

Symmetry Breaking in an Extended-O(2) Model

Leon Hostetler ¹, Ryo Sakai ², Jin Zhang ³,
Judah Unmuth-Yockey ⁴, Alexei Bazavov ¹, and Yannick Meurice ³

¹ Michigan State University

²Syracuse University

³University of Iowa

⁴Fermilab

Aug. 10, 2022



Outline

- 1 Motivation
- 2 The Extended-O(2) Model
 - Previous Work (PRD 104 (5), 054505)
 - Phase Diagram
- 3 Phase Diagram
- 4 Summary & Outlook

Outline

- 1 Motivation
- 2 The Extended- $O(2)$ Model
 - Previous Work (PRD 104 (5), 054505)
 - Phase Diagram
- 3 Phase Diagram
- 4 Summary & Outlook

Motivation

- Ultimately, we want to do quantum simulation of lattice QCD
- First, we must be able to do this with simpler Abelian models
- Given the limited number of qubits available, it is important to optimize the discretization procedure
- One can make a \mathbb{Z}_q approximation of a continuous $U(1)$ symmetry
- To optimize such a \mathbb{Z}_q approximation, it is useful to build a continuous family of models that interpolate among the various possibilities
- This brings us to our extended- $O(2)$ model

Outline

- 1 Motivation
- 2 The Extended-O(2) Model
 - Previous Work (PRD 104 (5), 054505)
 - Phase Diagram
- 3 Phase Diagram
- 4 Summary & Outlook

The Extended-O(2) Model

- We consider an extended-O(2) model in 2D with action

$$S_{\text{ext-O}(2)} = -\beta \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x) - \gamma \sum_x \cos(q\varphi_x)$$

- When $\gamma = 0$, this is the classic XY model, with a BKT transition
- When $\gamma > 0$, the second term breaks periodicity and we must choose $\varphi \in [\varphi_0, \varphi_0 + 2\pi)$ for some choice φ_0
- When $\gamma \rightarrow \infty$, the continuous angle φ is forced into the discrete values

$$\varphi_0 \leq \varphi_{x,k} = \frac{2\pi k}{q} < \varphi_0 + 2\pi$$

- ▶ For $q \in \mathbb{Z}$, this is the ordinary q -state clock model with \mathbb{Z}_q symmetry
- ▶ For $q \notin \mathbb{Z}$, this defines an interpolation of the clock model for noninteger q

Previous Work: The $\gamma \rightarrow \infty$ limit¹

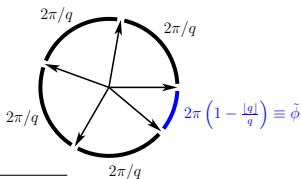
- In the limit $\gamma \rightarrow \infty$, we can replace the action with

$$S_{\text{ext-}q} = -\beta \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x)$$

- We directly restrict the previously continuous angles to the discrete values

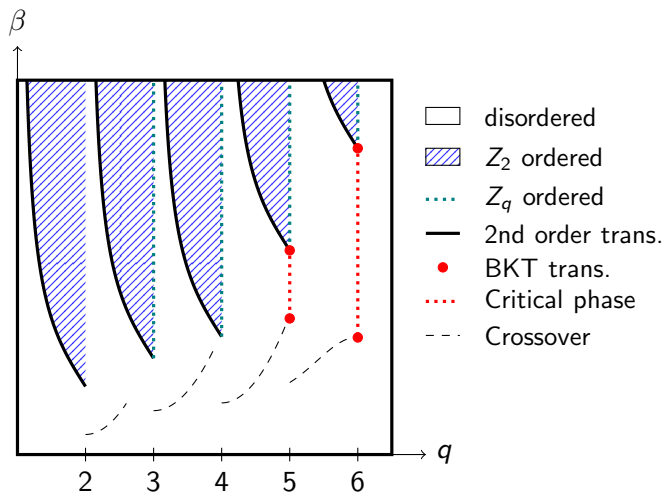
$$\varphi_0 \leq \varphi_{x,k} = \frac{2\pi k}{q} < \varphi_0 + 2\pi$$

- We choose $\varphi_0 = 0$, i.e. $\varphi \in [0, 2\pi)$, but we also investigate $\varphi_0 = -\pi$
- For $q \notin \mathbb{Z}$, divergence from ordinary clock model behavior is driven by the introduction of a “small angle”:



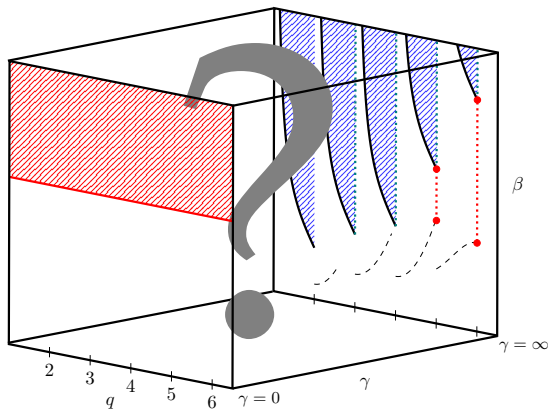
¹PRD 104 (5), 054505

Previous Work: The $\gamma \rightarrow \infty$ limit²



Phase Diagram

$$S = -\beta \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x) - \gamma \sum_x \cos(q\varphi_x)$$



Algorithm Developments

- In the $\gamma \rightarrow \infty$ limit, the DOF can be treated as discrete
 - ▶ Which means we could use an MCMC *heatbath* algorithm
 - ▶ We could use a TRG method for large volumes
- The model is more difficult to study at finite γ
- For finite γ , the DOF are continuous
 - ▶ MCMC heatbath is not an option, so we're left with the Metropolis, which suffers from low acceptance rates and leads to large autocorrelations in this model
 - ▶ Furthermore, our TRG method was only designed for the $\gamma \rightarrow \infty$ limit
- We needed to make some algorithmic developments
 - ▶ We implemented a *biased Metropolis heatbath algorithm*³ (BMHA) which is designed to approach heatbath acceptance rates
 - ▶ To explore large volumes, Ryo Sakai implemented a Gaussian quadrature method

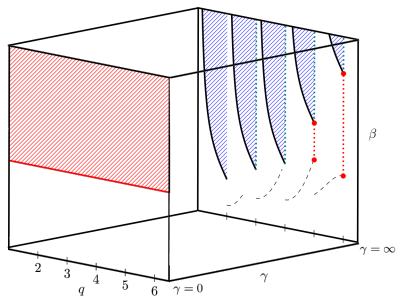
³A. Bazavov and B. A. Berg, PRD 71, 114506 (2005)

Outline

- 1 Motivation
- 2 The Extended- $O(2)$ Model
 - Previous Work (PRD 104 (5), 054505)
 - Phase Diagram
- 3 Phase Diagram**
- 4 Summary & Outlook

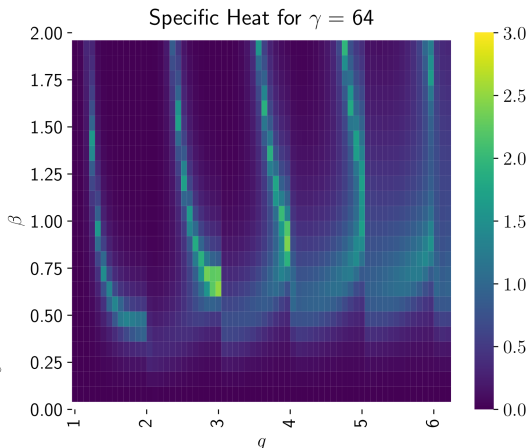
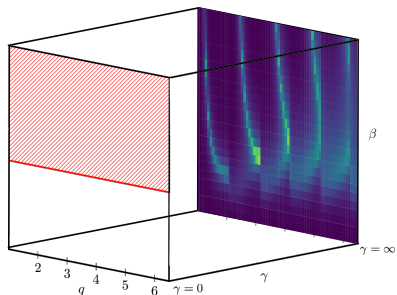
Phase Diagram

$$S_{\text{ext-O}(2)} = -\beta \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x) - \gamma \sum_x \cos(q\varphi_x)$$



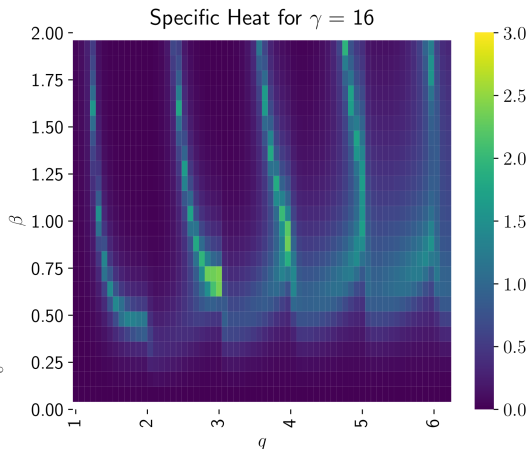
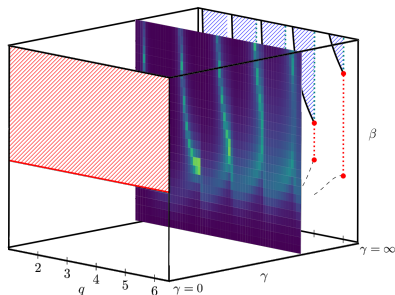
Specific Heat from TRG with $L = 1024$ and $\gamma = 64$

$$S_{\text{ext-O}(2)} = -\beta \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x) - \gamma \sum_x \cos(q\varphi_x)$$



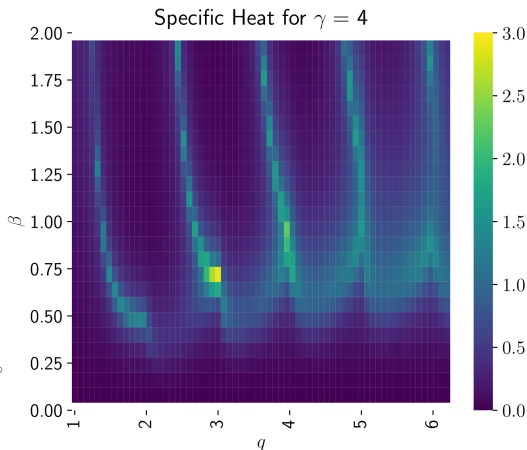
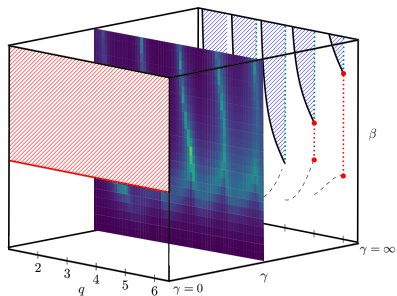
Specific Heat from TRG with $L = 1024$ and $\gamma = 16$

$$S_{\text{ext-O}(2)} = -\beta \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x) - \gamma \sum_x \cos(q\varphi_x)$$



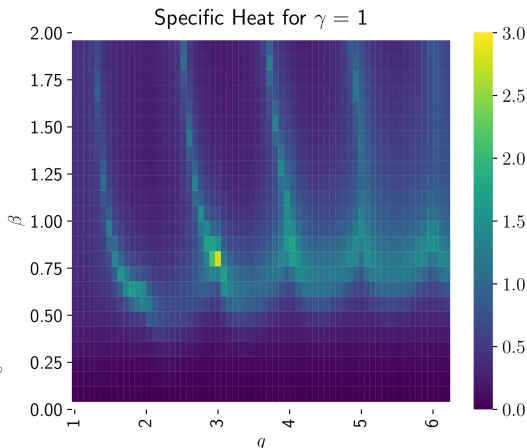
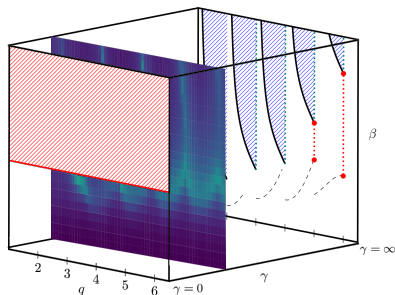
Specific Heat from TRG with $L = 1024$ and $\gamma = 4$

$$S_{\text{ext-O}(2)} = -\beta \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x) - \gamma \sum_x \cos(q\varphi_x)$$



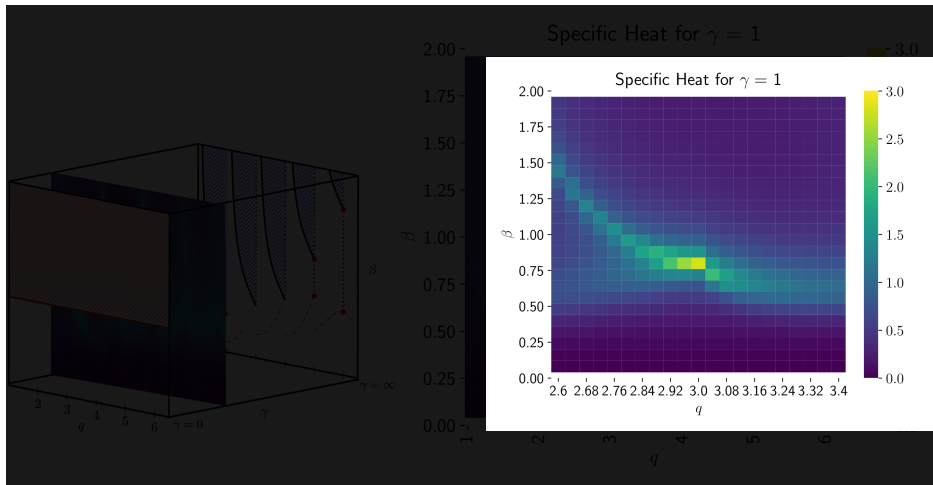
Specific Heat from TRG with $L = 1024$ and $\gamma = 1$

$$S_{\text{ext-O}(2)} = -\beta \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x) - \gamma \sum_x \cos(q\varphi_x)$$



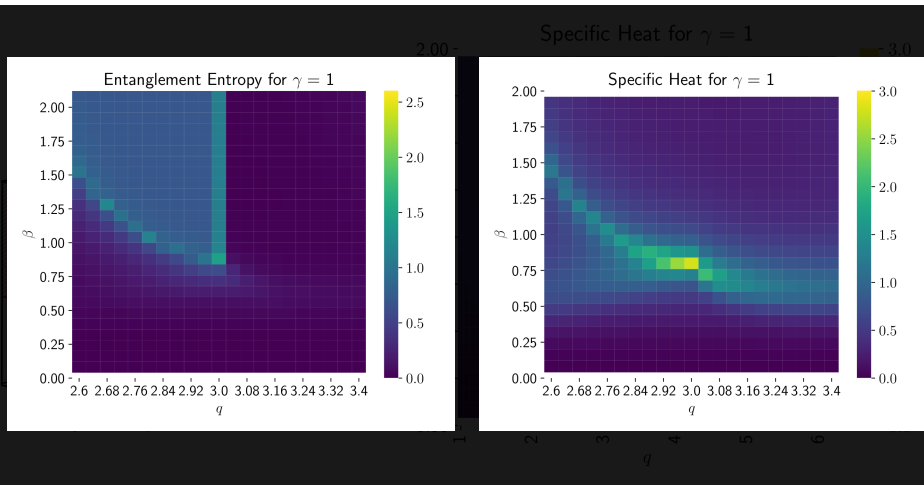
Specific Heat from TRG with $L = 1024$ and $\gamma = 1$

$$S_{\text{ext-O}(2)} = -\beta \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x) - \gamma \sum_x \cos(q\varphi_x)$$



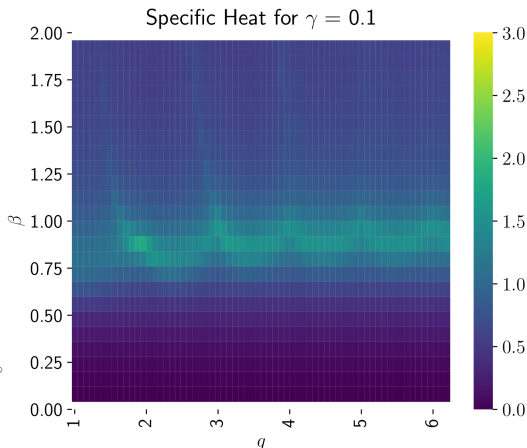
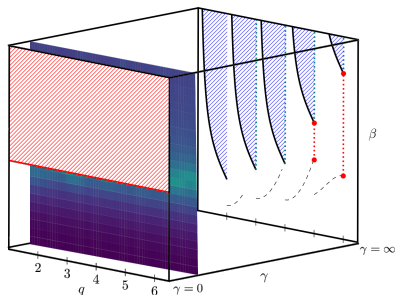
Specific Heat from TRG with $L = 1024$ and $\gamma = 1$

$$S_{\text{ext-O}(2)} = -\beta \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x) - \gamma \sum_x \cos(q\varphi_x)$$



Specific Heat from TRG with $L = 1024$ and $\gamma = 0.1$

$$S_{\text{ext-O}(2)} = -\beta \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x) - \gamma \sum_x \cos(q\varphi_x)$$



Outline

- 1 Motivation
- 2 The Extended- $O(2)$ Model
 - Previous Work (PRD 104 (5), 054505)
 - Phase Diagram
- 3 Phase Diagram
- 4 Summary & Outlook

Summary & Outlook

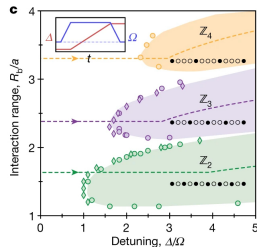
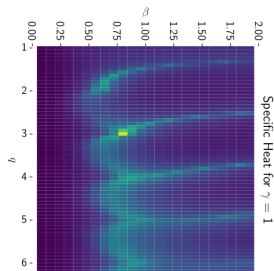
- ① We looked at an extended $O(2)$ model with parameters β , γ , and q

$$S = -\beta \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x) - \gamma \sum_x \cos(q\varphi_x)$$

- ② Previously, we established the $\gamma = \infty$ slice of the 3D phase diagram
- ▶ When $q \in \mathbb{Z}$, we recover the classic q -state clock model which has a single second-order phase transition for $q = 2, 3, 4$ and two BKT transitions for $q \geq 5$
 - ▶ When $q \notin \mathbb{Z}$, we get a crossover and a second-order phase transition
- ③ We are currently exploring the finite γ region of the phase diagram
- ▶ Finite size scaling
 - ▶ Ryo Sakai is studying the model on large lattices using tensor methods

Connections to Quantum Simulation

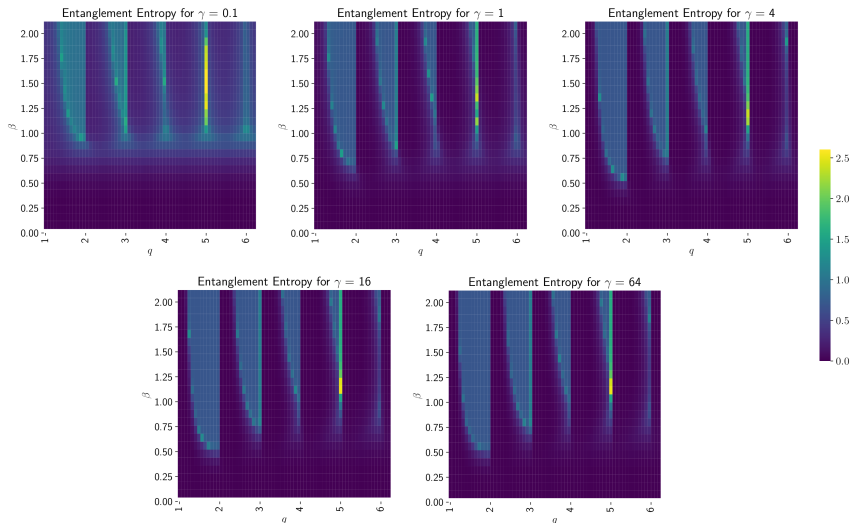
- 1 For analog simulation, need to discretize space and truncate the fields
 - 1 Can approximate $U(1)$ by \mathbb{Z}_q
 - 2 Need to optimize the approximation
 - 3 It is useful to have a continuous family of models that interpolate among the different q
- 2 The extended- $O(2)$ model shows interesting behavior already on very small lattices making it a good test case for analog simulation
- 3 Quantum simulation of similar models with a continuously tunable parameter have been done with Rydberg atoms (Bernien et. al. Nature 551, 579-584 (2017), Keesling et. al. Nature 568, 207 (2019))
 - The resulting phase diagram (right) shows similarities to the phase diagram of the extended- $O(2)$ model at finite γ . Coincidence?



Thank you!

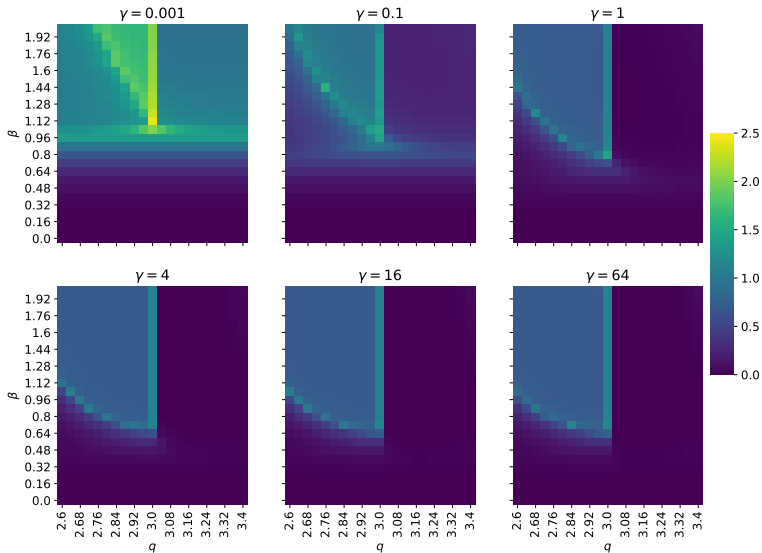
Additional Slides:

Entanglement Entropy from TRG with $L = 1024$



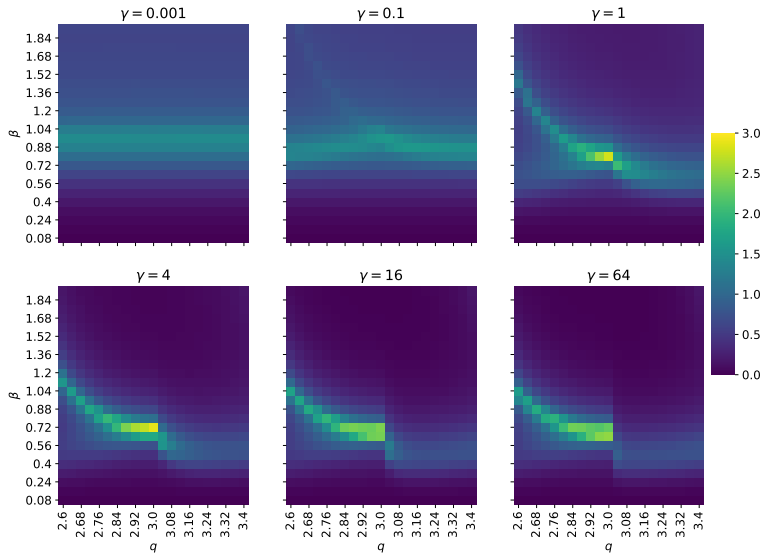
Entanglement Entropy from TRG with $L = 1024$

Entanglement Entropy near $q = 3$



Specific Heat from TRG with $L = 1024$

Specific Heat near $q = 3$



Previous Work: The $\gamma \rightarrow 0$ limit for $q \in \mathbb{Z}$

- Previous work on this model by others includes work by Jose, Kadanoff, Kirkpatrick, and Nelson in 1977 (PRB 16 3)
 - ▶ They considered the same model but with integer q and $\gamma \rightarrow 0$ as a symmetry-breaking perturbation to the $O(2)$ model
 - ▶ They study it using two schemes—Migdal approximation and a generalized Villain model and spin-wave expansion
 - ▶ Key finding is that any $\gamma > 0$ perturbation will force the system away from $O(2)$ behavior if β is sufficiently large
- Previous work on this model by others includes work by N. Butt, X.-Y. Jin, J. C. Osborn, and Z. H. Saleem in 2022 (arXiv:2205.03548)
 - ▶ They considered the same model also with integer q and $\gamma \rightarrow 0$ as a symmetry-breaking perturbation to the $O(2)$ model
 - ▶ They study it using a tensor formulation
 - ▶ A key finding is that even a small perturbation results in an additional phase transition which has a non-zero critical temperature even in the limit $\gamma \rightarrow 0$

Angle Histograms

In the Extended- $O(2)$ model, each spin variable can be represented by an angle $\varphi \in [0, 2\pi)$. Histograms of this angle over many configurations and over all sites in a configuration can help to illustrate what is happening when q and γ are varied.

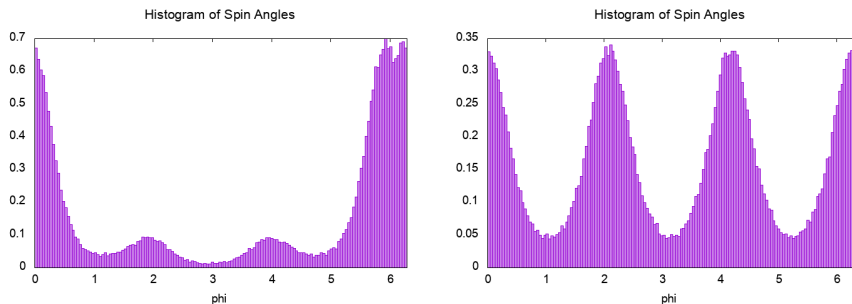


Figure: (LEFT) An example angles histogram for the case $q = 3.2$, $\gamma = 1.0$, and $\beta = 0.8$. (RIGHT) An example angles histogram for the case $q = 3.0$, $\gamma = 1.0$, and $\beta = 0.8$.

Angle Histograms at $\gamma = 0.1$

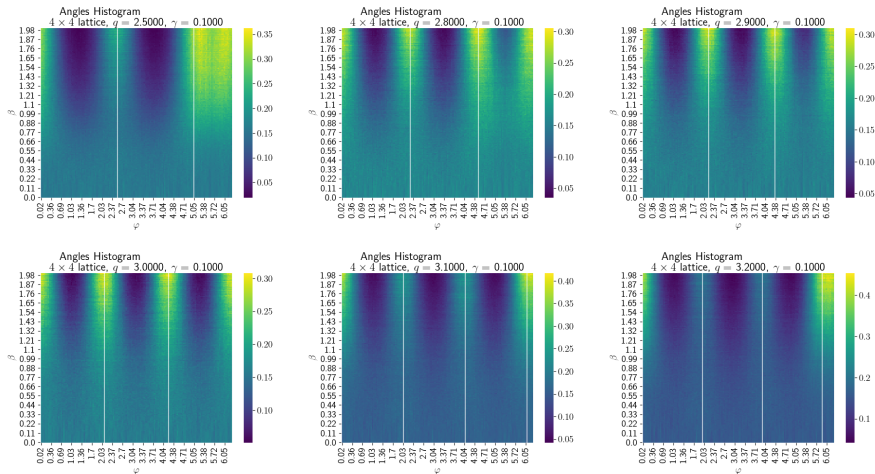


Figure: Heatmaps of the angle histograms for several q . Brighter colors correspond to higher peaks in the angle histogram. The vertical white lines were added to indicate the preferred angles (i.e. $2\pi k/q$ for $k = 0, 1, \dots, [q]$) for that value of q .

Angle Histograms at $\gamma = 1$

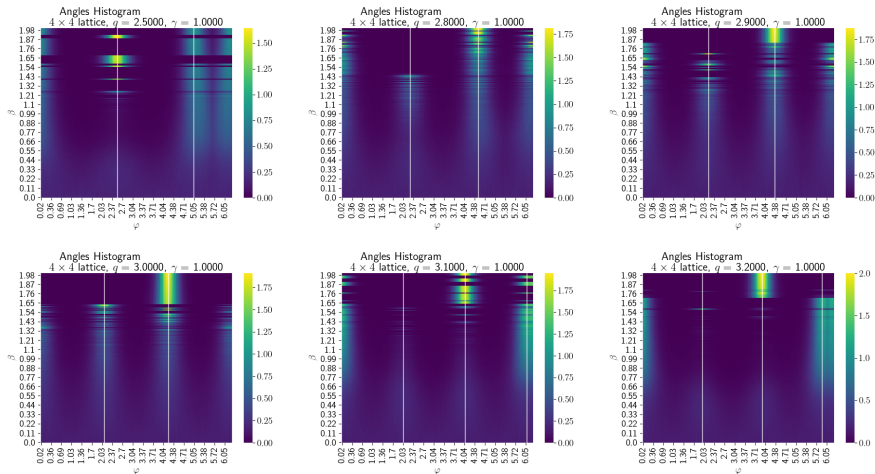
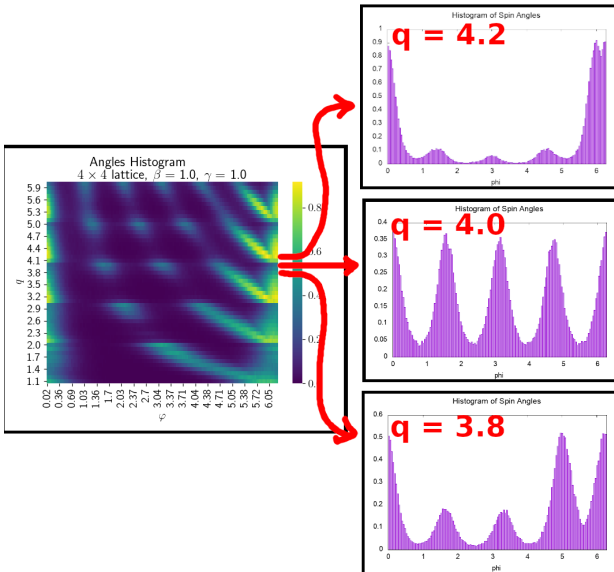


Figure: Heatmaps of the angle histograms for several q with $\gamma = 1$ and $\beta \in [0, 2]$. At large β , artifacts develop due to freezing/insufficient statistics, thus, one should ignore the upper parts i.e. $\beta \gtrsim 1.2$ of these heatmaps.

Angle Histograms along a line of Constant β



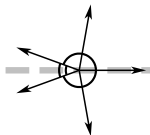
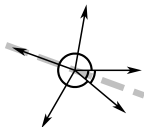
Choice of φ_0

- Choice of φ_0 can change the DOF in the model
- We choose $\varphi_0 = 0$, i.e. $\varphi \in [0, 2\pi)$, but we also investigate $\varphi_0 = -\pi$

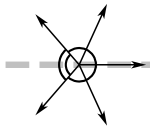
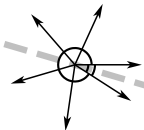
$$\varphi_0 = 0$$

$$\varphi_0 = -\pi$$

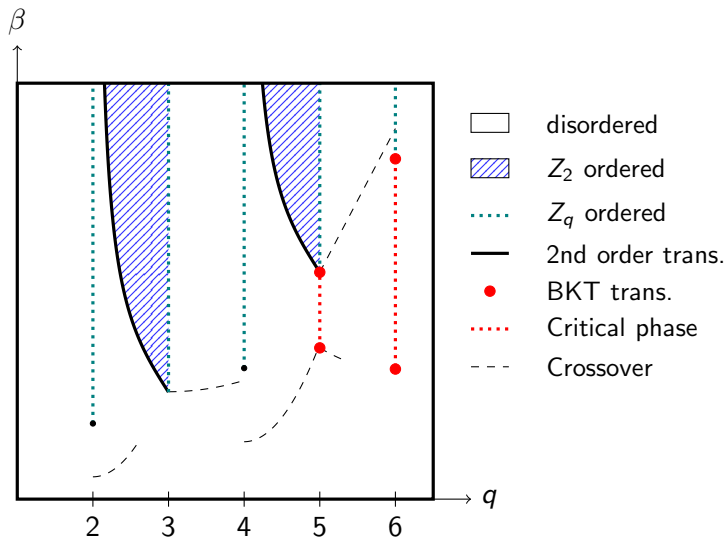
$$q = 4.5$$



$$q = 5.5$$



Phase diagram for $\gamma = \infty$ and $\varphi_0 = -\pi$



Placement of β

- One can define the model as

$$S = -\beta \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x) - \gamma \sum_x \cos(q\varphi_x)$$

where β is multiplying the first term like a field-theoretic coupling. Then the Boltzmann factor is e^{-S}

- Alternatively, one can factor β out front and define the model as

$$S = - \sum_{x,\mu} \cos(\varphi_{x+\hat{\mu}} - \varphi_x) - \gamma' \sum_x \cos(q\varphi_x)$$

with Boltzmann factor $e^{-\beta S}$, where β is the inverse temperature

- The two definitions are related by $\gamma' = \gamma/\beta$
- We have used both definitions, however, the Monte Carlo results shown in these slides are from the definition with β factored out front

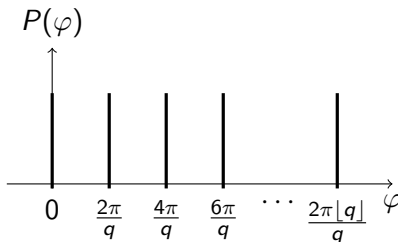
The Need to Shift the Angles: A Subtlety

- In the ordinary clock model, we have the energy function

$$S = -\beta \sum_{\langle x,y \rangle} \cos(\varphi_x - \varphi_y)$$

- The angles $\varphi_x^{(k)}$ are selected discretely as $\varphi_0 \leq \varphi_x^{(k)} = \frac{2\pi k}{q} < \varphi_0 + 2\pi$
- When $\beta = 0$ and with $\varphi_0 = 0$, the spins are selected uniformly from a “Dirac comb”

$$P_{q,\varphi_0=0}^{clock}(\varphi) \sim \sum_{k=0}^{\lfloor q \rfloor} \delta\left(\varphi - \frac{2\pi k}{q}\right)$$



The Need to Shift the Angles: A Subtlety

- In the Extended-O(2) model, we have the energy function

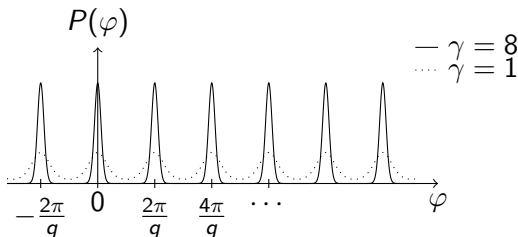
$$S = -\beta \sum_{\langle x,y \rangle} \cos(\varphi_x - \varphi_y) - \gamma \sum_x \cos(q\varphi_x)$$

- The angles φ_x are now selected continuously in

$$\varphi_0 \leq \varphi \in \mathbb{R} < \varphi_0 + 2\pi$$

- When $\beta = 0$ and with $\varphi_0 = 0$, the spins are selected from a distribution

$$P_{q,\varphi_0}^{\text{extO2}}(\varphi) \sim e^{\gamma \cos(q\varphi)}$$



The Need to Shift the Angles: A Subtlety

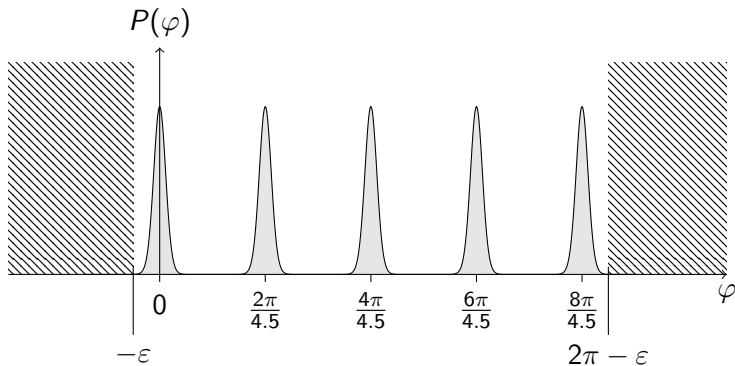


Figure: To recover the Dirac comb of the clock model distribution in the $\gamma \rightarrow \infty$ limit, the angle domain must be shifted by some ε so that the histogram includes all relevant peaks.

The Need to Shift the Angles: A Subtlety

- To match the clock model in the $\gamma \rightarrow \infty$ limit, it should be sufficient to choose ε such that

$$P_{q, \varphi_0}^{\text{extO2}}(\varphi) \xrightarrow{\gamma \rightarrow \infty} P_{q, \varphi_0}^{\text{clock}}(\varphi)$$

where for the clock model, angles are selected from $[\varphi_0, \varphi_0 + 2\pi)$, but for the Extended-O(2) model, they are selected from $[\varphi_0 - \varepsilon, \varphi_0 - \varepsilon + 2\pi)$

- In our case, we use $\varphi_0 = 0$, and choose

$$\varepsilon = \pi \left(1 - \frac{\lfloor q \rfloor}{q} \right)$$

so that the $\lfloor q \rfloor$ peaks of the distribution $P_{q, \varphi_0}^{\text{extO2}}(\varphi)$ are centered in the domain $[-\varepsilon, 2\pi - \varepsilon)$