

# The spectral reconstruction of inclusive rates

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# Inclusive rates: the R-ratio

Simplest hadronic inclusive rate:

$$\rho(s) \propto \frac{\sigma[e^+e^- \rightarrow \text{hadrons}](s)}{4\pi\alpha_{\text{em}}(s)^2/(3s)}$$

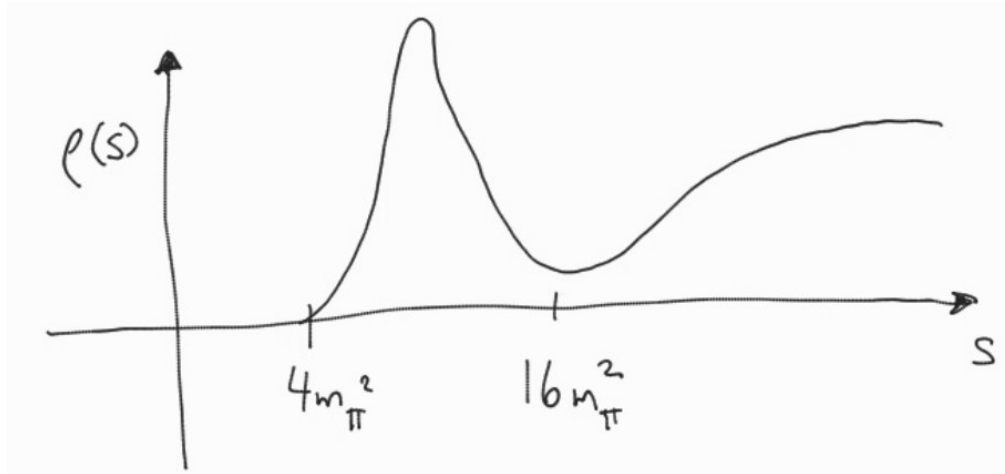
Can we compute it in lattice QCD?

Time-momentum representation (TMR) of the vector-vector correlator:

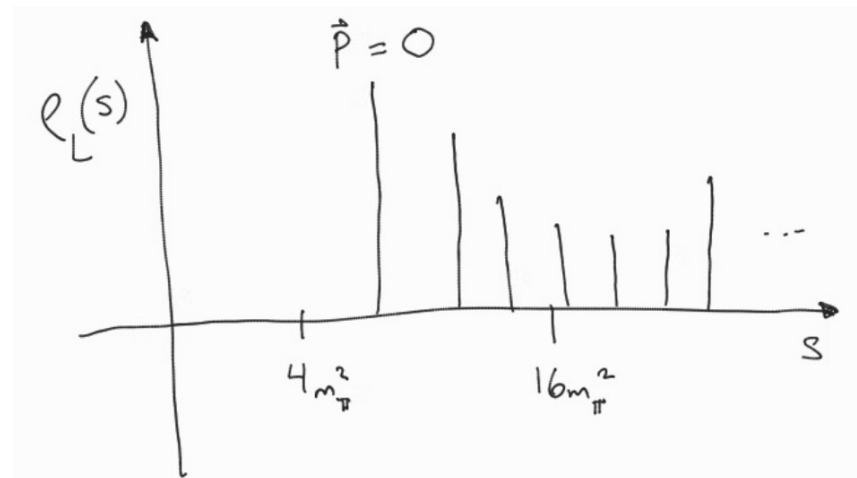
$$\begin{aligned} C(t) &= \int d^3\mathbf{x} \langle \Omega | \hat{j}_z^{\text{em}}(\mathbf{x}) e^{-\hat{H}t} \hat{j}_z^{\text{em}}(0)^\dagger | \Omega \rangle \\ &= \int_0^\infty d\omega \omega^2 \rho(\omega^2) e^{-\omega t} \end{aligned}$$

# Finite vs. infinite volume

Infinite volume: continuous



Finite volume: sum of Dirac-delta peaks.



Not 'close' to infinite volume at finite L!

(Finite-volume formalism applicable to elastic region)

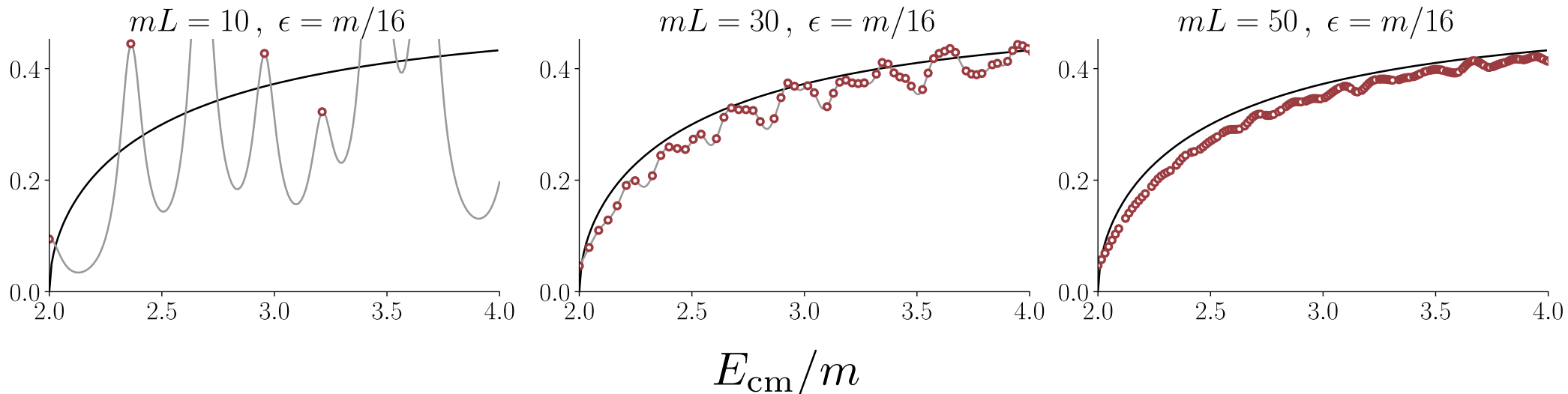
L. Lellouch, M. Lüscher '01; H. Meyer '11;  
R. Briceño, M. T. Hansen '15; ...

# Finite vs. infinite volume

Smearred spectral densities:

$$\rho_\epsilon(E) = \int_0^\infty d\omega \delta_\epsilon(E - \omega) \rho(\omega), \quad \lim_{\epsilon \rightarrow 0} \delta_\epsilon(x) = \delta(x)$$

Bridge between finite- and infinite-volume:



# Spectral Reconstruction

Backus, Gilbert '68, '70

F. Pijpers, M. Thompson '92

M. R. Hansen, A. Lupo, N. Tantalo, PRD99 (2019)

Linear ansatz:

$$\hat{\rho}_\epsilon(E) = \sum_{t=a}^{t_{\max}} q_t(\epsilon, E) C(t), \quad \hat{\delta}_\epsilon(E, \omega) = \sum_{t=a}^{t_{\max}} q_t(\epsilon, E) e^{-\omega t}$$

Two criteria when choosing  $\{q_t(\epsilon, E)\}$

- Accuracy:  $A[q] = \int_{E_0}^{\infty} d\omega \left\{ \delta_\epsilon(E - \omega) - \hat{\delta}_\epsilon(E, \omega) \right\}^2$
- Precision:  $B[q] = \text{Var} \{ \hat{\rho}_\epsilon(E) \}$

Optimal coeffs minimize:

$$G_\lambda[q] = (1 - \lambda)A[q] + \lambda B[q]$$

# Controlled Test

JB, M. W. Hansen, M. T. Hansen, A. Patella, N. Tantalo, JHEP '22

2d O(3)-model: ..., M. Lüscher, U. Wolff, Nucl. Phys. B339 (1990),...

$$S[\sigma] = -\beta \sum_{x, \mu} \sigma(x) \cdot \sigma(x + \hat{\mu}), \quad \sigma(x) \in \mathbb{R}^3, |\sigma(x)| = 1$$

Conserved (global) current:

$$j_{\mu}^a = \beta \epsilon^{abc} \sigma^b(x) \hat{\partial}_{\mu} \sigma^c(x)$$

Massive single-particle states. Target process: inclusive rate for  $j \rightarrow X$

$$\begin{aligned} \rho(E) &= \sum_{\alpha} \delta(\mathbf{P}_{\alpha}) \delta(E - E_{\alpha}) |_{\text{out}} \langle \alpha | \hat{j}(0) | 0 \rangle|^2 \\ &= \sum_{n=2,4,6,\dots} \rho^{(n)}(E) \end{aligned}$$

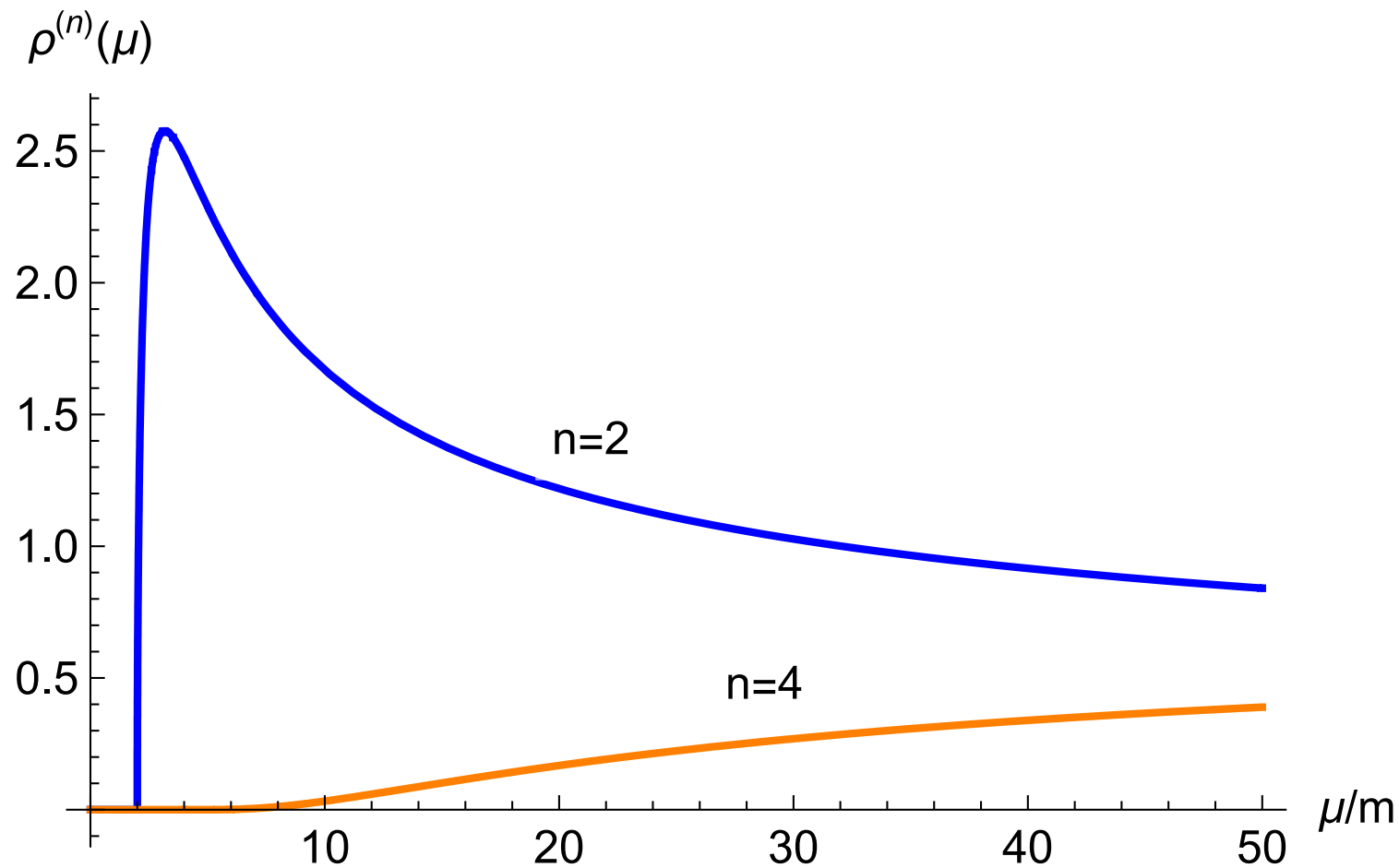
# Controlled Test

Integrable model => spectral function known exactly:

M. Karowski, P. Weisz, Nucl. Phys. B139 (1978)

A. B. Zamolodchikov, A. B. Zamolodchikov, Nucl. Phys. B133 (1978)

J. Balog, M. Niedermaier, Nucl. Phys. B500 (1997)



Two-particle contribution dominant, four-particle ~2% near  $E = 10m$

# Controlled Test

Four smearing kernels  $\delta_\epsilon^x(E - \omega)$ :

$$\delta_\epsilon^g(x) = \frac{1}{\sqrt{2\pi\epsilon}} \exp\left[-\frac{x^2}{2\epsilon^2}\right],$$

$$\delta_\epsilon^{c0}(x) = \frac{1}{\pi} \frac{\epsilon}{x^2 + \epsilon^2},$$

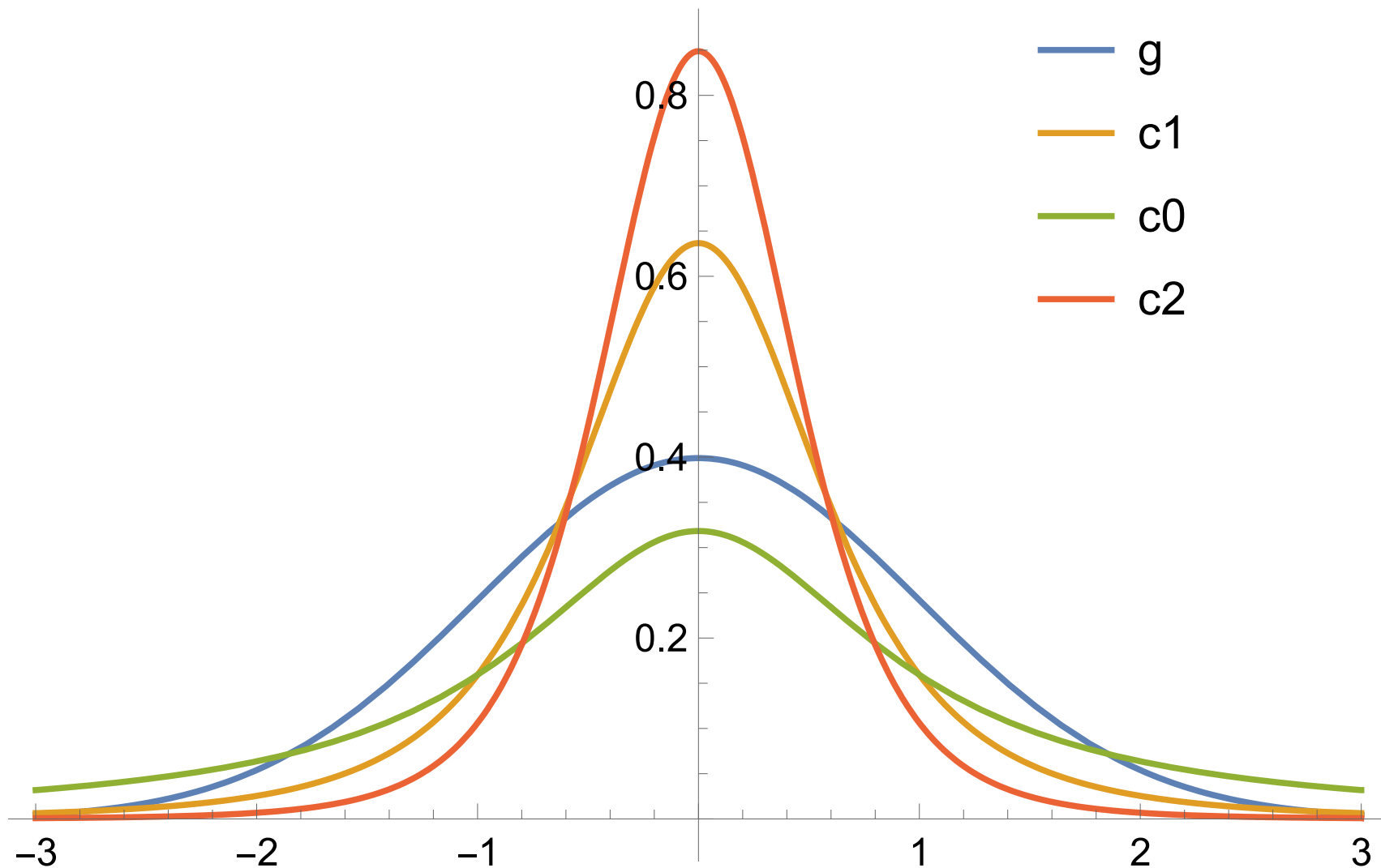
$$\delta_\epsilon^{c1}(x) = \frac{2}{\pi} \frac{\epsilon^3}{(x^2 + \epsilon^2)^2},$$

$$\delta_\epsilon^{c2}(x) = \frac{8}{3\pi} \frac{\epsilon^5}{(x^2 + \epsilon^2)^3}$$



# Controlled Test

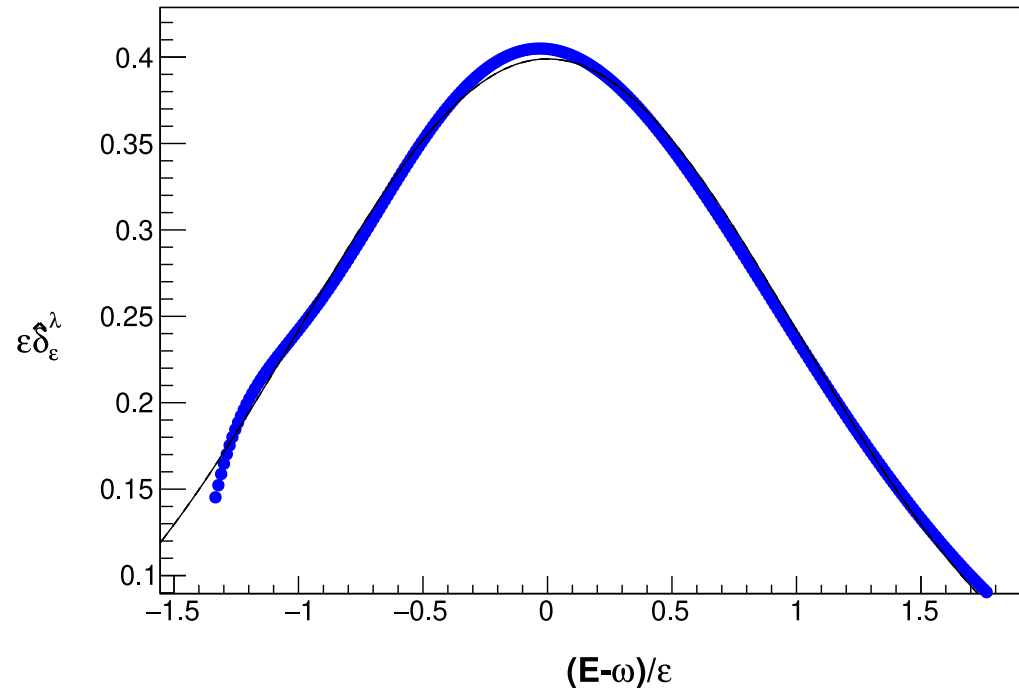
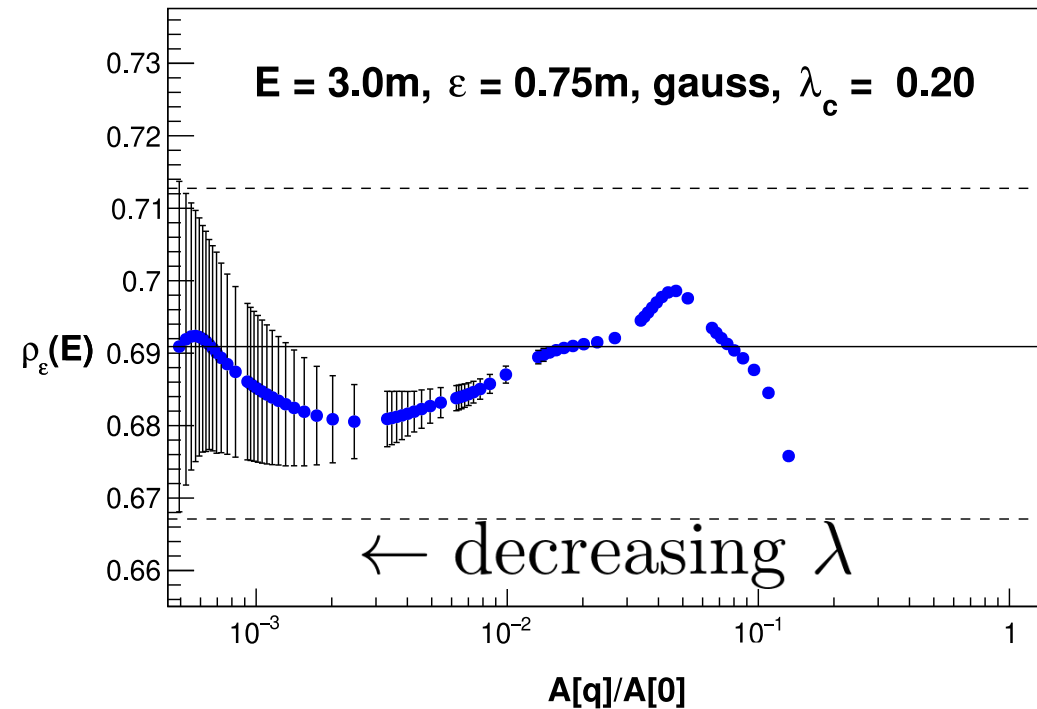
Four smearing kernels  $\delta_\epsilon^x(E - \omega)$ :



# Spectral Reconstruction

$$G_\lambda[q] = (1 - \lambda)A[q] + \lambda B[q]$$

Trade off parameter (  $\lambda$  ) balances systematic (A) and statistical (B) error

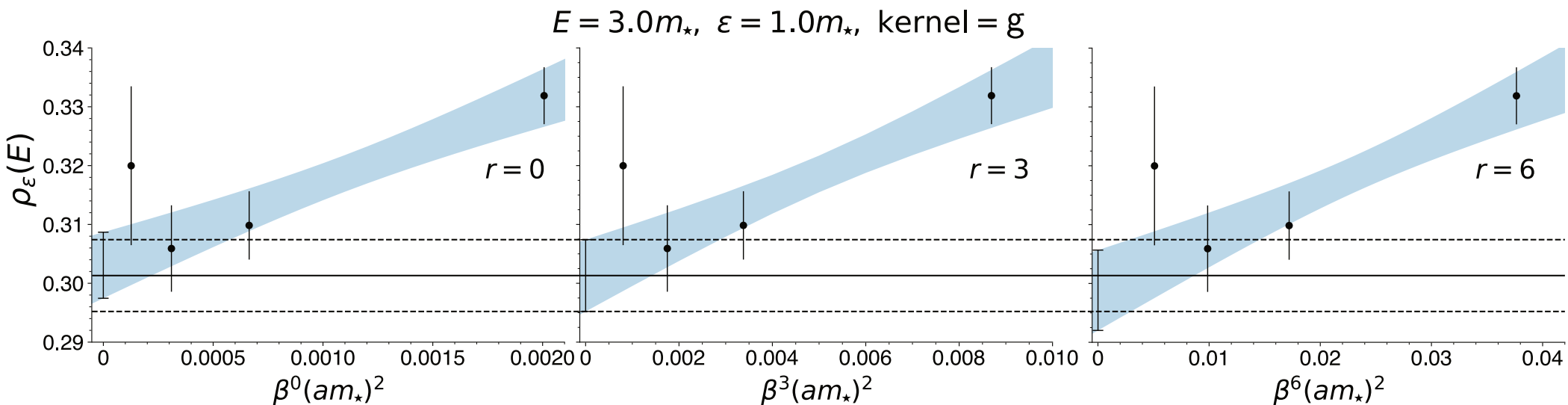


Plateau indicates statistics-limited regime, automatically selected.

# Continuum Limit

Long History! For spectral quantities: ... , J. Balog, F. Niedermayer, P. Weisz, Nucl. Phys. B824 (2010)

$$\lim_{a \rightarrow 0} E(a) = E^{\text{phys}} + A\beta^3 a^2 \left( 1 + \frac{r}{\beta} + \frac{c}{\beta^2} + \dots \right)$$



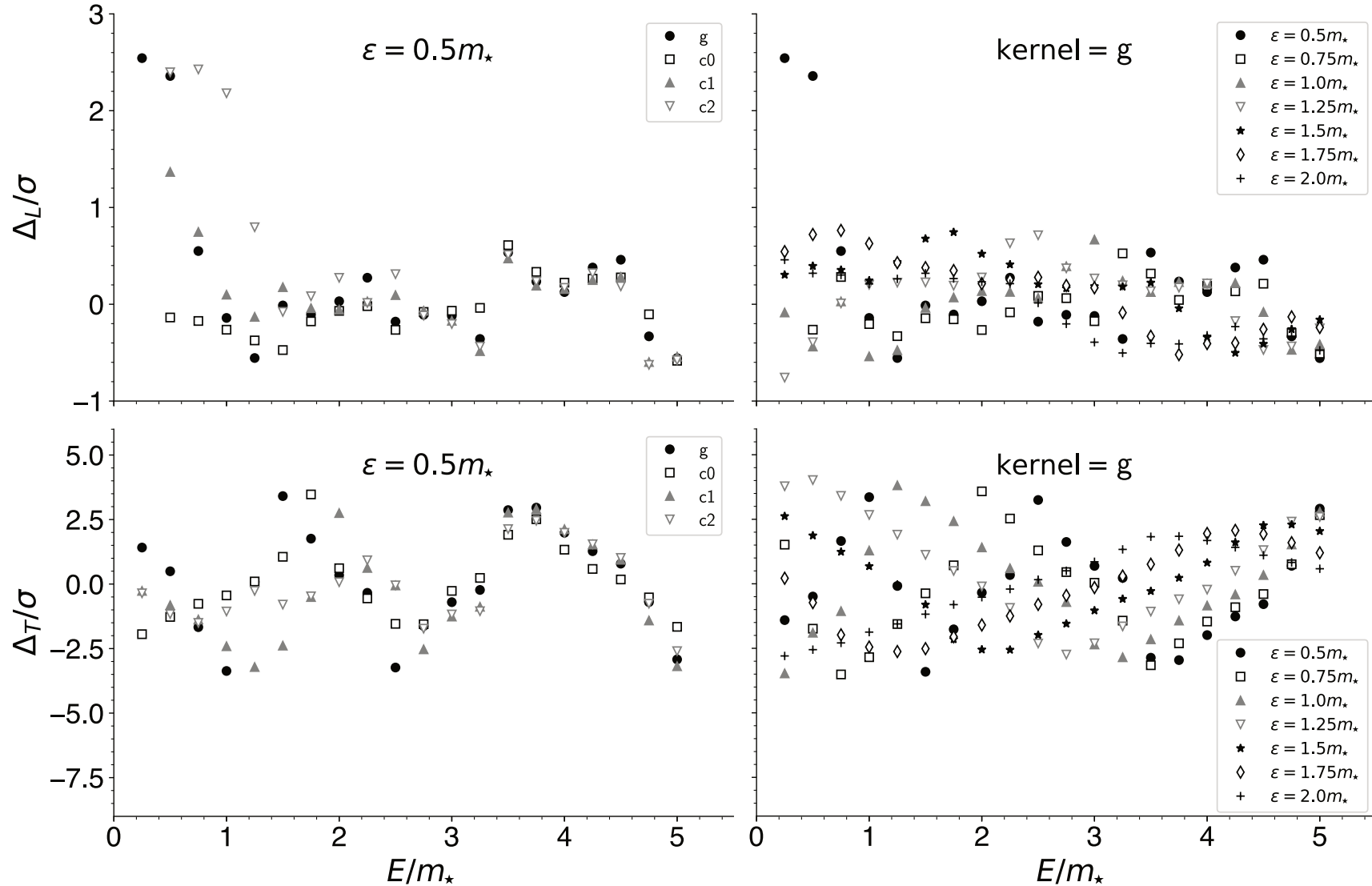
Sys. error estimate from three (arbitrary) fit forms:

$$\beta^p (a/m)^2, \quad p = 0, 3, 6$$

Continuum limit possible at fixed  $\varepsilon$  and  $E$ !

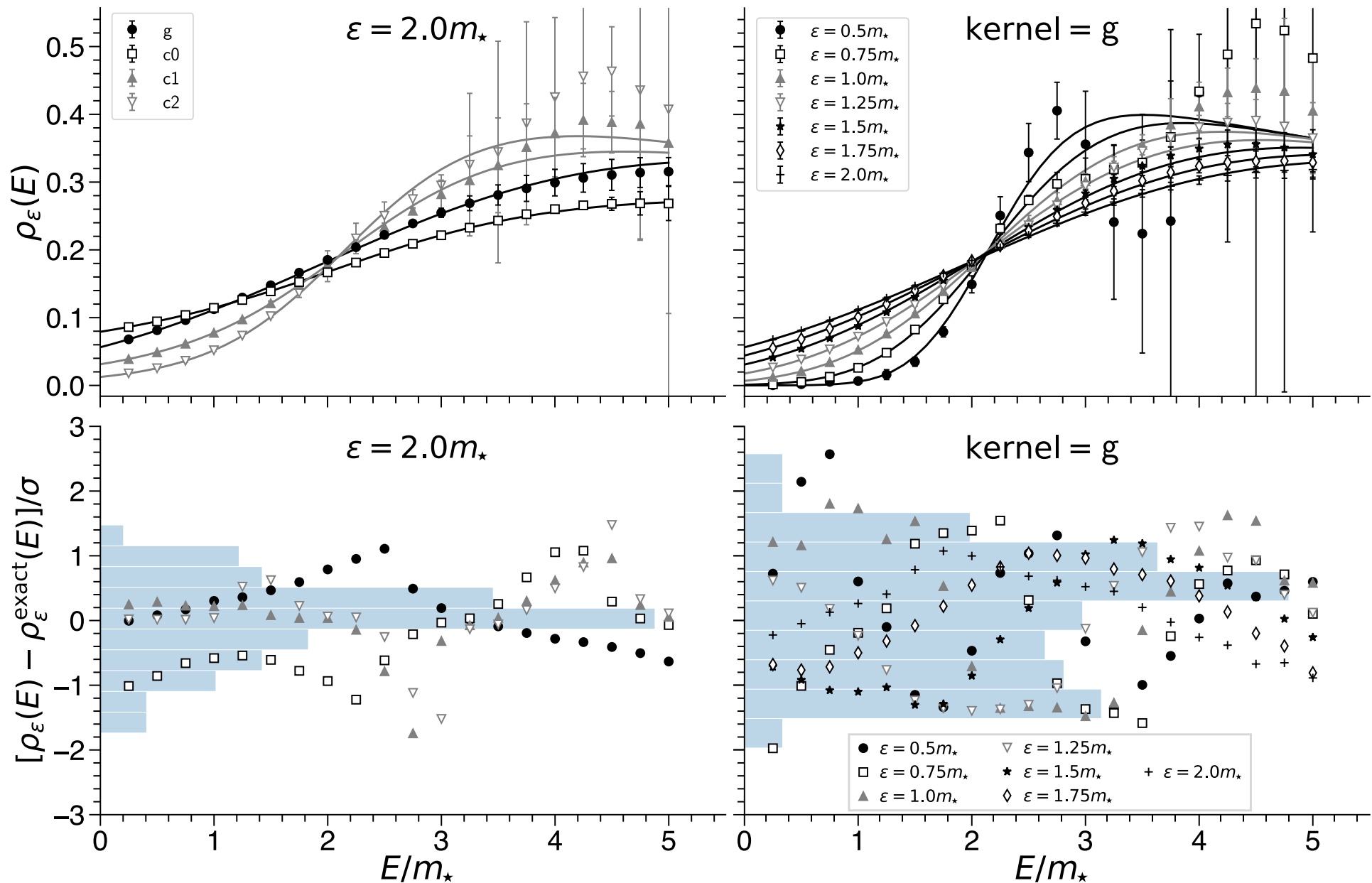
# Finite-volume effects

$$\Delta_L(\epsilon, E) = \rho_{T,L,\epsilon}(E) - \rho_{T,2L,\epsilon}(E)$$



Differences taken as additional systematic error.

# Results: fixed smearing width



Solid lines: exact smeared spectral function, using  $N=2, 4, 6$  particle contributions.

# Results: extrapolation to zero width

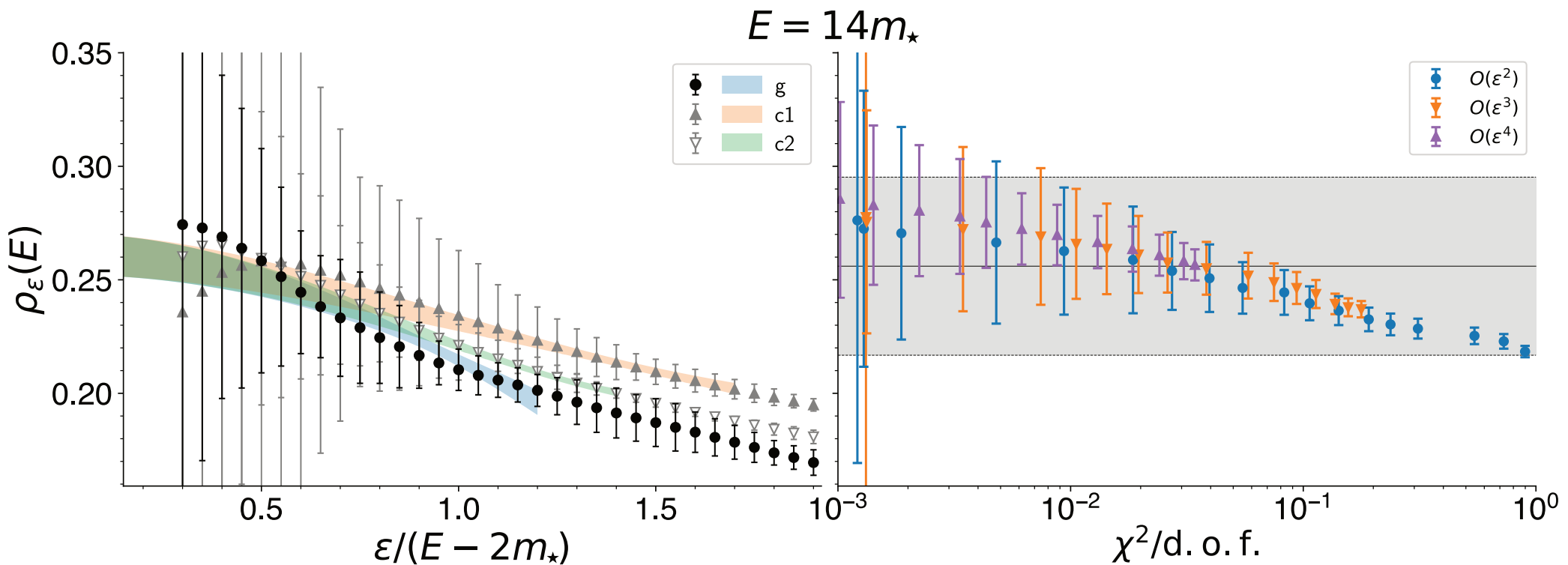
All kernels have the same  $O(\epsilon^2)$  coefficient (up to a sign):

$$\rho_\epsilon^x(E) = \rho(E) + \sum_{k=1}^{\infty} w_k^{(x)} a_k(E) \epsilon^k,$$

$x$	$w_k^x$ , even $k$	$w_k^x$ , odd $k$	$w_1^x$	$w_2^x$	$w_3^x$	$w_4^x$
g	$\frac{k!}{(-2)^{k/2}(k/2)!}$	0	0	-1	0	3
c0	1	1	1	1	1	1
c1	$(1 - k)$	$(1 - k)$	0	-1	-2	-3
c2	$\frac{1}{3}(k - 3)(k - 1)$	$\frac{1}{3}(k - 3)(k - 1)$	0	-1/3	0	1

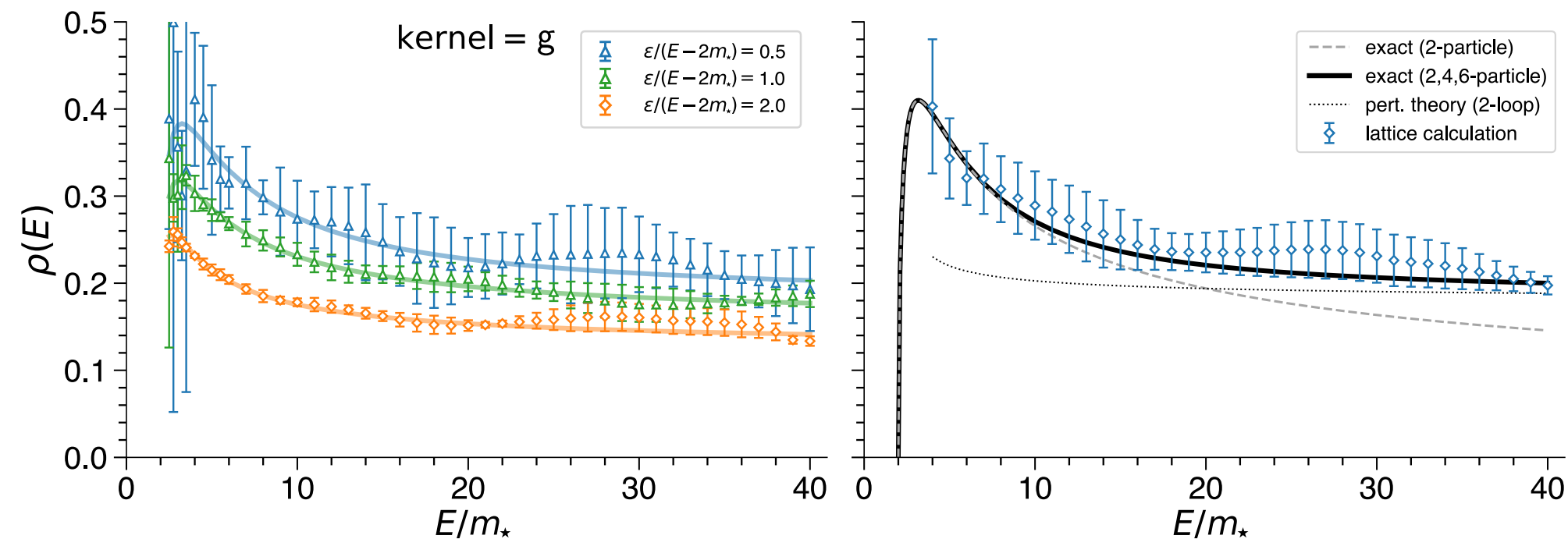
Known coefficients enable constrained extrapolation of all kernels

# Results: extrapolation to zero width



Key insight: larger smearing width sufficient at larger energy

# Results: extrapolation to zero width



Some sensitivity to four-particle contribution at higher energies

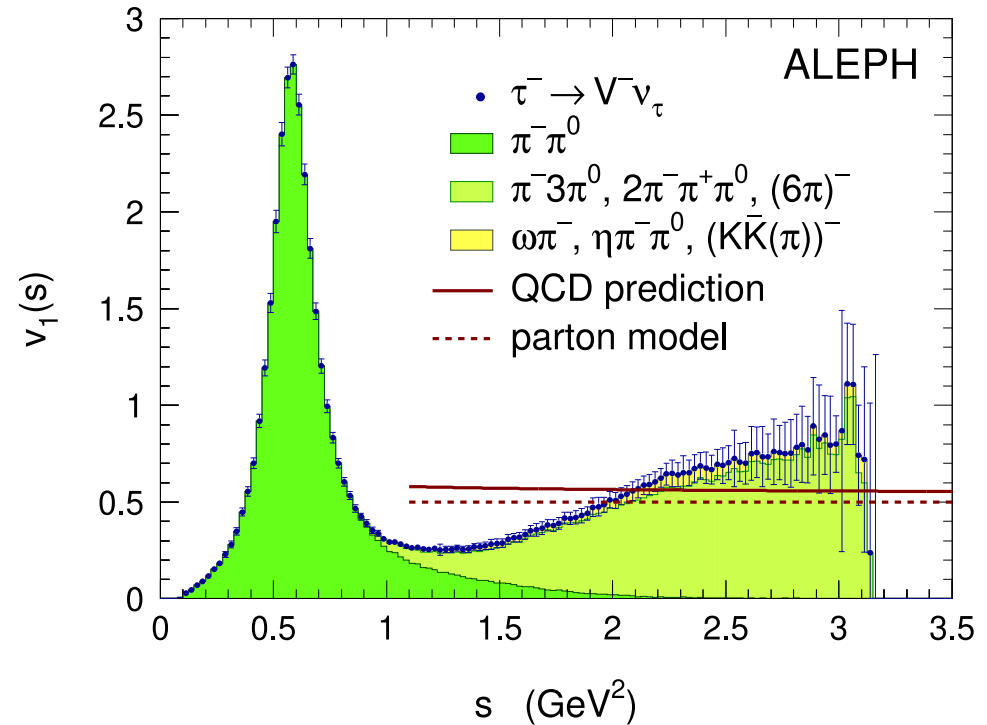
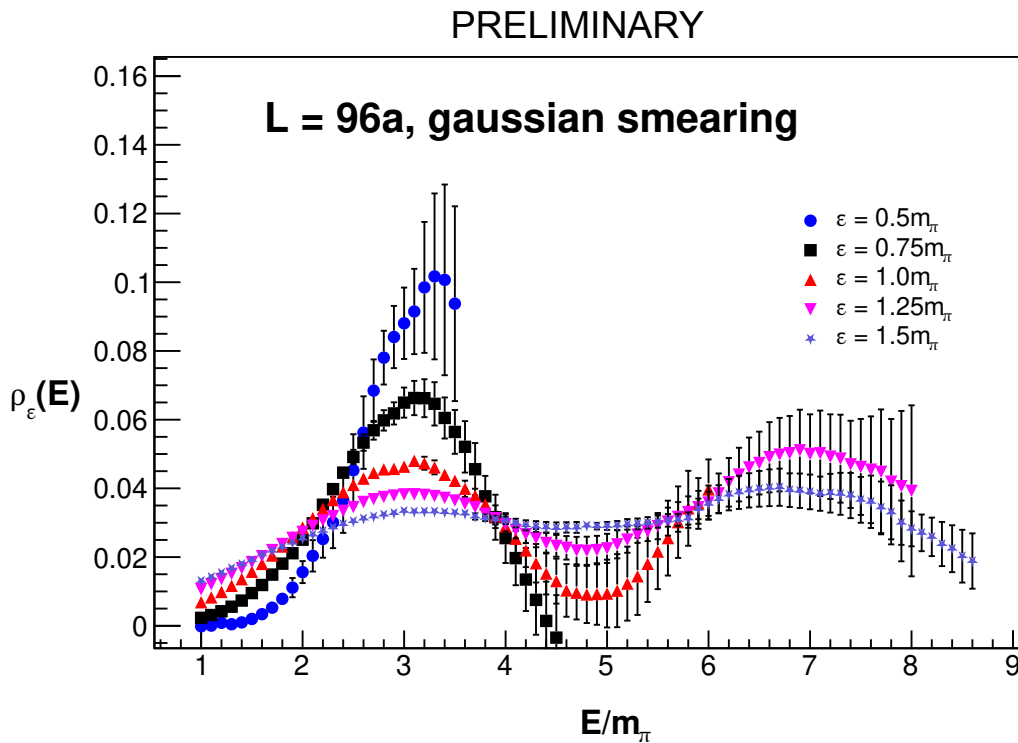


# What about the $R$ -ratio in QCD?

- Large volumes needed: in  $O(3)$ -model  $mL \approx 30$
- Relevant idea: masterfield simulation paradigm
  - Plenary talk by P. Fritzsche, Sat. 9:20am
  - M. Cè, Thurs. 11:50am, Hadron Spectroscopy + Interactions
- Preliminary application to isovector-vector correlator at

$$N_f = 2 + 1, \quad L = 9\text{fm and } 18\text{fm},$$
$$a = 0.09\text{fm}, \quad m_\pi = 270\text{MeV}$$

# What about the $R$ -ratio in QCD?



- Comparison to hadronic tau-decay (right)
- No extrapolation to zero-width yet
- Mild indication of four-particle effects.

ALEPH collaboration '05

# Conclusions

- The HLT approach to spectral reconstruction produces results with controlled systematic errors.
- In 2-D  $O(3)$ -model, smoothness enables extrapolation to the zero-width limit.
- Application to QCD seems promising, although no zero-width extrapolation yet.
- An alternative approach: Chebyshev polynomials      G. Bailas, S. Hashimoto, T. Ishikawa, PTEP '22
  - R. Kellermann, Fri. 2:30pm, Weak Decays + Matrix Elements
  - A. Barone, Fri. 2:50pm, Weak Decays + Matrix Elements
  - A. Smecca, Fri. 3:10pm, Weak Decays + Matrix Elements
- Related work:
  - A. De Santis, Thurs. 10:00am, QCD in Searches for BSM Physics
  - A. Evangelista, Poster