

Static, Transition & Scattering Observables from the No-Core Shell Model

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LENPIC Annual Meeting

Helmholtz-Institut
für Strahlen und Kernphysik
(HISKP)

Bonn, Germany

March 11-13, 2024

The Overarching Questions

- How did visible matter come into being and how does it evolve?
- How does subatomic matter organize itself and what phenomena emerge?
- Are the fundamental interactions that are basic to the structure of matter fully understood?
- How can the knowledge and technological progress provided by nuclear physics best be used to benefit society?

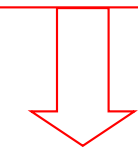
- NRC Decadal Study

The Time Scale

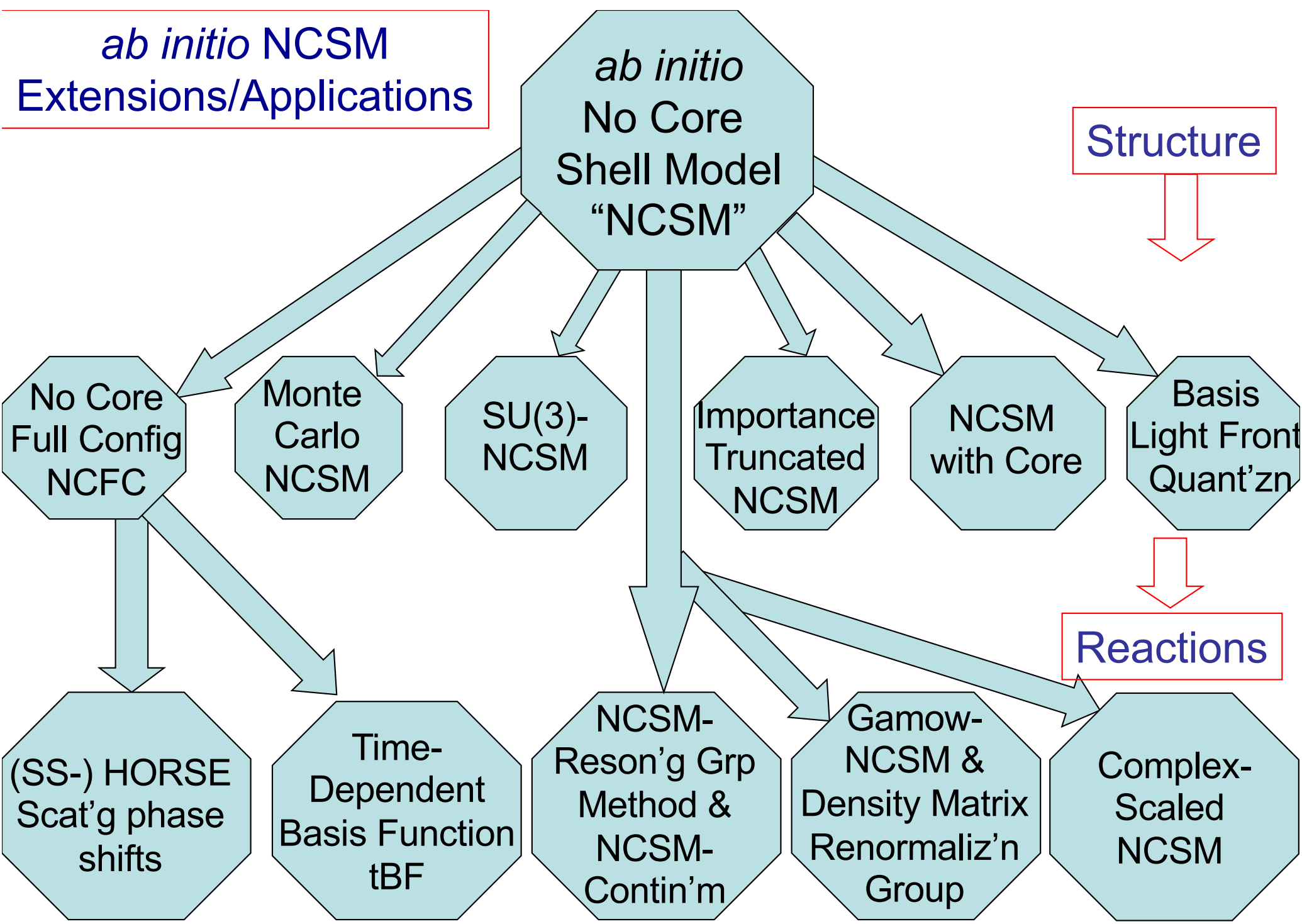
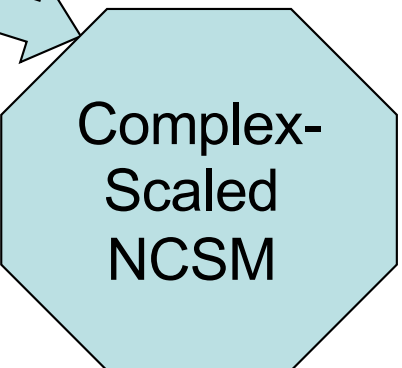
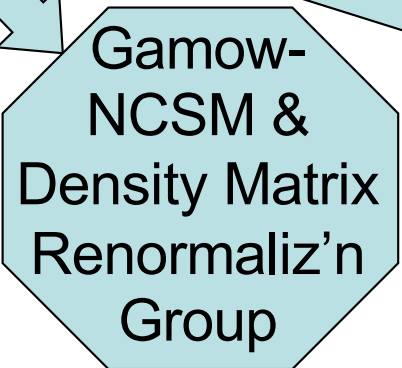
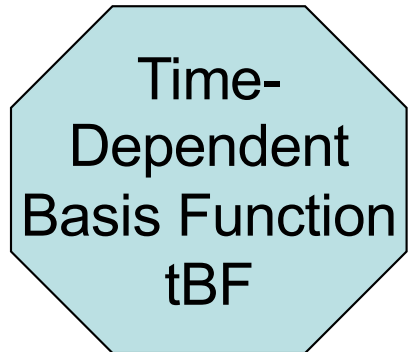
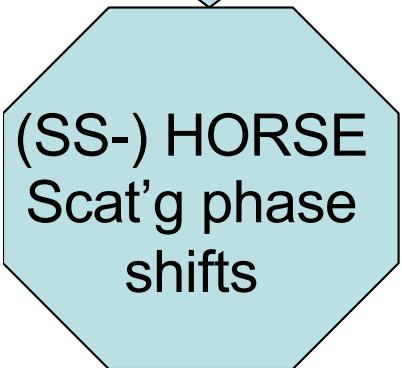
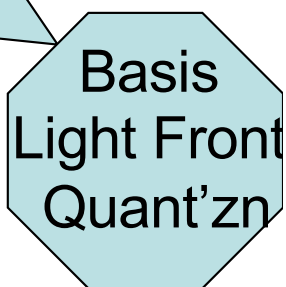
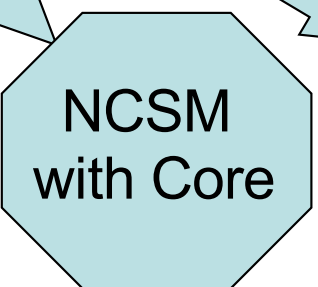
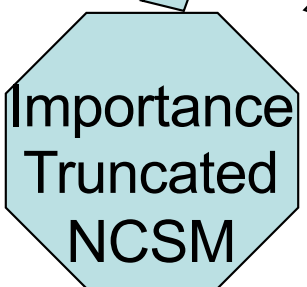
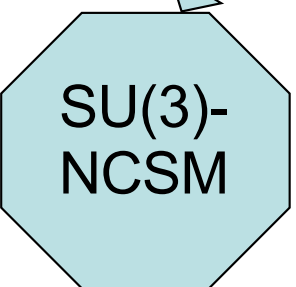
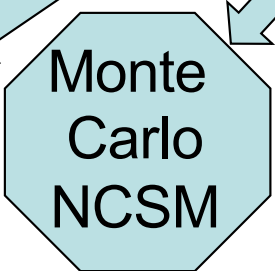
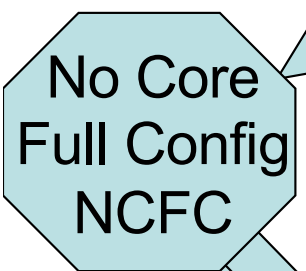
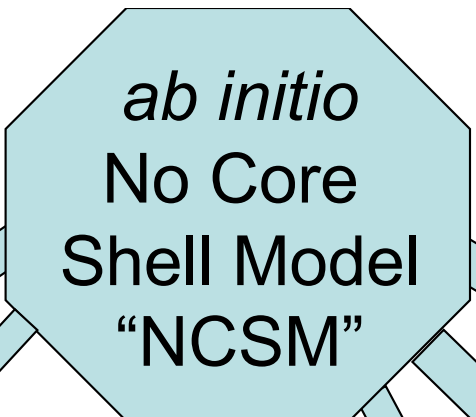
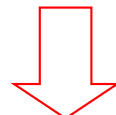
- Protons and neutrons formed 10^{-6} to 1 second after Big Bang (13.7 billion years ago)
- H, D, He, Li, Be, B formed 3-20 minutes after Big Bang
- Other elements born over the next 13.7 billion years

ab initio NCSM
Extensions/Applications

Structure



Reactions



Daejeon16 NN interaction

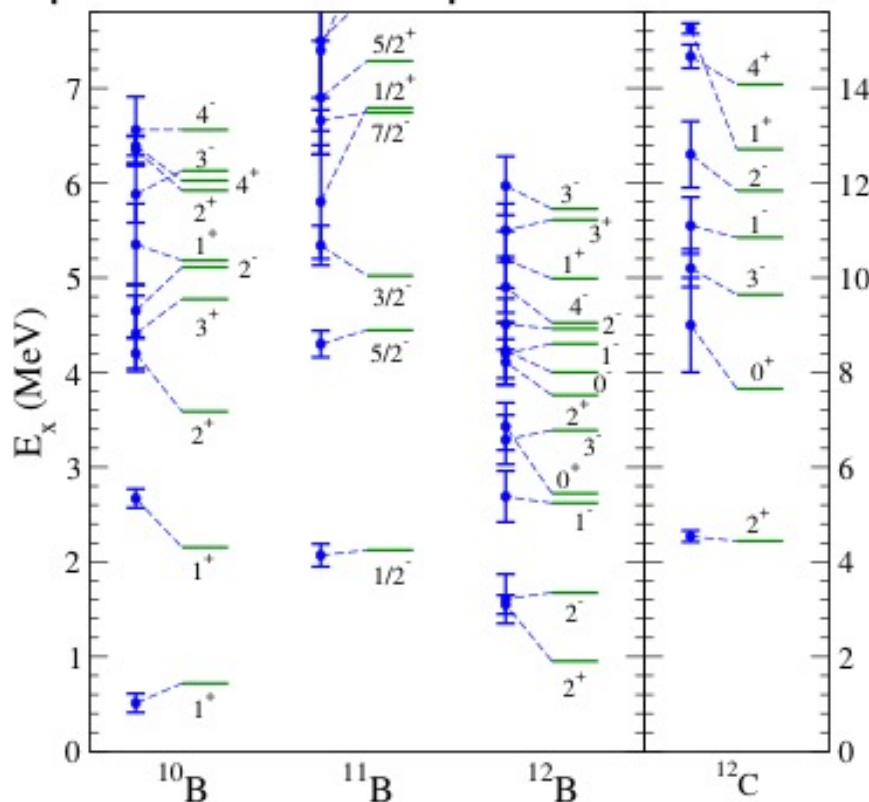
Based on SRG evolution of Entem-Machleidt “500” chiral N3LO to $\lambda = 1.5 \text{ fm}^{-1}$ followed by Phase-Equivalent Transformations (PETs) to fit selected properties of light nuclei.

A.M. Shirokov, I.J. Shin, Y. Kim, M. Sosonkina, P. Maris and J.P. Vary,
 “N3LO NN interaction adjusted to light nuclei in ab exitu approach,”
 Phys. Letts. B 761, 87 (2016); arXiv: 1605.00413

Application to excited states of p-shell nuclei

(Maris, Shin, Vary, in preparation)

Spectra of B isotopes and ^{12}C



- ▶ difference of extrapolated E_b
- ▶ extrapolation uncertainties: max of E_b uncertainties
- ▶ good agreement with positive and negative parity spectra
- ▶ need large bases for 'intruder' and 'non-normal parity' states
- ▶ spectrum ^{10}B
 - ▶ correct gs 3^+ and excited 1^+
 - ▶ third 1^+ 'intruder' state
- ▶ excited 0^+ state in ^{12}C
 - ▶ Hoyle state?
 - ▶ Otsuka et al, Nature Comm 13:2234 (2022)

Alpha clusters in Carbon-12 from *ab initio* theory & statistical learning

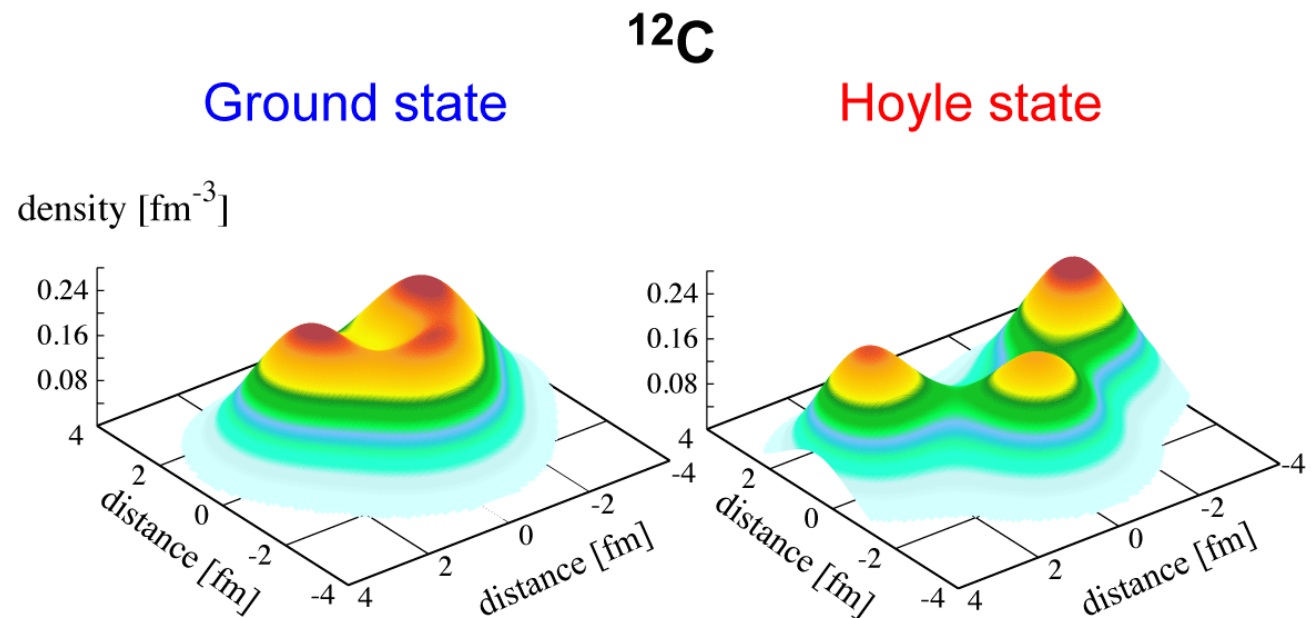
Objectives

- *Ab initio* nuclear theory aims for parameter-free predictions of critical nuclear properties with controlled uncertainties using supercomputer simulations
- Specific goal is to determine extent of alpha clustering in the Ground state and the Hoyle state of Carbon-12 (^{12}C)

Impact

- Ground state found to have 6% alpha clustering while Hoyle state discovered to be 3-alphas 61% of the time
- With this high percentage of 3-alphas, the Hoyle state is confirmed as a natural gateway state for the cosmic formation of ^{12}C , the key element for organic life
- Statistical learning confirms 3-alpha feature of Hoyle state

Ab initio Monte-Carlo Shell Model results for density contours of ^{12}C Ground state and first excited 0^+ (Hoyle) state using the Daejeon16 two-nucleon potential. Simulations were performed on Fugaku in Japan, the world's largest supercomputer at the time.



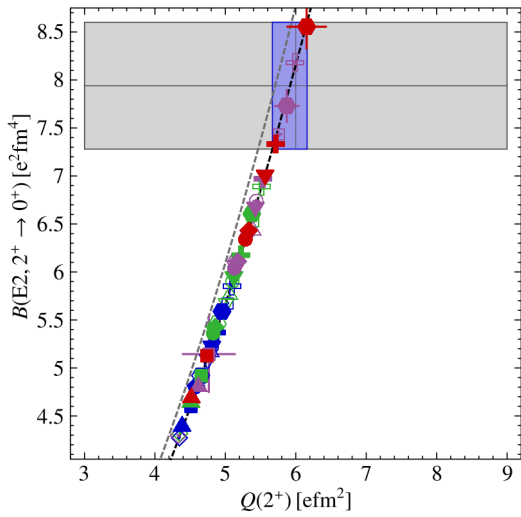
Accomplishments

T. Otsuka, T. Abe, T. Yoshida, Y. Tsunoda, N. Shimizu, N. Itagaki, Y. Utsuno, J. Vary, P. Maris and H. Ueno, "Alpha-Clustering in Atomic Nuclei from First Principles with Statistical Learning and the Hoyle State Character," *Nature Communications* 13:2234 (2022)

Electric quadrupole (E2) observables in nuclei

- Electric quadrupole (E2) observables, such as the electric quadrupole moment Q_p , and E2 transition strength $B(E2)$, reveal nuclear collective structure and dynamics.
- To probe the nuclear quadrupole dynamics more completely, both proton and neutron quadrupole observables are needed.
- E2 observables are sensitive to the large-distance tails of the nuclear wave function, they are slowly convergent in ab initio NCSM approaches. Useful predictions for static and dynamic E2 observables have been derived by focusing on selected ratios.

Ratios of E2 observables calculated by NCSM



Robust correlation between the two E2 observables: quadrupole moments and transition strengths

2_1^+ to 0_1^+ in ^{12}C

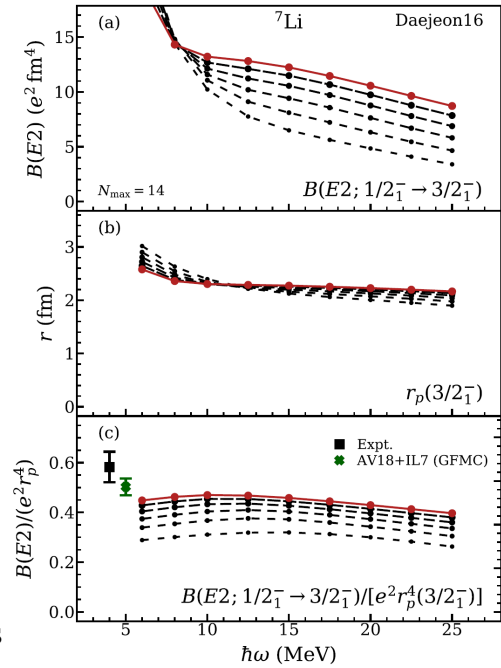
Chiral NN (open symbols)

NN + 3N (solid symbols)

From $N_{\text{max}} = 2$ to 8, $\hbar\Omega = 16$ MeV

Grey shaded: experimental result

Blue shaded: NCSM prediction



The convergence patterns of the E2 transition strengths and radius are strongly correlated

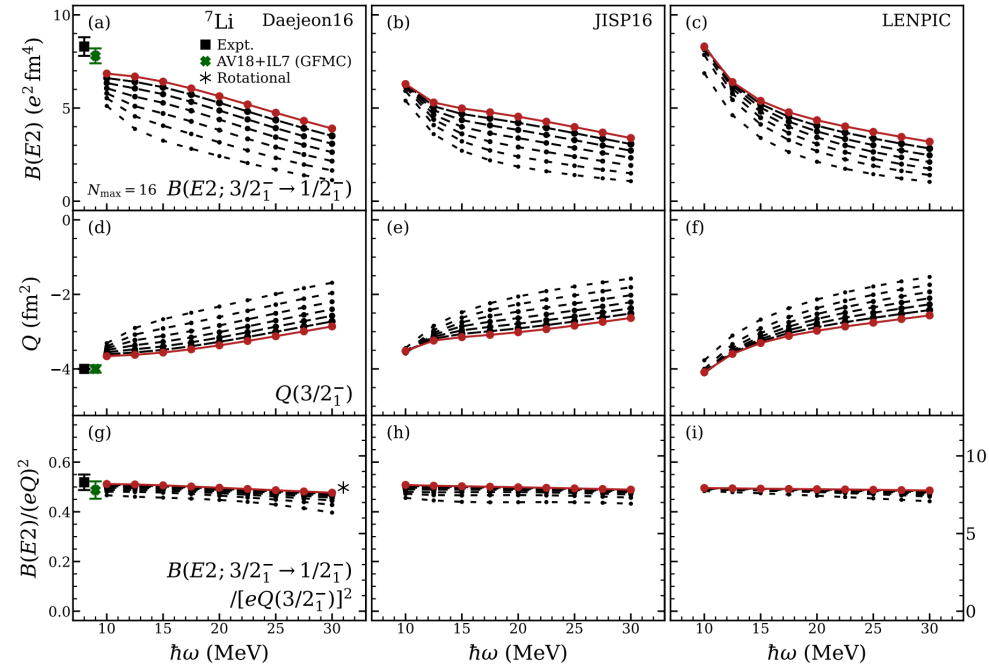
$1/2_1^-$ to $3/2_1^-$ in ^7Li

Daejeon16 interaction

From $N_{\text{max}} = 4$ to 14

Square: experimental result

Cross: GFMC prediction



A near-complete elimination of the $\hbar\omega$ dependence at the higher N_{max} is shown with the dimensionless ratio $B(E2)/Q^2$

$3/2_1^-$ to $1/2_1^-$ in ^7Li with Daejeon16, JISP16 and LENPIC

From $N_{\text{max}} = 4$ to 16

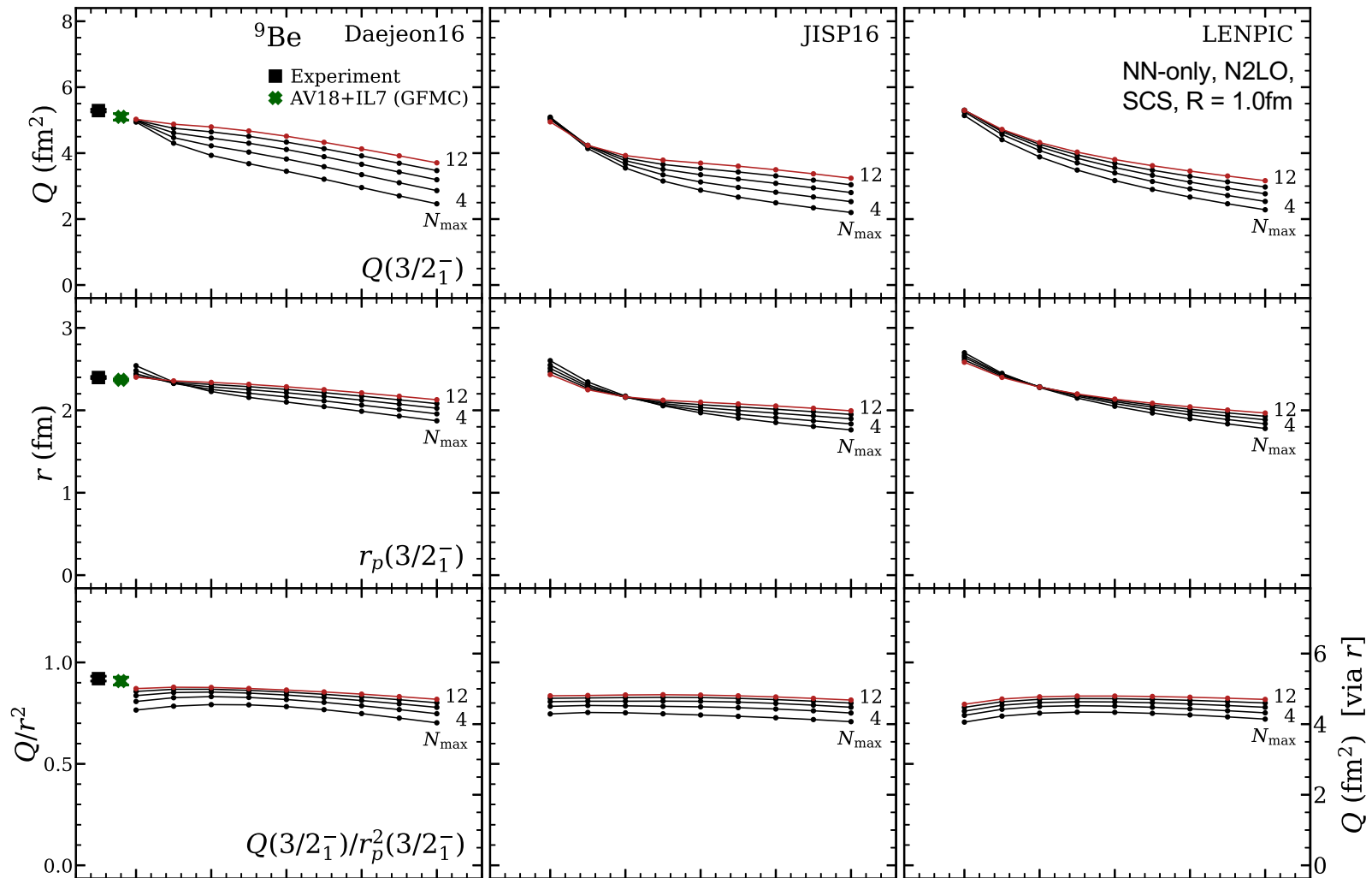
Square: experimental result

Cross: GFMC prediction

Asterisk: rotational ratio

A. Calci et al., Phys. Rev. C (2016)
M. Caprio et al., Phys. Rev. C (2021)
M. Caprio et al., Phys. Rev. C (2022)

^9Be : $E2$ moment correlation with radius



GFMC: S. Pastore, S. C. Pieper, R. Schiavilla, and R. B. Wiringa, Phys. Rev. C **87**, 035503 (2013). M. A. Caprio, University of Notre Dame

M. A. Caprio, P. J. Fasano, and P. Maris, Phys. Rev. C 105, L061302 (2022), and new paper in preparation

$$\frac{B(E2)}{Q_p^2} = \frac{\langle J_f || \sum_{i \in p} r_i^2 Y_2(\hat{r}_i) || J_i \rangle^2}{\langle J_i || \sum_{i \in p} r_i^2 Y_2(\hat{r}_i) || J_i \rangle^2},$$

Ratios of observables converge better
He Li, et al., arXiv: 2401.05776

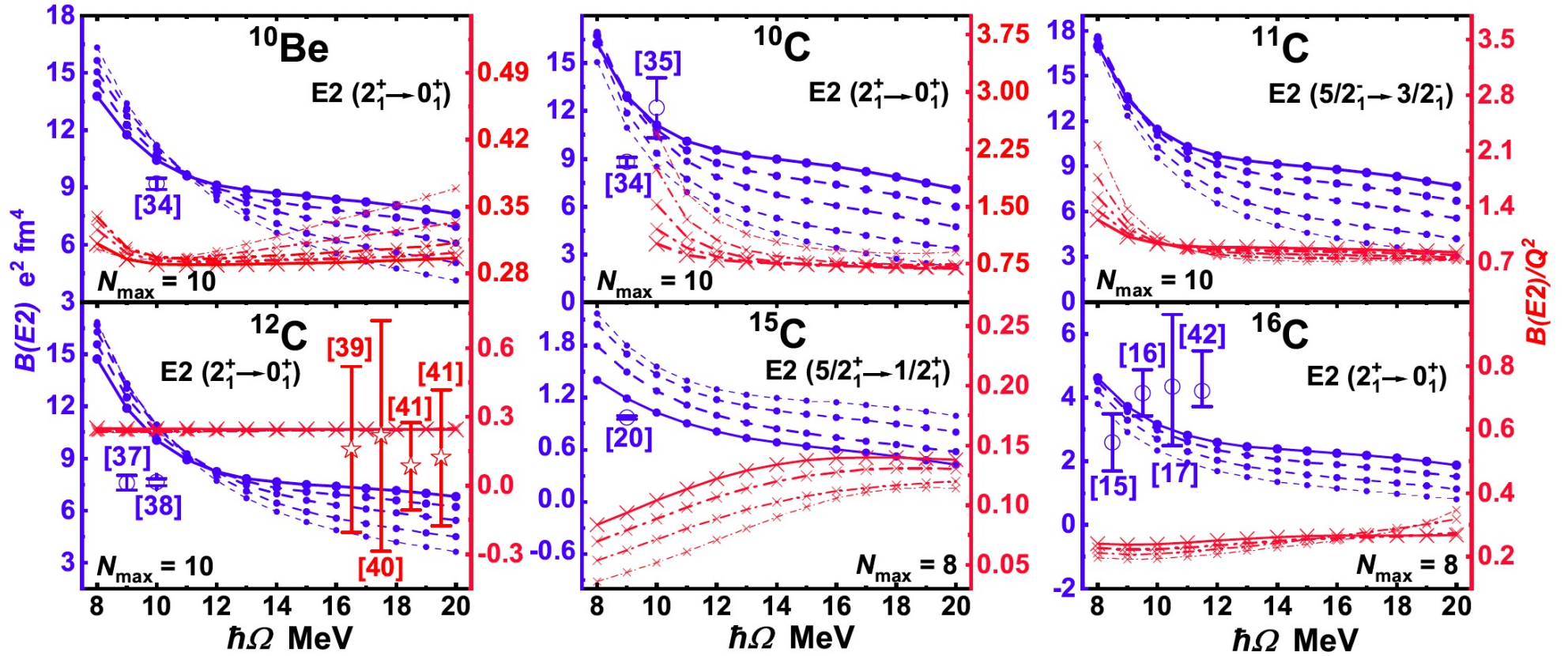


FIG. 1. (Color online) $B(E2)$ (blue and scales to the left) and the ratios $B(E2)/Q_p^2$ (red and scales to the right) in ^{10}Be , ^{10}C , ^{11}C , ^{12}C , ^{15}C , ^{16}C for the indicated transitions are shown as functions of $\hbar\Omega$. NCSM results with Daejeon16 NN interaction are shown by the dashed curves with thickness increasing with N_{max} for $N_{\text{max}} = 2, 4, 6$ for $^{15,16}\text{C}$, and $N_{\text{max}} = 2, 4, 6, 8$ for the other nuclei. The maximal N_{max} value is indicated at the bottom of each panel and is represented by the solid curves. For comparison, the available experimental $B(E2)$ and $B(E2)/Q_p^2$ data with their uncertainties are shown by open circles and stars respectively, with the citation indicated in square brackets.

$$\frac{M_n}{M_p} = \frac{\langle J_f || \sum_{i \in n} r_i^2 Y_2(\hat{r}_i) || J_i \rangle}{\langle J_f || \sum_{i \in p} r_i^2 Y_2(\hat{r}_i) || J_i \rangle},$$

Ratios of observables and
ratios of ratios converge better
He Li, et al., arXiv: 2401.05776

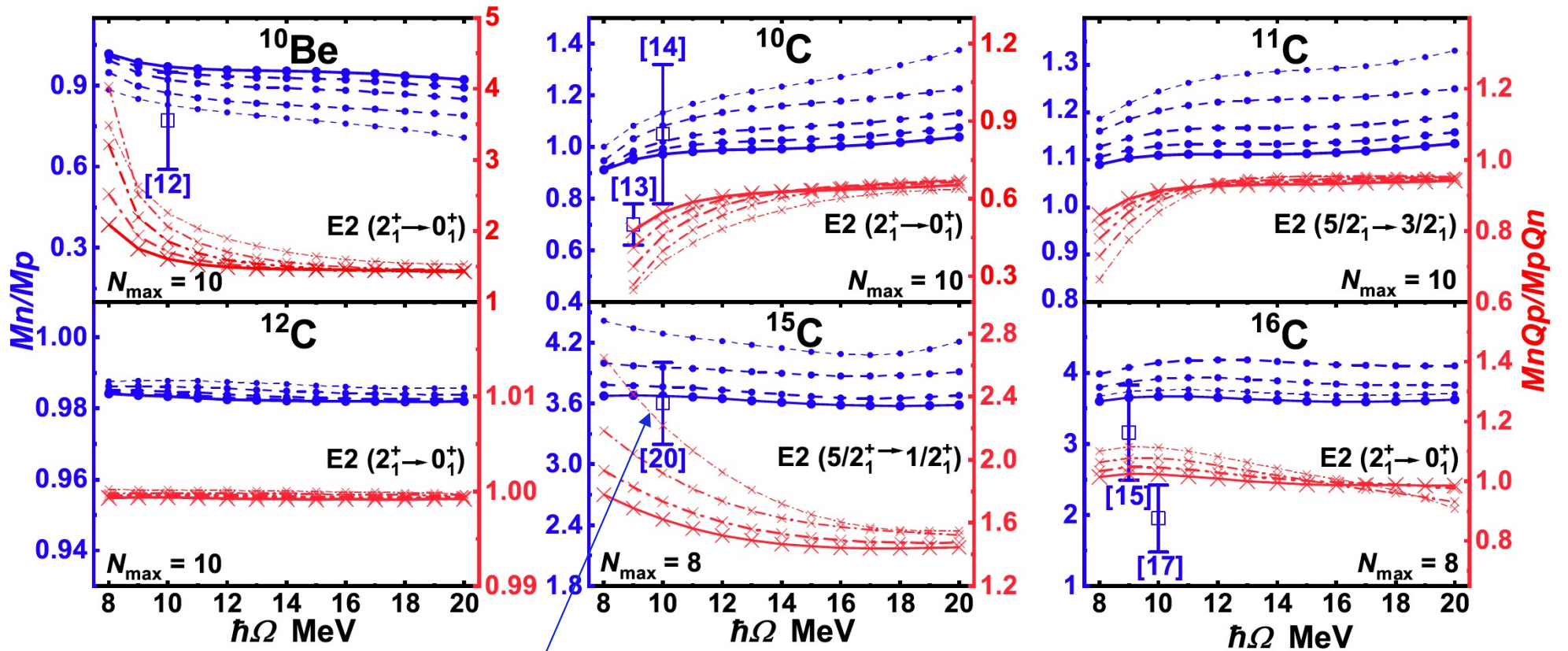
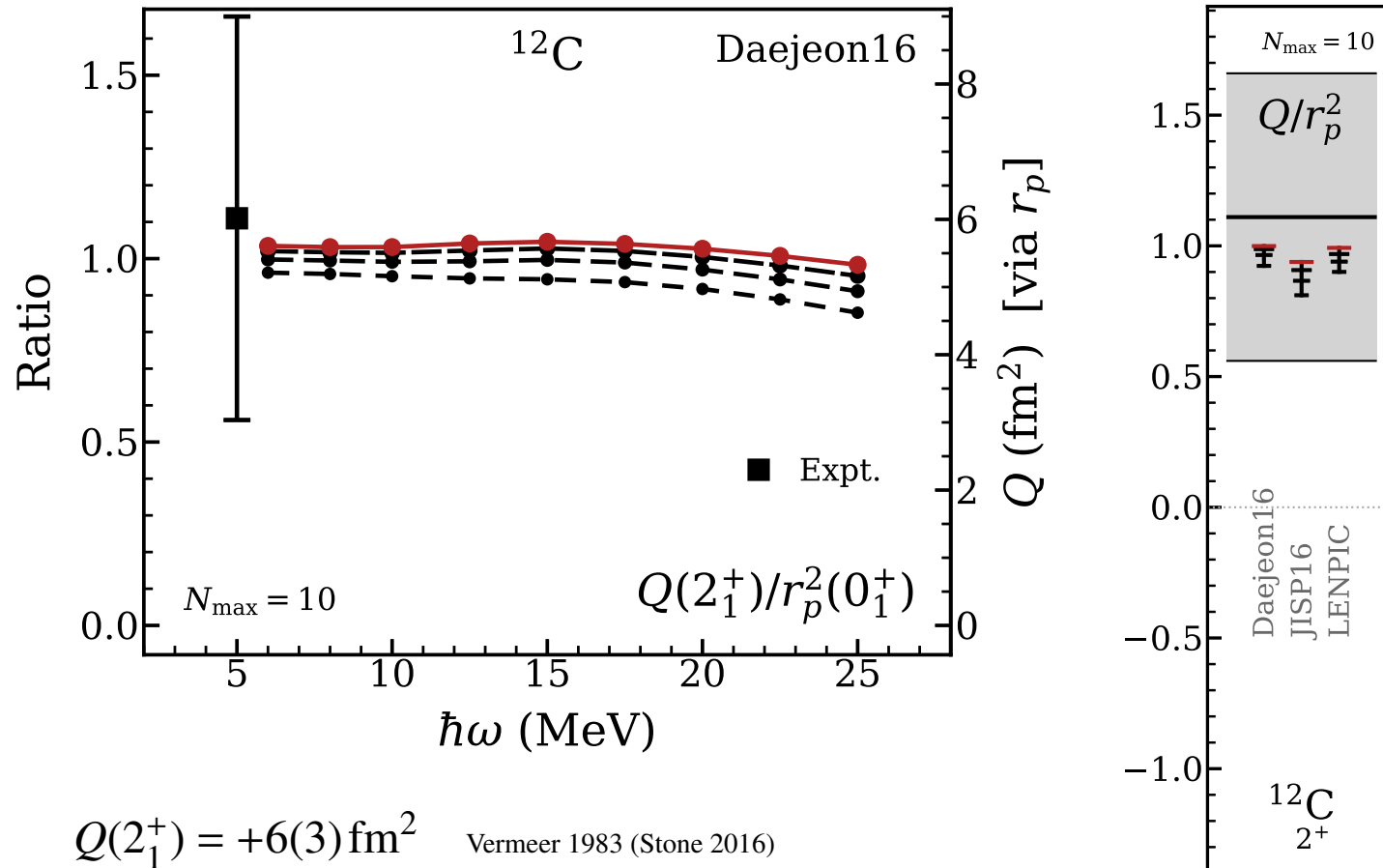


FIG. 2. (Color online) Ratios M_n/M_p (blue and scales to the left) and $M_n Q_p/M_p Q_n$ (red and scales to the right) in the same nuclei and for the same transitions as in Fig. 1. See Fig. 1 for more details. Available experimental M_n/M_p results with their uncertainties are shown by open squares with the citation indicated in square brackets.

Jie Chen et al.,
PRC 106, 064312 (2022)

Excited-state quadrupole moment from radius in ^{12}C



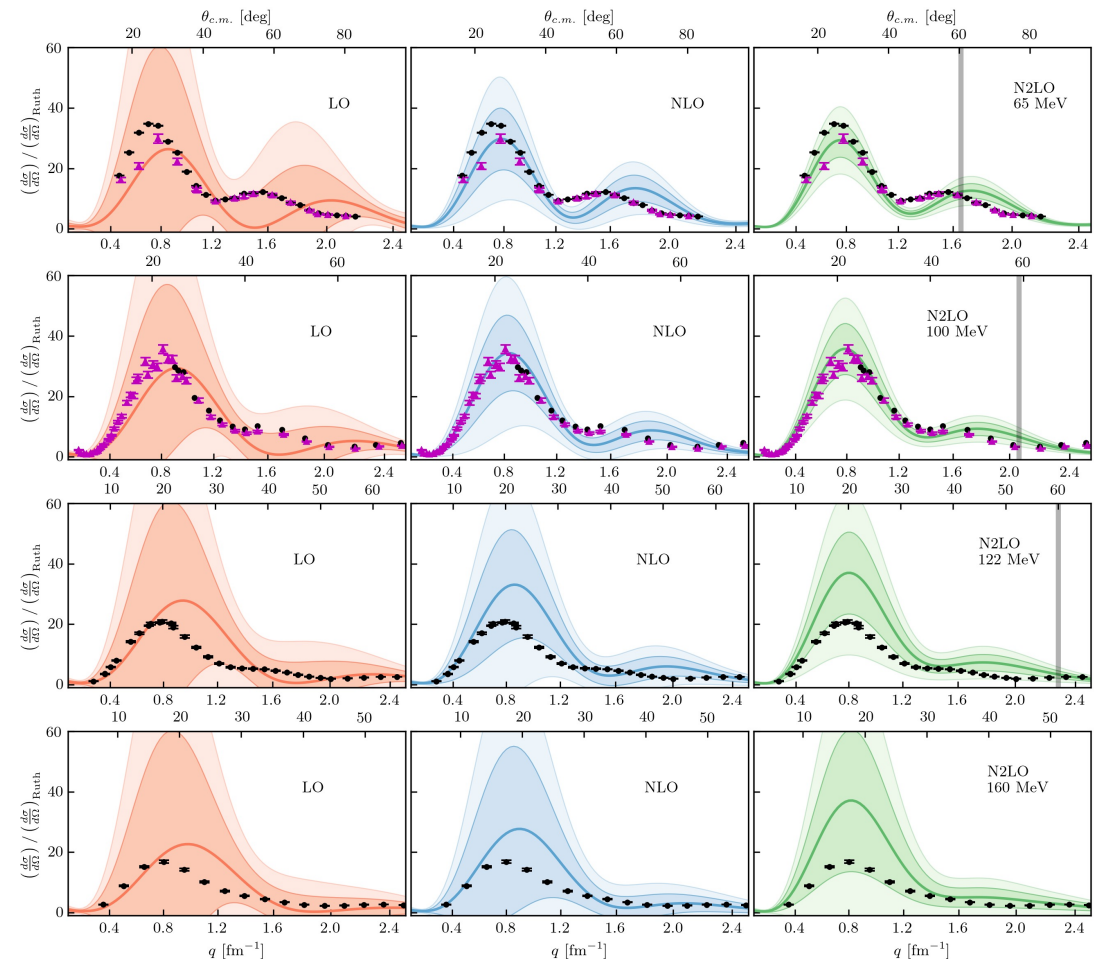
M. A. Caprio, University of Notre Dame

Objectives

- Predict elastic scattering of nucleons on light nuclei with theoretical uncertainty estimates.
- Use consistent effective field theory (EFT) nucleon-nucleon interactions for both scattering and bound state calculations.
- Apply Bayesian statistical model to infer EFT truncation uncertainties.

Impact

- First nucleon-nucleus scattering calculations using nucleon-nucleon interactions with quantified EFT truncation uncertainties.
- Uncertainties increase with increasing scattering energies.
- Need to incorporate higher orders, as well as consistent three-nucleon interactions.



Differential cross section for elastic proton scattering on Carbon-12 with quantified uncertainties.

Accomplishments

R.B. Baker, B. McClung, Ch. Elster, P. Maris, S.P. Weppner, M. Burrows, G. Popa, Phys. Rev. C106, 064605 (2022);
R.B. Baker, M. Burrows, Ch. Elster, K.D. Launey, P. Maris, G. Popa, S.P. Weppner, Front. Phys. 10, 1071971 (2022)

General idea of the HORSE formalism

“Harmonic Oscillator Representation of Scattering Equations”

$$T + V$$

NCSM with:
 $\lambda(N, \hbar\Omega)$ & $\Lambda(N, \hbar\Omega)$

Infinite set of algebraic equations in HO basis of relative motion:

$$\sum_{n'=0}^N (T_{nn'}^l + V_{nn'}^l - \delta_{nn'} E) a_{n'l}(E) = 0. \quad n \leq N-1$$

Matching condition at $n = N$

$$\sum_{n'=0}^N (T_{Nn'}^l + V_{Nn'}^l - \delta_{Nn'} E) a_{n'l}(E) + T_{N,N+1}^l a_{N+1,l}(E) = 0. \quad n \leq N-1$$

Then for $n \geq N+1$

$$\sum_{n'=0}^{\infty} (T_{nn'}^l - \delta_{nn'} E) a_{n'l}(E) = 0, \quad \text{which produces:}$$

$$T_{n,n-1}^l a_{n-1,l}(E) + (T_{nn}^l - E) a_{nl}(E) + T_{n,n+1}^l a_{n+1,l}(E) = 0.$$

“think outside the box” => T

This is an exactly
solvable algebraic problem!

Arises as a natural extension of
NCSM where both potential and
kinetic energies are truncated

Single-State HORSE (SS-HORSE)

$$\sum_{n'=0}^N H_{nn'}^l \langle n' | \lambda \rangle = E_\lambda \langle n | \lambda \rangle, \quad n \leq N$$

$$(H - E)_{nn'}^{-1} \equiv -G_{nn'} = \sum_{\lambda'=0}^N \frac{\langle n | \lambda' \rangle \langle \lambda' | n' \rangle}{E_{\lambda'} - E} \quad \longleftrightarrow \text{Standard HORSE}$$

$$\tan \delta(E) = -\frac{S_{Nl}(E) - G_{NN} T_{N,N+1}^l S_{N+1,l}(E)}{C_{Nl}(E) - G_{NN} T_{N,N+1}^l C_{N+1,l}(E)}. \quad \text{Also, S-matrix} = S(G_{NN})$$

Suppose $E = E_{\lambda'}$ \longleftarrow Single-State HORSE

$$\tan \delta(E_\lambda) = \frac{S_{N+1,l}(E_\lambda)}{C_{N+1,l}(E_\lambda)} = (-1)^l q^{2l+1} \Gamma(-l + 1/2) \frac{L_{(N-l)/2}^{l+1/2}(q^2)}{\Phi(-N/2 - 1/2 - 1/2, -l + 1/2; q^2)}$$

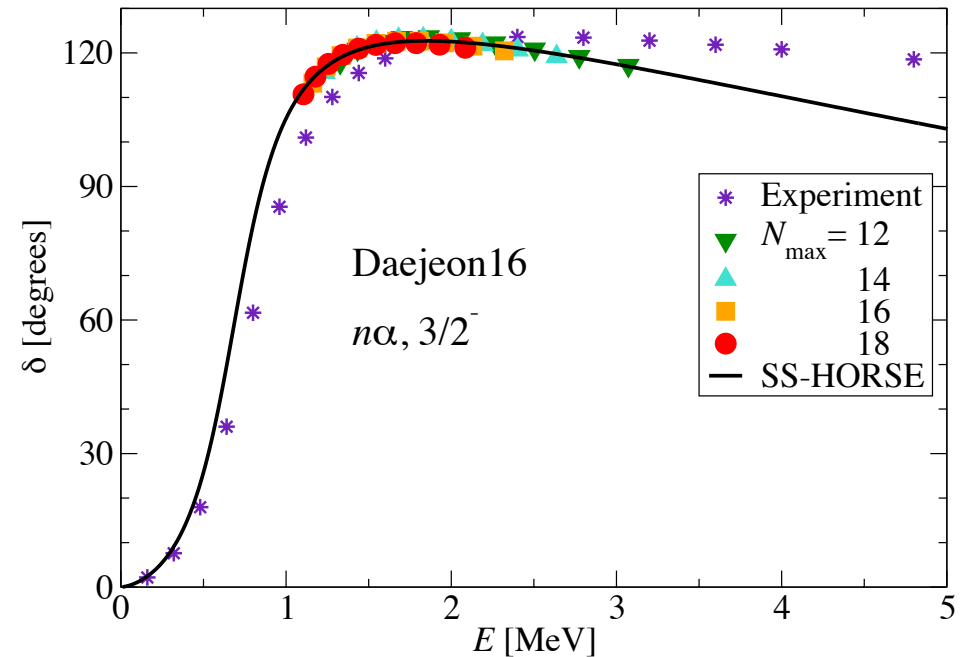
where $L_{(N-l)/2}^{l+1/2}$ are associated Laguerre polynomials, Φ are confluent hyper-

geometric functions and $q = \sqrt{\frac{2E_\lambda}{\hbar\Omega}}$.

E_λ are (obtained from) eigenvalues of the NCSM (for given $\hbar\Omega$ and N_{\max}). Once a scattering channel is defined (sets the continuum energy scale) the phase shift is calculated. Analog of Lüscher's method for a plane-wave basis.

Example of $n\alpha$ scattering

1. No Core Shell Model calculations with Daejeon 16 interaction:
 $E^{5\text{He}}(N_{\text{max}}, \hbar\Omega), E^{4\text{He}}(N_{\text{max}}, \hbar\Omega)$
2. $E_{\text{rel.}n\alpha} = E = E^{5\text{He}} - E^{4\text{He}}$
 $\tan\delta = f(E)$: obtaining phases at points E with f as known function
3. Selection criteria for N_{max} and $\hbar\Omega$:
phases form smooth curve
4. Parameterization: $\delta = \delta_r + \text{smooth func.}$
5. Extracting E_r, Γ from δ_r



Adapted from: A. M. Shirokov, A. I. Mazur, I. A. Mazur, E. A. Mazur, I. J. Shin, Y. Kim, L. D. Blokhintsev, and J. P. Vary, Phys. Rev. C 98, 044624 (2018)

PRELIMINARY

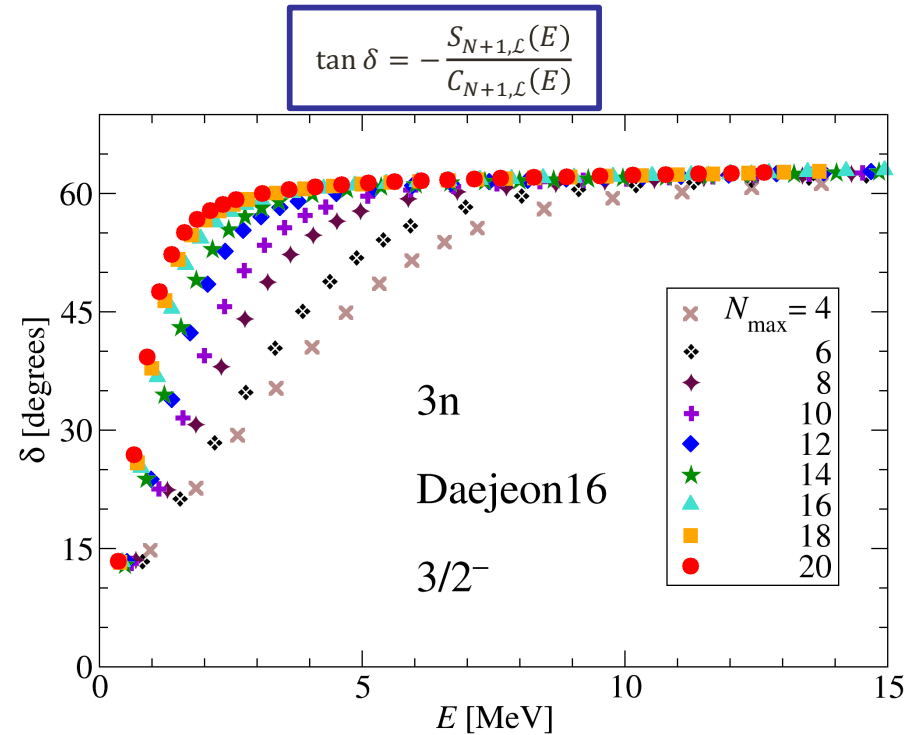
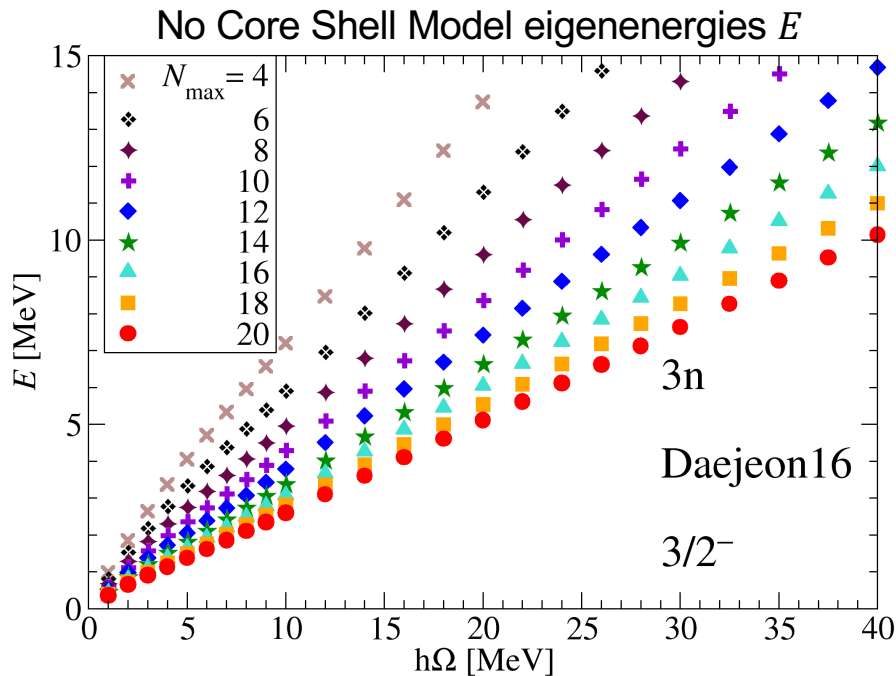
SS-HORSE Results for 3n resonances search

Daejeon16 is modern version of NN force that

Started from chiral Idaho N^3LO (SRG evolved) interaction

Effectively included $3N$ forces (due to phase-shift equivalent fit to stable nuclei with up to 16 nucleons)

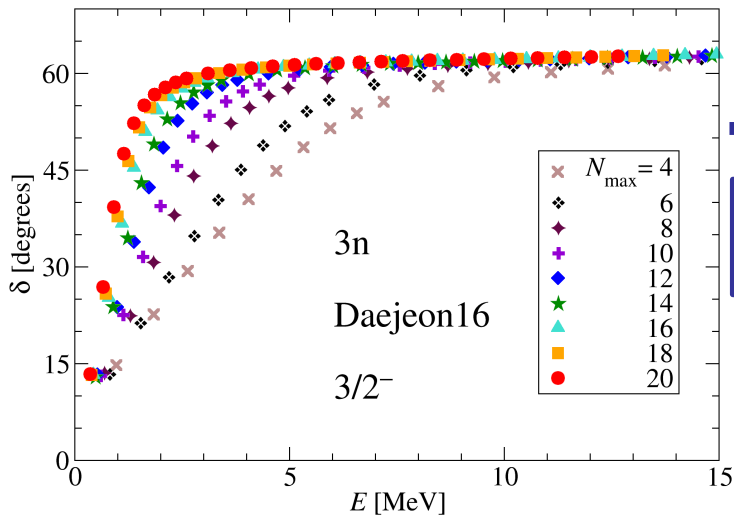
Using this interaction looks reasonable because there are no 3 body interactions that are fit to Isospin= $3/2$ states



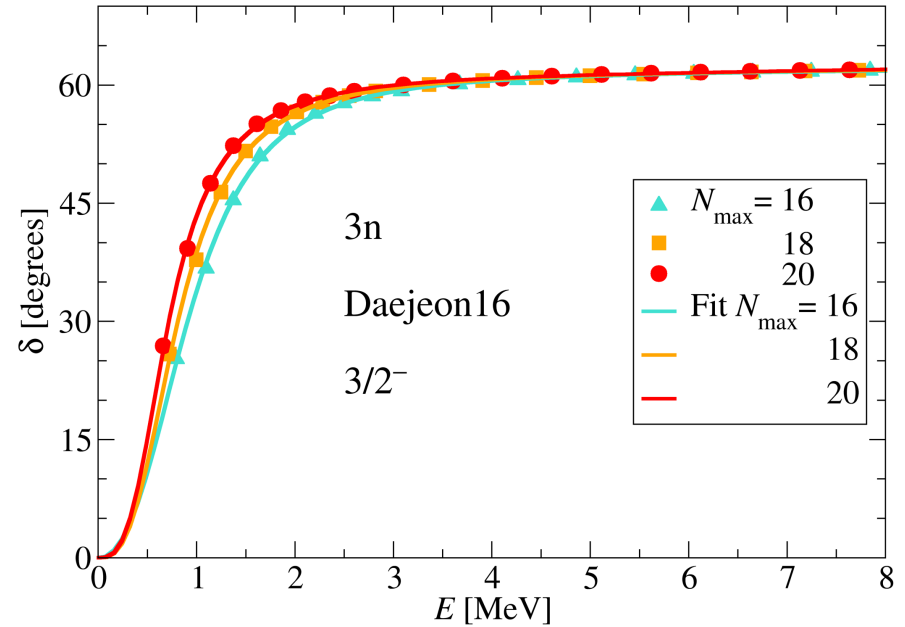
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PRELIMINARY

SS-HORSE Results for 3n resonances search



Selection of points,
parameterization



Extraction
of S-matrix pole position

N_{\max}	$3/2^-$		$1/2^-$	
	E_r [MeV]	Γ [MeV]	E_r [MeV]	Γ [MeV]
16	0.607	1.524	0.606	1.604
18	0.537	1.176	0.531	1.133
20	0.481	0.963	0.481	0.962

PRELIMINARY

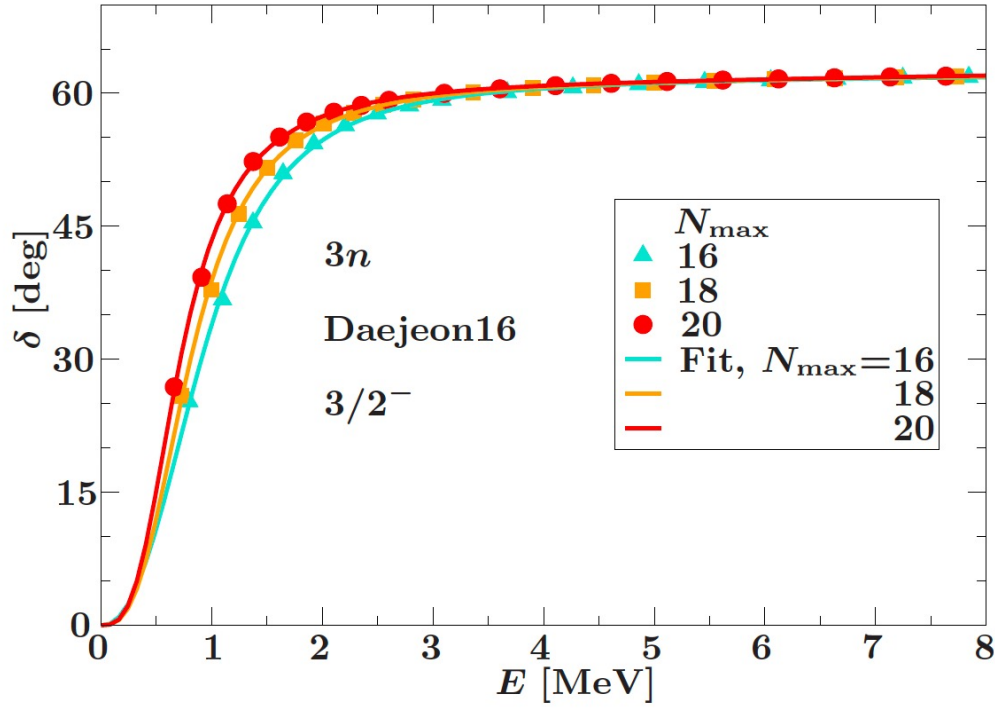


FIG. 2: Fits of $3 \rightarrow 3$ phase shifts in the $3/2^-$ trineutron state obtained with NN interaction Daejeon16 and $W = 5$ in the $X(k)$ expansion (18).

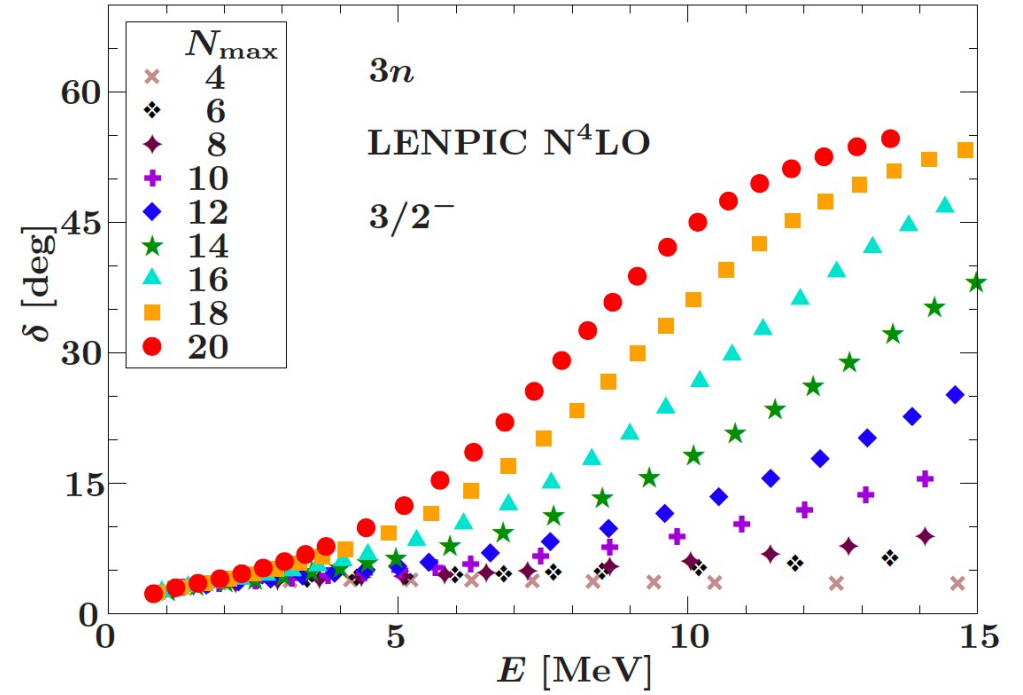


FIG. 3: $3 \rightarrow 3$ phase shifts in the $3/2^-$ state at the NCSM eigenenergies obtained with LENPIC N^4LO NN interaction [49] using Eq. (3).

I. Mazur et al, in preparation

PRELIMINARY

Our results vs recent results

Our results

Interaction	$3/2^-$		$1/2^-$	
	E_r [MeV]	Γ [MeV]	E_r [MeV]	Γ [MeV]
Daejeon16	0.51 ± 0.06	1.1 ± 0.3	0.55 ± 0.09	1.0 ± 0.3
JISP16	0.37 ± 0.09	0.74 ± 0.21	0.37 ± 0.09	0.7 ± 0.3
Idaho-N ³ LO SRG $\Lambda=2 \text{ fm}^{-1}$	0.34 ± 0.09	0.79 ± 0.24	0.35 ± 0.12	0.8 ± 0.3
Bare N ³ LO, N ⁴ LO (no NNN interaction), R = 0.9 fm: no resonance				

Recent results

Approach	Interaction	E_r [MeV]	Γ [MeV]	Reference
Q Monte Carlo, trap	N ² LO (incl. 3N)	0.37(7)		Gandolfi <i>et al</i> , PRL 118, 232501 (2017)
NC Gamov SM	N ³ LO $V_{\text{low-k}}$	0.91	1.29	Li, Michel, Hu, Zuo, Xu, PRC 100, 054313 (2019)
Transition operators	various "hard" interactions	no resonance		Deltuva, PRC 97, 034001 (2018)
Complex scaling				Hiyama, Lazauskas <i>et al</i> , PRC 93, 044004 (2016)

M. Duer, et al., Nature **606**, 678 (2022)

Experimental setup in the Lab frame and in the Center-of-mass frame

Basic idea: the proton knocks out the ^4He nucleus from the ^8He system so fast as to leave the $4n$ system behind and, apparently, in a resonant state for a significant fraction of the time ($\sim 20\%$). In the remaining $\sim 80\%$ of the cases, the $4n$ system is left in some excited “continuum” configuration.

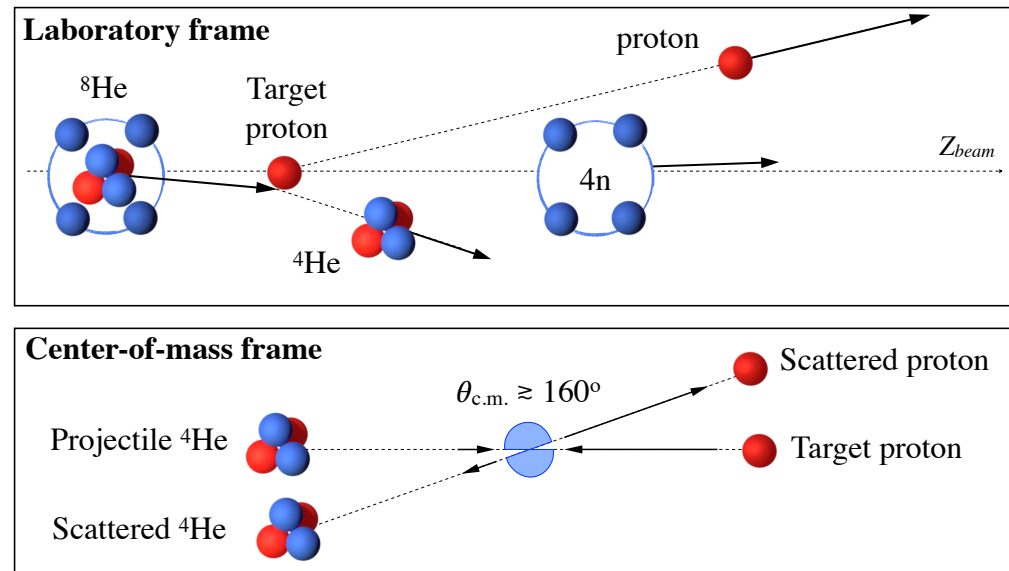
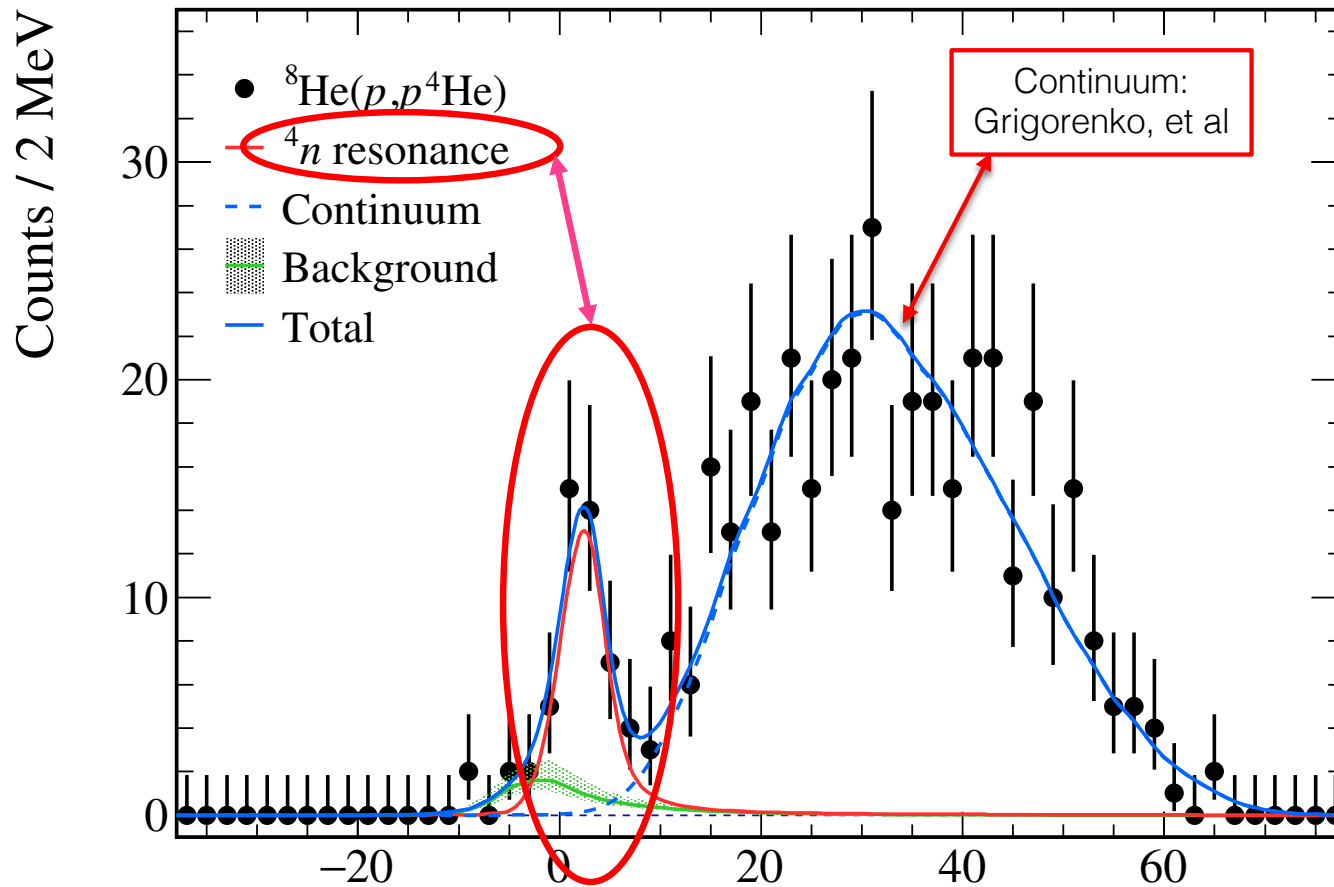


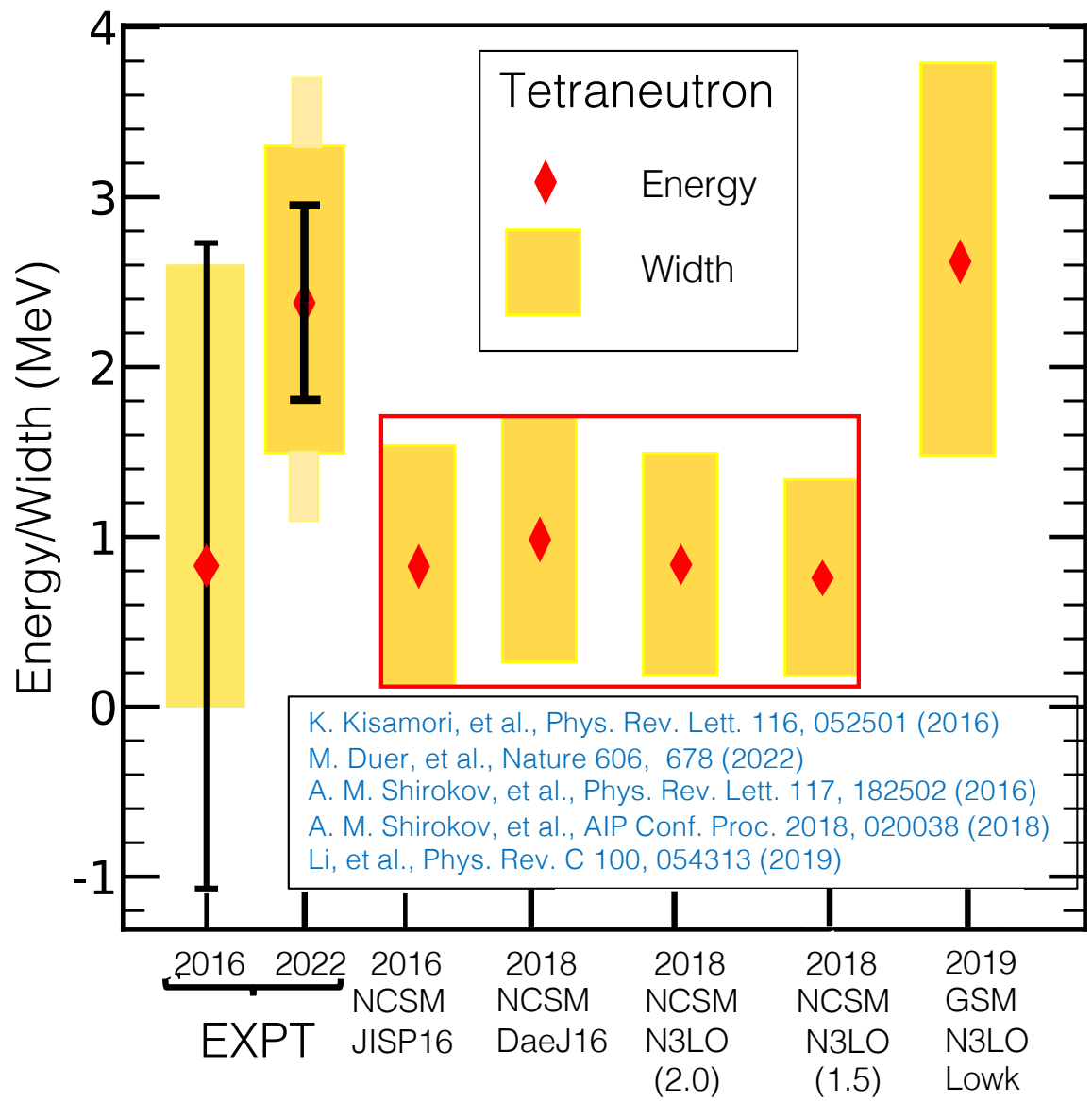
Figure 1: | **Schematic illustration of the quasi-elastic reaction investigated in this work.** Top: Quasi-elastic scattering of the ^4He core from a ^8He projectile off a proton target in the laboratory frame. The length of the arrows represents the momentum/nucleon (the velocity) of the incoming and outgoing particles. Bottom: The equivalent $p-^4\text{He}$ elastic scattering in their center-of-mass frame, where we consider reactions at backward angles close to 180° . In this frame, the momentum of the proton balances that of the ^4He , $\mathbf{P}_p = -\mathbf{P}_{^4\text{He}}$, *i.e.*, the proton is four times faster than the ^4He .

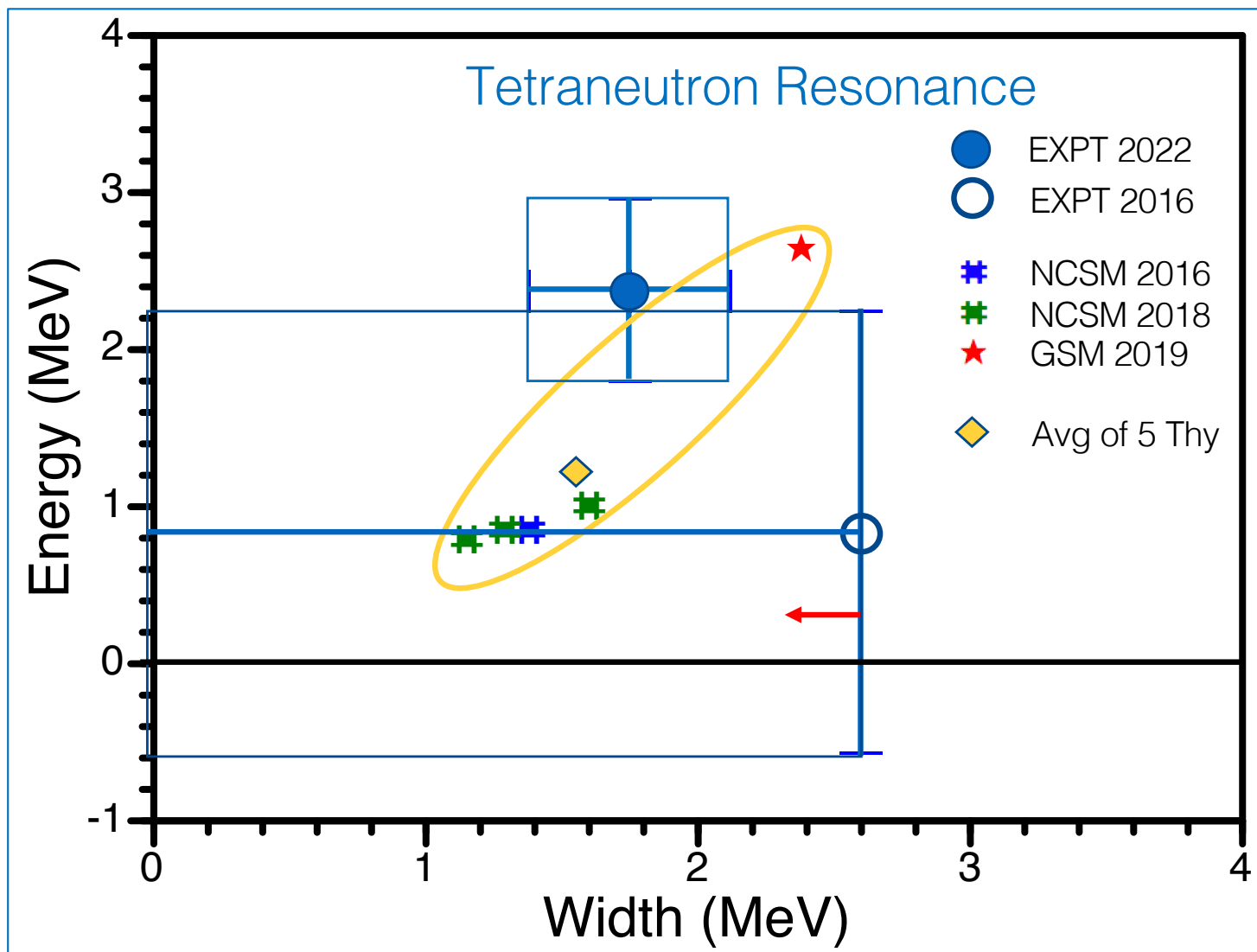
M. Duer, et al., Nature 606, 678 (2022)

$$E_r = 2.37 \pm 0.38(\text{stat.}) \pm 0.44(\text{sys.}) \text{ MeV},$$

$$\Gamma = 1.75 \pm 0.22(\text{stat.}) \pm 0.30(\text{sys.}) \text{ MeV}.$$







What about $4n$ resonances for $J > 0$ channels?

Put the $4n$ system in a trap which confines the system: states with different J values appear above the $J = 0$ ground state raising the question of possible additional resonances in the physical system.

“Neutron drops” in a 10 MeV harmonic oscillator trap

2014-2016 INCITE Closeout Report Highlight

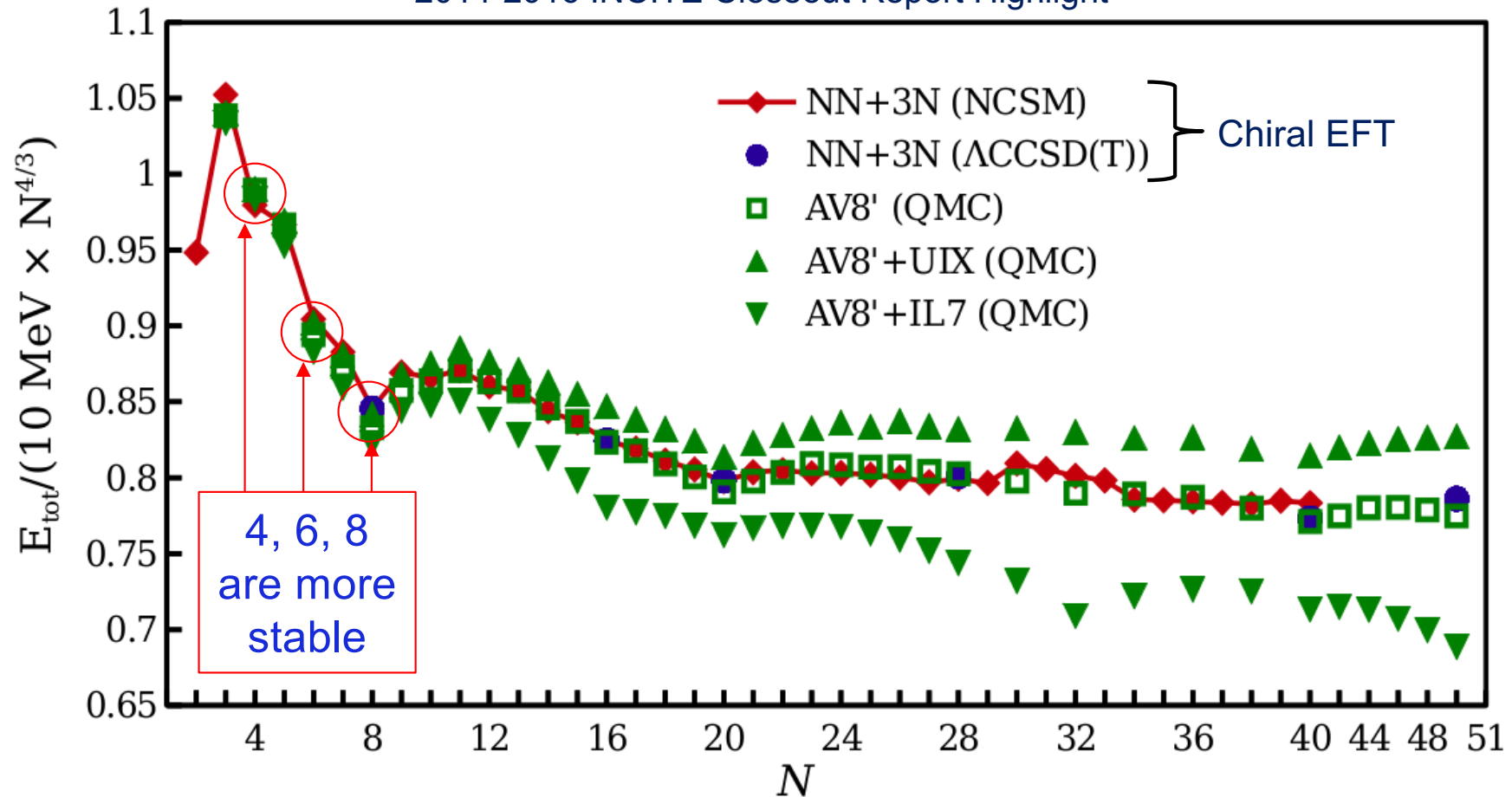


Fig. 6 Scaled ground state energies for neutron drop systems when confined to a harmonic oscillator trap with strength 10 MeV. The results are obtained with NN + 3N interactions from chiral Effective Field Theory (those labeled “NN+3N”) and compared with results from meson exchange interactions using other methods (P. Maris et al., Phys. Rev. C 87, 054318 (2013)). These results help quantify the uncertainty due to interaction dependence. NCSM results (labeled “NCSM”) are obtained on Titan using the GPUs for decoupling transformations of the 3N interaction from a compressed coupled angular momentum and isospin basis to an m-scheme basis that is employed in MFDn. Results from coupled-cluster calculations using the same interaction are labeled “ACCSD(T)”.

P. Maris, J.P. Vary, S. Gandolfi, J. Carlson, S.C. Pieper, Phys. Rev. C87, 054318 (2013);

H. Potter, S. Fischer, P. Maris, J.P. Vary, S. Binder, A. Calci, J. Langhammer and R.Roth, Phys. Lett. B739, 445 (2014)

Overall Conclusions for static, transition and scattering observables from ab initio theory

- Large classes of parameter-free predictions emerging
- Ratios of well-chosen observables have small uncertainties and show remarkable agreements with experiment where available
- Ab-initio, non-perturbative and time-dependent scattering theory is developing rapidly – could become a fundamental physics “killer app” for Quantum Computers which will provide a sensitive probe into nuclear dynamics.

*Thank you for your attention
I welcome your questions*