

# Observation and results with the gradient flow

Andrea Shindler



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## Cutoff effects on the gradient flow for fermions

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# Gradient flow

$x_\mu \quad t = \text{flow-time} \quad [t] = -2 \quad A_\mu(x) = A_\mu^a(x) T^a \rightarrow \text{gluon fields}$

$$\partial_t B_\mu(x, t) = D_\nu G_{\nu\mu}(x, t)$$

$$B_\mu(x, t)|_{t=0} = A_\mu(x)$$

$$D_\nu = \partial_\nu + [B_\nu(x, t), \cdot]$$

$O(a^2)$  cutoff effects analyzed

$$G_{\mu\nu}(x, t) = \partial_\mu B_\nu(x, t) - \partial_\nu B_\mu(x, t) + [B_\mu, B_\nu]$$

Ramos, Sint: 2015

# Gradient flow for fermions

Lüscher: 2013

$$\partial_t \chi(x, t) = \Delta \chi(x, t) \quad \partial_t \bar{\chi}(x, t) = \bar{\chi}(x, t) \overleftarrow{\Delta}$$

$$\chi(x, t=0) = \psi(x)$$

$$\bar{\chi}(x, t=0) = \bar{\psi}(x)$$

$$x_\mu = (x_0, \mathbf{x}) \quad t = \text{flow - time} \quad [t] = -2$$

$$\Delta = D_{\mu,t} D_{\mu,t} \quad D_{\mu,t} = \partial_\mu + B_{t,\mu}$$

# 4+1 Local field theory

# Lüscher 2010-2013

$$S = S_{\text{G}} + S_{\text{G,fl}} + S_{\text{F,QCD}} + S_{\text{F,fl}}$$

$$S_{\text{F},\text{fl}} = \int_0^\infty dt \int d^4x \left[ \bar{\lambda}(t,x) (\partial_t - \Delta) \chi(t,x) + \bar{\chi}(t,x) \left( \overleftarrow{\partial}_t - \overleftarrow{\Delta} \right) \lambda(t,x) \right]$$

- Wick contractions
  - Renormalization. All order proof for gauge sector Lüscher, Weisz: 2011
  - Chiral symmetry and Ward identities Lüscher: 2013  
A.S.: 2013
  - Wilson twisted mass A.S.: 2013

# 4+1 chiral symmetry

A.S. 2013

$$S_{F,fl} = \int_0^\infty dt \int d^4x \left[ \bar{\lambda}(t, x) (\partial_t - \Delta) \chi(t, x) + \bar{\chi}(t, x) \left( \overleftarrow{\partial}_t - \overleftarrow{\Delta} \right) \lambda(t, x) \right]$$

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$$\begin{cases} \chi(t, x) \rightarrow \exp \left\{ i \left( \alpha_V^a \frac{T^a}{2} + \alpha_A^a \frac{T^a}{2} \gamma_5 \right) \right\} \chi(t, x) \\ \bar{\chi}(t, x) \rightarrow \bar{\chi}(t, x) \exp \left\{ i \left( -\alpha_V^a \frac{T^a}{2} + \alpha_A^a \frac{T^a}{2} \gamma_5 \right) \right\} . \end{cases} \quad \begin{cases} \lambda(t, x) \rightarrow \exp \left\{ i \left( \alpha_V^a \frac{T^a}{2} - \alpha_A^a \frac{T^a}{2} \gamma_5 \right) \right\} \lambda(t, x) \\ \bar{\lambda}(t, x) \rightarrow \bar{\lambda}(t, x) \exp \left\{ i \left( -\alpha_V^a \frac{T^a}{2} - \alpha_A^a \frac{T^a}{2} \gamma_5 \right) \right\} \end{cases}$$

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$$\langle \mathcal{O}_t \delta S \rangle = \langle \delta \mathcal{O}_t \rangle$$

Chiral variation before integrating  
the Lagrange multipliers

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Chiral variation before integrating  
the Lagrange multipliers

$$\begin{cases} \left\langle \left[ \partial_\mu A_{R,\mu}^a(x) - 2m P^a(x) + \tilde{P}_R^a(0, x) \right] \mathcal{O}_R(\{t_0\}, x) \right\rangle = 0 & t = 0 \\ \\ \left\langle \left[ \partial_s \tilde{P}^a(s, x) + \partial_\mu \mathcal{A}_\mu^a(s, x) \right] \mathcal{O}_R(\{t_0\}, x) \right\rangle = 0 & s > 0, \quad s < \{t_0\} \end{cases} \quad \text{Lüscher: 2013}$$

$$\tilde{P}^a(t, x) = \bar{\lambda}(t, x) \frac{T^a}{2} \gamma_5 \chi(t, x) + \bar{\chi}(t, x) \frac{T^a}{2} \gamma_5 \lambda(t, x)$$

# Discretization 4+1 local field theory

$$S = S_G + S_{G,\text{fl}} + S_{F,\text{QCD}} + S_{F,\text{fl}}$$

Standard discretization for the gauge action

Wilson-type discretization for the quarks

$$S_{F,\text{fl}} = \epsilon \sum_{n \geq 0} a^4 \sum_x \left[ \bar{\lambda}(x, t) (\partial_t - \nabla^2) \chi(x, t) + \bar{\chi}(x, t) \left( \overleftarrow{\partial}_t - \overleftarrow{\nabla}^2 \right) \lambda(x, t) \right]$$

$$\partial_t \chi(x, t) = \frac{1}{\epsilon} (\chi(x, t + \epsilon) - \chi(x, t)) \quad \Delta = \nabla_\mu^* \nabla_\mu$$

$$S_{\text{eff}} = S + a S_1 + O(a^2) \quad S_1 = \int d^4x \sum_i \mathcal{O}_i$$

Flow equations on the lattice are classically  $O(a)$  improved + loop diagrams do not contribute for large  $t \implies S_1$  contains only boundary terms

Lüscher: 2013

# $O(a)$ cutoff effects

$$S_{\text{eff}} = S + aS_1 + O(a^2) \quad S_1 = \int d^4x \sum_i \mathcal{O}_i$$

$$\mathcal{O}_1 = \bar{\psi} \sigma_{\mu\nu} G_{\mu\nu} \psi$$

$$\mathcal{O}_2 = \bar{\psi} D_\mu^2 \psi + \bar{\psi} \overleftarrow{D}_\mu^2 \psi$$

$$\mathcal{O}_3 = m \text{Tr} [G_{\mu\nu} G_{\mu\nu}]$$

$$\mathcal{O}_4 = m \bar{\psi} \left[ \gamma_\mu D_\mu - \gamma_\mu \overleftarrow{D}_\mu \right] \psi$$

$$\mathcal{O}_5 = m^2 \bar{\psi} \psi$$

Bhattacharya, Gupta, Lee,  
Sharpe, Wu: 2006

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9 fields - 4 conditions  $\Rightarrow$  5 independent operators

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$$\mathcal{O}_6 = \bar{\lambda} \lambda \rightarrow c_{\text{fl}}$$

$$\mathcal{O}_7 = m (\bar{\lambda} \psi + \bar{\psi} \lambda) \rightarrow b_\chi, \bar{b}_\chi$$

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Lüscher: 2013

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# Discrete gradient flow equation

$$\partial_t \chi(x, t) = \frac{1}{\epsilon} (\chi(x, t + \epsilon) - \chi(x, t)) \quad \Delta = \nabla_\mu^* \nabla_\mu$$

$$S_{F,\text{fl}} = \epsilon \sum_{n \geq 0} a^4 \sum_x \left[ \bar{\lambda}(x, t) (\partial_t - \nabla^2) \chi(x, t) + \bar{\chi}(x, t) \left( \overleftarrow{\partial}_t - \overleftarrow{\nabla}^2 \right) \lambda(x, t) \right]$$

$$= a^4 \sum_x \left[ \bar{\lambda}(x, 0) \chi(x, \epsilon) - \bar{\lambda}(x, 0) \chi(x, 0) - \epsilon \bar{\lambda}(x, 0) \Delta \chi(x, 0) + \bar{\chi}(x, \epsilon) \lambda(x, 0) - \bar{\chi}(x, 0) \lambda(x, 0) - \epsilon \bar{\chi}(x, 0) \overleftarrow{\Delta} \lambda(x, 0) \right] + \dots$$

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$\chi(x, 0) = \psi(x)$    
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$$\mathcal{O}_7 = m (\bar{\lambda} \psi + \bar{\psi} \lambda)$$

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$\chi(x, 0) = \psi(x)$        $\bar{\chi}(x, 0) = \bar{\psi}(x)$

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$$\mathcal{O}_8 = \bar{\lambda} \gamma_\mu D_\mu \psi - \bar{\psi} \gamma_\mu \overleftarrow{D}_\mu \lambda$$



$$\chi(x, t = 0) = \left( 1 + a \frac{1}{2} c_\lambda \gamma_\mu D_\mu + \frac{1}{2} a c_m m \right) \psi(x)$$

$$\bar{\chi}(x, t = 0) = \bar{\psi}(x) \left( 1 - a \frac{1}{2} c_\lambda \gamma_\mu \overleftarrow{D}_\mu + \frac{1}{2} a c_m m \right)$$

# Tree-level calculation - warmup

$$\tilde{S}_W(p) = \frac{-i\gamma_\mu \mathring{p}_\mu + M(p)}{\mathring{p}^2 + M^2(p)} \quad M(p) = m + \frac{1}{2}a\hat{p}^2 \quad \mathring{p}_\mu = \frac{1}{a} \sin(ap_\mu) \quad \hat{p}_\mu = \frac{2}{a} \sin\left(\frac{ap_\mu}{2}\right)$$

# Tree-level calculation - warmup

$$\tilde{S}_W(p) = \frac{-i\gamma_\mu \mathring{p}_\mu + M(p)}{\mathring{p}^2 + M^2(p)} \quad M(p) = m + \frac{1}{2}a\hat{p}^2 \quad \mathring{p}_\mu = \frac{1}{a} \sin(ap_\mu) \quad \hat{p}_\mu = \frac{2}{a} \sin\left(\frac{ap_\mu}{2}\right)$$

$$m \rightarrow m\left(1 + \frac{1}{2}am\right) \quad S(x, y) \rightarrow \int \frac{d^4 p}{(2\pi)^4} e^{ip(x-y)} \frac{-i \not{p} + m}{p^2 + m^2} (1 - am) + \frac{1}{2}a\delta^{(4)}(x - y) + O(a^2)$$

# Tree-level calculation - warmup

$$\tilde{S}_W(p) = \frac{-i\gamma_\mu \dot{\hat{p}}_\mu + M(p)}{\dot{\hat{p}}^2 + M^2(p)} \quad M(p) = m + \frac{1}{2}a\hat{p}^2 \quad \dot{\hat{p}}_\mu = \frac{1}{a} \sin(ap_\mu) \quad \hat{p}_\mu = \frac{2}{a} \sin\left(\frac{ap_\mu}{2}\right)$$

$$m \rightarrow m(1 + \frac{1}{2}am) \quad S(x, y) \rightarrow \int \frac{d^4 p}{(2\pi)^4} e^{ip(x-y)} \frac{-i \not{p} + m}{p^2 + m^2} (1 - am) + \frac{1}{2}a\delta^{(4)}(x-y) + O(a^2)$$

$$\begin{cases} \psi \rightarrow (1 + ab_\psi m)\psi \\ \bar{\psi} \rightarrow \bar{\psi}(1 + ab_\psi m) \end{cases} \quad b_\psi^{(0)} = \frac{1}{2}$$

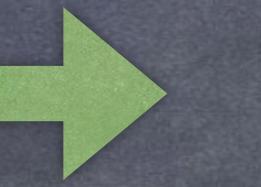
$$\psi \rightarrow [1 + ac_q(\gamma_\mu D_\mu + m)] \psi$$

$$c_q^{(0)} = -\frac{1}{4}$$

# Tree-level calculation

$$m \rightarrow m\left(1 + \frac{1}{2}am\right)$$

$$\tilde{S}_W(p; t, s) = e^{-\hat{p}^2(t+s)} \frac{-i\gamma_\mu \mathring{p}_\mu + M(p)}{\mathring{p}^2 + M^2(p)}$$



# Tree-level calculation

$$m \rightarrow m(1 + \frac{1}{2}am)$$

$$\tilde{S}_W(p; t, s) = e^{-\hat{p}^2(t+s)} \frac{-i\gamma_\mu \mathring{p}_\mu + M(p)}{\mathring{p}^2 + M^2(p)} \quad \rightarrow \quad e^{-p^2(t+s)} \left(1 + \frac{a^2 p^4}{12}\right) \frac{-i \not{p} + m + \frac{1}{2}am^2 + \frac{1}{2}ap^2}{p^2 + m^2} (1 - am) + \dots$$

# Tree-level calculation

$$m \rightarrow m(1 + \frac{1}{2}am)$$

$$\tilde{S}_W(p; t, s) = e^{-\hat{p}^2(t+s)} \frac{-i\gamma_\mu \mathring{p}_\mu + M(p)}{\mathring{p}^2 + M^2(p)} \quad \rightarrow \quad e^{-p^2(t+s)} \left(1 + \frac{a^2 p^4}{12}\right) \frac{-i \mathring{p} + m + \frac{1}{2}am^2 + \frac{1}{2}ap^2}{p^2 + m^2} (1 - am) + \dots$$

$$\begin{cases} \chi \rightarrow (1 + \frac{a}{2}b_\chi m)\chi \\ \bar{\chi} \rightarrow \bar{\chi}(1 + \frac{a}{2}b_\chi m) \end{cases} \quad b_\chi^{(0)} = 1$$

$$\partial_\mu^* \partial_\mu \rightarrow \partial_\mu^* \partial_\mu \left(1 - \frac{a^2}{12} \partial_\mu^* \partial_\mu\right)$$

Battelli, Sint: 2022

# Tree-level calculation

$$m \rightarrow m(1 + \frac{1}{2}am)$$

$$\tilde{S}_W(p; t, s) = e^{-\hat{p}^2(t+s)} \frac{-i\gamma_\mu \mathring{p}_\mu + M(p)}{\mathring{p}^2 + M^2(p)} \quad \rightarrow \quad e^{-p^2(t+s)} \left(1 + \frac{a^2 p^4}{12}\right) \frac{-i \not{p} + m + \frac{1}{2}am^2 + \frac{1}{2}ap^2}{p^2 + m^2} (1 - am) + \dots$$

$$\begin{cases} \chi \rightarrow (1 + \frac{a}{2}b_\chi m)\chi \\ \bar{\chi} \rightarrow \bar{\chi}(1 + \frac{a}{2}b_\chi m) \end{cases} \quad b_\chi^{(0)} = 1$$

$$\partial_\mu^* \partial_\mu \rightarrow \partial_\mu^* \partial_\mu \left(1 - \frac{a^2}{12} \partial_\mu^* \partial_\mu\right)$$

Battelli, Sint: 2022



$$\tilde{S}_W(p; t, s) \rightarrow \frac{e^{-p^2(t+s)}}{p^2 + m^2} + \frac{1}{2}ae^{-p^2(t+s)} + \dots$$

# Tree-level calculation

$$m \rightarrow m(1 + \frac{1}{2}am)$$

$$\tilde{S}_W(p; t, s) = e^{-\hat{p}^2(t+s)} \frac{-i\gamma_\mu \mathring{p}_\mu + M(p)}{\mathring{p}^2 + M^2(p)} \quad \rightarrow \quad e^{-p^2(t+s)} \left(1 + \frac{a^2 p^4}{12}\right) \frac{-i \mathring{p} + m + \frac{1}{2}am^2 + \frac{1}{2}ap^2}{p^2 + m^2} (1 - am) + \dots$$

$$\begin{cases} \chi \rightarrow (1 + \frac{a}{2}b_\chi m)\chi \\ \bar{\chi} \rightarrow \bar{\chi}(1 + \frac{a}{2}b_\chi m) \end{cases} \quad b_\chi^{(0)} = 1$$

$$\partial_\mu^* \partial_\mu \rightarrow \partial_\mu^* \partial_\mu \left(1 - \frac{a^2}{12} \partial_\mu^* \partial_\mu\right)$$

Battelli, Sint: 2022



$$\tilde{S}_W(p; t, s) \rightarrow \frac{e^{-p^2(t+s)}}{p^2 + m^2} + \frac{1}{2}ae^{-p^2(t+s)} + \dots$$



$$K^\dagger K \rightarrow c_{\text{fl}}^{(0)} = \frac{1}{2}$$

Lüscher: 2013

# Tree-level calculation

$$\chi(x, t=0) = \left( 1 + a \frac{1}{2} c_\lambda \gamma_\mu D_\mu + \frac{1}{2} a c_m m \right) \psi(x)$$

$$\bar{\chi}(x, t=0) = \bar{\psi}(x) \left( 1 - a \frac{1}{2} c_\lambda \gamma_\mu \overleftarrow{D}_\mu + \frac{1}{2} a c_m m \right)$$

$$\tilde{S}_{\text{W}}(p; t, s) = e^{-\hat{p}^2(t+s)} \frac{-i\gamma_\mu \mathring{p}_\mu + M(p)}{\mathring{p}^2 + M^2(p)}$$

# Tree-level calculation

$$\chi(x, t=0) = \left( 1 + a \frac{1}{2} c_\lambda \gamma_\mu D_\mu + \frac{1}{2} a c_m m \right) \psi(x)$$

$$\bar{\chi}(x, t=0) = \bar{\psi}(x) \left( 1 - a \frac{1}{2} c_\lambda \gamma_\mu \overleftarrow{D}_\mu + \frac{1}{2} a c_m m \right)$$

$$\tilde{S}_{\text{W}}(p; t, s) = e^{-\hat{p}^2(t+s)} \frac{-i\gamma_\mu \mathring{p}_\mu + M(p)}{\mathring{p}^2 + M^2(p)} \quad \rightarrow$$

# Tree-level calculation

$$\chi(x, t=0) = \left( 1 + a \frac{1}{2} c_\lambda \gamma_\mu D_\mu + \frac{1}{2} a c_m m \right) \psi(x)$$

$$\bar{\chi}(x, t=0) = \bar{\psi}(x) \left( 1 - a \frac{1}{2} c_\lambda \gamma_\mu \overleftarrow{D}_\mu + \frac{1}{2} a c_m m \right)$$

$$\tilde{S}_{\text{W}}(p; t, s) = e^{-\hat{p}^2(t+s)} \frac{-i\gamma_\mu \mathring{p}_\mu + M(p)}{\mathring{p}^2 + M^2(p)} \quad \rightarrow$$

$$\tilde{S}_{\text{I}}(p; t, s) = e^{-\hat{p}^2(t+s)} \left( 1 + \frac{1}{2} a c_\lambda^{(0)} i\gamma_\mu \mathring{p}_\mu + \frac{1}{2} a c_m^{(0)} m \right) \frac{-i\gamma_\mu \mathring{p}_\mu + M(p)}{\mathring{p}^2 + M^2(p)} \left( 1 + \frac{1}{2} a c_\lambda^{(0)} i\gamma_\mu \mathring{p}_\mu + \frac{1}{2} a c_m^{(0)} m \right)$$

# Tree-level calculation

$$\chi(x, t=0) = \left( 1 + a \frac{1}{2} c_\lambda \gamma_\mu D_\mu + \frac{1}{2} a c_m m \right) \psi(x)$$

$$\bar{\chi}(x, t=0) = \bar{\psi}(x) \left( 1 - a \frac{1}{2} c_\lambda \gamma_\mu \overleftarrow{D}_\mu + \frac{1}{2} a c_m m \right)$$

$$\tilde{S}_{\text{W}}(p; t, s) = e^{-\hat{p}^2(t+s)} \frac{-i\gamma_\mu \mathring{p}_\mu + M(p)}{\mathring{p}^2 + M^2(p)} \quad \rightarrow$$

$$\tilde{S}_{\text{I}}(p; t, s) = e^{-\hat{p}^2(t+s)} \left( 1 + \frac{1}{2} a c_\lambda^{(0)} i\gamma_\mu \mathring{p}_\mu + \frac{1}{2} a c_m^{(0)} m \right) \frac{-i\gamma_\mu \mathring{p}_\mu + M(p)}{\mathring{p}^2 + M^2(p)} \left( 1 + \frac{1}{2} a c_\lambda^{(0)} i\gamma_\mu \mathring{p}_\mu + \frac{1}{2} a c_m^{(0)} m \right)$$

$$\tilde{S}_{\text{I}}(p; t, s) = e^{-p^2(t+s)} \frac{-i\gamma_\mu p_\mu + m}{p^2 + m^2} + O(a^2) \quad c_\lambda^{(0)} = -\frac{1}{2} \quad c_m^{(0)} = \frac{1}{2}$$

# O(a) improved gradient flow for fermions

$$\partial_t \chi(x, t) = \Delta \left( 1 - \frac{a^2}{12} \Delta \right) \chi(x, t) \quad \partial_t \bar{\chi}(x, t) = \bar{\chi}(x, t) \left( 1 - \frac{a^2}{12} \overleftarrow{\Delta} \right) \overleftarrow{\Delta}$$

$$\chi(x, t=0) = \left( 1 + a \frac{1}{2} c_\lambda \gamma_\mu D_\mu + \frac{1}{2} a c_m m \right) \psi(x)$$

$$\bar{\chi}(x, t=0) = \bar{\psi}(x) \left( 1 - a \frac{1}{2} c_\lambda \gamma_\mu \overleftarrow{D}_\mu + \frac{1}{2} a c_m m \right)$$