

$\pi^+\pi^+K^+$ and $K^+K^+\pi^+$ interactions from the lattice

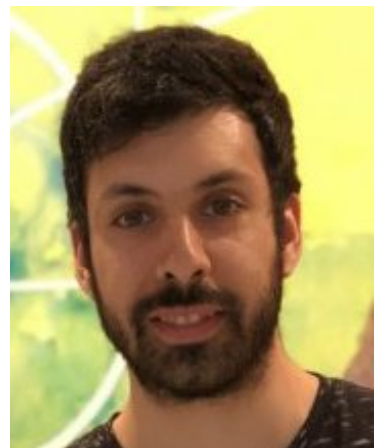
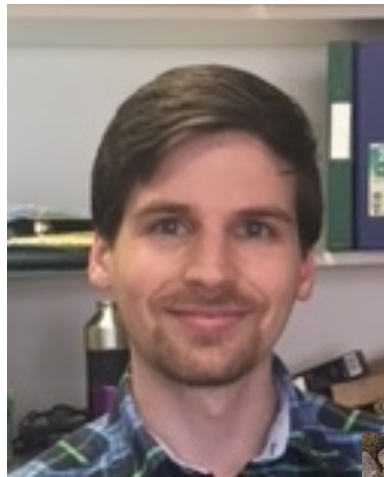


Steve Sharpe
University of Washington



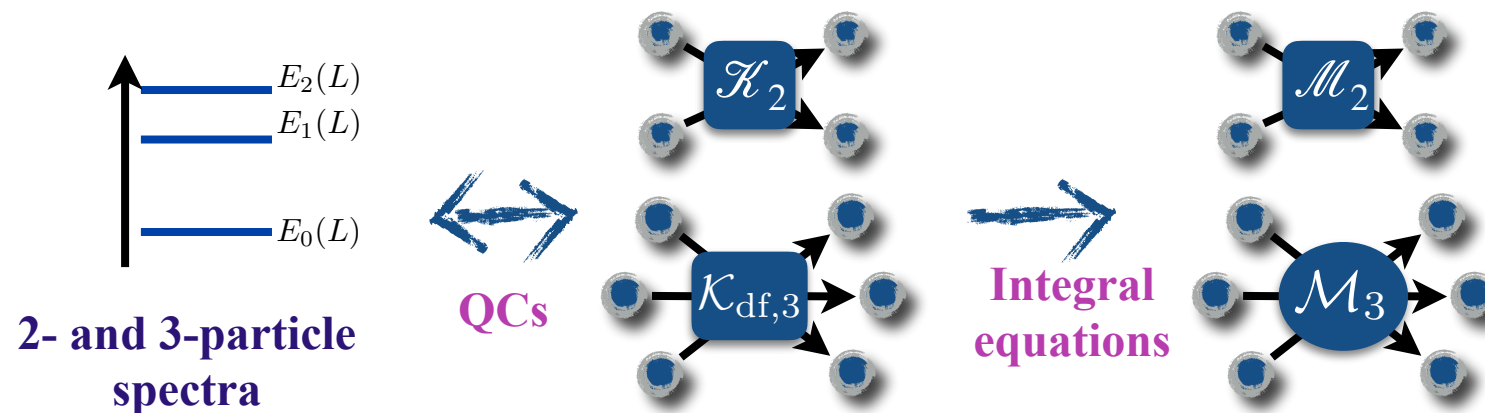
Based on work with Tyler Blanton,
Zack Draper, Drew Hanlon,
Ben Hörz, Colin Morningstar,
& Fernando Romero-López:

[2111.12734](#) [hep-lat] (JHEP)
& in preparation



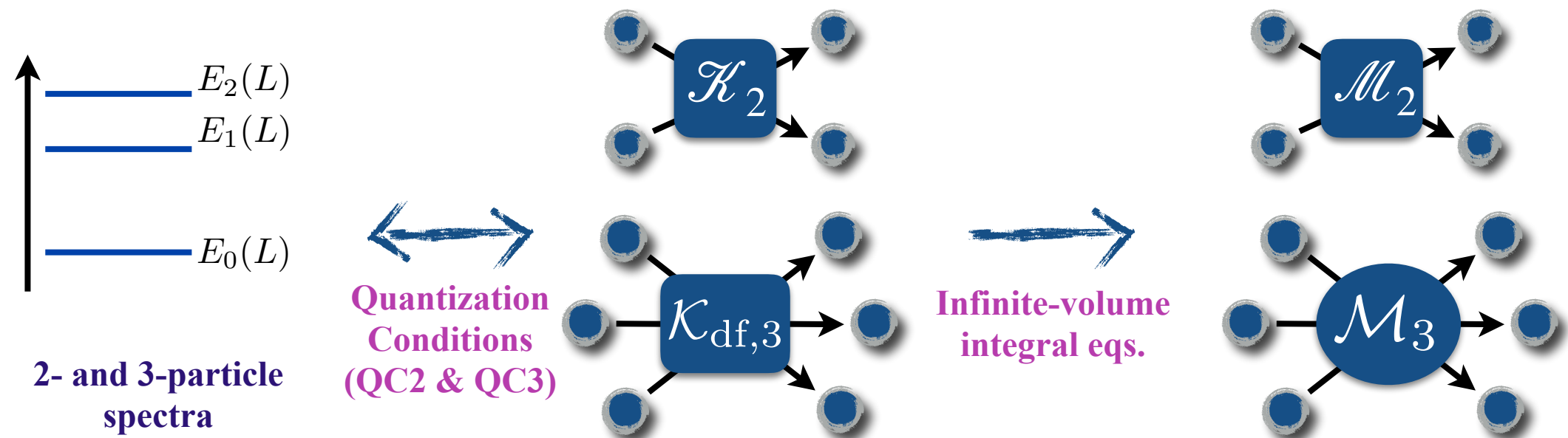
Overall program

[References in backup slides]



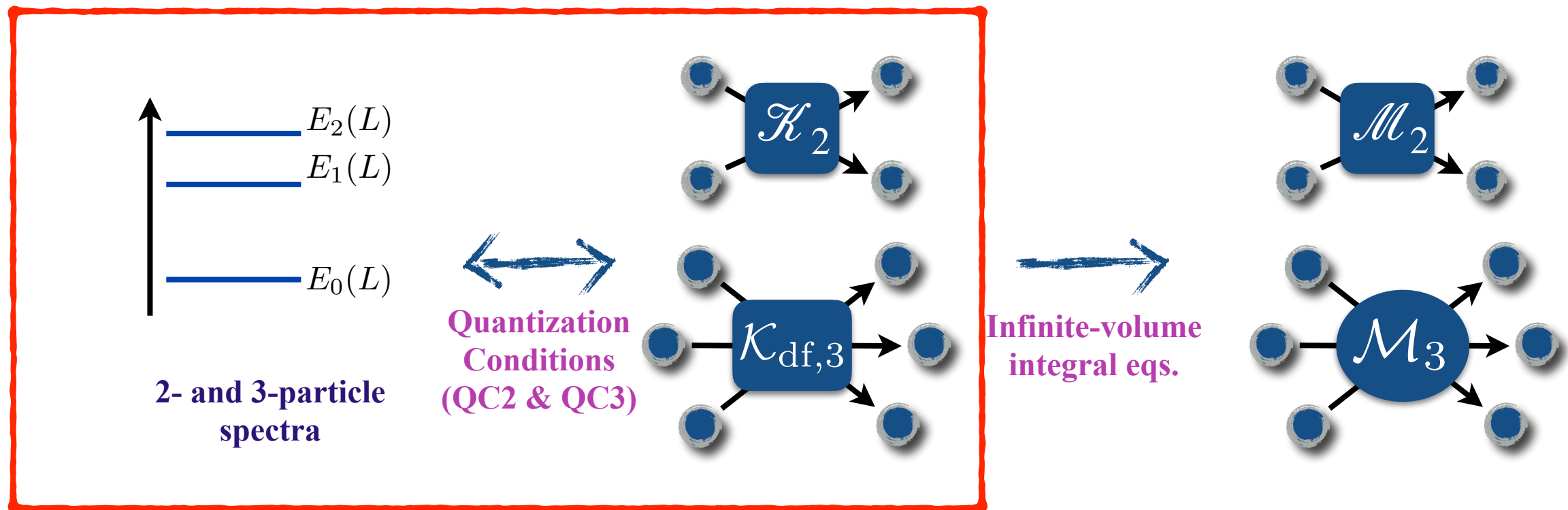
- Use finite-volume 2- and 3-particle spectra, obtained with lattice QCD, to determine 2- and 3-particle scattering amps
 - Formalism exists for arbitrary choices of spinless particles
 - Implemented for 3 identical scalars ($3\pi^+$, $3K^+$) & $3\pi(I=1)$ & ϕ^4 theory
 - Many systems of interest involve nondegenerate particles, e.g. $\pi\pi N$
 - First step in this direction is to consider “2+1 systems” $\pi^+\pi^+K^+$ and $K^+K^+\pi^+$
 - Dominant s-wave interactions are mildly repulsive, so no resonances in 2-particle subchannels or overall system
- Use RFT formalism

Workflow



- $\mathcal{K}_{\text{df},3}$ is a real, infinite-volume (but scheme-dependent) K matrix that is smooth aside from possible 3-particle resonance poles; integral equations ensure unitarity of \mathcal{M}_3
- Parametrize \mathcal{K}_2 and $\mathcal{K}_{\text{df},3}$ in an “effective-range-like expansion” about threshold and determine parameters by fitting spectrum
- With multiple frames and waves, there is not a 1-to-1 relation between energies and phase shifts, so a global fit is required

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New features for 2+1 systems

[Blanton, SRS, 2105.12904 (PRD)]

[Blanton, Romero-López, SRS, 2111.12734 (JHEP)]; https://github.com/ferolo2/QC3_release

$$\det \left[\hat{F}_3^{-1}(E, \mathbf{P}, L) + \hat{\mathcal{K}}_{\text{df},3}(E^*) \right] = 0$$

- QC3 involves matrices with an additional spectator-flavor index: $k\ell mi$
 - E.g., for $\pi^+\pi^+K^+$, spectator is π^+ ($i = 1 \Rightarrow \pi^+K^+$ scattering) or K^+ ($i = 2 \Rightarrow \pi^+\pi^+$ scattering)
 - All partial waves contribute to π^+K^+ scattering ($i = 1$), while only even waves contribute to $\pi^+\pi^+$ scattering ($i = 2$)
 - In practice, we set $\ell_{\text{max}} = 1$, in order to avoid too many parameters, particularly in $\mathcal{K}_{\text{df},3}$
- Cut-off function H , must be chosen to avoid left-hand cuts, which occur when $s_2 = |m_1^2 - m_2^2|$ in subchannel with particles of masses m_1 & m_2
- Python implementation of QC3 available on GitHub

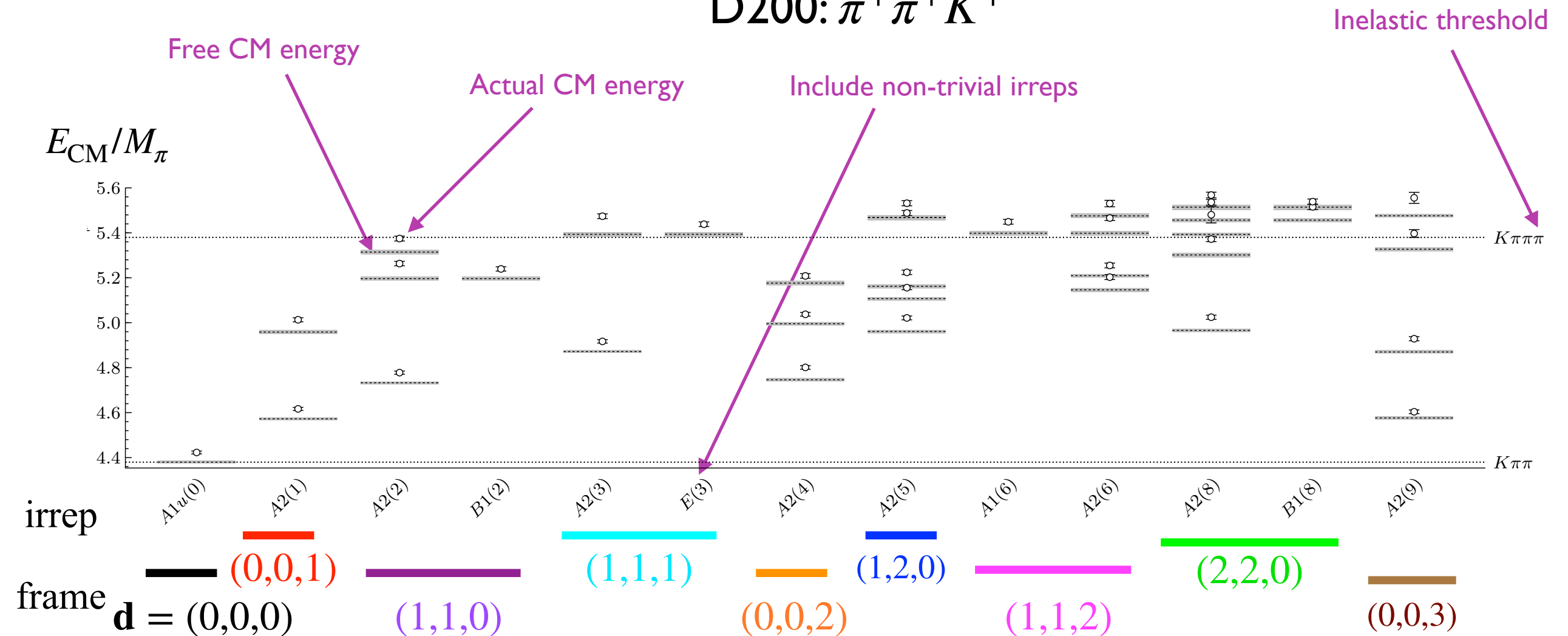
LQCD details

- Use similar methods as for $3\pi^+$ and $3K^+$ [Blanton, Hanlon, Hörz, Morningstar, Romero-López, SRS, 2106.05590 (JHEP)]
- CLS ensembles D200 & N203 (open BC in time): $a \approx 0.064$ fm
- Use stochastic LapH & contraction tricks to obtain multiple levels for $2\pi^+$, π^+K^+ , $2K^+$, $\pi^+\pi^+K^+$ & $\pi^+K^+K^+$ in frames with up to $d^2 = 3$ ($\mathbf{P} = (2\pi/L)\mathbf{d}$), projected onto irreps of corresponding finite-volume little groups
- Fit to correlator ratios to directly obtain shifts from free energies in “lab frame”, ΔE_{lab}

	$(L/a)^3 \times (T/a)$	M_π [MeV]	M_K [MeV]	N_{cfg}	Bin size	$M_\pi L$
N203	$48^3 \times 128$	340	440	771	1	5.4
D200	$64^3 \times 128$	200	480	2000	3	4.2

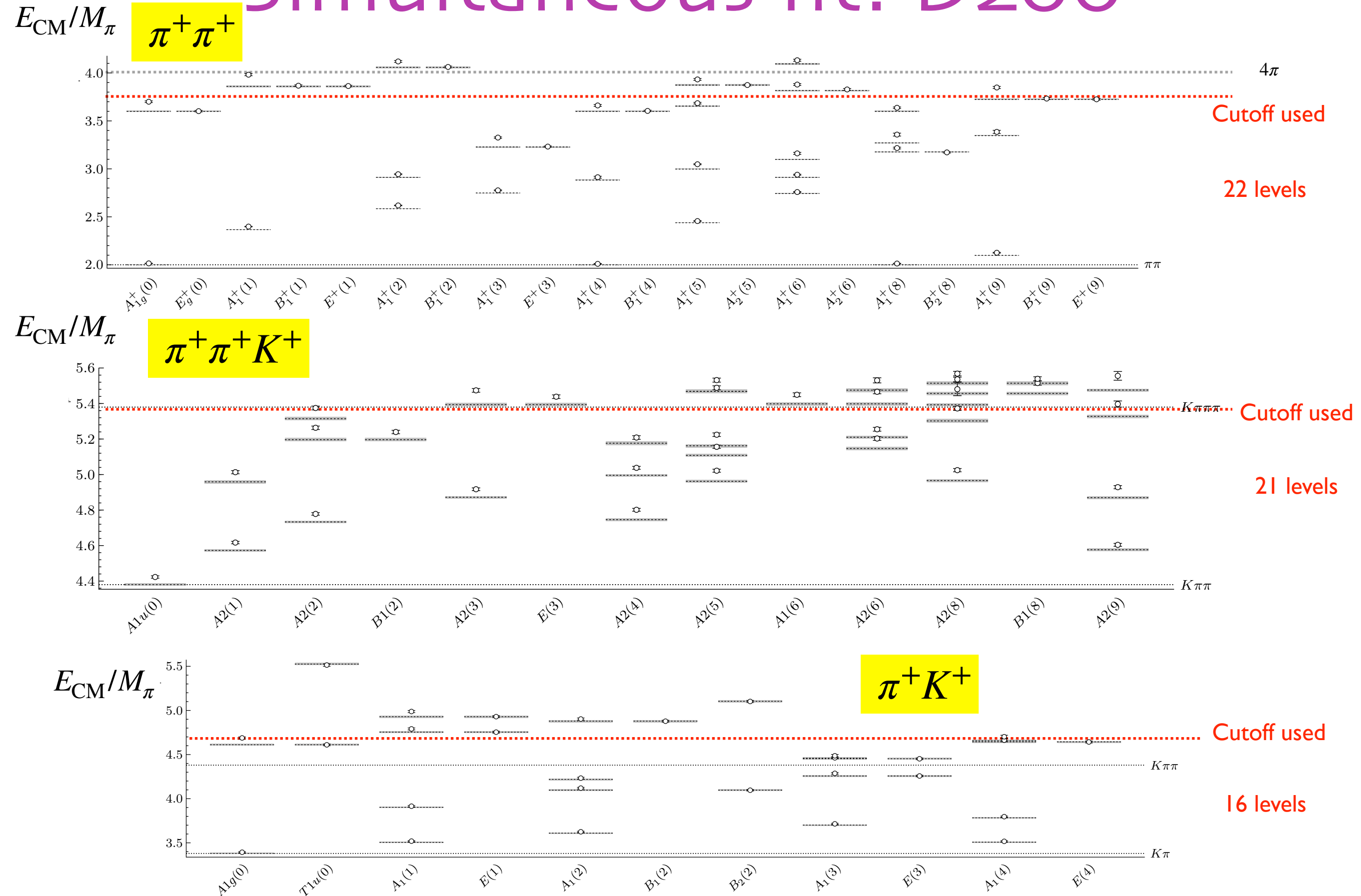
Example of levels

D200: $\pi^+\pi^+K^+$



- Previously converted energy shifts ΔE_{lab} to E_{CM} using M_π & M_K determined at rest on given jackknife sample, and with continuum dispersion relation, and then fit QCs to E_{CM}
 - Increases errors in data, leading to fits that seem better than they really are
- Here fit QCs directly to ΔE_{lab}

Simultaneous fit: D200



Threshold expansion for \mathcal{K}_2

- Work to linear order in q^2 expansion, dropping $\mathcal{O}(q^4)$ terms
 - Implies that we keep s- and p-wave terms in π^+K^+ channel, but only s waves for identical pairs
 - Previously we found that d-wave terms were needed for a good description of $2\pi^+$ and $2K^+$ levels, but here that would lead to too many parameters
 - Thus we expect (and find) poorer global fits
- Use forms with Adler zero rather than effective-range expansions, since we have previously found the former to provide better fits for $2\pi^+/3\pi^+$ system

For $2\pi^+$ (& similarly $2K^+$):
$$q \cot \delta_0^{\pi\pi} = \frac{M^2 \sqrt{s_2}}{s_2 - 2Z^2 M^2} (B_0^{\pi\pi} + B_1^{\pi\pi} q^2)$$

For π^+K^+ s-wave:
$$q \cot \delta_0^{\pi K} = \frac{M_\pi^2 \sqrt{s_2}}{s_2 - M_\pi^2 - M_K^2} (B_0^{\pi K} + B_1^{\pi K} q^2)$$

For π^+K^+ p-wave:
$$q^3 \cot \delta_1^{\pi K} = \frac{M_\pi^3 \sqrt{s_2}}{M_\pi + M_K} \frac{1}{P_0^{\pi K}}$$

Threshold expansion for $\mathcal{K}_{\text{df},3}$

[Blanton, SRS, 2105.12904 (PRD)]

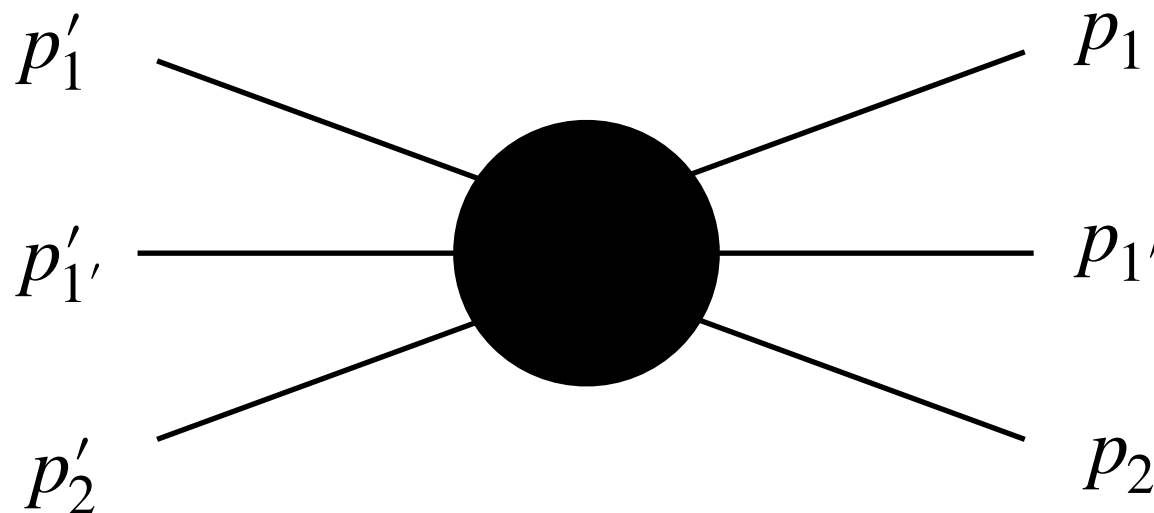
- Work to linear order, dropping $\mathcal{O}(\Delta^2)$ terms
- 4 terms allowed by symmetries (Lorentz, $1 \leftrightarrow 1'$, time-reversal, parity)
 - Only \mathcal{K}^E couples to nontrivial irreps: contains $J=0,1$ while other terms only have $J=0$

$$\mathcal{K}_{\text{df},3} = \mathcal{K}_{\text{df},3}^{\text{iso},0} + \mathcal{K}_{\text{df},3}^{\text{iso},1} \Delta + \mathcal{K}_{\text{df},3}^{B,1} \Delta_2^S + \mathcal{K}_{\text{df},3}^{E,1} \tilde{t}_{22}$$

$$\Delta = \frac{s - M^2}{M^2}, \quad s = (p_1 + p_{1'} + p_2)^2 = P^2,$$

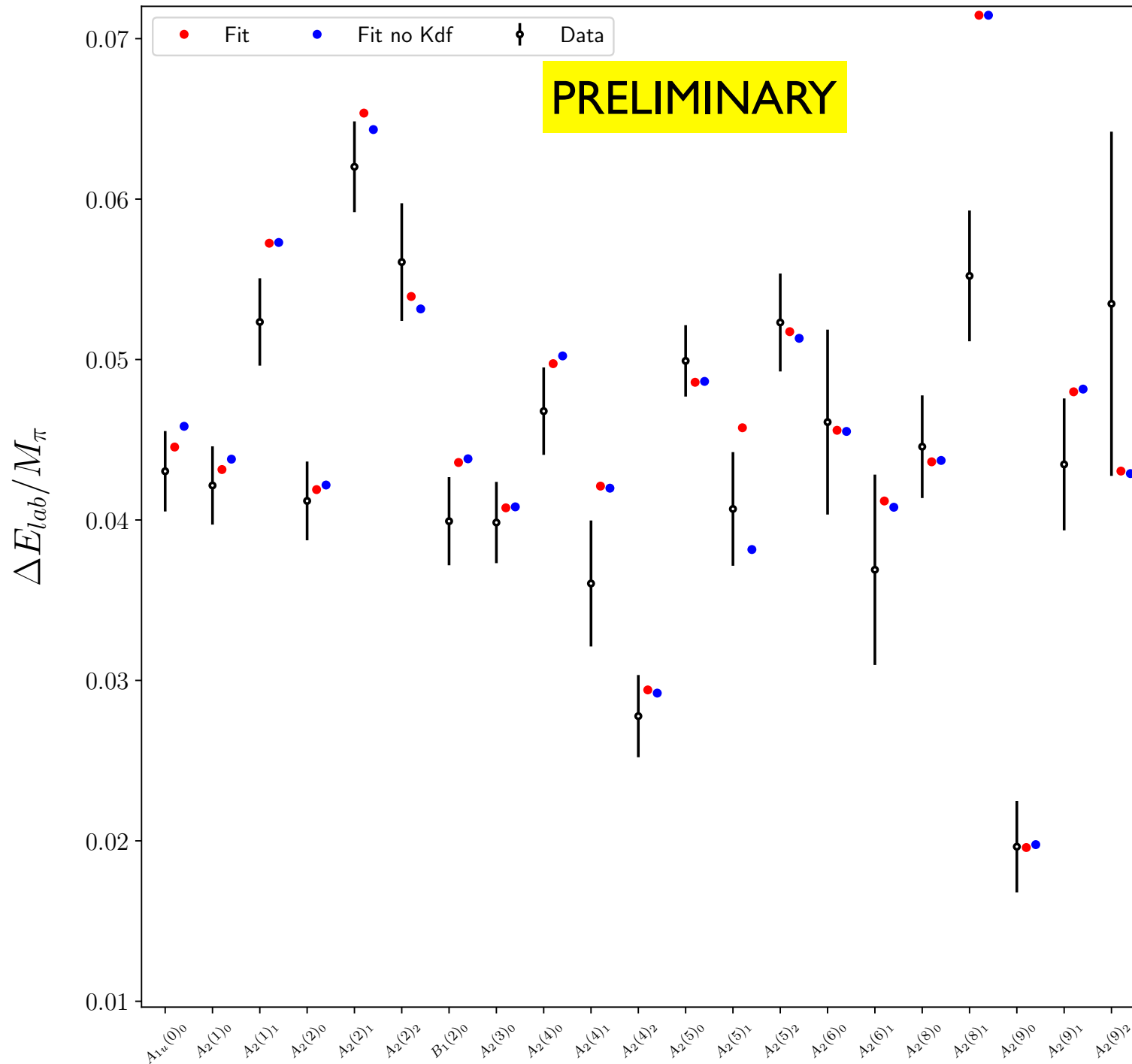
$$\Delta_2^S = \Delta_2 + \Delta_2', \quad \Delta_2 = \frac{(p_1 + p_{1'})^2 - 4m_1^2}{M^2}, \quad \Delta_2' = \frac{(p_{1'} + p_2')^2 - 4m_1^2}{M^2},$$

$$\tilde{t}_{22} = \frac{t_{22}}{M^2} = \frac{(p_2 - p_2')^2}{M^2}, \quad M = 2m_1 + m_2.$$



Preliminary results

D200 $\pi\pi K$

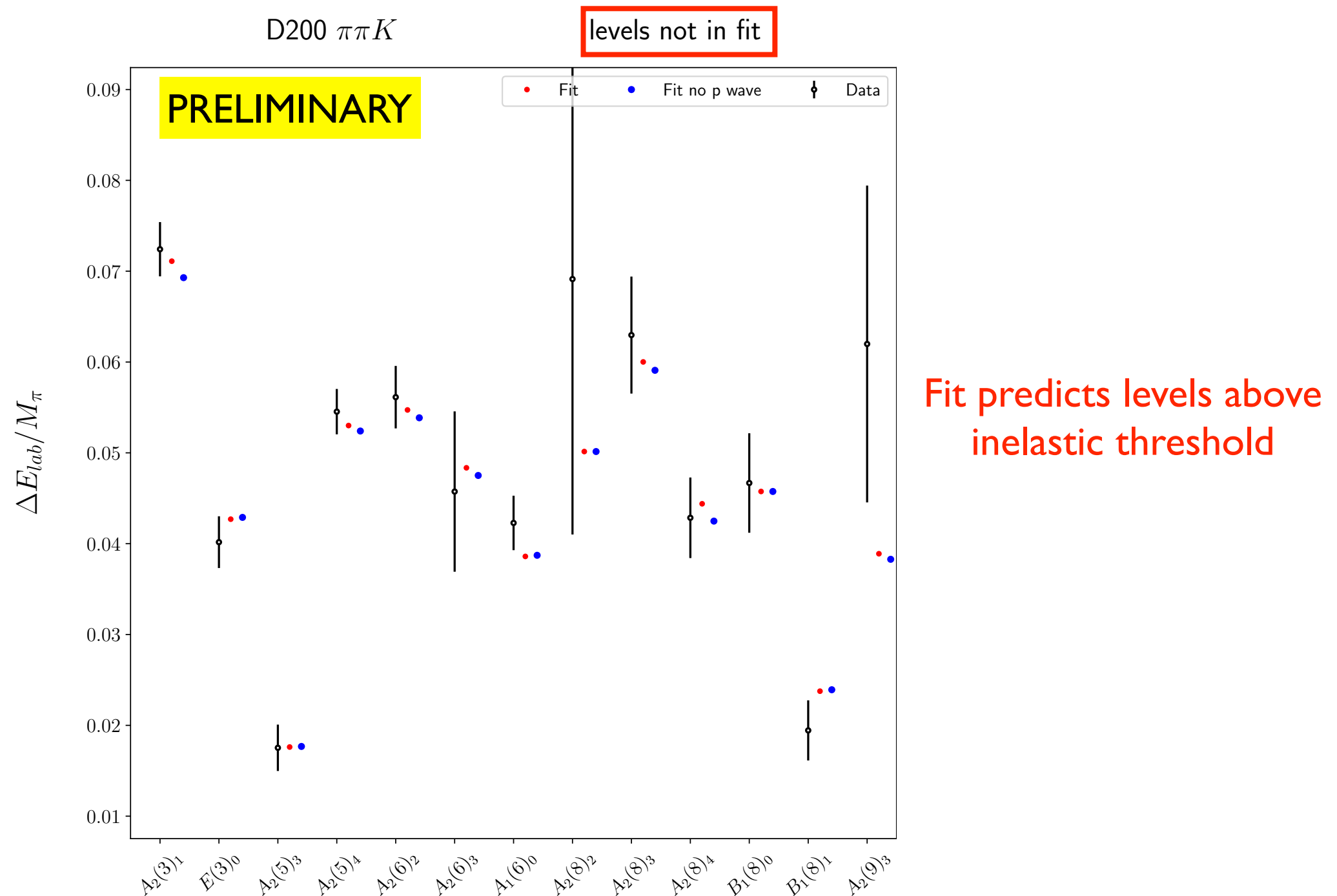


$B_0^{\pi\pi}$	-11.5(6)
$B_1^{\pi\pi}$	-2.5(4)
$z_{\pi\pi}^2$	1 (fixed)
$B_0^{\pi K}$	-12.9(4)
$B_1^{\pi K}$	-2.8(3)
$z_{\pi K}^2$	1 (fixed)
$P_0^{\pi K}$	0.0007(6)
\mathcal{K}_0	190(80)
\mathcal{K}_1	-690(340)
\mathcal{K}_B	160(650)
\mathcal{K}_E	170(420)

Significance of
nonzero $\mathcal{K}_{df,3}$ is
 3.2σ

9-parameter fit to 59 $\pi^+\pi^+$, π^+K^+ , $\pi^+\pi^+K^+$ levels on D200: $\chi^2/\text{dof} = 112/50$

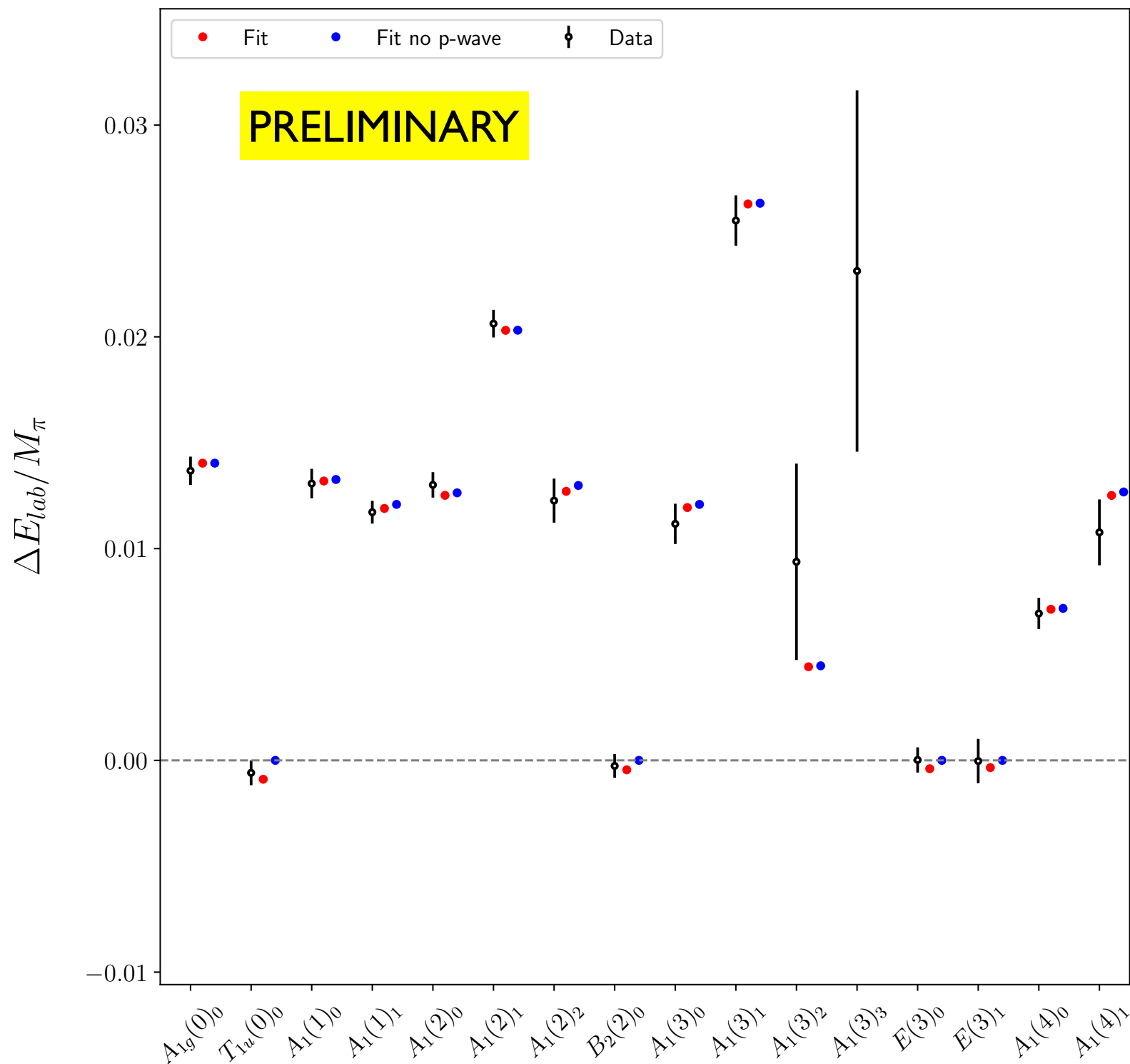
Preliminary results



9-parameter fit to 59 $\pi^+\pi^+$, π^+K^+ , $\pi^+\pi^+K^+$ levels on D200: $\chi^2/\text{dof} = 112/50$

Preliminary results

D200 πK fit to 16 levels

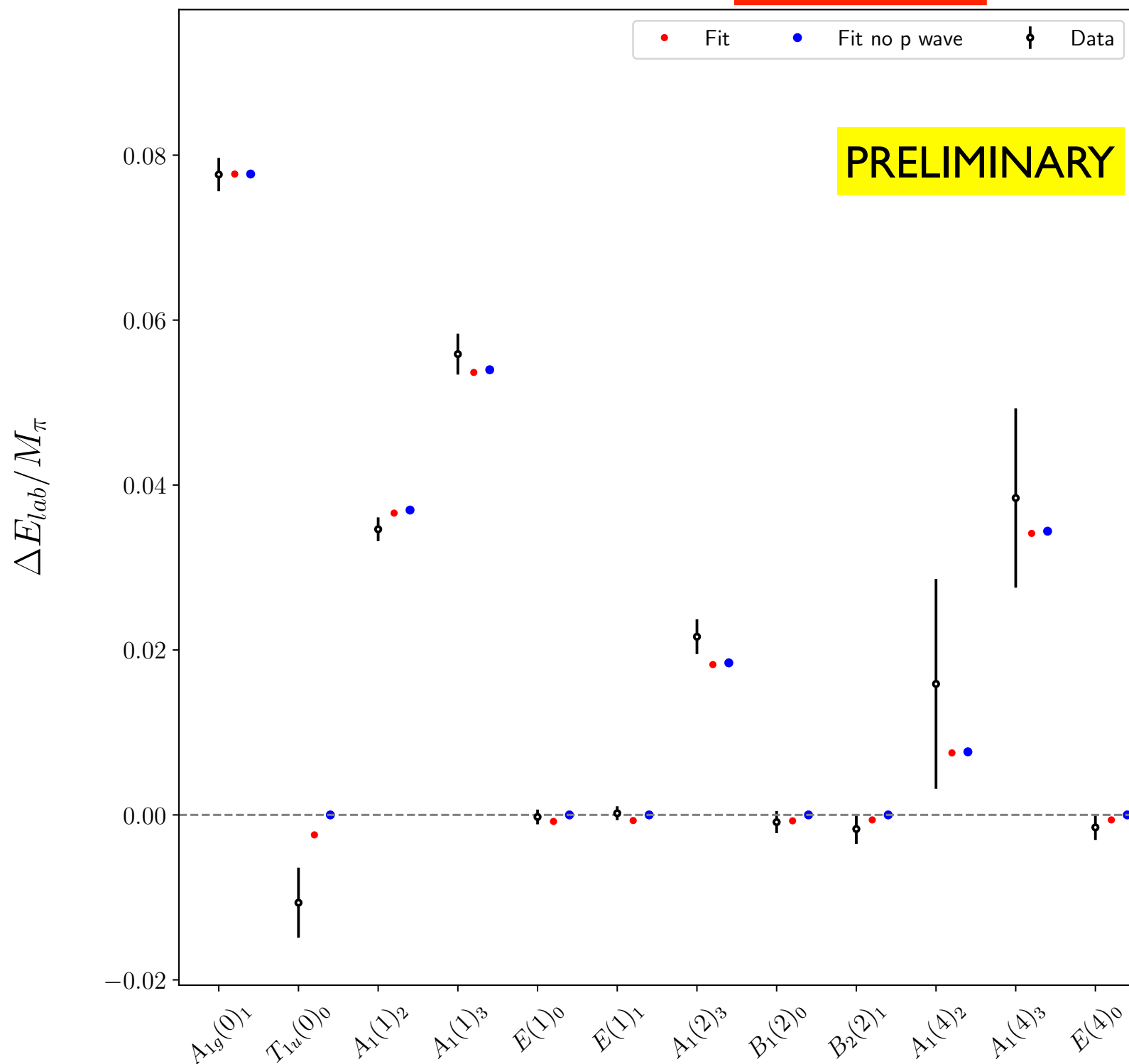


Significance of
attractive p-wave
interaction is 1.7σ

3-parameter fit to 16 $\pi^+ K^+$ levels on D200: $\chi^2/\text{dof} = 15.5/13$

Preliminary results

D200 πK fit to 16 levels; levels not in fit



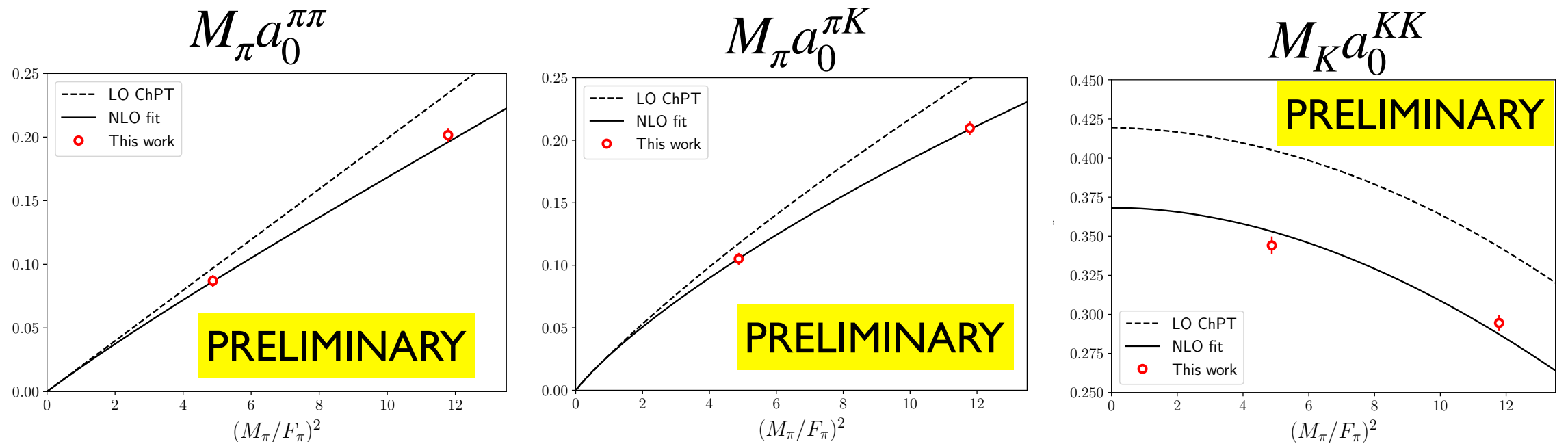
3-parameter fit to 16 $\pi^+ K^+$ levels on D200: $\chi^2/\text{dof} = 15.5/13$

Conclusions from fits

- Working at linear order (s- + p-wave) in threshold expansions gives a reasonable description of energy levels, although significantly worse than that we obtained for identical particles when including d waves
- Fits continue to predict levels with good accuracy above inelastic threshold, indicating that the threshold expansions are not breaking down
- Simultaneous fits to 2- and 3-particle spectra lead to somewhat smaller errors in 2-particle parameters compared to fits to 2-particle spectra alone
- We obtain 1-2% precision in s-wave scattering lengths for all channels
- We find attractive p-wave π^+K^+ scattering length, but only with 1-2 σ significance
 - Opposite sign to (very weakly) repulsive experimental result
- We find nonzero $\mathcal{K}_{\text{df},3}$ with 3-5 σ significance for $\pi^+\pi^+K^+$ & $K^+K^+\pi^+$
 - To do better would require a reduction in the $\sim 10\%$ errors in ΔE_{lab}

Comparison with ChPT

Simultaneous fits to $a_0^{\pi\pi}, a_0^{\pi K}, a_0^{KK}$ using NLO SU(3) ChPT



Determine LECs (at scale $4\pi F_\pi$)

χ^2/dof	$L_{\pi\pi}$	L_5
5.5/4	-0.00089(3)	-0.00050(8)

Consistent with FLAG result

\mathcal{K}^B & \mathcal{K}^E vs ChPT form

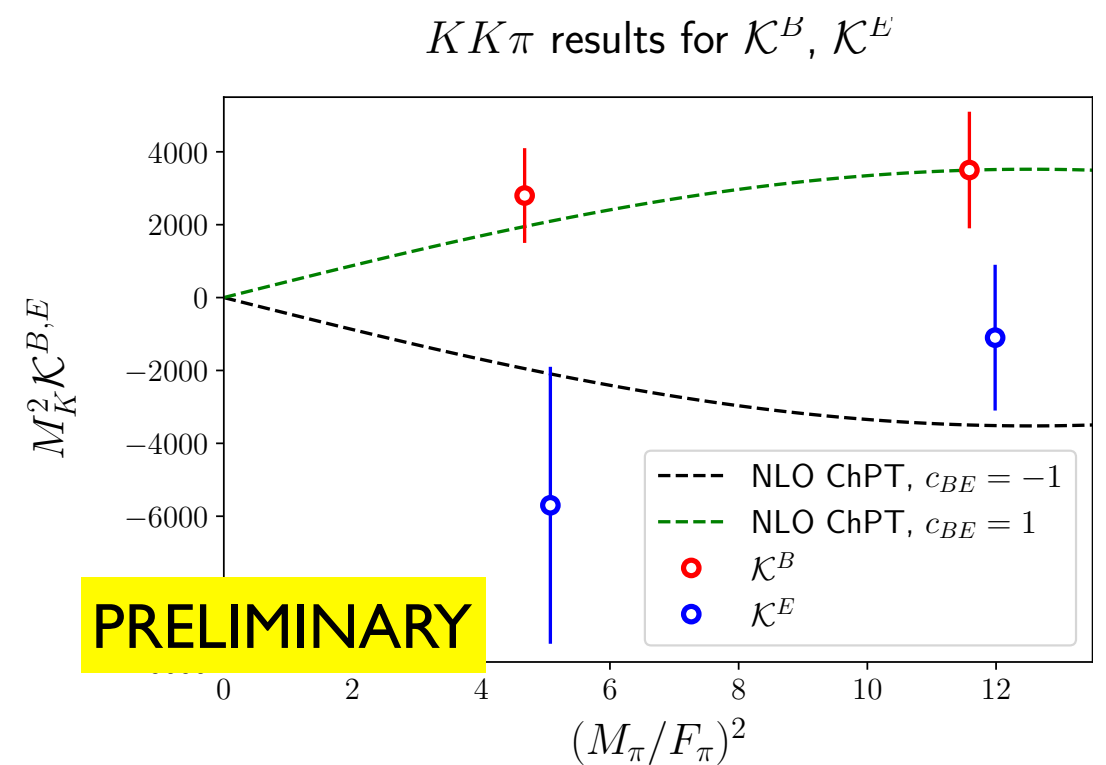
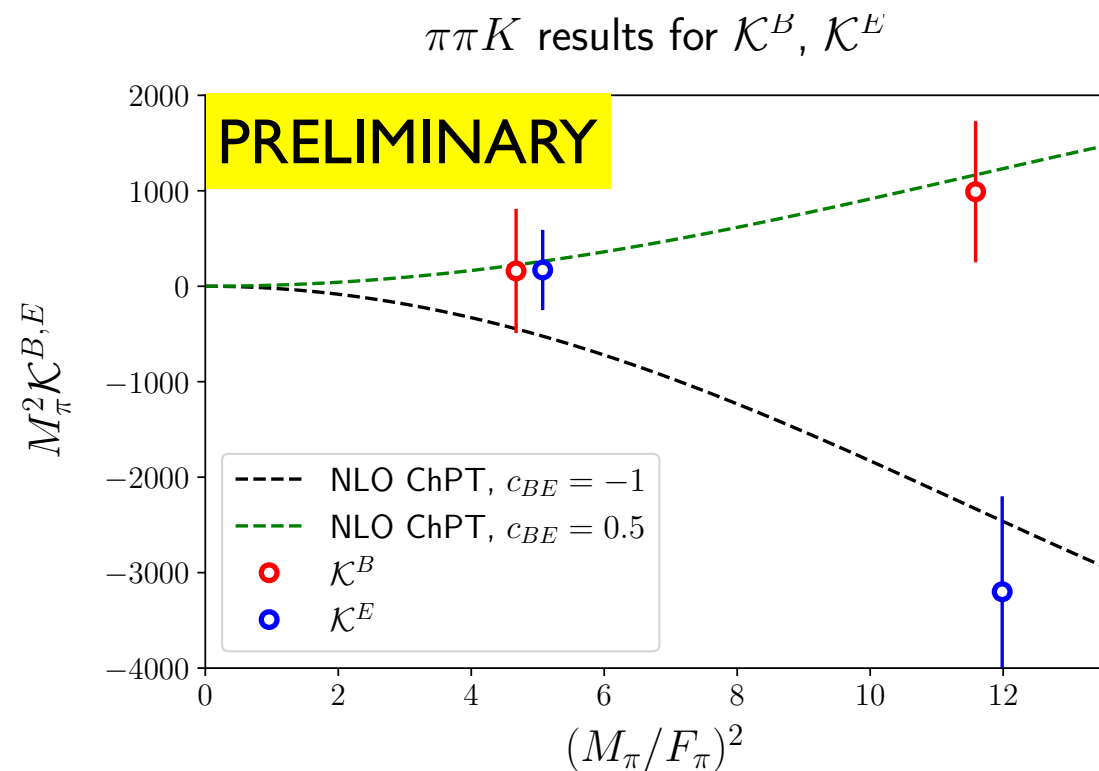


Figure 7: Results for $M_\pi^2 \mathcal{K}^{B,E}(\pi\pi K)$ compared to generic NLO ChPT forms.

Figure 10: Results for $M_K^2 \mathcal{K}^{B,E}(KK\pi)$ compared to generic NLO ChPT forms.

Generic NLO ChPT forms:

$$M_\pi^2 \mathcal{K}_{\text{df},3}^{B,E}(\pi\pi K) = c_{BE} r_\pi^4 r_K^2,$$

$$M_\pi^2 \mathcal{K}_{\text{df},3}^{B,E}(KK\pi) = c_{BE} r_K^4 r_\pi^2.$$

$$r_\pi = \frac{M_\pi}{F_\pi} \quad \text{and} \quad r_K = \frac{M_K}{F_K},$$

Summary & Outlook

- (First step of) 3-particle formalism successfully applied to 2+1 systems
 - We encountered no problems with unphysical solutions in applying the QC3
 - Enlarged matrices in QC3 require small clusters to perform fits in ~days
- Determining 3-particle interaction ($\mathcal{K}_{\text{df},3}$) remains challenging
- Current & future work
 - Analysis should be extended to chiral behavior of effective range
 - Solving integral equations (second step of formalism)
 - Physical-point ensembles [See plenary talk by Fernando Romero-López]
 - Extend to systems with 2- and 3-particle resonant behavior (e.g. $3\pi(I=0,1)$, $\pi\pi N$)
 - Dreaming of 3 neutrons (formalism in progress [Draper, Hansen, Romero-López, SRS])

Thanks
Any questions?

Backup slides

RFT 3-particle papers



Max Hansen & SRS:

“Relativistic, model-independent, three-particle quantization condition,”

arXiv:1408.5933 (PRD) [HS14]

“Expressing the 3-particle finite-volume spectrum in terms of the 3-to-3 scattering amplitude,”

arXiv:1504.04028 (PRD) [HS15]

“Perturbative results for 2- & 3-particle threshold energies in finite volume,”

arXiv:1509.07929 (PRD) [HSPT15]

“Threshold expansion of the 3-particle quantization condition,”

arXiv:1602.00324 (PRD) [HSTH15]

“Applying the relativistic quantization condition to a 3-particle bound state in a periodic box,”

arXiv: 1609.04317 (PRD) [HSBS16]

“Lattice QCD and three-particle decays of Resonances,”

arXiv: 1901.00483 (Ann. Rev. Nucl. Part. Science) [HSREV19]



Raúl Briceño, Max Hansen & SRS:

“Relating the finite-volume spectrum and the 2-and-3-particle S-matrix for relativistic systems of identical scalar particles,”

arXiv:1701.07465 (PRD) [BHS17]

“Numerical study of the relativistic three-body quantization condition in the isotropic approximation,”

arXiv:1803.04169 (PRD) [BHS18]

“Three-particle systems with resonant sub-processes in a finite volume,” arXiv:1810.01429 (PRD 19) [BHS19]



SRS

“Testing the threshold expansion for three-particle energies at fourth order in ϕ^4 theory,”

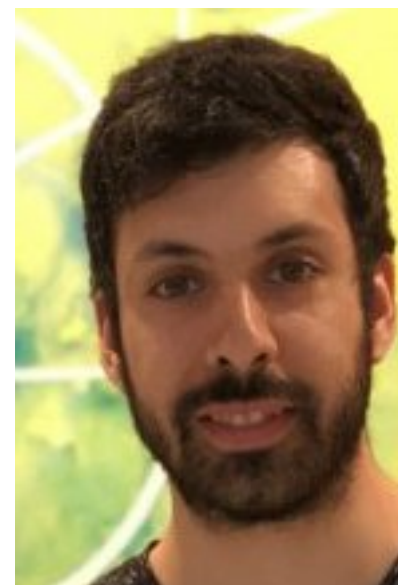
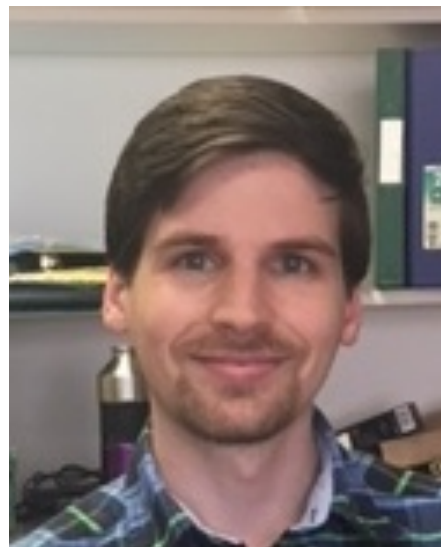
arXiv:1707.04279 (PRD) [SPT17]

Tyler Blanton, Fernando Romero-López & SRS:

“Implementing the three-particle quantization condition including higher partial waves,” arXiv:1901.07095 (JHEP) [BRS19]

“ $I=3$ three-pion scattering amplitude from lattice QCD,” arXiv:1909.02973 (PRL) [BRS-PRL19]

“Implementing the three-particle quantization condition for $\pi^+\pi^+K^+$ and related systems” 2111.12734 (JHEP)



Tyler Blanton, Raúl Briceño, Max Hansen, Fernando Romero-López, SRS:

“Numerical exploration of three relativistic particles in a finite volume including two-particle resonances and bound states”, arXiv:1908.02411 (JHEP) [BBHRS19]

Raúl Briceño, Max Hansen, SRS & Adam Szczepaniak:

“Unitarity of the infinite-volume three-particle scattering amplitude arising from a finite-volume formalism,” arXiv:1905.11188 (PRD)



Andrew Jackura, S. Dawid, C. Fernández-Ramírez, V. Mathieu, M. Mikhasenko, A. Pilloni, SRS & A. Szczepaniak:

“On the Equivalence of Three-Particle Scattering Formalisms,” arXiv:1905.12007 (PRD)



Max Hansen, Fernando Romero-López, SRS:

“Generalizing the relativistic quantization condition to include all three-pion isospin channels”, arXiv:2003.10974 (JHEP) [HRS20]

“Decay amplitudes to three particles from finite-volume matrix elements,” arXiv: 2101.10246 (JHEP)

Tyler Blanton & SRS:

“Alternative derivation of the relativistic three-particle quantization condition,”

arXiv:2007.16188 (PRD) [BS20a]

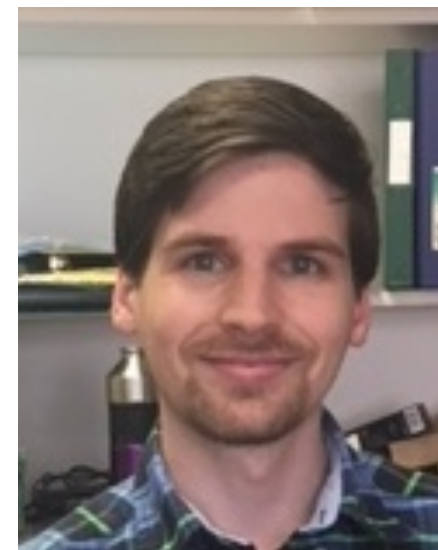
“Equivalence of relativistic three-particle quantization conditions,”

arXiv:2007.16190 (PRD) [BS20b]

“Relativistic three-particle quantization condition for nondegenerate scalars,”

arXiv:2011.05520 (PRD)

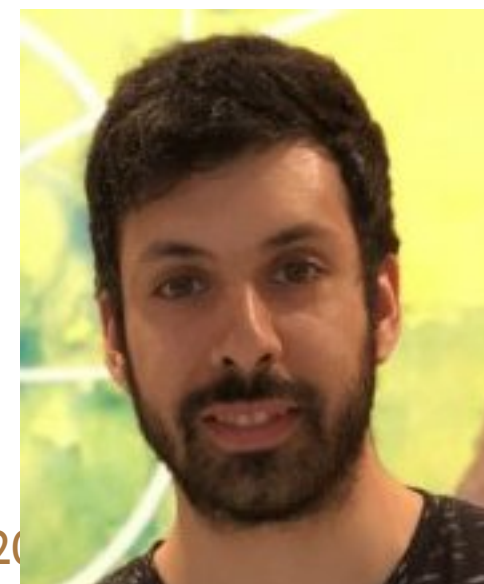
“Three-particle finite-volume formalism for $\pi^+\pi^+K^+$ & related systems,” arXiv:2105.12904 (PRD)



Tyler Blanton, Drew Hanlon, Ben Hörz, Colin Morningstar, Fernando Romero-López & SRS

“ $3\pi^+$ & $3K^+$ interactions beyond leading order from lattice QCD,” arXiv:2106.05590 (JHEP)

“ $\pi^+\pi^+K^+$ and $K^+K^+\pi^+$ interactions from lattice QCD,” in progress



Other work

★ Implementing RFT integral equations

- A. Jackura et al., [2010.09820](#) [Solving s-wave RFT integral equations in presence of bound states]
- M.T. Hansen et al. (HADSPEC), [2009.04931](#), PRL [Calculating $3\pi^+$ spectrum and using to determine three-particle scattering amplitude]

★ Reviews

- A. Rusetsky, [1911.01253](#) [LATTICE 2019 plenary]
- M. Mai, M. Döring and A. Rusetsky, [2103.00577](#) [Review of formalisms and chiral extrapolations]
- F. Romero-López, [2112.05170](#), [[Three-particle scattering amplitudes from lattice QCD](#)]

★ Numerical simulations in scalar theories

- F. Romero-López, A. Rusetsky, C. Urbach, [1806.02367](#), [2- & 3-body interactions in ϕ^4 theory]

Other work

★ NREFT approach

- H.-W. Hammer, J.-Y. Pang & A. Rusetsky, [1706.07700](#), JHEP & [1707.02176](#), JHEP [Formalism & examples]
- M. Döring et al., [1802.03362](#), PRD [Numerical implementation]
- J.-Y. Pang et al., [1902.01111](#), PRD [large volume expansion for excited levels]
- F. Müller, T. Yu & A. Rusetsky, [2011.14178](#), PRD [large volume expansion for $I=1$ three pion ground state]
- F. Romero-López, A. Rusetsky, N. Schlage & C. Urbach, [2010.11715](#), JHEP [generalized large-volume exps]
- F. Müller & A. Rusetsky, [2012.13957](#), JHEP [Three-particle analog of Lellouch-Lüscher formula]
- J.-Y. Pang, M. Ebert, H-W. Hammer, F. Müller, A. Rusetsky, [2204.04807](#), JHEP, [Spurious poles in a finite volume]
- F. Müller, J.-Y. Pang, A. Rusetsky, J.-J. Wu, [2110.09351](#), JHEP, [[Relativistic-invariant formulation of the NREFT three-particle quantization condition](#)]
- J. Lozano, U. Meißner, F. Romero-López, A. Rusetsky & G. Schierholz, [2205.11316](#), [[Resonance form factors from finite-volume correlation functions with the external field method](#)]

Alternate 3-particle approaches

★ Finite-volume unitarity (FVU) approach

- M. Mai & M. Döring, [1709.08222](#), EPJA [formalism]
- M. Mai et al., [1706.06118](#), EPJA [unitary parametrization of M_3 involving R matrix; used in FVU approach]
- A. Jackura et al., [1809.10523](#), EPJC [further analysis of R matrix parametrization]
- M. Mai & M. Döring, [1807.04746](#), PRL [3 pion spectrum at finite-volume from FVU]
- M. Mai et al., [1909.05749](#), PRD [applying FVU approach to $3\pi^+$ spectrum from Hanlon & Hörz]
- C. Culver et al., [1911.09047](#), PRD [calculating $3\pi^+$ spectrum and comparing with FVU predictions]
- A. Alexandru et al., [2009.12358](#), PRD [calculating $3K^-$ spectrum and comparing with FVU predictions]
- R. Brett et al., [2101.06144](#) [determining $3\pi^+$ interaction from LQCD spectrum]

★ HALQCD approach

- T. Doi et al. (HALQCD collab.), [1106.2276](#), Prog.Theor.Phys. [3 nucleon potentials in NR regime]