# **Symmetries in AI4Science**

Jan E. Gerken





Workshop on Machine Learning Based Sampling in Lattice Field Theory and Quantum Chemistry

TRA Colloquium

Bonn 22<sup>th</sup> October 2024

# Symmetries in physics

 $SU(2) \times SU(3) \times U(1)$ 



#### **Standard Model of Elementary Particles**

# Symmetries in chemistry















#### Equivariance



# Symmetries in generative models

• Sample from an invariant distribution

$$p(x) = p(\rho(g)x)$$

# Symmetries in generative models

• Sample from an invariant distribution

$$p(x) = p(\rho(g)x)$$

• For latent variable models:



invariant latent distribution

# **Fundamental representation**

• Groups act on vector spaces with representations

 $\rho: G \to \mathbb{R}^{n \times n}$ 

# **Fundamental representation**

• Groups act on vector spaces with representations

$$\rho: G \to \mathbb{R}^{n \times n}$$

• Vectors transform in the defining representation of matrix Lie groups

$$f_X \to \rho(g) f_X$$

# **Fundamental representation**

• Groups act on vector spaces with representations

$$\rho: G \to \mathbb{R}^{n \times n}$$

• Vectors transform in the defining representation of matrix Lie groups

$$f_X \to \rho(g) f_X$$

• E.g. atom positions, force vectors

• A group acts on itself by left multiplication  $h \rightarrow gh$ 

- A group acts on itself by left multiplication  $h \rightarrow gh$
- This is leads to the regular representation on  $\mathbb{R}^{|G|}$

$$\rho_{\rm reg}(g)e_h = e_{gh}$$

- A group acts on itself by left multiplication  $h \rightarrow gh$
- This is leads to the regular representation on  $\mathbb{R}^{|G|}$

$$\rho_{\mathsf{reg}}(g)e_h = e_{gh}$$

• Functions  $G \to \mathbb{R}$  can be identified with  $\mathbb{R}^{|G|}$ 

 $e_g \leftrightarrow \mathbb{I}_g$ 

- A group acts on itself by left multiplication  $h \rightarrow gh$
- This is leads to the regular representation on  $\mathbb{R}^{|G|}$

$$ho_{\mathsf{reg}}(g)e_h = e_{gh}$$

• Functions  $G \to \mathbb{R}$  can be identified with  $\mathbb{R}^{|G|}$ 

$$e_g \leftrightarrow \mathbb{I}_g$$

• The regular representation on functions  $f: G \to \mathbb{R}$  is given by  $(\rho_{\text{reg}}(g)f)(h) = f(g^{-1}h)$ 

• The regular representation on functions  $f : G \to \mathbb{R}$  is given by

$$(\rho_{\mathsf{reg}}(g)f)(h) = f(g^{-1}h)$$

• The regular representation on functions  $f : G \to \mathbb{R}$  is given by

$$(\rho_{\mathsf{reg}}(g)f)(h) = f(g^{-1}h)$$

• For functions on general domains, the regular representation is

$$(\rho_{\mathsf{reg}}(g)f)(x) = f(\rho(g^{-1})x)$$

• The regular representation on functions  $f : G \to \mathbb{R}$  is given by

$$(\rho_{\mathsf{reg}}(g)f)(h) = f(g^{-1}h)$$

• For functions on general domains, the regular representation is

$$(\rho_{\mathsf{reg}}(g)f)(x) = f(\rho(g^{-1})x)$$

• This is how scalar fields transform

# **Vector fields**

• For functions  $f : D \to \mathbb{R}^n$ , combine defining- with regular representation

$$f(x) \to \pi(g)f(\rho^{-1}(g)x)$$

# **Vector fields**

• For functions  $f: D \to \mathbb{R}^n$ , combine defining- with regular representation

$$f(x) \to \pi(g)f(\rho^{-1}(g)x)$$

• This is how vector fields transform

# **Vector fields**

• For functions  $f : D \to \mathbb{R}^n$ , combine defining- with regular representation

$$f(x) \to \pi(g)f(\rho^{-1}(g)x)$$

- This is how vector fields transform
- $\pi$  can also be other representation, e.g. adjoint representation

$$\pi(g)f = \rho(g)f\rho^{-1}(g)$$

# **Arbitrary representations**

• Any finite-dimensional representation of a compact group can be written as a direct sum of irreducible representations

# **Arbitrary representations**

- Any finite-dimensional representation of a compact group can be written as a direct sum of irreducible representations
- In particular, tensor product representations can be decomposed into direct sums

$$\rho^{\ell} \otimes \rho^{m} = \bigoplus_{n} (\rho^{n})^{\oplus c_{n}^{\ell m}}$$

# **Arbitrary representations**

- Any finite-dimensional representation of a compact group can be written as a direct sum of irreducible representations
- In particular, tensor product representations can be decomposed into direct sums

$$\rho^{\ell} \otimes \rho^{m} = \bigoplus_{n} (\rho^{n})^{\oplus c_{n}^{\ell m}}$$

• Change of basis done via Clebsch–Gordan coefficients

• Consider linear maps *M* which are equivariant

- Consider linear maps *M* which are equivariant
- For vectors, *M* needs to be an intertwiner

$$M
ho_{\mathsf{in}}(g) = 
ho_{\mathsf{out}}(g)M \qquad \forall g \in G$$

(\*)

- Consider linear maps *M* which are equivariant
- For vectors, *M* needs to be an intertwiner

$$M\rho_{in}(g) = \rho_{out}(g)M \qquad \forall g \in G \qquad (*$$

• Schur's lemma: for complex, irreducible representations

$$M = \lambda \mathbb{I}$$
 if  $\rho_{in} = \rho_{out}$  and  $M = 0$  otherwise

- Consider linear maps *M* which are equivariant
- For vectors, *M* needs to be an intertwiner

$$M\rho_{in}(g) = \rho_{out}(g)M \qquad \forall g \in G \qquad (*$$

• Schur's lemma: for complex, irreducible representations

$$M = \lambda \mathbb{I}$$
 if  $\rho_{in} = \rho_{out}$  and  $M = 0$  otherwise

• Hence, decompose  $\rho_{in}$ ,  $\rho_{out}$  into irreps to solve (\*)

• For the regular representation, linear equivariant layers are given by group convolutions [Cohen, Welling 2016]

$$[\psi \star f](g) = \int_G \mathrm{d}h \,\psi(h^{-1}g)f(h)$$

• For the regular representation, linear equivariant layers are given by group convolutions [Cohen, Welling 2016]

$$[\psi * f](g) = \int_G \mathrm{d}h \,\psi(h^{-1}g)f(h)$$

• For the translation group, these become the usual convolutions

• For the regular representation, linear equivariant layers are given by group convolutions [Cohen, Welling 2016]

$$[\psi \star f](g) = \int_G \mathrm{d}h \,\psi(h^{-1}g)f(h)$$

- For the translation group, these become the usual convolutions
- For combination with fundamental representation  $(\pi(g)f(\rho^{-1}(g)x))$ , convolution filter needs to be an intertwiner

[Review: Weiler et al. 2023]
## Equivariance in quantum chemistry

• Group:

roto-translations of the molecule + permutations of identical atoms

## Equivariance in quantum chemistry

• Group:

roto-translations of the molecule + permutations of identical atoms

• Use graph-NNs for permutation part

## Equivariance in quantum chemistry

• Group:

roto-translations of the molecule + permutations of identical atoms

- Use graph-NNs for permutation part
- For SO(3), expand in irreps, use tensor products to combine features [Review: Duval et al. 2023]

• In a gauge symmetry, group element can depend on position *x* 

$$\pi(g(x))f(\rho^{-1}(g(x))x)$$

• In a gauge symmetry, group element can depend on position *x* 

$$\pi(g(x))f(\rho^{-1}(g(x))x)$$

• Symmetry becomes local

• In a gauge symmetry, group element can depend on position *x* 

$$\pi(g(x))f(\rho^{-1}(g(x))x)$$

- Symmetry becomes local
- In quantum chemistry only global symmetries

• In a gauge symmetry, group element can depend on position *x* 

$$\pi(g(x))f(\rho^{-1}(g(x))x)$$

- Symmetry becomes local
- In quantum chemistry only global symmetries
- Equivariance wrt local coordinate changes is also a gauge symmetry: Gauge CNNs [Cheng et al. 2019]

## **Equivariance in lattice field theory**

 In lattice field theory, typically combination of local and global symmetries: G = SU(n) × SE(3)

 $\pi(g(x))f(\rho^{-1}(h)x) \qquad g(x) \in SU(n) \qquad h \in SE(3)$ 

## **Equivariance in lattice field theory**

 In lattice field theory, typically combination of local and global symmetries: G = SU(n) × SE(3)

 $\pi(g(x))f(\rho^{-1}(h)x) \qquad g(x) \in SU(n) \qquad h \in SE(3)$ 

• The gauge group acts in the adjoint representation  $\pi(g(x))f = \rho(g(x)) f \rho^{\dagger}(g(x))$ 

## **Equivariance in lattice field theory**

 In lattice field theory, typically combination of local and global symmetries: G = SU(n) × SE(3)

 $\pi(g(x))f(\rho^{-1}(h)x) \qquad g(x) \in SU(n) \qquad h \in SE(3)$ 

- The gauge group acts in the adjoint representation  $\pi(g(x))f = \rho(g(x)) f \rho^{\dagger}(g(x))$
- By discretizing on the lattice, obtain links  $U_{\mu}$  transforming as

$$U_{\mu}(x) \rightarrow \rho(g(x))U_{\mu}(x)\rho^{\dagger}(g(x+\hat{\mu}))$$

• Can build loops transforming as

$$W(x) \rightarrow \rho(g(x))W(x)\rho^{\dagger}(g(x))$$
 (\*)

• Can build loops transforming as

$$W(x) \to \rho(g(x))W(x)\rho^{\dagger}(g(x)) \tag{(*)}$$

• Products of loops are equivariant, traces are invariant

$$W(x)\tilde{W}(x) \to \rho(g(x))W(x)\tilde{W}(x)\rho^{\dagger}(g(x))$$
  
tr(W(x))  $\to$  tr(W(x))

• Can build loops transforming as

$$W(x) \to \rho(g(x))W(x)\rho^{\dagger}(g(x)) \tag{(*)}$$

• Products of loops are equivariant, traces are invariant

$$W(x)\tilde{W}(x) \to \rho(g(x))W(x)\tilde{W}(x)\rho^{\dagger}(g(x))$$
  
tr(W(x))  $\to$  tr(W(x))

• Use this together with convolutions to build gauge equivariant networks [Favoni et al. 2020]

• Can build loops transforming as

$$W(x) \to \rho(g(x))W(x)\rho^{\dagger}(g(x)) \tag{(*)}$$

• Products of loops are equivariant, traces are invariant

$$W(x)\tilde{W}(x) \to \rho(g(x))W(x)\tilde{W}(x)\rho^{\dagger}(g(x))$$
  
tr(W(x))  $\to$  tr(W(x))

- Use this together with convolutions to build gauge equivariant networks [Favoni et al. 2020]
- Can also manipulate invariants of (\*)

[Boyda et al. 2021]

• Can build loops transforming as

$$W(x) \to \rho(g(x))W(x)\rho^{\dagger}(g(x)) \tag{(*)}$$

• Products of loops are equivariant, traces are invariant

$$W(x)\tilde{W}(x) \to \rho(g(x))W(x)\tilde{W}(x)\rho^{\dagger}(g(x))$$
  
tr(W(x))  $\to$  tr(W(x))

- Use this together with convolutions to build gauge equivariant networks [Favoni et al. 2020]
- Can also manipulate invariants of (\*)
- Can differentiate an invariant

[Boyda et al. 2021]

### Part II: Other ways of reaching equivariance

• Create exactly equivariant model by averaging over the group

$$\bar{f}(x) = \int_{G} \mathrm{d}h \, \pi(g) f(\rho^{-1}(g)x)$$

• Create exactly equivariant model by averaging over the group

$$\bar{f}(x) = \int_{G} \mathrm{d}h \, \pi(g) f(\rho^{-1}(g)x)$$

<sup>L</sup> It is sufficient to average over an equivariant subset  $\mathcal{F}(x) \subset G$ (frame) [Puny et al. 2022]

• Create exactly equivariant model by averaging over the group

$$\bar{f}(x) = \int_{G} \mathrm{d}h \, \pi(g) f(\rho^{-1}(g)x)$$

- <sup>L</sup> It is sufficient to average over an equivariant subset  $\mathcal{F}(x) \subset G$ (frame) [Puny et al. 2022]
- 凸 Works with any architecture

• Create exactly equivariant model by averaging over the group

$$\bar{f}(x) = \int_{G} \mathrm{d}h \, \pi(g) f(\rho^{-1}(g)x)$$

- L is sufficient to average over an equivariant subset  $\mathcal{F}(x) \subset G$ (frame) [Puny et al. 2022]
- 凸 Works with any architecture
- ர Only approximate for continuous groups when sampling is necessary to evaluate the integral

[Kaba et al. 2022]

• Use an equivariant map  $D \rightarrow G$  to predict a canonicalizing transformation

- Use an equivariant map  $D \rightarrow G$  to predict a canonicalizing transformation
- Use non-equivariant network for prediction

- Use an equivariant map  $D \rightarrow G$  to predict a canonicalizing transformation
- Use non-equivariant network for prediction
- 🖒 Exactly equivariant

- Use an equivariant map  $D \rightarrow G$  to predict a canonicalizing transformation
- Use non-equivariant network for prediction
- **企** Exactly equivariant
- ரை Still needs equivariant model

- Use an equivariant map  $D \rightarrow G$  to predict a canonicalizing transformation
- Use non-equivariant network for prediction
- **企** Exactly equivariant
- ரை Still needs equivariant model
- ஒ Equivariant function with codomain *G* is hard to construct





🖒 Easy to implement

- 🖒 Easy to implement
- 凸 No specialized architecture necessary
- ர No exact equivariance

🖒 Easy to implement

凸 No specialized architecture necessary

ர No exact equivariance

Can we understand data augmentation theoretically?

# **Emergent Equivariance in Deep Ensembles**

in collaboration with



Pan Kessel

## **Empirical NTK**

Training dynamics under continuous gradient descent:



## **Empirical NTK**

Training dynamics under continuous gradient descent:

$$\frac{d\mathcal{N}_{\theta}(x)}{dt} = -\frac{\eta}{N} \sum_{i=1}^{N} \Theta_{\theta}(x, x_i) \frac{\partial L}{\partial \mathcal{N}(x_i)}$$
training sample

with the empirical neural tangent kernel (NTK)

$$\Theta_{\theta}(x,x') = \sum_{\mu} \frac{\partial \mathcal{N}(x)}{\partial \theta_{\mu}} \frac{\partial \mathcal{N}(x')}{\partial \theta_{\mu}}$$

### Infinite width limit

[Jacot et al. 2018]



### Infinite width limit

[Jacot et al. 2018]


[Jacot et al. 2018]



#### 凸 NTK becomes independent of initialization

[Jacot et al. 2018]



#### 凸 NTK becomes independent of initialization

Ճ NTK becomes constant in training



#### 凸 NTK becomes independent of initialization

凸 NTK becomes constant in training

凸 NTK can be computed for most networks



- 凸 NTK becomes independent of initialization
- 凸 NTK becomes constant in training
- 凸 NTK can be computed for most networks
- ✓ Training dynamics can be solved

[Jacot et al. 2018]

$$\mu_t(x) = \Theta(x, X) \Theta(X, X)^{-1} (\mathbb{I} - e^{-\eta \Theta(X, X)t}) Y$$

[Jacot et al. 2018]

$$\mu_t(x) = \Theta(x, X) \Theta(X, X)^{-1} (\mathbb{I} - e^{-\eta \Theta(X, X)t}) Y$$

[Jacot et al. 2018]

$$\mu_t(x) = \Theta(x, X) \Theta(X, X)^{-1} (\mathbb{I} - e^{-\eta \Theta(X, X)t}) Y$$
train data

[Jacot et al. 2018]



[Jacot et al. 2018]



# **Data augmentation**

$$\mu_t(x) = \Theta(x, X) \Theta(X, X)^{-1} (\mathbb{I} - e^{-\eta \Theta(X, X)t}) Y$$









$$\mu_t(\rho(g)x) = \Theta(x,X)\Theta(X,X)^{-1}(\mathbb{I} - e^{-\eta\Theta(X,X)t})\underbrace{\rho(g)Y}_{=Y}$$
for invariance

$$\mu_t(\rho(g)x) = \Theta(x,X)\Theta(X,X)^{-1}(\mathbb{I} - e^{-\eta\Theta(X,X)t})\underbrace{\rho(g)Y}_{=Y}$$

$$= \mu_t(x)$$
for invariance

 $\mu_t(x)$ 

$$\mu_t(x) = \mathbb{E}_{\theta_0 \sim \text{initializations}}[\mathcal{N}_{\theta_t}(x)]$$

$$\mu_t(x) = \mathbb{E}_{\theta_0 \sim \text{initializations}} [\mathcal{N}_{\theta_t}(x)] = \lim_{n \to \infty} \frac{1}{n} \sum_{\theta_0 = \text{init}_1}^{\text{init}_n} \mathcal{N}_{\theta_t}(x)$$

$$\mu_t(x) = \mathbb{E}_{\theta_0 \sim \text{initializations}} [\mathcal{N}_{\theta_t}(x)] = \lim_{n \to \infty} \underbrace{\frac{1}{n} \sum_{\theta_0 = \text{init}_1}^{\text{init}_n} \mathcal{N}_{\theta_t}(x)}_{\text{mean prediction of deep ensemble}}$$

- ✓ Proof of exact equivariance for
  - full data augmentation
  - infinite ensembles
  - at infinite width

- ✓ Proof of exact equivariance for
  - full data augmentation
  - infinite ensembles
  - at infinite width
- ✓ Equivariance holds for all training times

- Proof of exact equivariance for
  - full data augmentation
  - infinite ensembles
  - at infinite width
- ✓ Equivariance holds for all training times
- ✓ Equivariance holds away from the training data

# **Intuitive explanation**

- ✓ Equivariance holds for all training times
- ✓ Equivariance holds away from the training data

# Intuitive explanation

- ✓ Equivariance holds for all training times
- ✓ Equivariance holds away from the training data

• At infinite width, the mean output at initialization is zero everywhere.

# **Intuitive explanation**

- ✓ Equivariance holds for all training times
- ✓ Equivariance holds away from the training data

- At infinite width, the mean output at initialization is zero everywhere.
- Training with full data augmentation leads to an equivariant function.

### Toy example



#### Initialization



#### Initialization



#### Initialization



#### After 1 Training Step



#### After 2 Training Steps



#### After 3 Training Steps


#### After 2000 Training Steps



#### After 2000 Training Steps



#### Initialization



#### After 1 Training Step



#### After 2 Training Steps



#### After 3 Training Steps



#### After 2000 Training Steps



#### After 2000 Training Steps



# **Experiments**









#### **Relative Standard Deviation**









# **Histological slices**

[Kather et al. 2018]



### **Histological slices**

[Kather et al. 2018]



# **Histological slices**







**Histological slices** 



Ensemble size 5











✓ Emergent invariance for rotated FashionMNIST

- ✓ Emergent invariance for rotated FashionMNIST
- ✓ Partial augmentation for continuous symmetries

- ✓ Emergent invariance for rotated FashionMNIST
- ✓ Partial augmentation for continuous symmetries
- ✓ Emergent equivariance (as opposed to invariance)

### **Comparison to other methods**

# **Comparison to other methods**

➡ Models trained on rotated FashionMNIST

# **Comparison to other methods**

➡ Models trained on rotated FashionMNIST

Orbit same predictions out of distribution:

	C4	<i>C</i> <sub>8</sub>	C <sub>16</sub>
DeepEns+DA	$3.85{\pm}0.12$	7.72±0.34	15.24±0.69
only DA	$3.41{\pm}0.18$	$6.73 {\pm} 0.24$	$12.77 \pm 0.71$
E2CNN <sup>1</sup>	<b>4</b> ± <b>0.0</b>	7.71±0.21	$\textbf{15.08}{\pm}\textbf{0.34}$
Canon <sup>2</sup>	<b>4</b> ± <b>0.0</b>	7.45±0.14	$12.41 \pm 0.85$

<sup>1</sup>[Weiler et al. 2019], <sup>2</sup>[Kaba et al. 2022]

# Key takeaways
## Key takeaways

If you need ensembles

பி use data augmentation to obtain an equivariant model.

## Key takeaways

If you need ensembles

🖒 use data augmentation to obtain an equivariant model.

If you need data augmentation

d use an ensemble to boost the equivariance.

## Key takeaways

If you need ensembles

🖒 use data augmentation to obtain an equivariant model.

If you need data augmentation

d use an ensemble to boost the equivariance.

Analysis of neural tangent kernel can lead to powerful practical insights!

## Papers

- Geometric deep learning and equivariant neural networks Jan E. Gerken, Jimmy Aronsson, Oscar Carlsson, Hampus Linander, Fredrik Ohlsson, Christoffer Petersson, Daniel Persson Artificial Intelligence Review 2023
- Emergent Equivariance in Deep Ensembles Jan E. Gerken\*, Pan Kessel\* ICML 2024 (Oral)

\* Equal contribution



**Group Website**