

# The Basis Invariant Flavor Puzzle

**Andreas Trautner**

based on:

arXiv:2308.00019 with Miguel P. **Bento** and João P. **Silva**  
arXiv:1812.02614 JHEP 1905 (2019) 208

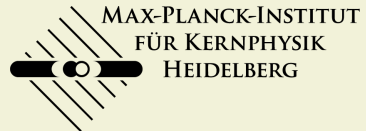
High Energy Theory Seminar  
BCTP, Bonn



18.12.23



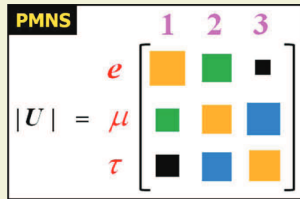
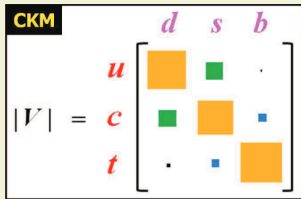
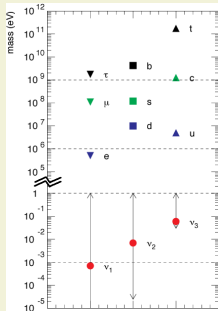
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# Motivation: SM Flavor Puzzle

# The Standard Model Flavor Puzzle

- **Why** *three* generations of matter Fermions?
- **Why** *hierarchical* masses of Fermions?
- **Why** *small* transition probabilities for  $q_i^{\text{up}} \rightarrow q_{j \neq i}^{\text{down}}$ ? ( $\propto |V_{ij}^{\text{CKM}}|^2$ )
- **Why** *large* transition probabilities for  $\ell_i \rightarrow \nu_j$ ? ( $\propto |U_{ij}^{\text{PMNS}}|^2$ )



- **Why** CP violation *only* in combination with *flavor violation*?

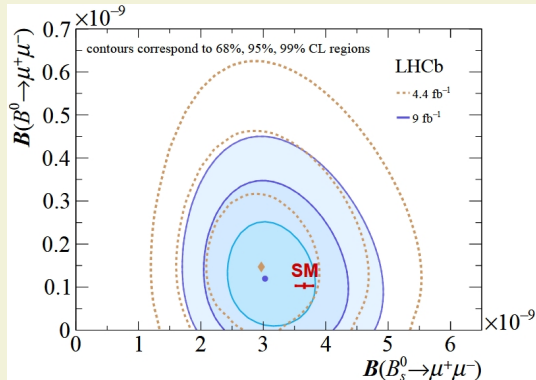
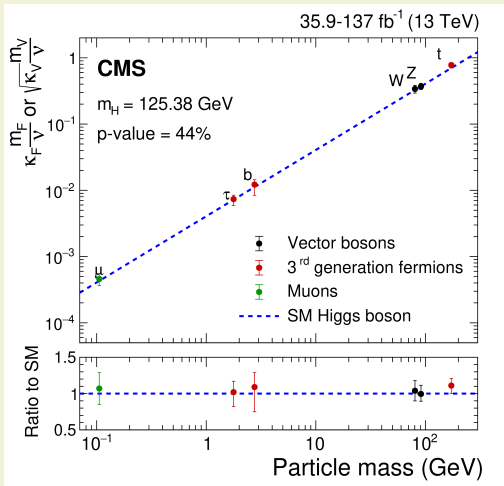
Parametrization independent measure of CP violation:

$$J_{33} = \det [M_u M_u^\dagger, M_d M_d^\dagger] \propto \text{Im} [V_{ud}^* V_{cs}^* V_{us} V_{cd}] = 3.08_{-0.13}^{+0.15} \times 10^{-5} .$$

[Greenberg '85, Jarlskog '85]

# The Standard Model Flavor Puzzle

## Robust confirmation at the LHC



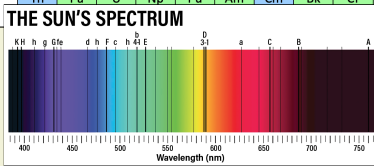
# The Standard Model Flavor Puzzle

**PERIODIC TABLE OF THE ELEMENTS**

	1																			18	
1	1 H																				2 He
2	3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne			
3	11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar			
4	19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr			
5	37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe			
6	55 Cs	56 Ba	57 La	*	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn		
7	87 Fr	88 Ra	89 Ac	†	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110 Ds	111 Rg	112 Cn	113 Nh	114 Fl	115 Mc	116 Lv	117 Ts	118 Og		

*	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu
†	90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No	103 Lr





# Why use Basis Invariants (BIs)?

- Physical observables must be given as function of BIs.
- Flavor puzzle is *plagued* by **unphysical** choice of basis and parametrization.
- BI necessary and sufficient conditions for **CPV** in SM. . . . [Greenberg '85; Jarlskog '85]  
... and BSM: Multi-scalar 2/3/NHDM, 4th gen., Dirac vs. Majorana  $\nu$ 's, . . .  
[Bernabeu et al. '86], [Branco, Lavoura, Rebelo '86], [Botella, Silva '95], [Davidson, Haber '05], [Yu, Zhou '21], . . .
- BIs and their relations, incl. CP-even BIs, allow to detect symmetries in general.  
[Ivanov, Nishi, Silva, AT '19], [de Meideiros Varzielas, Ivanov '19], [Bento, Boto, Silva, AT '20]
- BI formulation simplifies RGE's, RGE running, and derivation of RGE invariants.  
[Harrison, Krishnan, Scott '10], [Feldmann, Mannel, Schwertfeger '15], [Chiu, Kuo '15], [Bednyakov '18], [Wang, Yu, Zhou '21], . . .

However, no quantitative BI analysis of the flavor puzzle exist.

↪ This allows an entirely new perspective on the flavor puzzle!

Why hasn't it been done? Technically challenging:

**How** to construct BI's? **When** to stop?

general answers and technique based on example of 2HDM [AT '18]

# Outline

- Motivation
- Jargon of invariant theory

I will focus entirely on the quark sector here!

- Standard Model quark sector **flavor covariants**
  - Construction of the **complete ring** of quark sector *orthogonal* **basis invariants**
  - Determine the invariants from experimental data
- ⇒ This gives an entirely basis invariant picture of the quark flavor puzzle.
- CP transformation of invariants & comments



## Jargon of invariant theory

- **Algebraic (in-)dependence:**

Invariants  $\mathcal{I}_1, \mathcal{I}_2, \mathcal{I}_3, \dots$  are **algebraically dependent** if and only if

$$\exists \text{ Polynomial } (\mathcal{I}_1, \mathcal{I}_2, \mathcal{I}_3, \dots) = 0 .$$

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- **Generating set** of invariants  $\equiv$  all **primary + secondary** invariants.

$\Rightarrow$  All invariants can be written as a polynomial in the **generating set** of invariants.

$$\mathcal{I} = \text{Polynomial } (\mathcal{I}_1, \mathcal{I}_2, \dots) .$$

# **SO(3) Example for Hilbert Series and construction of Invariants**

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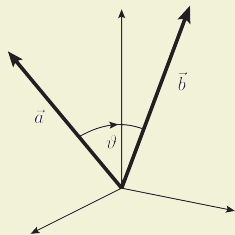
“simply contract all indices in all combinations”

$$\vec{a} \cdot \vec{a}, \quad \vec{b} \cdot \vec{b}, \quad \vec{a} \cdot \vec{b} = |\vec{a}||\vec{b}|\cos\theta.$$

“why is this so hard?” High rank tensors  $M_{ijkl\dots} \Rightarrow \#$  of permutations grows  $\propto n!$

General answer: use Hilbert series (HS) to compute

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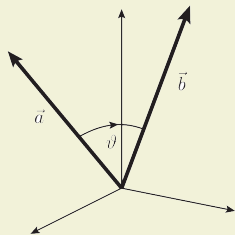
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$$\mathfrak{H}(a, b) = \int d\mu_{\text{SO}(3)} \text{PE}[a; \mathfrak{3}] \text{PE}[b; \mathfrak{3}] = \frac{1}{(1-a^2)(1-b^2)(1-ab)},$$

$$\int d\mu_{\text{SO}(3)} = \frac{1}{2\pi i} \oint_{|z|=1} \frac{dz}{z} (1-z^2), \quad \text{PE}[x, \mathfrak{r}] := \exp\left(\sum_{k=1}^{\infty} \frac{x^k \chi_{\mathfrak{r}}(z^k)}{k}\right), \quad \begin{aligned} \chi_{\mathfrak{2}} &= z + \frac{1}{z}, \\ \chi_{\mathfrak{3}} &= z^2 + 1 + \frac{1}{z^2}. \end{aligned}$$





# SM Quark Sector Flavor Invariants – Systematic Construction

# Standard Model Quark Sector Flavor **Covariants**

$$-\mathcal{L}_{\text{Yuk.}} = \bar{Q}_L \tilde{H} \mathbf{Y}_u u_R + \bar{Q}_L H \mathbf{Y}_d d_R + \text{h.c.},$$

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$$H_u := Y_u Y_u^\dagger, \quad H_d := Y_d Y_d^\dagger \quad \text{both transform as } \bar{\mathbf{3}} \otimes \mathbf{3} \quad \text{of } \text{SU}(3)_{Q_L}.$$

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$$\bar{\mathbf{3}} \otimes \mathbf{3} = \mathbf{1} \oplus \mathbf{8}.$$

$$= \frac{1}{N} \left[ \text{loop} \right] + \frac{1}{\text{Tr}} \left[ \text{loop with double line} \right].$$

$$(t^a)^i_j = \begin{array}{c} a \\ \text{loop} \\ i \text{---} \text{---} j \end{array}$$

$$(H_u)_{\mathbf{1}} = \text{Tr} H_u$$

$$(H_u)_{\mathbf{8}} = H_u - \mathbb{1} \text{Tr} \frac{H_u}{3}$$

$$\mathbf{u}^a = \text{Tr} [t^a H_u] = \left[ \text{box } H_u \text{ with external line } a \right]$$

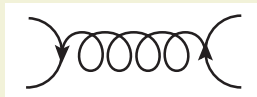
# Orthogonal Covariant Projection Operators

What does orthogonal mean here?

Orthogonality on the level of **projection operators**!



$P_{(1)}$



$P_{(8)}$



$P_{(1)} \cdot P_{(8)} = 0 \quad (\propto \text{Tr } t^a)$

Projection operators:  $P_i^2 = P_i$ ,  $\text{Tr } P_i = \dim(\mathbf{r}_i)$ ,

Orthogonality:  $P_i \cdot P_j = 0$ .

Using orthogonal **singlet** projectors we find invariants that are orthogonal to each other!

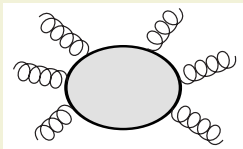
# What is necessary to construct Basis Invariants

$$\mathbf{8}_u \otimes \mathbf{8}_u \otimes \dots \mathbf{8}_d \otimes \mathbf{8}_d \otimes \dots = \mathbf{8}_u^{\otimes k} \otimes \mathbf{8}_d^{\otimes \ell} = \sum_{\oplus} \mathbf{r}_i$$

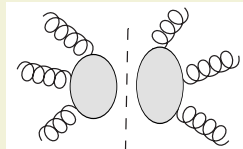
Singlet projection operators:

$$\mathbf{8}_u^{\otimes k} \otimes \mathbf{8}_d^{\otimes \ell} \supset \mathbf{1}_{(1)} \oplus \mathbf{1}_{(2)} \oplus \dots$$

Singlet projection operators are characterized by **factorization**. For example:



$$\mathbf{8}^{\otimes 3} \rightarrow \mathbf{8}^{\otimes 3}$$



$$\Leftrightarrow \mathbf{8}^{\otimes 3} \supset \mathbf{1}$$

How many **independent** singlets exist? (here: in contractions  $\mathbf{8}_u^{\otimes k} \otimes \mathbf{8}_d^{\otimes \ell}$  for all  $k, \ell$ )

## Number and structure of invariants

- **How to find the number of primary / secondary invariants?**
- **How to find their structure in terms of covariants?**



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The HS/PL combination is a powerful vehicle.

[Noether 1916; Getzler & Kapranov '94]

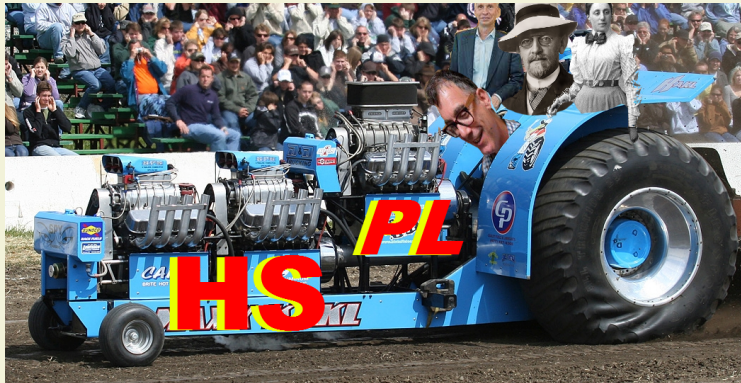
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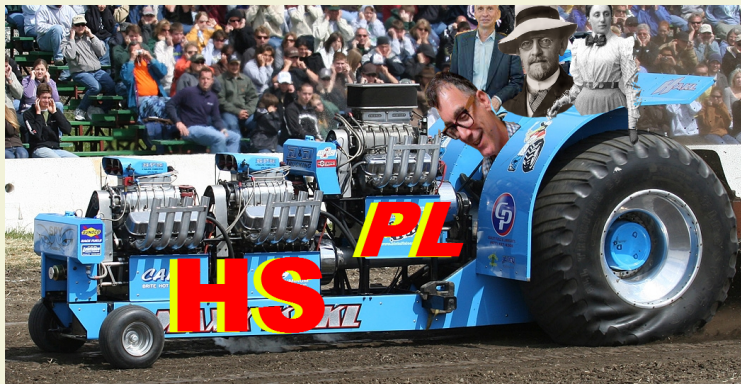
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$$\mathfrak{H}(u, d) = \int_{SU(3)} d\mu_{SU(3)} \text{PE} [z_1, z_2; u; \mathfrak{8}] \text{PE} [z_1, z_2; d; \mathfrak{8}] ,$$

$$\mathfrak{H}(u, d) = \frac{1 + u^3 d^3}{(1 - u^2)(1 - d^2)(1 - ud)(1 - u^3)(1 - d^3)(1 - ud^2)(1 - u^2 d)(1 - u^2 d^2)} .$$

$$\text{PL} [\mathfrak{H}(u, d)] := \sum_{k=1}^{\infty} \frac{\mu(k) \ln \mathfrak{H}(u^k, d^k)}{k} .$$

$$\text{PL} [\mathfrak{H}(u, d)] = u^2 + ud + d^2 + u^3 + d^3 + u^2 d + ud^2 + u^2 d^2 + u^3 d^3 - u^6 d^6 .$$

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- # of primary invariants and their sub-structure (covariant content):

$$\begin{array}{l} \begin{array}{cc} (u) & (d) \\ u^2 & d^2 \quad ud \\ u^3 & d^3 \quad u^2d \quad ud^2 \\ u^2d^2 & \end{array} \end{array}$$

(10 primary invariants  $\hat{=}$  10 physical parameters).

- 1 secondary invariant of structure:  $u^3d^3$ .
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- $\mathbf{8}^{\otimes 2} \rightarrow \mathbf{1}$

$$\delta^{ab} = \text{[Diagram: a horizontal line of 10 connected circles]} .$$

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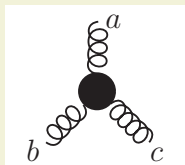
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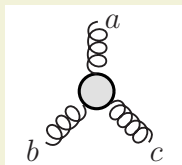
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$$= i f^{abc}$$

and



$$= d^{abc} .$$

$$f^{abc} = \frac{1}{i T_r} \text{Tr} \left( [t^a, t^b] t^c \right)$$

$$d^{abc} = \frac{1}{T_r} \text{Tr} \left( \{t^a, t^b\} t^c \right)$$

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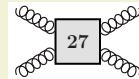
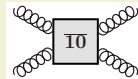
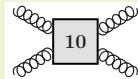
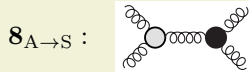
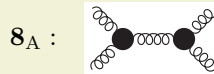
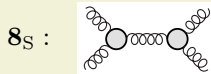
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- $\mathbf{8}^{\otimes 2} \rightarrow \mathbf{1}$
- $\mathbf{8}^{\otimes 3} \rightarrow \mathbf{1}$
- $\mathbf{8}^{\otimes 4} \rightarrow \mathbf{1}$

$\mathbf{1}$  :



Can understand the different contraction channels from

$$\mathbf{8}^{\otimes 2} = \mathbf{1} \oplus \mathbf{8}_S \oplus \mathbf{8}_A \oplus \mathbf{10} \oplus \overline{\mathbf{10}} \oplus \mathbf{27} .$$

# Projection operators

Note: The HS/PL does **not** tell us how to construct the invariants or the relations.

For this we use **orthogonal projection operators**. (here in adjoint space of  $SU(3)_{Q_L}$ )

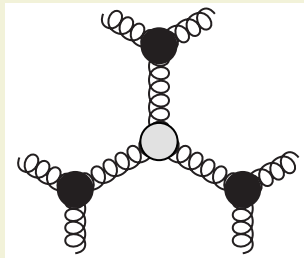
[AT '18]

Those can be constructed via **birdtrack** diagrams

[Cvitanovic '76 '08, Keppeler and Sjö Dahl '13]

- $\mathfrak{8}^{\otimes 2} \rightarrow \mathbf{1}$
- $\mathfrak{8}^{\otimes 3} \rightarrow \mathbf{1}$
- $\mathfrak{8}^{\otimes 4} \rightarrow \mathbf{1}$
- $\mathfrak{8}^{\otimes 6} \rightarrow \mathbf{1}$

many operators exist in  $\mathfrak{8}^{\otimes 6} \rightarrow \mathbf{1}$ , we only need one:



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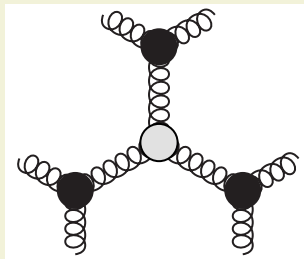
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many operators exist in  $\mathfrak{8}^{\otimes 6} \rightarrow \mathbf{1}$ , we only need one:



**All** of these operators are **orthogonal** to each other.  
We now use them to construct the orthogonal invariants.

## Orthogonal Invariants

The 10 algebraically independent and orthogonal invariants are given by:

$$I_{10} \propto \left[ \begin{array}{c} \curvearrowright \\ H_u \end{array} \right] \quad \text{and} \quad I_{01} \propto \left[ \begin{array}{c} \curvearrowright \\ H_d \end{array} \right] .$$

# Orthogonal Invariants

The 10 algebraically independent and orthogonal invariants are given by:

$$I_{10} \propto \text{tr}(H_u) \quad \text{and} \quad I_{01} \propto \text{tr}(H_d)$$

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Secondary invariant:

$$J_{33} \propto \text{tr}(H_u^3 H_d^3)$$

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$$I_{22} := 3 \text{Tr}(H_u^2 H_d^2) - \text{Tr}(H_u^2) \text{Tr}(H_d^2) .$$

Secondary invariant: exactly the Jarlskog invariant,

$$J_{33} := \text{Tr}(H_u^2 H_d^2 H_u H_d) - \text{Tr}(H_d^2 H_u^2 H_d H_u) \equiv \frac{1}{3} \text{Tr} [H_u, H_d]^3 .$$

Note: Here  $\tilde{H}_u \equiv Y_u Y_u^\dagger$ ,  $\tilde{H}_d \equiv Y_d Y_d^\dagger$ , and  $H_{u,d} \equiv \tilde{H}_{u,d} - \mathbb{1} \text{Tr} \frac{\tilde{H}_{u,d}}{3}$ .

**“Traces of traceless matrices”**

## The Syzygy

With our orthogonal invariants, the syzygy is given by

$$\begin{aligned}(J_{33})^2 = & -\frac{4}{27}I_{22}^3 + \frac{1}{9}I_{22}^2I_{11}^2 + \frac{1}{9}I_{22}^2I_{02}I_{20} + \frac{2}{3}I_{22}I_{30}I_{03}I_{11} - \frac{2}{3}I_{22}I_{21}I_{12}I_{11} - \frac{1}{9}I_{22}I_{11}^2I_{20}I_{02} \\ & + \frac{2}{3}I_{22}I_{21}^2I_{02} + \frac{2}{3}I_{22}I_{12}^2I_{20} - \frac{2}{3}I_{22}I_{30}I_{12}I_{02} - \frac{2}{3}I_{22}I_{03}I_{21}I_{20} \\ & - \frac{1}{3}I_{30}^2I_{03}^2 + I_{21}^2I_{12}^2 + 2I_{30}I_{03}I_{21}I_{12} - \frac{4}{9}I_{30}I_{03}I_{11}^3 \\ & + \frac{1}{18}I_{30}^2I_{02}^3 + \frac{1}{18}I_{03}^2I_{20}^3 - \frac{4}{3}I_{30}I_{12}^2 - \frac{4}{3}I_{03}I_{21}^2 \\ & - \frac{1}{3}I_{30}I_{21}I_{11}I_{02}^2 - \frac{1}{3}I_{03}I_{12}I_{11}I_{20}^2 + \frac{2}{3}I_{30}I_{12}I_{11}^2I_{02} + \frac{2}{3}I_{03}I_{21}I_{11}^2I_{20} \\ & - \frac{2}{3}I_{21}I_{12}I_{20}I_{02}I_{11} - \frac{1}{108}I_{20}^3I_{02}^3 + \frac{1}{36}I_{20}^2I_{02}^2I_{11}^2 + \frac{1}{6}I_{21}^2I_{20}I_{02}^2 + \frac{1}{6}I_{12}^2I_{02}I_{20}^2.\end{aligned}$$

This is the **shortest relation ever** expressed for the SM quark flavor ring and has 27 terms. (this should be compared to result of [\[Jenkins&Manohar'09\]](#) with 241 terms using non-orthogonal invariants).

# SM Quark Sector Flavor Invariants – Quantitative Analysis

## Measuring the Invariants

In order to evaluate the invariants, one can use *any* parametrization. We use PDG:

$$\tilde{H}_u = \text{diag}(y_u^2, y_c^2, y_t^2)$$

$$\text{and } \tilde{H}_d = V_{\text{CKM}} \text{diag}(y_d^2, y_s^2, y_b^2) V_{\text{CKM}}^\dagger,$$

1. **Explore the *possible* parameter space:** scan  $\mathcal{O}(10^7)$  uniform random points

- $s_{12}, s_{13}, s_{23} \in [-1, 1]$  and  $\delta \in [-\pi, \pi]$  together with:

A) Linear measure:  $y_{u,c} \in [0, 1]y_t, y_{d,s} \in [0, 1]y_b$ .

B) Log measure:  $(m_{u,c}/\text{MeV}) \in 10^{[-1, \log(m_t/\text{MeV})]}, (m_{d,s}/\text{MeV}) \in 10^{[-1, \log(m_b/\text{MeV})]}.$

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We use PDG data and errors and evaluate at the EW scale  $\mu = M_Z$ .

see e.g. [Huang, Zhou '21]

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For convenience of the presentation we normalize the invariants as

$$\hat{I}_{ij} := \frac{I_{ij}}{(y_t^2)^i (y_b^2)^j}.$$



# Experimental values of the invariants

Invariant	best fit and error	Normalized invariant	best fit and error
$I_{10}$	0.9340(83)	$\hat{I}_{10}$	1.00001358( $^{+85}_{-88}$ )
$I_{01}$	$2.660(49) \times 10^{-4}$	$\hat{I}_{01}$	1.000351( $^{+63}_{-71}$ )
$I_{20}$	0.582(10)	$\hat{I}_{20}$	0.66665761( $^{+59}_{-57}$ )
$I_{02}$	$4.71(17) \times 10^{-8}$	$\hat{I}_{02}$	0.666432( $^{+47}_{-42}$ )
$I_{11}$	$1.651(45) \times 10^{-4}$	$\hat{I}_{11}$	0.664783( $^{+91}_{-87}$ )
$I_{30}$	0.1811(48)	$\hat{I}_{30}$	0.22221769( $^{+29}_{-28}$ )
$I_{03}$	$4.18(23) \times 10^{-12}$	$\hat{I}_{03}$	0.222105( $^{+24}_{-21}$ )
$I_{21}$	$5.14(^{+18}_{-19}) \times 10^{-5}$	$\hat{I}_{21}$	0.221593( $^{+30}_{-29}$ )
$I_{12}$	$1.463(^{+65}_{-68}) \times 10^{-8}$	$\hat{I}_{12}$	0.221555( $^{+38}_{-36}$ )
$I_{22}$	$1.366(^{+73}_{-76}) \times 10^{-8}$	$\hat{I}_{22}$	0.221554( $^{+38}_{-36}$ )
$J_{33}$	$4.47(^{+1.23}_{-1.58}) \times 10^{-24}$	$\hat{J}_{33}$	$2.92(^{+0.74}_{-0.93}) \times 10^{-13}$
$J$	$3.08(^{+0.16}_{-0.19}) \times 10^{-5}$		

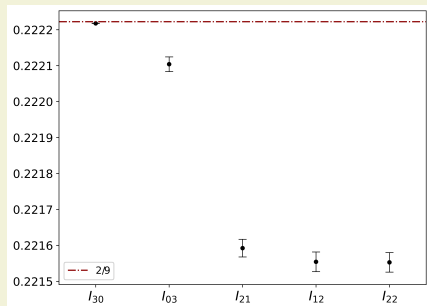
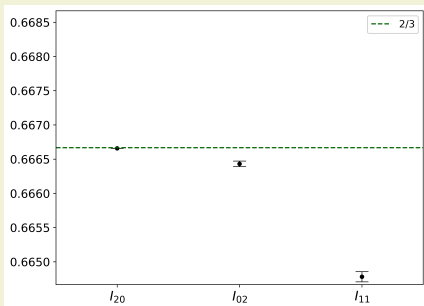
**Tabelle:** Experimental values of the quark sector basis invariants evaluated using PDG data. Uncertainties are  $1\sigma$ . Left: orthogonal invariants at face value. Right: the same invariants normalized to the largest Yukawa couplings.

## Experimental values

$$\hat{I}_{11} \approx \hat{I}_{20} \approx \hat{I}_{02} \approx \frac{2}{3},$$

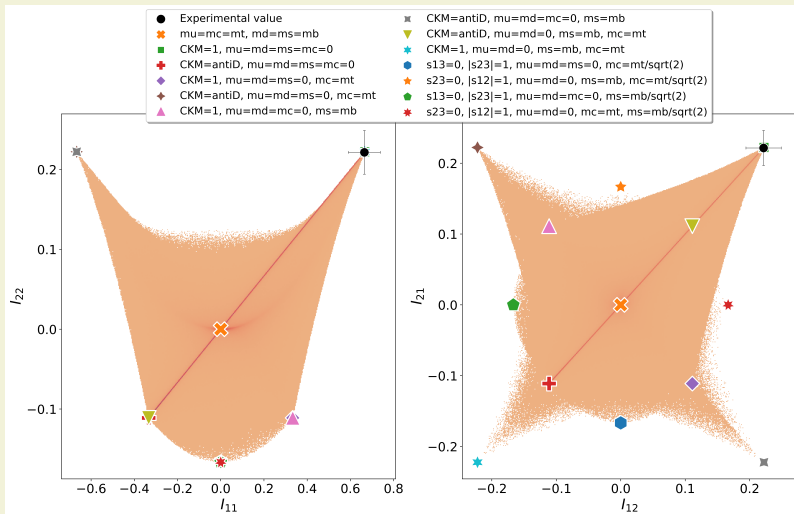
$$\hat{I}_{30} \approx \hat{I}_{03} \approx \hat{I}_{21} \approx \hat{I}_{12} \approx \hat{I}_{22} \approx \frac{2}{9}.$$

$$\left( \hat{I}_{ij} := \frac{I_{ij}}{(y_t^2)^i (y_b^2)^j} \right)$$



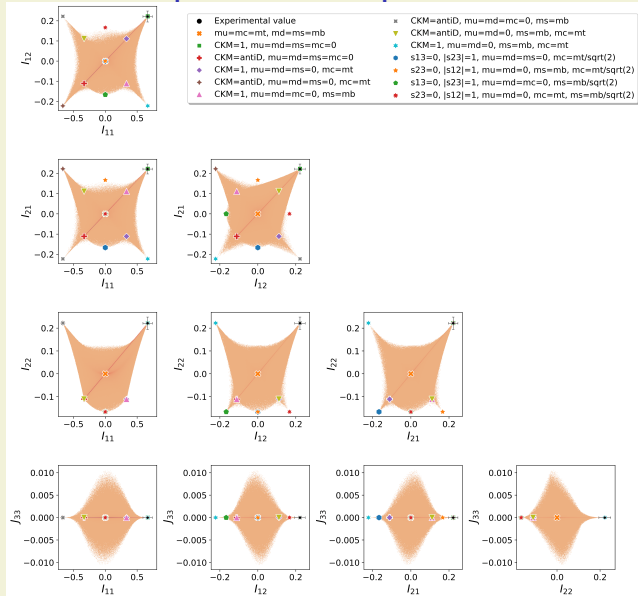
- Deviations from maximal values are significant.
- Deviations from each other, e.g.  $\hat{I}_{21} - \hat{I}_{12} \neq 0$  and  $\hat{I}_{12} - \hat{I}_{22} \neq 0$ , are significant.

# Parameter space and experimental values



Error bars:  $1\sigma \times 1000$

# Parameter space and experimental values



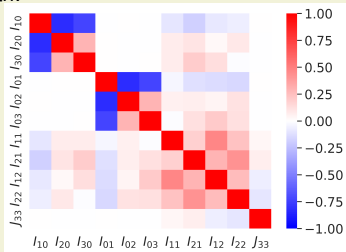
## Results and empirics

- Observed primary invariants are *very close to* maximal – with small but significant deviations.
- Explaining the value of the invariants and their misalignment from maximal point amounts to solving the flavor puzzle in the language of invariants.
- Exact maximization would correspond to  $SU(2)_{Q_L}$  flavor symmetry.
- Small deviations from max. correspond to 1./2. gen. masses and mixings.

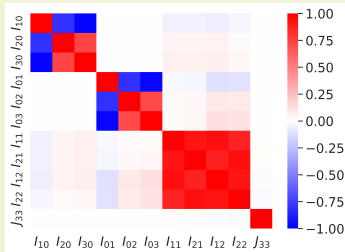
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- Exact maximization would correspond to  $SU(2)_{Q_L}$  flavor symmetry.
- Small deviations from max. correspond to 1./2. gen. masses and mixings.
- The invariants are ***strongly correlated*** (for the observed hierarchical parameters).

linear scan:



log scan:



This is **not** true for anarchical parameters, or points with increased symmetry.

# RGE running of invariants

$$\mathcal{D} := 16\pi^2 \mu \frac{d}{d\mu},$$

$$a_\Delta := -8g_s^2 - \frac{9}{4}g^2 - \frac{17}{12}g'^2,$$

$$a_\Gamma := -8g_s^2 - \frac{9}{4}g^2 - \frac{5}{12}g'^2,$$

$$a_\Pi := -\frac{9}{4}g^2 - \frac{15}{4}g'^2,$$

$$t_{udl} := 3 \text{Tr} \tilde{H}_u + 3 \text{Tr} \tilde{H}_d + \text{Tr} \tilde{H}_\ell.$$

$$\mathcal{D}\tilde{H}_u = 2(a_\Delta + t_{udl}) \tilde{H}_u + 3\tilde{H}_u^2 - \frac{3}{2}(\tilde{H}_d\tilde{H}_u + \tilde{H}_u\tilde{H}_d),$$

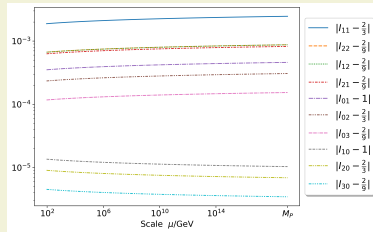
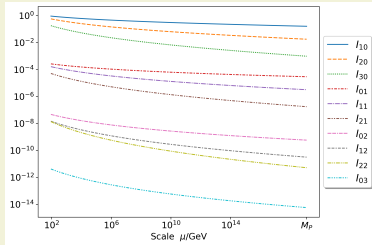
$$\mathcal{D}\tilde{H}_d = 2(a_\Gamma + t_{udl}) \tilde{H}_d + 3\tilde{H}_d^2 - \frac{3}{2}(\tilde{H}_d\tilde{H}_u + \tilde{H}_u\tilde{H}_d),$$

$$\mathcal{D}\tilde{H}_\ell = 2(a_\Pi + t_{udl}) \tilde{H}_\ell + 3\tilde{H}_\ell^2,$$

$$\mathcal{D}g_s = -7g_s^3,$$

$$\mathcal{D}g = -\frac{19}{6}g^3,$$

$$\mathcal{D}g' = \frac{41}{6}g'^3.$$



# CP transformation of covariants and invariants

CP is trafo under  $\text{Out}(\text{SU}(N)) = \mathbb{Z}_2$ .

Covariants:

$$\mathbf{u}^a \mapsto -R^{ab} \mathbf{u}^b,$$

$$\mathbf{d}^a \mapsto -R^{ab} \mathbf{d}^b,$$

e.g. in Gell-Mann basis for the generators:

$$R = \text{diag}(-1, +1, -1, -1, +1, -1, +1, -1).$$

SU(3) tensors (projection ops.):

$$f^{abc} \mapsto R^{aa'} R^{bb'} R^{cc'} f^{a'b'c'} = f^{abc},$$

$$d^{abc} \mapsto R^{aa'} R^{bb'} R^{cc'} d^{a'b'c'} = -d^{abc}.$$

CP trafo of invariants is easily read-off from birdtrack projection operator:

Invariants are **CP even** / **CP odd** iff their projection operator contains and **even** / **odd # of  $f$  tensors**.



# CP transformation of covariants and invariants

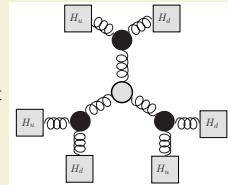
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Covariants:

$$\mathbf{u}^a \mapsto -R^{ab} \mathbf{u}^b,$$

$$\mathbf{d}^a \mapsto -R^{ab} \mathbf{d}^b,$$

$\Rightarrow$  Only CP-odd in SM:  $J_{33} \propto$



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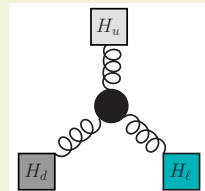
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BSM: CPV at order 3 ?

$$i f^{abc} \text{Tr}[t^a H_u] \text{Tr}[t^b H_d] \text{Tr}[t^c H_\ell]$$



CP trafo of invariants is easily read-off from birdtrack projection operator:

Invariants are **CP even** / **CP odd** iff their projection operator contains and **even** / **odd # of  $f$  tensors**.

## Comments

- $I_{01}, I_{02}, I_{03}, I_{10}, I_{20}, I_{30}$  correspond to masses.
- CP-even  $I_{11}, I_{21}, I_{12}, I_{22}$  correspond to mixings.
- CPV requires interplay of 8 CP-even primary invariants (all besides the “trivial” invariants  $I_{10}, I_{01}$ ).
- Non-trivial  $\hat{I}_{ij}$ 's being close to maximal forces the Jarlskog invariant to be **small**.
- **Any** explanation of the flavor structure will have to explain the value of the invariants.
- Any reduction of # of parameters corresponds to relation between invariants.
- **All** flavor observables can be expressed as

$$\mathcal{O}_{\text{flavor}} = \text{Polynomial}_1(I_{ij}) + J_{33} \times \text{Polynomial}_2(I_{ij}).$$

This is guaranteed since our primary and secondary invariants form a “Hironaka decomposition” of the ring.

- Our invariants provide easy targets for fits of any BSM model to SM flavor structure.
- Our procedure is *completely general*, can be applied to all BSM scenarios.

# Outlook

- Ambiguity in choice of  $I_{22}$  needs to be clarified. Contributions to different contraction channels could be very relevant to decipher flavor puzzle.
- Relative alignments of 8-plet covariants are in 1:1 relation with invariant relations.  
see other examples [Merle, Zwicky '12], [Bento, Boto, Silva, AT '20]
- Maximization and strong correlation of invariants could point to possible **information theoretic** argument to set parameters! → should be done.  
see e.g. [Carena, Low, Wagner, Xiao '23]
- Extension to lepton sector with **orthogonal** invariants → should be done.  
for HS/PL and non-orthogonal invariants see [Hanany, Jenkins, Manhoar, Torri '10], [Wang, Yu, Zhou '21], [Yu, Zhou '21].
- Using orthogonal BIs in  $SU(3)_{Q_L}$  fundamental space → should be done.
- RGE's directly in terms of invariants → should be done.
- Investigation of  $u \leftrightarrow d$  custodial flavor symmetry → should be done.
- General relation of BI's to observables → should be done.

# Conclusion

- We have for the first time obtained a quantitative analysis of the flavor puzzle in terms of basis invariants.
- This uncovers an entirely new angle on the flavor puzzle that should further be explored in the future.
- The (quark) flavor puzzle in invariants amounts to explaining:
  - **Why** are the invariants very close to maximal?
  - **What** explains their tiny deviations from the maximal values?
  - **Why** are the (*orthogonal, a priori independent*) invariants so strongly correlated?
- **Any** explanation of the flavor structure will have to answer these questions.

This is just the beginning of an entirely new exploration of the flavor puzzle.



**Thank You!**

# Backup slides

# General Procedure / Algorithm

for the construction of basis invariants.

Three steps:

1. Construction of *basis covariant* objects: “building blocks”.
  - Determine CP transformation behavior of the building blocks.
2. Derive Hilbert series & Plethystic logarithm.
  - ⇒ # and order of primary invariants.
  - ⇒ # and structure of generating set of invariants.
  - ⇒ interrelations between invariants ( $\equiv$  syzygies).
3. Construct all invariants and interrelations explicitly.

Application here:

Characterize SM flavor sector invariants.

# Hilbert Series and Plethystic Logarithm

Covariant building blocks as **input** for the ring:

$$\mathfrak{s}_u \hat{=} u, \quad \mathfrak{s}_d \hat{=} d.$$

From input, compute Hilbert series (HS) and Plethystic logarithm (PL):

introduced in math: [Getzler, Kapranov '94], physics [Benvenuti, Feng, Hanany, He '06]

$$\mathfrak{H}(u, d) = \int_{\text{SU}(3)} d\mu_{\text{SU}(3)} \text{PE} [z_1, z_2; u; \mathfrak{s}] \text{PE} [z_1, z_2; d; \mathfrak{s}],$$

$$\text{PL} [\mathfrak{H}(u, d)] := \sum_{k=1}^{\infty} \frac{\mu(k) \ln \mathfrak{H}(u^k, d^k)}{k}.$$

$$\mathfrak{H}(u, d) = \frac{1 + u^3 d^3}{(1 - u^2)(1 - d^2)(1 - ud)(1 - u^3)(1 - d^3)(1 - ud^2)(1 - u^2 d)(1 - u^2 d^2)}.$$

$$\text{PL} [\mathfrak{H}(u, d)] = u^2 + ud + d^2 + u^3 + d^3 + u^2 d + ud^2 + u^2 d^2 + u^3 d^3 - u^6 d^6.$$

$$\text{Möbius function } \mu(n) = \begin{cases} \binom{\pm}{\pm} 1, & \text{if } n \text{ is square free with even(odd) \# number of prime factors,} \\ 0, & \text{else.} \end{cases}$$



## CKM in PDG parametrization

$V_{\text{CKM}} := V_{u,L}^\dagger V_{d,L}$  is the Cabibbo-Kobayashi-Maskawa (CKM) matrix. In PDG parametrization

$$V_{\text{CKM}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

# Explicit expressions for Invariants in physical basis

In “physical parameters” of SM the normalized invariants can be approximated using the (empirically observed) parametric hierarchies  $y_t \gg y_{c,u}$ ,  $y_b \gg y_{s,d}$  and  $\lambda \ll 1$ ,

$$\hat{I}_{20} = \frac{2}{3} - 2 \frac{y_c^2 + y_u^2}{y_t^2} + \text{h.o.} ,$$

$$\hat{I}_{02} = \frac{2}{3} - 2 \frac{y_s^2 + y_d^2}{y_b^2} + \text{h.o.} ,$$

$$\hat{I}_{30} = \frac{2}{9} - \frac{y_c^2 + y_u^2}{y_t^2} + \text{h.o.} ,$$

$$\hat{I}_{03} = \frac{2}{9} - \frac{y_s^2 + y_d^2}{y_b^2} + \text{h.o.} ,$$

$$\hat{I}_{11} = \frac{2}{3} - A^2 \lambda^4 - \frac{y_c^2 + y_u^2}{y_t^2} - \frac{y_s^2 + y_d^2}{y_b^2} + \text{h.o.} ,$$

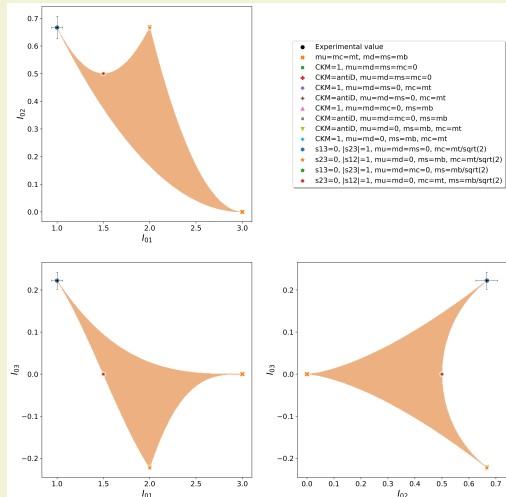
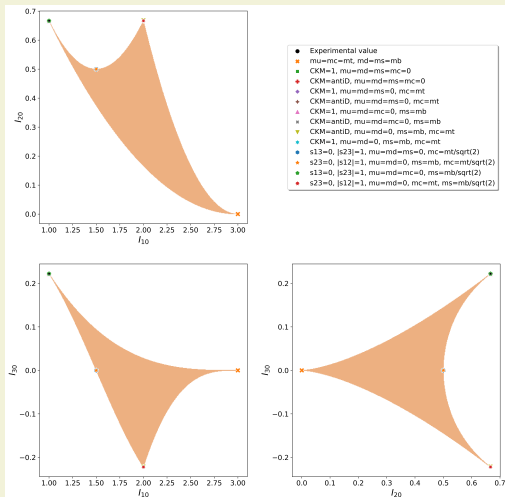
$$3 \hat{I}_{21} = \frac{2}{3} - A^2 \lambda^4 - 2 \frac{y_c^2 + y_u^2}{y_t^2} - \frac{y_s^2 + y_d^2}{y_b^2} + \text{h.o.} ,$$

$$3 \hat{I}_{12} = \frac{2}{3} - A^2 \lambda^4 - \frac{y_c^2 + y_u^2}{y_t^2} - 2 \frac{y_s^2 + y_d^2}{y_b^2} + \text{h.o.} ,$$

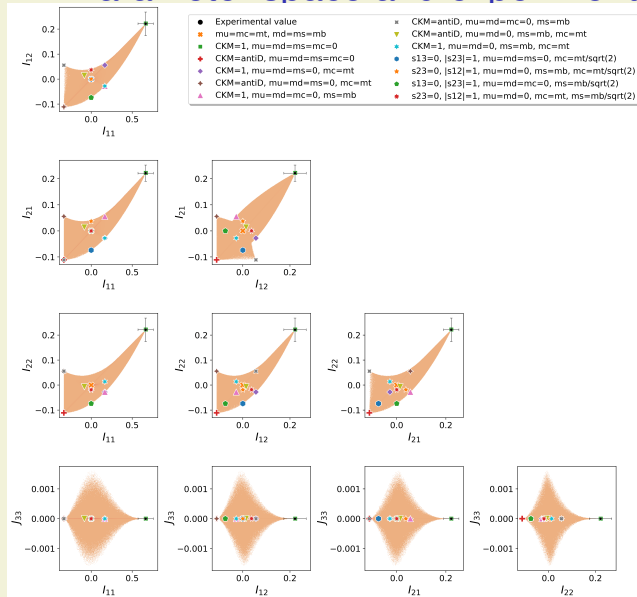
$$3 \hat{I}_{22} = \frac{2}{3} - A^2 \lambda^4 - 2 \frac{y_c^2 + y_u^2}{y_t^2} - 2 \frac{y_s^2 + y_d^2}{y_b^2} + \text{h.o.} .$$

h.o. here refers to higher order corrections in  $\lambda$  or higher powers of the Yukawa coupling ratios. This shows that the values  $2/3$  and  $2/9$ 'ths become exact in the limit of zero mixing and zero 1st and 2nd-generation fermion masses.

# Correlation of “mass” invariants $I_{10}, I_{20}, I_{30}, I_{01}, I_{02}, I_{03}$



# Parameter space and experimental values



Arguably even “more basis invariant” alternative choice of normalization:

$$\hat{I}_{ij}^{\text{alt}} := \frac{I_{ij}}{I_{10}^i I_{01}^j}.$$

# Birdtrack Identities

We mostly use the conventions of [Keppeler '17] with the following identities

$$\text{Gluon line with loop} = T_r \text{Gluon line}$$

with  $T_r \delta^{ab} = \text{Tr}[t^a t^b]$ ,

$$\text{Gluon line with ghost loop} = C_D \text{Gluon line}$$

with  $C_D = \frac{N^2 - 4}{N}$ ,

$$\text{Gluon line with ghost loop and vertices} = C_A \text{Gluon line}$$

with  $C_A = 2T_r N$ .

$$\text{Gluon line with fermion loop} = C_F \text{Gluon line}$$

with  $C_F = T_r \frac{N^2 - 1}{N}$ ,

$$\text{Ghost loop with vertices} = \text{Ghost loop with vertex} = \text{Ghost loop with vertex} = 0$$