Ab initio No-Core Shell Model calculations of light nuclei on current HPC Systems

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Outline

- Current HPC systems
- Ab Initio Nuclear Structure
- NCSM Calculations of Light Nuclei
- Summary and Outlook

HPC Collaborators (incomplete ...)

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Made possible by

- DOE/SciDAC-5 project NUCLEI
- NERSC Exascale Science Applications Program for Perlmutter
- ► HPC allocations at ALCF (INCITE) and at NERSC

Computational resources: Moore's Law

Computational Nuclear Structure needs High-Performance Computing

- Highly nontrivial to achieve good performance
- Multi-level parallelism
 - Distributed memory MPI between nodes
 - Shared memory OpenMP multi-threading
 - Accelerators (GPUs)
- Increasing performance gap between processing and memory performance

Need to collaborate with applied mathematicians and computer scientists



Performance Development

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Top 12 HPC systems (Nov 2023)

- 1. Frontier (Oak Ridge, US), AMD CPU & AMD GPU, 1,194 PFlop/s
- 2. Aurora (Argonne, US), Intel CPU & Intel GPU, 585 PFlop/s
- 3. Eagle (Microsoft, US), Intel CPU & NVIDIA GPU, 561 PFlop/s
- 4. Fugaku (RIKEN, Japan), Fujitsu CPU, 442 PFlop/s
- 5. LUMI (Finland), AMD CPU & AMD GPU, 380 PFlop/s
- 6. Leonardo (Italy), Intel CPU & NVIDIA GPU, 239 PFlop/s
- 7. Summit (Oak Ridge, US), IBM CPU & NVIDIA GPU, 149 PFlop/s
- 8. MareNostrum (Spain), Intel CPU & NVIDIA GPU, 138 PFlop/s
- 9. Eos SuperPOD (NVIDIA, US), Intel CPU & NVIDIA GPU, 121 PFlop/s
- 10. Sierra (Livermore, US), IBM CPU & NVIDIA GPU, 95 PFlop/s
- 11. Sunway TaihuLight (China), Sunway CPU, 93 PFlop/s

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- 12. Perlmutter (NERSC, US), AMD CPU & NVIDIA GPU, 79 PFlops/s
- 18. JUWELS (Jülich, Germany), AMD CPU & NVIDIA GPU, 44 PFlops/s

27. Polaris (Argonne, US), AMD CPU & NVIDIA GPU, 26 PFlops/s P. Maris (ISU) NCSM on current HPC Systems LENPIC2024

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DOE – High Performance Computing hardware

- Oak Ridge Leadership Computing Facility
 - Summit (2018 current)
 4,608 nodes, 2 IBM CPUs & 6 NVIDIA V100 GPUs/node
 - Frontier (2022 current)
 9,402 nodes, 1 AMD CPU & 4 AMD MI250X GPUs/node
- Argonne Leadership Computing Facility
 - Theta (2017 2023), retired Dec. 31, 2023 4,392 nodes, 64-core Intel Xeon Phi (KNL)
 - Polaris (2023 current)
 560 nodes, 1 AMD CPU & 4 NVIDIA A100 GPUs/node (testbed)
 - Aurora (2023, pre-production)
 10,624 nodes, 2 Intel CPUs & 6 Intel PVC GPUs/node

National Energy Research Scientific Computing Center

- Perlmutter-GPU (2022 current) 1,536 nodes, 1 AMD CPU & 4 NVIDIA A100 GPUs/node
- Perlmutter-CPU (2023 current) 3,072 nodes, 2 64-core AMD CPUs/node

Performance Portability and Productivity (P3)

Current HPC systems have diverse hardware

- Multi-core (many-core) CPUs
 - with hyper-threading ('oversubscribing cores')
 - with SIMD instruction set (vectorization)
- Reconfigurable memory
 - e.g. HBW memory on Intel Xeon Phi (Knights Landing, KNL)
- Accelerators (GPUs)
 - NVIDIA, AMD, Intel, ...
 - require GPU-enabled source code
 - typically vendor specific (CUDA, Intel OneAPI, ...)
 - ► some with support for more than one vendor (OpenACC, ...) ✓
 - ► rarely support for all vendors (OpenMP target offload, Kokkos, ...) ←
- Developing and maintaining efficient codes
 - hardware specific code
- Challenge: one source that
 - runs on range of hardware (Portability)
 - performs well on range of hardware (Performance Portability)
 - so that domain scientists can do their domain science (Productivity)

Allocations on DOE Leaderschip Computing Facilities

ALCC: ASCR Leadership Computing Challenge INCITE: Innovative and Novel Computational Impact on Theory and Experiment In 2023: 56 INCITE projects awarded

- INCITE proposals reviewed by domain scientists as well as by HPC experts on code-readiness, but decided by ASCR
- INCITE awards require quarterly progress reports
- OLCF 29 active projects in fall 2022, across all sciences
 - 15 INCITE and 14 ALCC projects
 - 2 INCITE Nuclear Structure and Reactions projects
 - all INCITE and ALCC projects on OLCF have demonstrated good performance on GPUs (including NucPhys codes)
- ALCF 32 projects in 2021, across all sciences
 - 16 INCITE and 16 ALCC projects in 2021
 - 1 INCITE and 1 ALCC Nuclear Structure and Reactions project
 - starting from 2024, all INCITE and ALCC projects on ALCF have to demonstrate good performance on GPUs

not all NucPhys codes targeting ALCF have been ported to GPUs

MFDn with NN potentials has been ported to NVIDIA GPUs

Current HPC systems

INCITE allocations over time by NUCLEI/UNEDF



2021 4th largest out of 50(*) 2020 5th largest out of 47(*) 2019 13th largest out of 62 (*) 2018 7th largest out of 55 2017 8th largest out of 55 2016 5th largest out of 56 2015 3rd largest out of 56 2014 4th largest out of 59 2013 6th largest out of 61 2012 6th largest out of 60 2011 13th largest out of 57 2010 10th largest out of 69 Largest Project 2009 10th largest out of 66 Low-Energy NP 2008 4th largest out of 55 200 400 600 800 1000 1200 1400 1600 Core-hours (millions) * - 2018 equivalent core hours

INCITE Allocation Trends 2008 – 2021

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Current HPC systems

INCITE usage by MFDn and QMC on Theta @ ALCF

2021: Excellent





- 125% use allowed through Nov
- available resources checked at submission, not at runtime

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2023: Poor



- missed N3LO 3NF milestone
- may negatively impact future allocations

NCSM on current HPC Systems

National Energy Research Scientific Computing center



Powering Scientific Discovery for 50 Years

- About 10,000 users (compared to about 1,500 on ALCF & OLCF each)
- Many more projects than on ALCF & OLCF
- Requests for NERSC resources have low threshold
 - virtually any scientists with a DOE grant can obtain a modest NERSC allocation
 - allocations decided by DOE domain science program managers
- Number of Nuclear Physics projects in 2022: 74
- Amount of resources for Nuclear Physics: 10 % of NERSC total resources
- Node hours awarded to us in 2022: 60,000 on Cori @ NERSC compared to 750,000 on Theta @ ALCF

Ab Initio Nuclear Structure allocations 2024 – 2025

SciDAC5/NUCLEI approaches targeting current DOE HPC platforms

- Quantum Monte Carlo methods
 - Variational Monte Carlo (VMC)
 - Green's Function Monte Carlo (GFMC)
 - Auxiliary-Field Diffusion Monte Carlo (AFDMC)

▶ ...

Configuration Interaction:

Expansion of many-body wavefunction in Slater determinants of single-particle wavefunctions

- Traditional Shell Model codes
- No-Core Shell Model Perlmutter @ NERSC, Aurora @ ALCF
- Coupled Cluster
- ▶ ...

Lattice Simulations

Nuclear Lattice Effective Field Theory Summit, Frontier @ OLCF

Aurora @ ALCF

Summit, Frontier @ OLCF

Computational Challenges

- Strong interactions, with both short-range and long-range pieces
- Not only 2-body interactions, but also intrinsic 3-body interactions and possibly 4- and higher N-body interactions
- Self-bound quantum many-body problem, with 3A degrees of freedom in coordinate (or momentum) space, as well as spin degrees of freedom
- Uncertainty quantification for calculations needed
 - for comparisons with experiments
 - for comparisons between different methods
- Sources of numerical uncertainties
 - statistical and round-off errors
 - systematical errors inherent to the computational method
 - Monte Carlo methods: sensitivity to the trial wave function
 - Configuration Interaction methods: finite basis space
 - Lattice Simulations: finite volume and lattice spacing
 - uncertainties in the nuclear interactions

Nuclear Interactions

$$\hat{\mathbf{H}}_{\text{rel}} = \hat{\mathbf{T}}_{\text{rel}} + \sum_{i < j} V_{ij} + \sum_{i < j < k} V_{ijk} + \dots$$

Nuclear interaction not well-determined

- In principle calculable from QCD
- Constrained by (fitted to) experimental (scattering) data

Alphabet of realistic NN potentials

- Argonne potentials (AV18 + ...)
- Bonn potentials
- Chiral EFT interactions
 - ► ∆-less
 - ► ∆-full
 - pion-less
- Daejeon16 (based on Idaho-N³LO)

Most NN potentials need 3N forces for agreement with data for nuclei

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NCSM on current HPC Systems



Nuclear Interactions from chiral EFT

- ► Controlled power series expansion in $Q = \max(p, M_{\pi}) / \Lambda_B \sim 0.3$
- Hierarchy for many-body forces $V_{NN} \gg V_{NNN} \gg V_{NNNN}$
 - 3NFs appear at N²LO, 4NFs appear at N³LO

Chiral expansion of nuclear forces



Allows for quantification of uncertainties due to the interaction

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Δ -full Chiral EFT Interactions



 $\begin{array}{l} m_\pi \sim 140 \; \text{MeV} \\ m_\rho \sim 770 \; \text{MeV} \\ m_N \sim 940 \; \text{MeV} \\ m_N \sim 1210 \; \text{MeV} \\ m_\Delta - m_N \sim 270 \; \text{MeV} \end{array}$

several diagrams get 'promoted' to lower orders

3NFs start at NLO in particular Fujita–Miyazawa term

If pions are relevant for chiral EFT,

then what about the role of the nucleon-∆ mass difference? Machleidt and Entem, Phys. Rept. 503 (2011); see also Piarulli and Tews, Front. Phys. doi: 10.3389/fphy.2019.00245

NN Potential and Scattering Data

- NN potentials fitted to phase-shifts
 - propagation of experimental uncertainties?
 - fitted up to what energy?
- Experiments for *pp* and *pn* scattering, but not for *nn* scattering
 - ▶ analysis in terms of isoscalar T = 0 and isovector T = 1 channels
- NN scattering data constrain only the on-shell NN potential, but not the off-shell behavior
 - many NN potentials describe NN scattering data, but differ for A > 2
 - wave functions not unique (unitary transformations)
 - for A > 2 nuclei we may also need 3N interactions
- Additional physics input
 - chiral effective field theory
 - fit select observables from light nuclei (which?)
- Additional consideration
 - suitability for computational framework (e.g. local vs. nonlocal)
- Implicit assumption
 - NN potential in vacuum and in nuclei (nuclear matter) is the same

Electroweak Operators from Chiral EFT



em charge and current operators; axial charge and current operators

- Chiral EFT two-body corrections to M1 operator at NLO and N³LO
- Two- and three-body corrections to charge operator at N³LO
- Two-body corrections to axial charge at NLO and N³LO
- Leading two-body corrections to axial current at N²LO and N³LO
- Three-body corrections to axial current at N³LO

Configuration Interaction Methods

Shell Model

Maria Goeppert Mayer, Nuclear Configurations in the Spin-Orbit Coupling Model. I. Empirical Evidence, Phys. Rev. 78, 16 (1950) and II. Theoretical Considerations, Phys. Rev. 78, 22 (1950)



Eugene Wigner, Maria Goeppert Mayer, J. Hans D. Jensen, 1963 Nobel Prize

Coupled Cluster methods (CC)

in particular suitable for closed-shell nuclei

in past decade significant improvements for select open-shell nuclei
 Hagen, Papenbrock, Hjorth-Jensen, Dean, Rept. Prog. Phys. 77, 096302 (2014)

In-Medium Similarity Renormalization Group (IM-SRG)

Hergert, Bogner, Morris, Schwenk, Tsukiyama, Phys. Rep. 621, 165 (2016)

No-Core Shell Model / No-Core Configuration Interaction

Barrett, Navrátil, Vary, Prog. Part. Nucl. Phys. 69, 131 (2013)

No-Core Shell Model

Barrett, Navrátil, Vary, Ab initio no-core shell model, PPNP69, 131 (2013)

- Expand wavefunction in basis states $|\Psi\rangle = \sum a_i |\Phi_i\rangle$
- Express Hamiltonian in basis $\langle \Phi_j | \hat{\mathbf{H}} | \Phi_i \rangle = H_{ij}$
- Diagonalize Hamiltonian matrix H_{ij}
- No-Core: All A nucleons are treated the same
- Complete basis exact result (at least for states below threshold)
 - caveat: complete basis is infinite dimensional
- In practice
 - truncate basis
 - study behavior of observables as function of truncation
- Computational challenge
 - construct large ($10^{10} \times 10^{10}$) sparse symmetric matrix H_{ij}
 - obtain lowest eigenvalues & -vectors corresponding to low-lying spectrum and eigenstates
- Note: Computational method, not a model

No-Core Configuration Interaction method

Basis Expansion

Expand A-body wave function in basis functions

$$\Psi(r_1,\ldots,r_A)=\sum a_i\Phi_i(r_1,\ldots,r_A)$$

Use basis of single Slater Determinants of Single-Particle states

$$\Phi_{i}(r_{1},...,r_{A}) = \frac{1}{\sqrt{(A!)}} \begin{vmatrix} \phi_{i1}(r_{1}) & \phi_{i2}(r_{1}) & \dots & \phi_{iA}(r_{1}) \\ \phi_{i1}(r_{2}) & \phi_{i2}(r_{2}) & \dots & \phi_{iA}(r_{2}) \\ \vdots & \vdots & \vdots \\ \phi_{i1}(r_{A}) & \phi_{i2}(r_{A}) & \dots & \phi_{iA}(r_{A}) \end{vmatrix}$$

Single-Particle basis states $\phi_{ik}(r_k)$

- eigenstates of SU(2) operators L², S², J² = (L + S)², and J_z with quantum numbers *n*, *l*, *s*, *j*, *m*
- radial wavefunctions

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- Harmonic Oscillator (HO)
- Caprio, Maris, Vary, PRC86, 034312 (2012); PRC90, 034305 (2014)
- Natural orbitals
 Fasano, Constantinou, Caprio, Maris, Vary, PRC105, 054301 (2022)

allows for exact factorization of Center-of-Mass

Truncation Schemes

• *M*-scheme: Many-Body basis states $|\Phi_i\rangle$ eigenstates of \hat{J}_z

$$\hat{\mathbf{J}}_{\mathbf{z}}|\Phi_i\rangle = M|\Phi_i\rangle = \sum_{k=1}^A m_{ik}|\Phi_i\rangle$$

two runs (positive and negative parity) gives entire spectrum

• N_{max} truncation: Many-Body basis states $|\Phi_i\rangle$ satisfy

$$\sum_{k=1}^{A} \left(2 n_{ik} + l_{ik} \right) \leq N_0 + N_{\max}$$

exact factorization of Center-of-Mass motion in HO basis

Alternatives:

- Full Configuration Interaction (FCI), truncation on Single-Particle basis only commonly used in valence shell model, quantum chemistry, ...
- Importance Truncation
- No-Core Monte-Carlo Shell Model
- ► Truncation based on dominant SU(3) or Sp(3, ℝ) symmetries

Dytrych et al, PRL111, 252501 (2013); McCoy, Caprio, Dytrych, Fasano, PRL 125, 102505 (2020)

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Roth, PRC79, 064324 (2009) Abe *et al.* PRC86, 054301 (2012)

Convergence of basis expansion

- Variational: for any finite truncation of the infinitely-large basis, eigenvalue is an upper bound for the ground state energy
- Smooth approach to asymptotic value with increasing basis space
- Convergence: independence of both truncation paramere N_{max} and HO basis parameter ħω
 - different methods using the same interaction give same results within numerical uncertainties



Computational Challenge



Increase of basis space dimension with increasing A and N_{max}

- ▶ need calculations up to at least $N_{max} = 8$, preferably $N_{max} = 10$ or 12 for meaningful extrapolation and numerical error estimates
- Largest calculation with NN-only potentials: ¹²Be at $N_{max} = 12$
 - dimension of 35 billion, with 60 trillion nonzero matrix elements
 - needed all of Theta at ALCF (or all of Cori-KNL at NERSC)

McCoy, Caprio, Maris, Fasano, arXiv:2402.12606

Extrapolation to the Complete Basis

Challenge: achieve numerical convergence for No-Core CI calculations using a finite amount of CPU time on current HPC systems

- ▶ Perform a series of calculations with increasing *N*_{max} truncation
- ► Extrapolate to infinite model space → exact results
 - Empirical: binding energy exponential in N_{max}

$$E^{\hbar\omega}(N) = E^{\hbar\omega}_{\infty} + a_1 \exp(-a_2 N)$$

to determine $E_{\infty}^{\hbar\omega}$

 use ħω and N_{max} dependence to estimate numerical error bars

Maris, Shirokov, Vary, PRC79, 014308 (2009)

- Extrapolate using exponentials in $\sqrt{\hbar\omega/N}$ and $\sqrt{\hbar\omega N}$ motivated by IR and UV behavior of wavefunction, based on s.p. asymptotics Coon *et al*, PRC86, 054002 (2012); Furnstahl *et al*, PRC86, 031301(R) (2012); More *et al*, PRC87, 044326 (2013); Wendt *et al*, PRC91, 061301 (2015); Gazda *et al*, PRC106, 054001 (2022); ...
- Use Machine Learning/Artificial Neural Networks Negoita *et al*, PRC99, 054308 (2019); Jiang it at al, PRC100, 054326 (2019); Knöll *et al*, PLB839, 137781 (2023); ...

Bayesian inference?

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Empirical exponential extrapolation of binding energies



- Use 3 consecutive N_{\max} values to determine $E_{\infty}^{\hbar\omega}$
- Optimal $\hbar \omega$ where $|E_{\infty}^{\hbar \omega} E^{\hbar \omega}(N_{\max})|$ is minimal (above var. min.)
- Numerical uncertainty estimate: Maximum of
 - difference in $E_{\infty}^{\hbar\omega}$ for two successive extrapolations
 - ▶ half the variation in $E_{\infty}^{\hbar\omega}$ over 8 MeV interval around optimal $\hbar\omega$
 - ▶ 20% of $|E_{\infty}^{\hbar\omega} E^{\hbar\omega}(N_{\max})|$ at optimal $\hbar\omega$

Similarity Renormalization Group (SRG) evolution

 Apply unitary transformation on Hamiltonian to improve numerical convergence in finite HO model spaces



PM, Le, Nogga, Roth, Vary, Front. Phys. 11 1098262 (2023)

Binding Energies with LENPIC-SMS chiral EFT



PM, Le, Nogga, Roth, Vary, Front. Phys. 11 1098262 (2023)

- NN potential up to N⁴LO⁺
- 3NFs at N²LO
- SRG evolved to $\alpha = 0.08 \text{ fm}^4$
- LECs fitted to
 - NN scattering data
 - ³H binding energy
 - Nd scattering
- Parameter-free predictions
- Error bars
 - numerical uncertainty
 - chiral EFT uncertainty from Bayesian analysis

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Binding energies with Daejeon16



(PM, Shin, Caprio, Vary, in preparation)

- NN interaction, based on SRG evolved chiral EFT, using phase-equivalent transformations to fit select *p*-shell nuclei
- Ground state energies agree with experiment to within 1% for all *p*-shell nuclei up to A=14
- Correct J^{π} for ¹⁰B (fitted)
- Parity inversion ¹¹Be
- ► Correct J^π for ¹²B
- Energies of narrow excited states also agree with data

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NCSM Calculations of Light Nuclei

Special Case: Low-lying levels of ¹²Be



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NCSM on current HPC Systems

MFDn moving towards Exascale

Exascale computing enables calculations for

- larger model spaces for p-shell nuclei
- unnatural parity states?
- 'cross-shell' nuclei (e.g. neutron-rich B, C, N isotopes)?
- sd-shell nuclei?

Towards Exascale

- Incite 2024 Allocation on Aurora @ ALCF
 - expected (?) fourth quarter 2024 first quarter 2025
 - have to demonstrate performance 'by this summer'

Porting MFDn to run efficiently on Aurora requires significant effort

- eigensolver
- matrix construction with NN potentials
- including 3N interactions on GPUs

Timeline

April - June 2024 for initial port of MFDn to OpenMP target offload

LENPIC moving forward?

Moving forward with LENPIC SMS interactions

and consistent electroweak operators towards Exascale computing

- Spectra with consistent N³LO 3NFs, in combination with NN potentials at N³LO, N⁴LO, and N⁴LO⁺
- Radii, magnetic moments, and quadrupole moments
 - SRG evolution at 2-body level
 - consistent 2-body operators up to N³LO
 - importance of 3-body operator?
 - convergence of expectation values of 'long-range' operators?
- E0, M1, and E2 transitions
 - with consistent 2-body operators at N³LO
- β decay
 - with consistent 2-body operators at N³LO
- Benchmark vs Faddeev–Yakubovsky calculations

Outlook and Conclusions

Magnetic moment at N²LO (LENPIC SCS)



- Consistent M1 operator with LENPIC-SCS interactions up to N²LO
- Magnetic observables of p-shell nuclei
- Need consistent M1 operators at N³LO

(Fasano, PhD thesis (2023))

LENPIC moving forward!

- Consistent N³LO 3N interaction, SRG evolved
 - Bochum, Jülich, Darmstadt
- Consistent electroweak 2-body operators up to N³LO
 - Bochum
- Express e.w. (non-scalar) operators in HO basis and evaluate TBMEs for electroweak operators
 - Jülich, Darmstadt
- SRG evolution of e.w. operators (w/o induced 3-body operators)
 - Jülich, Darmstadt
- Talmi-Moshinski transformation of e.w. operators
 - Darmstadt
- Evaluate expectation values of e.w. operators using wave-functions obtained with MFDn
 - Ames, IA
- Benchmark against Faddeev–Yakubovsky calculations
 - Jülich, Cracow
- Chiral uncertainties
 - Columbus, OH

Concluding remarks and Summary

Moving towards Exascale computing

challenging and exciting

Should open up new opportinities

- reduced numerical incertainties
- extend calculations to larger nuclei?
- explore unnatural parity spectrum?
- explore intruder states?

Consistent interactions and e.w. operators up to N³LO

reduced chiral uncertainties