin{pmatrix} a_k \\ b_k \end{pmatrix}
 \end{aligned}$$

$$H_k = \begin{pmatrix} m_s & -\kappa \sum_n e^{ikn} \\ -\kappa \sum_n e^{-ikn} & -m_s \end{pmatrix}$$

$$\begin{aligned} \det(H_k - E_k) &= (m_s - E_k)(-m_s - E_k) - K \\ &= E_k^2 - m_s^2 - K \end{aligned}$$

$$K = \left( \kappa \sum_n e^{ikn} \right) \left( \kappa \sum_n e^{-ikn} \right)$$

# Sketch of the derivation of the path integral formalism I

Partition function  $Z \equiv \text{Tr} [e^{-\beta H}] = \text{Tr} \left[ \prod_{t=0}^{N_t-1} e^{-\delta \cdot H} \right]$ .

$$Z = \int \prod_{t=0}^{N_t-1} \mathcal{D} [\psi_t^*, \psi_t, \eta_t^*, \eta_t] e^{-\sum_{\alpha} (\psi_{\alpha,t+1}^* \psi_{\alpha,t+1} + \eta_{\alpha,t+1}^* \eta_{\alpha,t+1})} \left\langle \psi_{t+1}, \eta_{t+1} \left| e^{-\delta H} \right| \psi_t, \eta_t \right\rangle$$

Hubbard-Stratonovich transformation:

$$e^{-\frac{1}{2} \delta \sum_{x,y} V_{x,y} q_x q_y} \propto \int \mathcal{D} \phi_t e^{-\frac{1}{2} \sum_{x,y} \tilde{V}_{x,y}^{-1} \tilde{\phi}_{x,t} \tilde{\phi}_{y,t} + i \sum_x \tilde{\phi}_{x,t} q_x}$$

$$\tilde{\kappa} := \delta \cdot \kappa, \quad \tilde{V} := \delta \cdot V, \quad \tilde{\phi} := \delta \cdot \phi, \quad \tilde{m}_s := \delta \cdot m_s.$$

# Sketch of the derivation of the path integral formalism II

$$\begin{aligned}
 \langle \psi_{t+1}, \eta_{t+1} | e^{-\delta H} | \psi_t, \eta_t \rangle &= \int \mathcal{D}\phi_t \exp \left\{ -\frac{1}{2} \sum_{x,y} \tilde{V}_{x,y}^{-1} \tilde{\phi}_{x,t} \tilde{\phi}_{y,t} \right. \\
 &+ \sum_x \left( e^{i\tilde{\phi}_{x,t}} \psi_{x,t+1}^* \psi_{x,t} + e^{-i\tilde{\phi}_{x,t}} \eta_{x,t+1}^* \eta_{x,t} \right) + \tilde{\kappa} \sum_{\langle x,y \rangle} \left( \psi_{x,t+1}^* \psi_{y,t} + \eta_{x,t+1}^* \eta_{y,t} \right) \\
 &\left. - \tilde{m}_s \sum_x \text{sgn}(x) \left( \psi_{x,t+1}^* \psi_{x,t} + \eta_{x,t+1}^* \eta_{x,t} \right) \right\} + \mathcal{O}(\delta^2)
 \end{aligned}$$

Fermion matrix:

$$M_{(x,t)(y,t')} \equiv \delta_{xy} \delta_{tt'} - e^{-i\tilde{\phi}_{x,t}} \delta_{xy} \delta_{t-1,t'} - \tilde{\kappa} \delta_{\langle x,y \rangle} \delta_{t-1,t'} + \text{sgn}(x) \tilde{m}_s \delta_{xy} \delta_{t-1,t'}$$

# Sketch of the derivation of the path integral formalism III

$$\begin{aligned} Z &= \int \mathcal{D}\psi^* \mathcal{D}\psi \mathcal{D}\eta^* \mathcal{D}\eta \mathcal{D}\phi e^{-\frac{1}{2}\tilde{\phi}^t \tilde{V}^{-1} \tilde{\phi} - \psi^\dagger M^* \psi - \eta^\dagger M \eta} \\ &= \int \mathcal{D}\phi \det M^* \det M e^{-\frac{1}{2}\tilde{\phi}^t \tilde{V}^{-1} \tilde{\phi}} \\ &= \int \mathcal{D}\phi \det (MM^\dagger) e^{-\frac{1}{2}\tilde{\phi}^t \tilde{V}^{-1} \tilde{\phi}} \\ &= \int \mathcal{D}\phi \mathcal{D}\chi^* \mathcal{D}\chi e^{-\frac{1}{2}\tilde{\phi}^t \tilde{V}^{-1} \tilde{\phi} - \chi^\dagger (MM^\dagger)^{-1} \chi} \\ &= \int \mathcal{D}\phi \mathcal{D}\chi^* \mathcal{D}\chi \mathcal{D}\pi e^{-\frac{1}{2}\tilde{\phi}^t \tilde{V}^{-1} \tilde{\phi} - \chi^\dagger (MM^\dagger)^{-1} \chi - \frac{1}{2}\pi^t \pi} \end{aligned}$$

Effective Hamiltonian:

$$\mathcal{H} = \frac{1}{2}\tilde{\phi}^t \tilde{V}^{-1} \tilde{\phi} + \chi^\dagger (MM^\dagger)^{-1} \chi + \frac{1}{2}\pi^t \pi$$

Pure backward differencing:

$$M_{(x,t)(y,t')} = \delta_{xy} \left( \delta_{tt'} - \delta_{t-1,t'} \left( e^{-i\tilde{\phi}_{x,t}} - \tilde{m}_s \right) \right) - \tilde{\kappa} \delta_{\langle x,y \rangle} \delta_{tt'}$$

Mixed differencing:

$$M_{(x,t)(y,t')}^{AA} = \delta_{xy} \left( \delta_{t+1,t'} - \delta_{tt'} \left( e^{-i\tilde{\phi}_{x,t}} + \tilde{m}_s \right) \right)$$

$$M_{(x,t)(y,t')}^{BB} = \delta_{xy} \left( \delta_{tt'} - \delta_{t-1,t'} \left( e^{-i\tilde{\phi}_{x,t}} - \tilde{m}_s \right) \right)$$

$$M_{(x,t)(y,t')}^{AB} = M_{(x,t)(y,t')}^{BA} = -\tilde{\kappa} \delta_{\langle x,y \rangle} \delta_{tt'}$$

$$\tilde{a} := \delta \cdot a$$

$$\begin{aligned}\dot{\phi}^T &= \frac{\partial \mathcal{H}}{\partial \pi} = \pi^T \\ \dot{\pi}^T &= -\frac{\partial \mathcal{H}}{\partial \phi} = -\phi^T \frac{1}{\delta U} + 2 \operatorname{Re} \left( \eta^\dagger \frac{\partial M}{\partial \phi} \xi \right)\end{aligned}$$

with the two complex vector fields

$$\eta = \left( M M^\dagger \right)^{-1} \chi, \quad \xi = M^\dagger \eta$$



$$\dot{\pi}^T = F_\phi + \sum_{i=0}^n F_{\chi_i}$$

$$F_\phi = -\delta\phi^T V^{-1}$$

$$F_{\chi_n} = 2 \operatorname{Re} \left( \eta_n^\dagger \frac{\partial M}{\partial \phi} \xi_n \right)$$

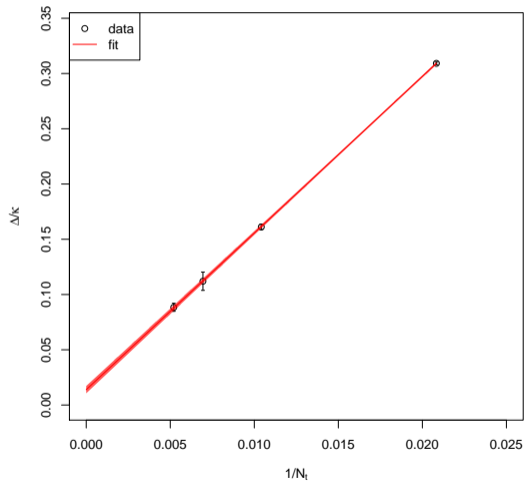
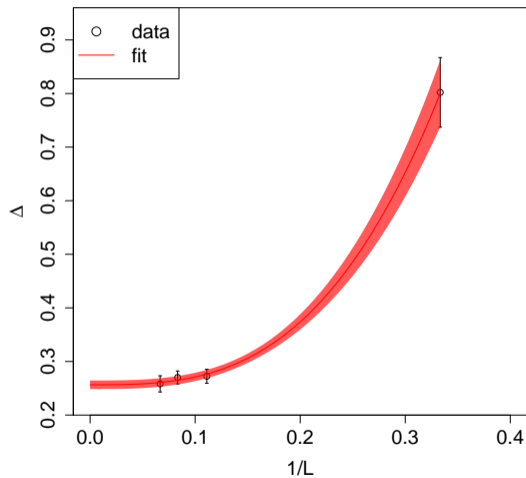
$$F_{\chi_i} = 2 \operatorname{Re} \left( \eta_i^\dagger \frac{\partial M}{\partial \phi} (\xi_i - \chi_i) \right) \quad \text{for } i < n$$







with







$$\eta_i = \left( M_{\mu_{i-1}} M_{\mu_{i-1}}^\dagger \right)^{-1} M_{\mu_i} \chi_i$$

$$\xi_i = M_{\mu_{i-1}}^\dagger \eta_i = M_{\mu_{i-1}}^{-1} M_{\mu_i} \chi_i$$








# Thermodynamic and continuum limits



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