

# Topological susceptibility, scale setting and universality from $Sp(N_c)$ gauge theories

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# Introduction

$\mathrm{Sp}(N_c)$  gauge theories

$$\mathrm{Sp}(N_c) = \left\{ U \in \mathrm{SU}(N_c) \mid \Omega U \Omega^T = U^* \right\}, \quad \Omega = \begin{bmatrix} 0 & \mathbb{1} \\ -\mathbb{1} & 0 \end{bmatrix} \quad (1)$$

- ▶ **BSM**: Attractive Composite Higgs models based on  $\mathrm{Sp}(N_c)$  gauge symmetry.

Bennett et al. 2018; Ferretti and Karateev 2014

- ▶ **Large- $N_c$** : Non-trivial alternative to the  $\mathrm{SU}(N_c)$  and  $\mathrm{SO}(N_c)$  families of gauge groups

Lovelace 1982; 't Hooft 1974

- ▶ **SIMP**: Strongly Interacting Dark Matter

Hochberg et al. 2015; Kulkarni et al. 2022

- ▶ **Topological structure of the vacuum?**

## The topological structure of the $\text{Sp}(N_c)$ vacuum

Solutions with finite action describe **semiclassical** barrier penetration between different sectors. The "true" vacua are linear combinations of  $Q$ -vacua

$$|\theta\rangle = \sum_Q e^{iQ\theta} |Q\rangle, \quad Q = \int d^4x q(x), \quad q(x) = \frac{1}{32\pi^2} \varepsilon_{\mu\nu\rho\sigma} \text{Tr} F_{\mu\nu} F^{\rho\sigma}$$

► We have that  $Q \in \pi_3(\text{Sp}(N_c)) = \mathbb{Z}$ .

► We can define

$$\tilde{S} = -\frac{N_c}{2\lambda} \int d^4x \text{Tr} F_{\mu\nu} F^{\mu\nu} - N_c \frac{\theta}{N_c} \int d^4x q(x), \quad \lambda = g^2 N_c \quad (2)$$

The  $\theta$  dependence in gauge theories has attracted a lot of interest especially at large- $N_c$ .

► The  $U(1)_A$  problem and the Witten-Veneziano formula: **topological susceptibility**.

't Hooft 1976; Veneziano 1979; Witten 1979

► The strong-CP problem

►  $\theta$  dependence of observables like glueballs masses...

Bonanno et al. 2022

## Theta dependence in gauge theories

General arguments dictate that the free energy  $F(\theta)$  should be  $2\pi$  periodic, even and have a minimum at  $\theta = 0$ .

$$F(\theta) = f_G \min_k h \left( \frac{\theta + 2\pi k}{N_c} \right) \quad k = 0, \dots, N_c - 1 \quad (3)$$

Witten 1980, 1998

In the neighbourhood of  $\theta = 0$ ,

$$F(\theta) - F(0) = \frac{1}{2} \chi \theta^2 (1 + b_2 \theta^2 + b_4 \theta^4 + \dots) \quad (4)$$

Bonati et al. 2016

where

$$\chi = \left. \frac{\partial^2 F(\theta)}{\partial \theta^2} \right|_{\theta=0} = \int d^4x \langle q(x)q(0) \rangle \quad (5)$$

is the **topological susceptibility**.

- ▶ The evaluation of  $\chi$  is difficult on the lattice: UV fluctuations, additive renormalizations
- ▶ The gradient flow will allow us to both obtain  $\chi$  and set the scale

# The Gradient Flow

The gradient flow  $B_\mu(x, t)$  is defined by

$$\frac{dB_\mu(x, t)}{dt} = D_\nu G_{\nu\mu}(x, t), \quad G_{\mu\nu}(t) = [D_\mu, D_\nu], \quad D_\mu \equiv \partial_\mu + [B_\mu, \cdot] \quad (6)$$

where the independent variable  $t$  is known as *flow time*, and  $B_\mu(x, 0) = A_\mu(x)$ .

Lüscher 2010, 2014

- ▶  $B_\mu(x, t)$  is a renormalized field, dimensional physical quantities can be computed at  $t > 0$ . For example,

$$E(t) = \frac{1}{4} \text{Tr} G_{\mu\nu}(t) G_{\mu\nu}(t) \propto \frac{\alpha(\mu)}{t^2}, \quad (7)$$

where  $\alpha(\mu)$  is the renormalized coupling at scale  $\mu = 1/\sqrt{8t}$ .

- ▶  $B_\mu(x, t)$  is a smoothening of  $A_\mu(x)$  and drives it towards the classical minima. Then

$$q(x, t) = \frac{1}{32\pi^2} \varepsilon_{\mu\nu\rho\sigma} \text{Tr} G_{\mu\nu}(t) G_{\rho\sigma}(t), \quad (8)$$

will be free of the UV-fluctuations that make the computation of  $Q$  difficult.

## Scale Setting from the Wilson Flow

$$\mathcal{E}(t) = t^2 E(t), \quad \mathcal{W}(t) = t \frac{d}{dt} \{t^2 \langle E(t) \rangle\}, \quad (9)$$

Borsanyi et al. 2012

We will use two different scales  $t_0$  and  $w_0$ , defined as

$$\mathcal{E}(t)|_{t=t_0} = \mathcal{E}_0, \quad \mathcal{W}(t)|_{t=w_0^2} = \mathcal{W}_0, \quad (10)$$

and  $\mathcal{E}_0, \mathcal{W}_0$  are reference values, chosen at convenience.

Note that:

- ▶  $t_0$  captures physics at scales  $< \sqrt{t_0}$ ,  $w_0$  captures physics at scales  $\sim \sqrt{t_0}$ .
- ▶ At leading order in  $\lambda = 4\pi N_c \alpha$ ,

$$\mathcal{E}(t) = \frac{3\lambda}{64\pi^2} C_2(F), \quad C_2(F) \text{ quadratic Casimir of } F \text{ representation} \quad (11)$$

this suggests a scaling law relating  $\mathcal{E}(t)$  in different gauge groups.

## The numerical setup

Ensembles of configurations of  $Sp(N_c)$  pure gauge theories were collected for:

- ▶  $N_c = 2, 4, 6, 8$ .
- ▶ Heat Bath + Over Relaxation updates à la Cabibbo-Marinari.
- ▶  $(\beta, V)$ ,  $V = (La)^4$ , chosen to avoid Finite Size Effects.

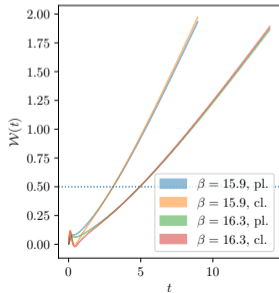
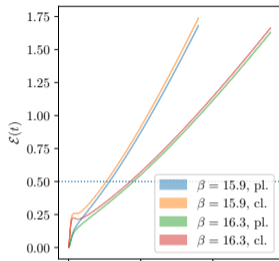
Then, for each ensemble,

- ▶ Each configuration was set as the initial condition for the numerical integration of the Wilson Flow
- ▶  $\mathcal{E}(t)$ ,  $\mathcal{W}(t)$ ,  $q_L(t)$  were computed on the interval  $0 < t < L^2/32$ .
- ▶ Clover expression was used for  $q_L(t)$ , both clover and tree level were used for  $\mathcal{E}(t)$  and  $\mathcal{W}(t)$ .

$N_c$	$L/a$	$\beta$	$\tilde{\lambda}$ (tadpole imp.)
2	20 – 32	2.55 – 2.70	$\sim 2.6$
4	20 – 24	7.7 – 8.2	$\sim 2.8$
6	16 – 20	15.75 – 16.3	$\sim 2.9$
8	16	26.5 – 27.2	$\sim 3.0$

$$\tilde{\lambda} \equiv \frac{d_G}{\beta} \left\langle \frac{\Re \text{Tr} \mathcal{P}_{\mu\nu}}{2N} \right\rangle, \quad d_G = n(2n+1) \quad (12)$$

## The Wilson Flow – $N_c = 6$



For each value of the coupling, we integrate the flow equations numerically with a third-order Runge-Kutta.

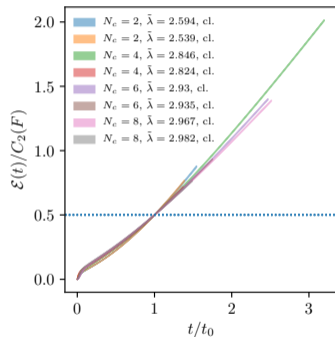
- ▶ The quantities  $\mathcal{E}(t)$  and  $\mathcal{W}(t)$  are obtained using two different discretizations for  $G_{\mu\nu}$ : plaquette, clover, ...
- ▶ The scales can be set from

$$\mathcal{E}(t)|_{t=t_0} = \mathcal{E}_0, \quad \mathcal{W}(t)|_{t=w_0^2} = \mathcal{W}_0, \quad (13)$$

- ▶ The reference values  $\mathcal{E}_0$  and  $\mathcal{W}_0$  are a priori arbitrary.



## Scaling of the flow as $N_c \rightarrow \infty$



Perturbatively,

$$\mathcal{E}(t) = \frac{3\lambda}{64\pi^2} C_2(F), \quad (14)$$

where

$$C_2(F) = \frac{N_c + 1}{4} \quad (15)$$

for  $\text{Sp}(N_c)$ .

- ▶ It is natural to scale the reference values as follows,

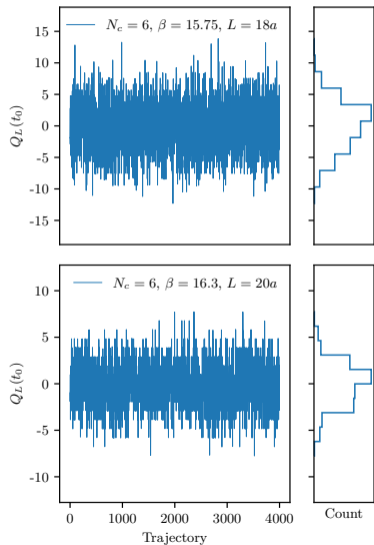
$$\mathcal{E}(t) = c_e C_2(F), \quad \mathcal{W}(t) = c_w C_2(F) \quad (16)$$

and this suggests to rescale the flow time accordingly,

$$t \longrightarrow t/t_0 \quad (17)$$

- ▶ This allows us to take  $N_c \rightarrow \infty$  at fixed  $\lambda$ .
- ▶ Effects from higher order terms...?

# The lattice topological charge



- ▶ The topological charge is defined as

$$Q_L(t) = \sum_x q_L(x, t) \quad (18)$$

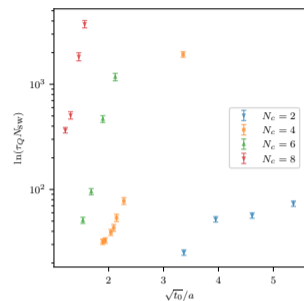
- ▶ We use the integer  $\alpha$ -rounding to obtain quasi-integer values for  $Q_L$ ,

$$\tilde{Q}_L(t) \equiv \text{round} \left( \tilde{\alpha} \sum_x q_L(x, t) \right), \quad (19)$$

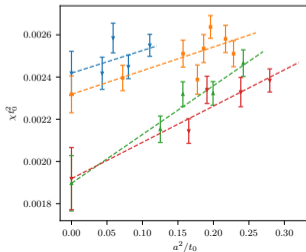
where  $\tilde{\alpha}$  is determined by minimising

$$\Delta(\tilde{\alpha}) = \left\langle [\tilde{\alpha} Q_L - \text{round}(\tilde{\alpha} Q_L)]^2 \right\rangle. \quad (20)$$

## The topological susceptibility in the continuum limit



-+-  $N_c = 2, \bar{\chi}^2 = 1.81$     -+-  $N_c = 6, \bar{\chi}^2 = 0.86$   
-+-  $N_c = 4, \bar{\chi}^2 = 1.62$     -+-  $N_c = 8, \bar{\chi}^2 = 1.57$



The topological susceptibility can be obtained as

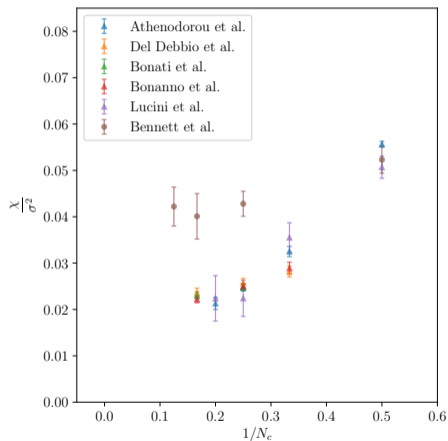
$$\chi_L a^4 = \frac{\langle Q_L^2 \rangle}{L^4} \quad (21)$$

- ▶  $\chi_L$  was computed for every ensemble in units of the lattice spacing.
- ▶ As observed for  $SU(N)$  gauge theories,  $\tau_Q$  diverges exponentially as  $a \rightarrow 0$ .
- ▶ For each value of  $N_c$ , we obtain the continuum limit extrapolation of  $\chi t_0^2$  from

$$\chi t_0^2(a) = \chi t_0^2(a=0) + c_t \frac{a^2}{t_0} \quad (22)$$

$N_c$	$\chi t_0^2$	$\chi/\sigma^2$
2	0.00242(10)	0.0523(29)
4	0.002318(87)	0.0428(27)
6	0.00190(13)	0.0401(49)
8	0.00192(15)	0.0424(42)

## A comparison with $SU(N)$ gauge theories



► The topological susceptibility has been computed for  $SU(N_c)$  by various collaborations over more than 20 years.

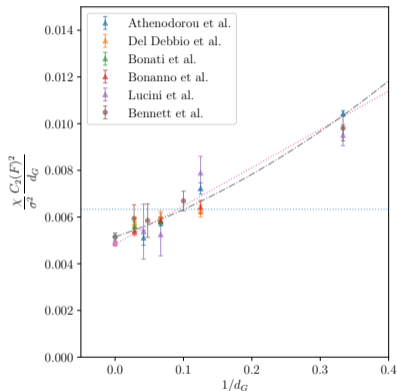
► We provide the first estimate of this quantity for  $Sp(N_c)$  gauge groups with  $N_c = 2, 4, 6, 8$ .

Note that:

► The susceptibilities coincide for  $Sp(2) \simeq SU(2)$ .

► For  $N_c \geq 4$  they seem to tend to different limits.

## A new universal ratio



Thus our final estimate is

$$\lim_{N_c \rightarrow \infty} \eta_\chi = (48.41 \pm 0.76 \pm 3.05) \times 10^{-4} \quad (25)$$

- Once the data are rescaled with  $C_2(F)/d_G$ , they overlap. Define

$$\eta_\chi \equiv \frac{\chi}{d_G} \frac{C_2(F)^2}{\sigma^2},$$

- NDA yields  $\eta_\chi = O(1/(4\pi)^2) \simeq 0.0065$  at  $N_c \rightarrow \infty$

- As the data seem to lie on a straight line, we fit with

$$\eta_\chi(d_G) = \eta_\chi^\infty + \frac{c}{d_G} \quad (23)$$

and that yields

$$\eta_\chi^\infty = 0.004841(76), \quad \chi_r^2 = 1.56 \quad (24)$$

- The same fit with only the  $SU(N_c)$  data yields  $\chi_r^2 = 1.83$ .
- Fitting with  $1/d_G^2$  corrections does not change the extrapolation appreciably.

## Conclusion

- ▶ The Scale setting was performed for an interval of the inverse coupling for  $N_c = 2, 4, 6, 8$ .
- ▶ The scaling properties of the Wilson flow were analyzed and found to agree with the perturbative prediction.
- ▶ The first estimate of the continuum topological susceptibility was obtained for  $N_c = 2, 4, 6, 8$
- ▶ A universal large- $N_c$  limit was proposed for the topological susceptibility in Yang-Mills theories.

Thank you!

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## Discretizations for $E(t)$ and $q_L(x, t)$

- ▶ For  $E(t)$ : Plaquette (pl.) or Clover (cl.) expressions

$$E(t) = \frac{1}{4} \text{Tr} V_{\mu\nu}(t) V_{\mu\nu}(t), \quad E(t) = \frac{1}{4} \text{Tr} C_{\mu\nu}(t) C_{\mu\nu}(t), \quad (26)$$

Comparing the results obtained with these will allow use to estimate the magnitude of discretization effects.

- ▶ For  $q_L(x, t)$ : The Clover (cl.) expression

$$q_L(x, t) = \frac{1}{32\pi^2} \varepsilon_{\mu\nu\rho\sigma} \text{Tr} C_{\mu\nu}(t) C_{\rho\sigma}(t) \quad (27)$$

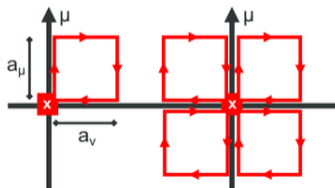


Figure: Left: Plaquette, Right: Clover, courtesy of Rothkopf 2021

# Wilson action and Wilson Flow

## Definitions

We define the theory on a hypercubic euclidean space-time lattice, with Wilson action

$$S_W[U_\mu] \equiv \beta \sum_x \sum_{\mu < \nu} \left( 1 - \frac{1}{N_c} \Re \text{Tr} \mathcal{P}_{\mu\nu} \right), \quad \beta \equiv \frac{2N_c}{g_0^2} \quad (28)$$

where

$$\mathcal{P}_{\mu\nu}(x) \equiv U_\mu(x) U_\nu(x + \hat{\mu}) U_\mu^\dagger(x + \hat{\nu}) U_\nu^\dagger(x), \quad U_\mu(x) \equiv \exp \left( i \int_x^{x+\hat{\mu}} d\lambda^\mu \tau^A A_\mu^A(\lambda) \right), \quad (29)$$

We define the *Wilson flow*,

$$\frac{\partial V_\mu(x, t)}{\partial t} = -g_0^2 \{ \partial_{x, \mu} S_W [V_\mu] \} V_\mu(x, t), \quad (30)$$

and the quantities  $E(t)$  and  $q_L(t)$  from their lattice discretizations.

## A new universal ratio

It is believed that the theory is confining and gapped even at  $\theta \neq 0$ , and that

$$F(\theta) = f_G \min_k h \left( \frac{\theta + 2\pi k}{N_c} \right), \quad k = 0, \dots, N_c - 1 \quad (31)$$

Now:

- ▶ Each of the  $d_G$  gauge fields contributes equally to  $F(\theta)$ ,

$$f_G \propto d_G \sim N_c^2 \quad (32)$$

- ▶ From perturbative arguments

$$\sigma \propto C_2(F) \quad (33)$$

where the proportionality factor must also depend on  $N_c$ .

As a result, the following quantity should encode some universal feature and have a finite large- $N_c$  limit,

$$\eta_\chi \equiv \frac{\chi}{d_G} \frac{C_2(F)^2}{\sigma^2}, \quad \lim_{N_c \rightarrow \infty} \eta_\chi = b \frac{\chi_\infty}{\sigma_\infty} < \infty \quad (34)$$