



COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

# Gluon Structure from Lattice QCD



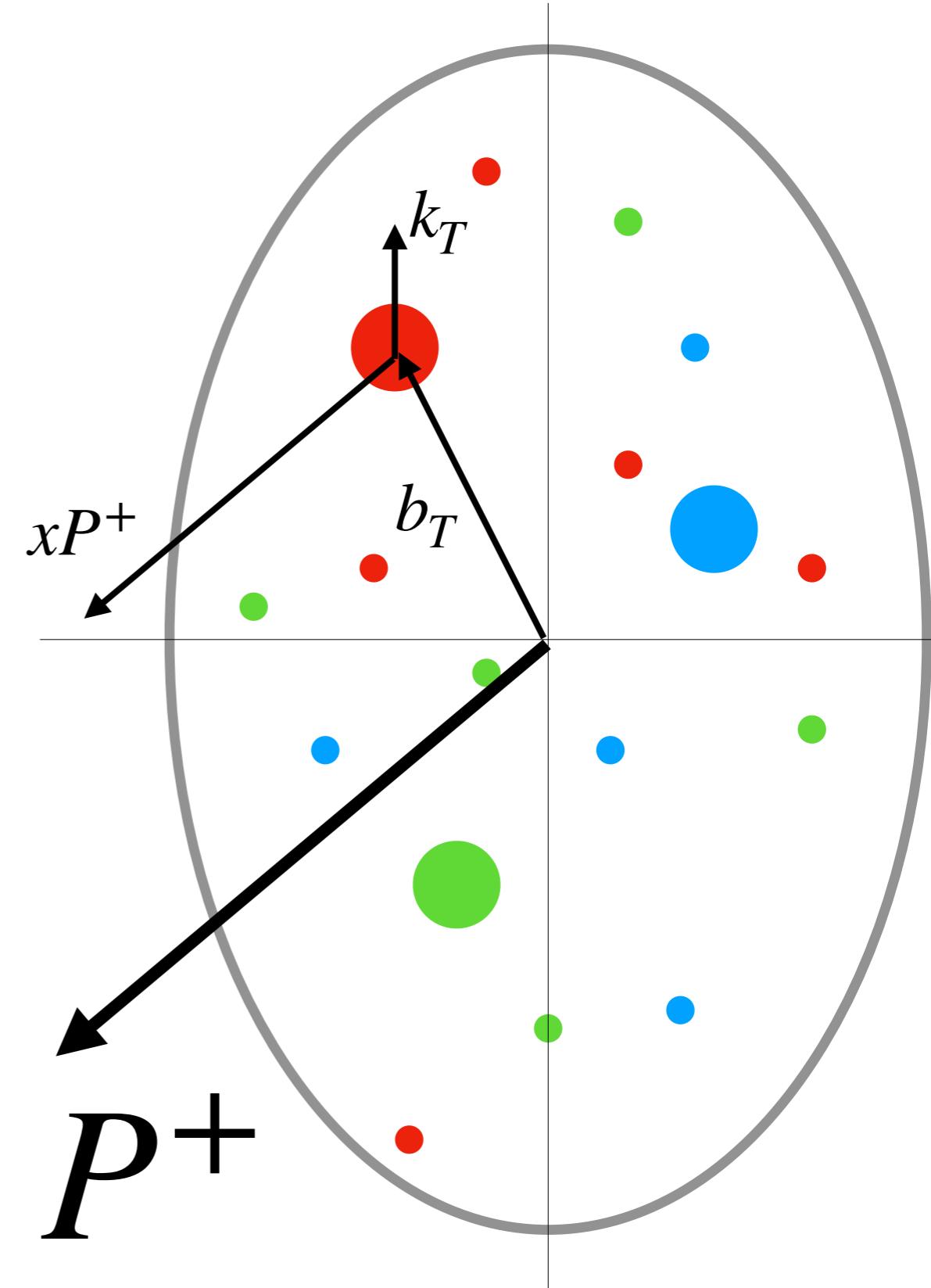
Joe Karpie (Columbia U) part of the HadStruc Collaboration

# Outline

- Review Parton Structure
  - Positivity and phenomenological global analyses
- Lattice approaches to PDFs
  - Wilson line operators
  - Inverse problems
  - Techniques for reaching high momentum
- Numerical results
  - Unpolarized gluon distribution
  - Helicity gluon distribution

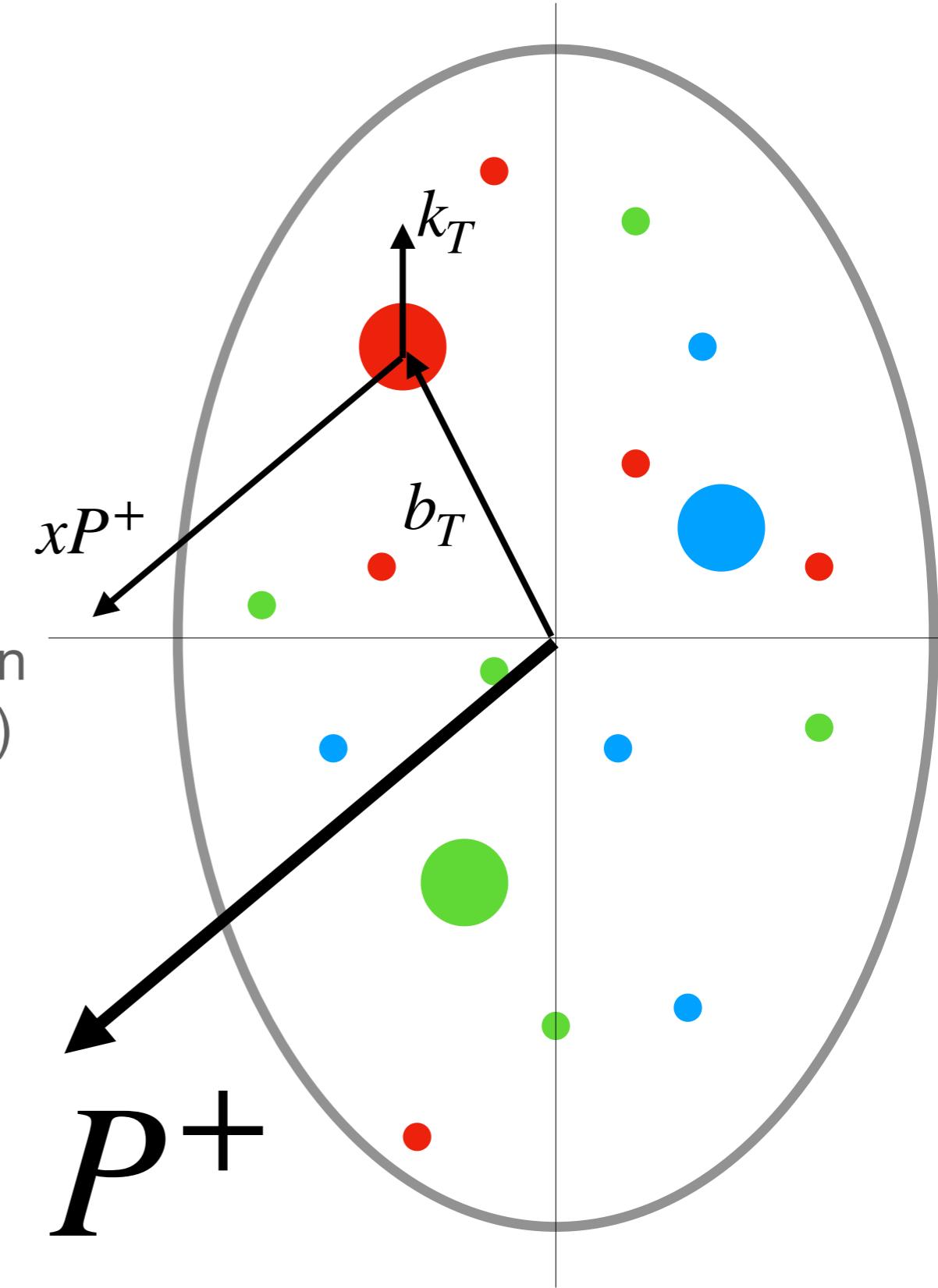
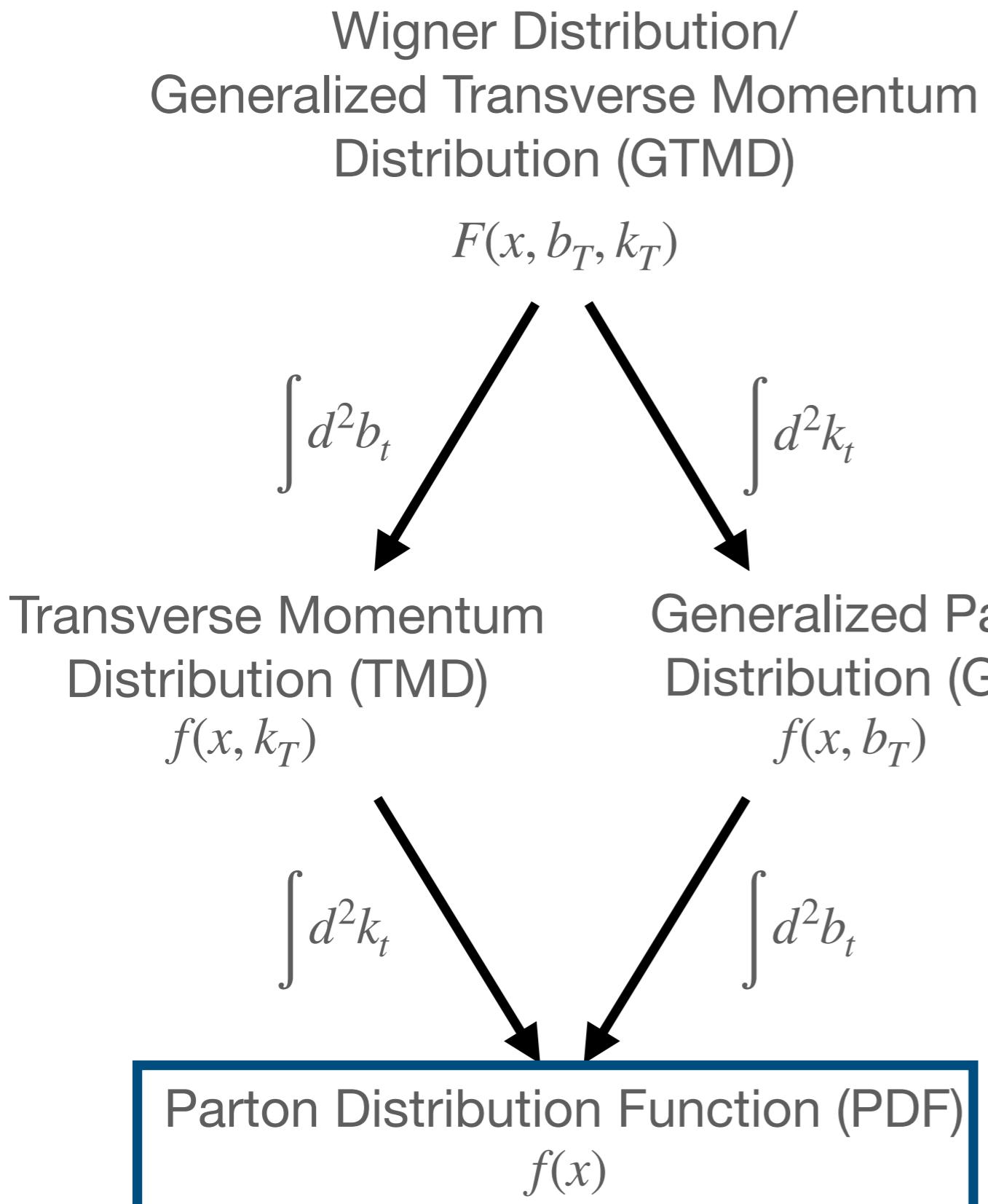
# Parton Structure

- Internal structure of hadrons
- How are quarks and gluons distributed?
- How do they contribute to cross sections, hadron mass, spin, .....?
  - **Longitudinal** structure from momentum fraction  $x$
  - **Transverse** structure from
    - Impact parameter  $b_T$
    - Transverse momentum  $k_T$



# Parton Structure

For various flavors and spin combinations



# Parton and Ioffe Time distributions

- Unpolarized Ioffe time distributions

Ioffe time:  $\nu = p \cdot z$

V. Braun, P. Gornicki, L. Mankiewicz  
*Phys Rev D* 51 (1995) 6036-6051

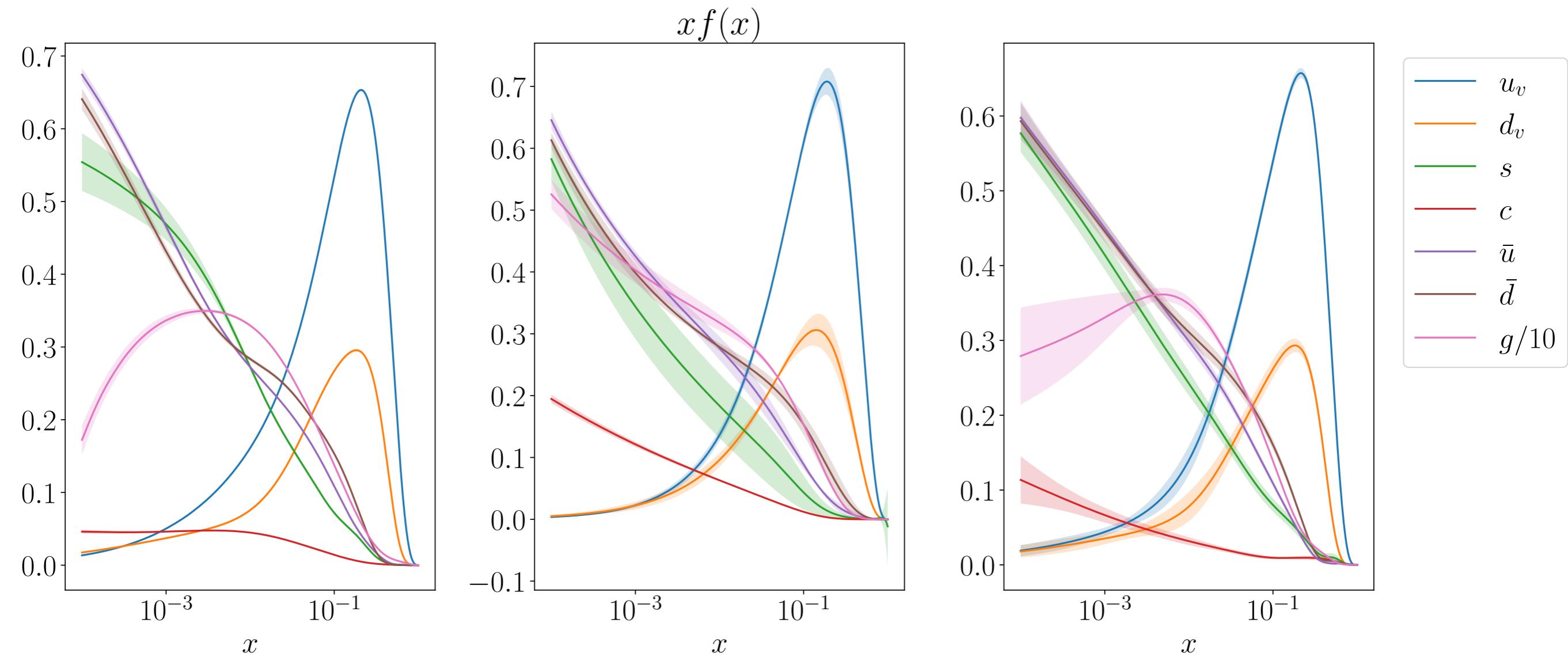
$$\begin{aligned} \bullet I_q(\nu, \mu^2) &= \frac{1}{2p^+} \langle p | \bar{\psi}_q(z^-) \gamma^+ W(z^-; 0) \psi_q(0) | p \rangle_\mu \\ &\quad z^2 = 0 \\ \bullet I_g(\nu, \mu^2) &= \frac{1}{(2p^+)^2} \langle p | F_{+i}(z^-) W(z^-; 0) F_+^i(0) | p \rangle_\mu \\ &\quad i = x, y \end{aligned}$$

- Parton Distribution Functions

$$\begin{aligned} \bullet I_q(\nu, \mu^2) &= \int_{-1}^1 dx e^{ix\nu} f_q(x, \mu^2) \\ \bullet I_g(\nu, \mu^2) &= \int_0^1 dx \cos(x\nu) x f_g(x, \mu^2) \end{aligned}$$

# Phenomenological Fits

- Nucleon unpolarized PDFs from analysis of global experimental data
  - Best known distributions

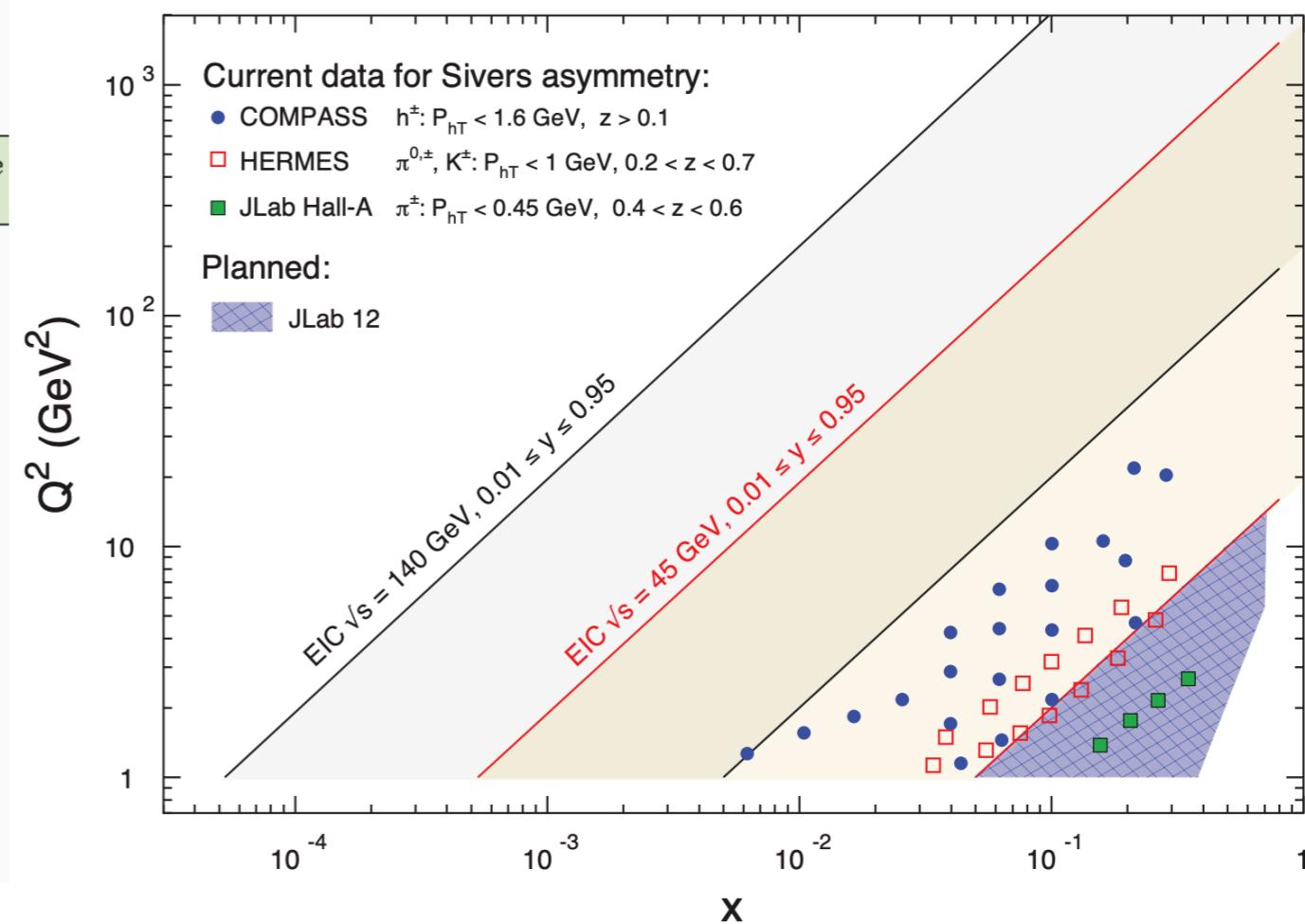
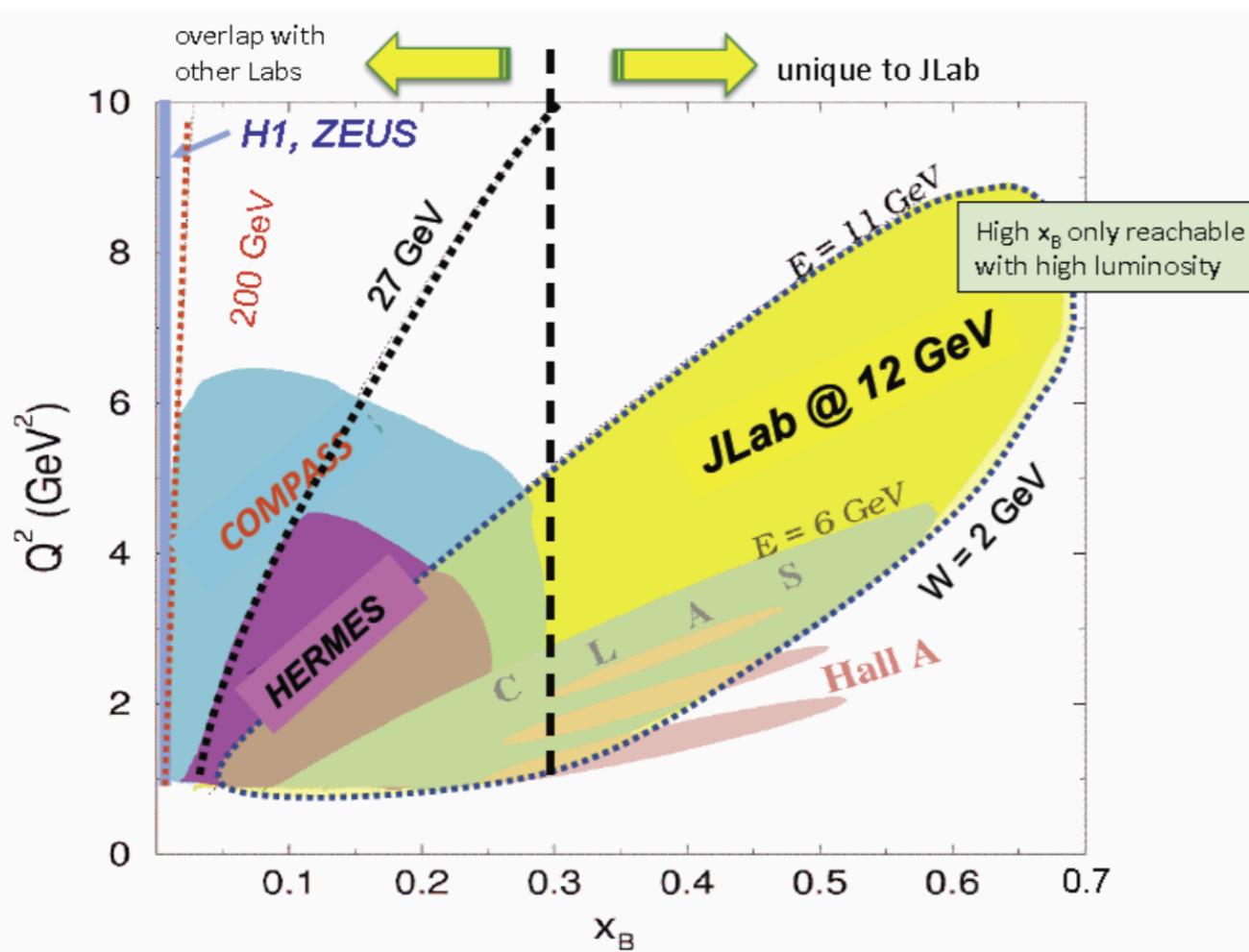


(Left) MSHT20 Eur. Phys. J. C 81 (2021) 4, 341. (Center) JAM20 Phys. Rev. D 104 (2021) 1, 016015.

(Right) NNPDF4.0 Eur. Phys. J. C 82 (2022) 5, 428

# JLab 12 GeV and the EIC

- Expand Kinematic Coverage
  - JLab extends to large  $x$  (also where lattice is most sensitive)
  - Unpolarized gluon PDF dominates low  $x$  and is goal of EIC
- Expand to polarized and 3D distributions (lattice goal as well)



JLab 12 GeV White Paper:  
Eur. Phys. J. A 48 (2012) 187

EIC White Paper:  
Eur. Phys. J. A 52 (2016) 9, 268

# Positivity of the PDFs

- In parton model (LO without QCD interactions),  $f_i^{\uparrow/\downarrow}(x) \geq 0$

- Sometimes assumed for PDF analysis

- For gluons implies,

$$2g(x) = g^\uparrow(x) + g^\downarrow(x)$$

$$2\Delta g(x) = g^\uparrow(x) - g^\downarrow(x)$$

$$|\Delta g(x)| \leq g(x)$$

- With interactions, does not have to be true for  $\overline{\text{MS}}$  scheme

J. Collins, T. Rogers, N. Sato, Phys Rev D 105 (2022) 7,076010

- How will this effect analyses?

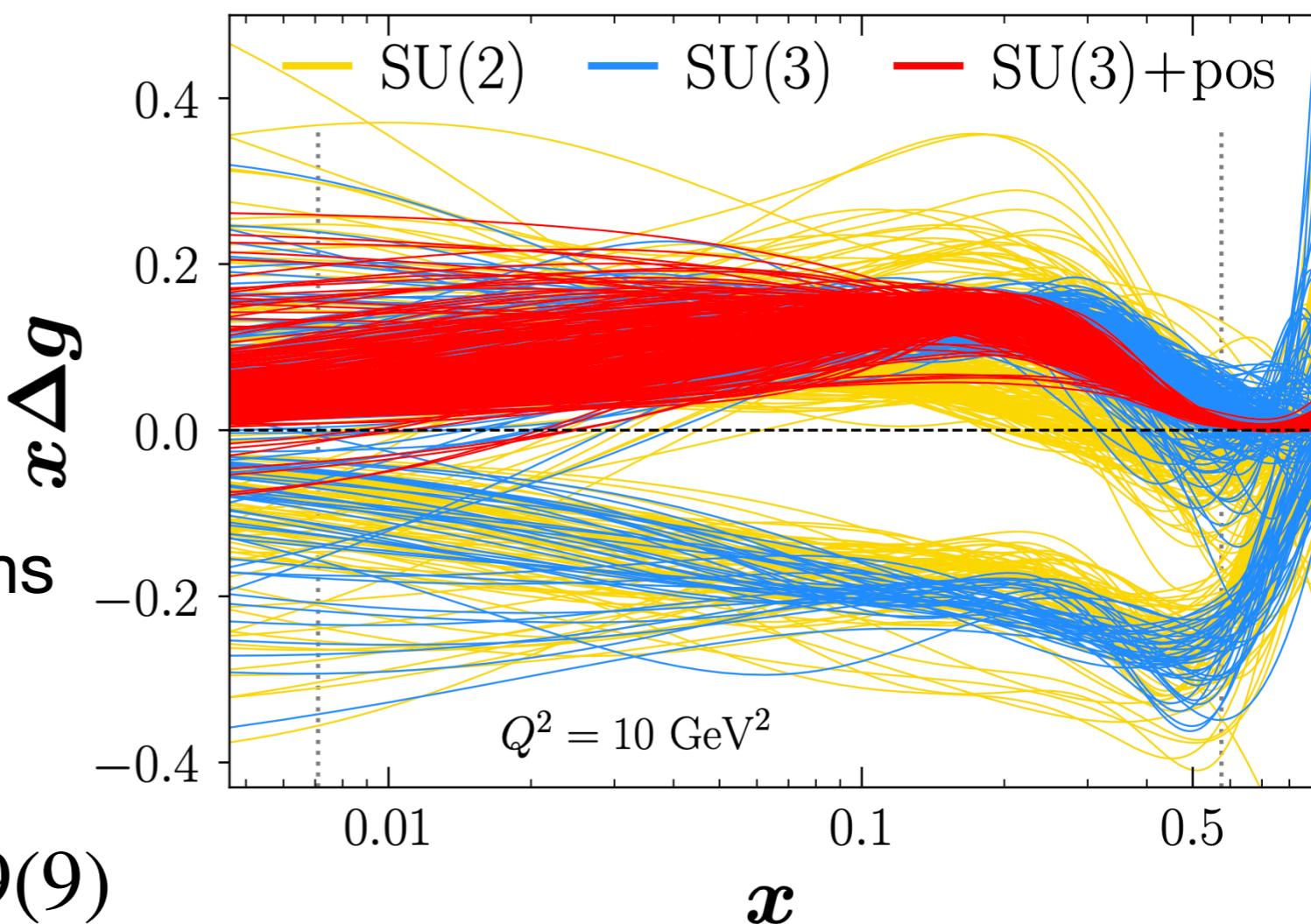
# Spinning gluons

Y. Zhou et al (JAM) Phys. Rev. D 105, 074022 (2022)

- Positivity removed from JAM helicity gluon PDF

$$|\Delta g| \leq g$$

- Reveals new band of solutions



- With constraint:  $\Delta G = 0.39(9)$

R. Jaffe and A. Manohar, Nucl. Phys. B 337, 509 (1990)

- Without constraint:  $\Delta G = 0.3(5)$

$$J = \frac{1}{2}\Delta\Sigma + L_q + L_G + \Delta G$$

- Lattice:  $\Delta G = 0.251(47)(16)$

Y-B. Yang et al ( $\chi$ -QCD) Phys. Rev. Lett. 118, 102001 (2017)  
K-F. Liu arXiv: 2112.08416

$$\Delta G = \int dx \Delta g(x)$$

# Parton Distributions and the Lattice

- Parton Distributions are defined by operators with light-like separations

- Use space-like separations

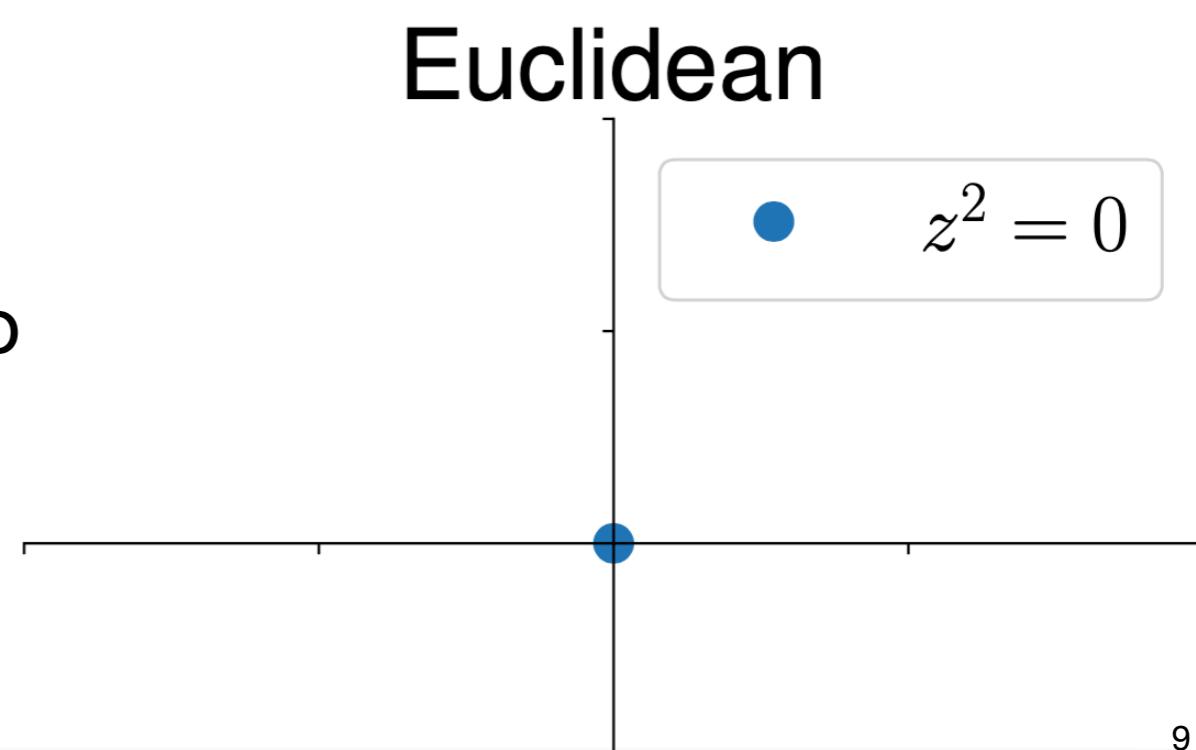
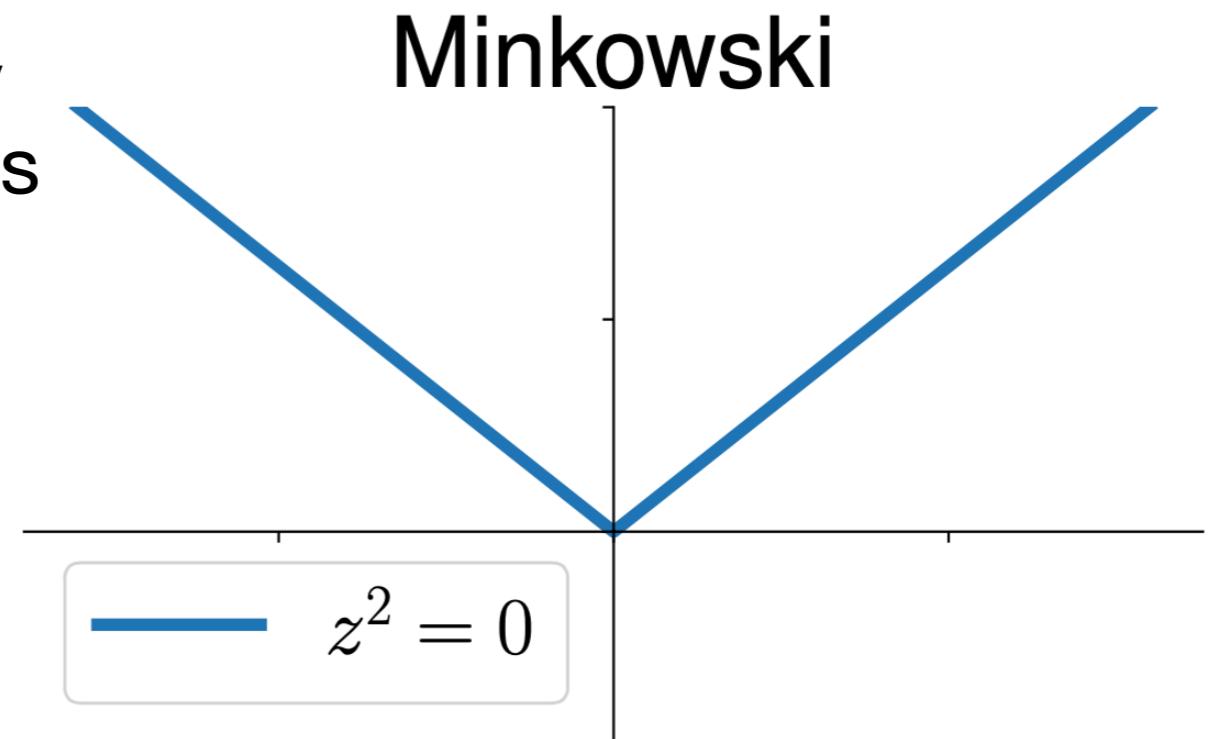
X. Ji *Phys Rev Lett* 110 (2013) 262002

- Wilson line operators

$$O_{\Gamma}^{\text{WL}}(z) = \bar{\psi}(z)\Gamma W(z; 0)\psi(0)$$

$$z^2 \neq 0$$

- Factorizations exist analogous to cross sections



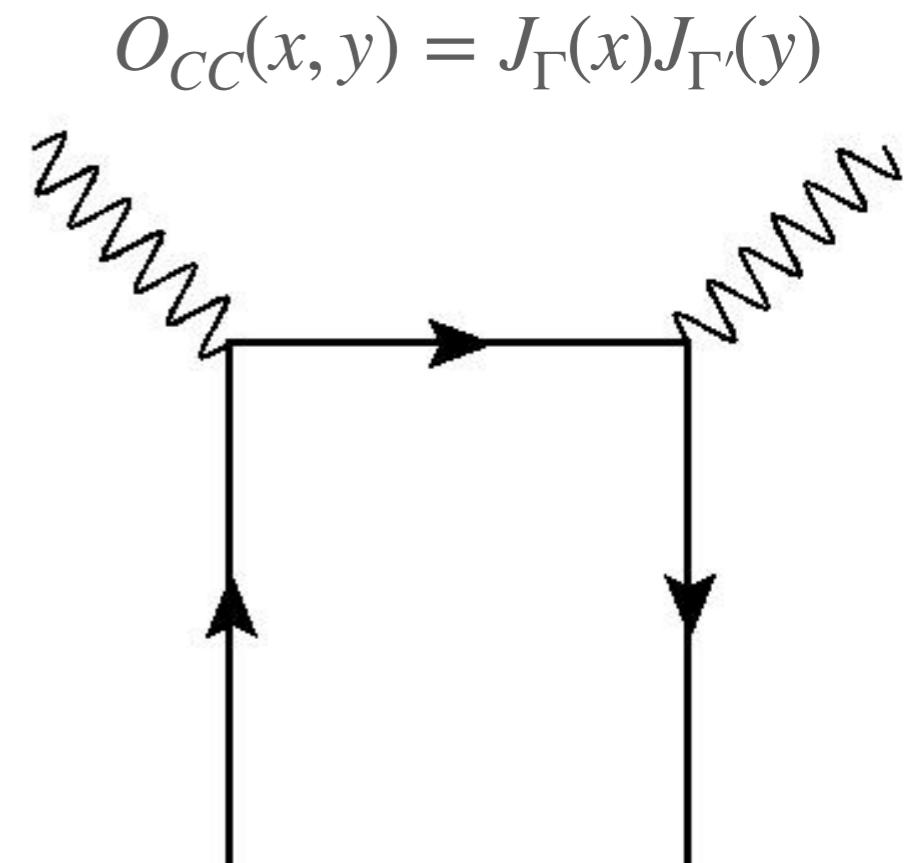
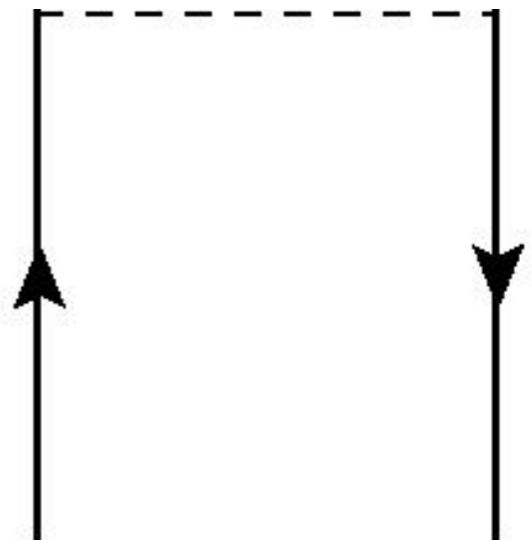
# Many approaches

For Gluon Structure see:  
J. Delmar Wed. 4:30 pm  
R. Sufian Wed. 5:50 pm

- **Wilson line operators**

$$O_{WL}(x; z) = \bar{\psi}(x + z)\Gamma W(x + z; x)\psi(x)$$

- LaMET X. Ji *Phys. Rev. Lett.* 110 (2013) 262002
- Pseudo-PDF A. Radyushkin *Phys. Rev. D* 96 (2017) 3, 034025
- Two current correlators
- Hadronic Tensor  
K.-F. Liu et al *Phys. Rev. Lett.* 72 1790 (1994)  
*Phys. Rev. D* 62 (2000) 074501
- HOPE  
W. Detmold and C.-J. D. Lin, *Phys. Rev. D* 73 (2006) 014501
- Short distance OPE  
V. Braun and D. Muller *Eur. Phys. J. C* 55 (2008) 349
- OPE-without-OPE  
A. Chambers et al, *Phys. Rev. Lett.* 118 (2017) 242001
- Good Lattice Cross Sections  
Y.-Q. Ma and J.-W. Qiu *Phys. Rev. Lett.* 120 (2018) 2, 022003



# Wilson Line Matrix Elements

- Matrix element  $M(p, z) = \langle p | \bar{\psi}(z)\gamma^\alpha W(z; 0)\psi(0) | p \rangle \quad z^2 \neq 0$   
 $= 2p^\alpha \mathcal{M}(\nu, z^2) + 2z^\alpha \mathcal{N}(\nu, z^2)$
- Quasi-PDF:  $\tilde{q}(y, p_z^2) = \frac{1}{2p_\alpha} \int dz e^{iy p_z z} M(z, p_z)$
- Large Momentum Effective Theory: [X. Ji \*Phys. Rev. Lett.\* 110 \(2013\) 262002](#)
- $\tilde{q}(y, p_z^2) = \int \frac{dx}{|x|} K\left(\frac{y}{x}, \frac{\mu^2}{(xp_z)^2}\right) q(x, \mu^2) + O\left(\frac{\Lambda_{\text{QCD}}^2}{(xp_z)^2}, \frac{\Lambda_{\text{QCD}}^2}{((1-x)p_z)^2}\right)$
- Pseudo-ITD: [A. Radyushkin \*Phys. Rev. D\* 96 \(2017\) 3, 034025](#)

$$\begin{aligned} \mathcal{M}(\nu, z^2) &= \int dx C(x\nu, \mu^2 z^2) q(x, \mu^2) + O(\Lambda_{\text{QCD}}^2 z^2) \\ &= \int du C'(u, \mu^2 z^2) I_q(u\nu, \mu^2) + O(\Lambda_{\text{QCD}}^2 z^2) \end{aligned}$$

# The Role of Separation and Momentum

- In **quasi-PDF** and **pseudo-PDF**, separation and momentum swap roles

**Scale:**

$$p_z^2 / z^2$$

**Fourier variable:**

$$z / p_z, \text{ or } \nu = p \cdot z$$

- Scale for factorization to PDF
- Scale in power expansion
- Keep away from  $\Lambda_{\text{QCD}}^2$
- Technically only requires single value
- Variable for inverse Fourier Transform
- Can take large or small value
- Want as many as are available
- Wider range improves the inverse problem

# Inverse Problems for pseudo-PDFs

- Limited range of  $z$  and  $p$  cannot approach  $\nu \rightarrow \infty$  to integrate inverse

$$xg(x) = \int_0^\infty d\nu C^{-1}(x\nu) \mathfrak{M}(\nu)$$

# Inverse Problems for pseudo-PDFs

- Limited range of  $z$  and  $p$  cannot approach  $\nu \rightarrow \infty$  to integrate inverse
- Forward integral to an ill posed matrix equation

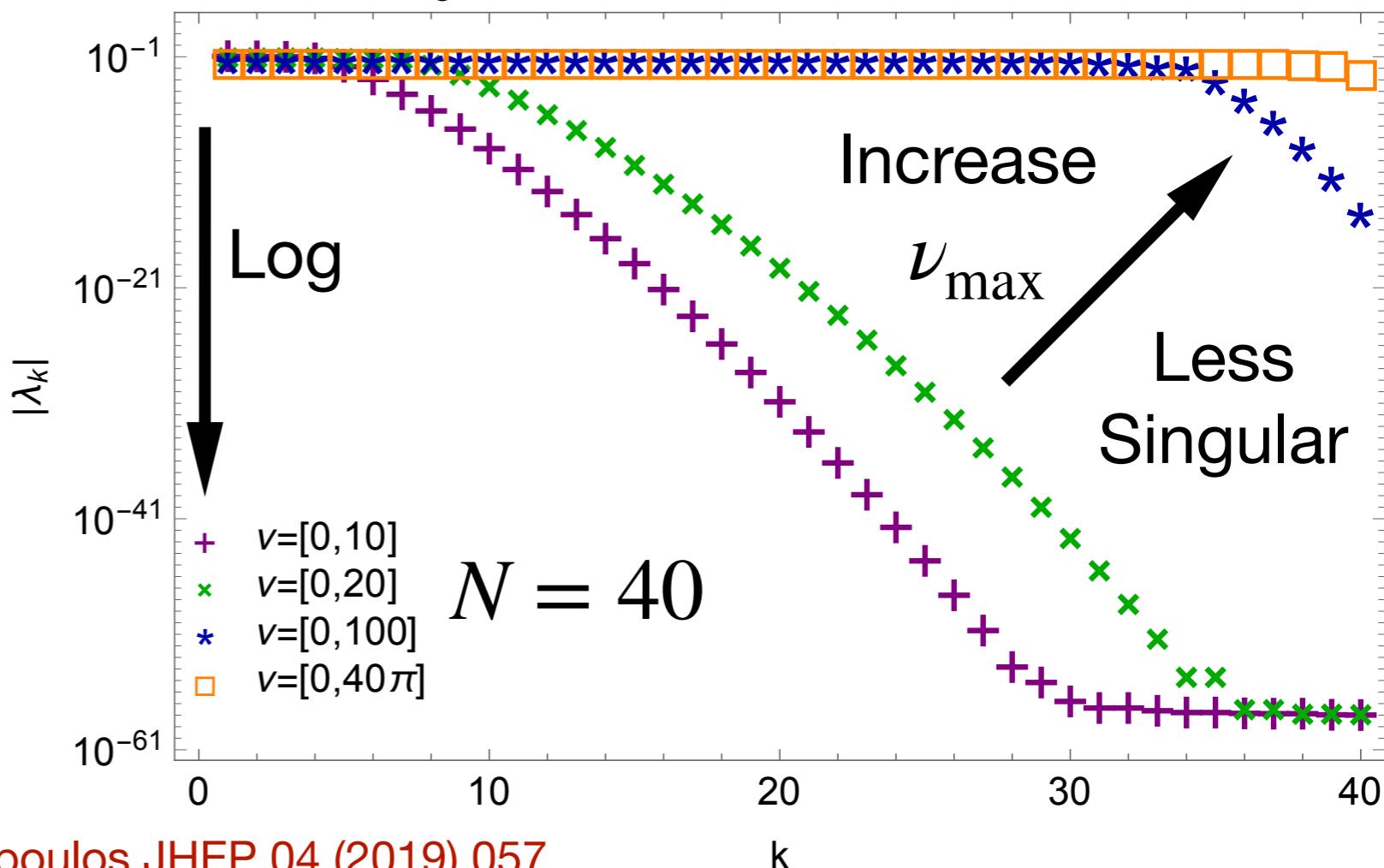
$$xg(x) = \int_0^\infty d\nu C^{-1}(x\nu) \mathfrak{M}(\nu)$$
$$\mathfrak{M}(\nu) = \int_0^1 dx C(x\nu) xg(x) \rightarrow [\mathbf{C}][\mathbf{xg}]$$

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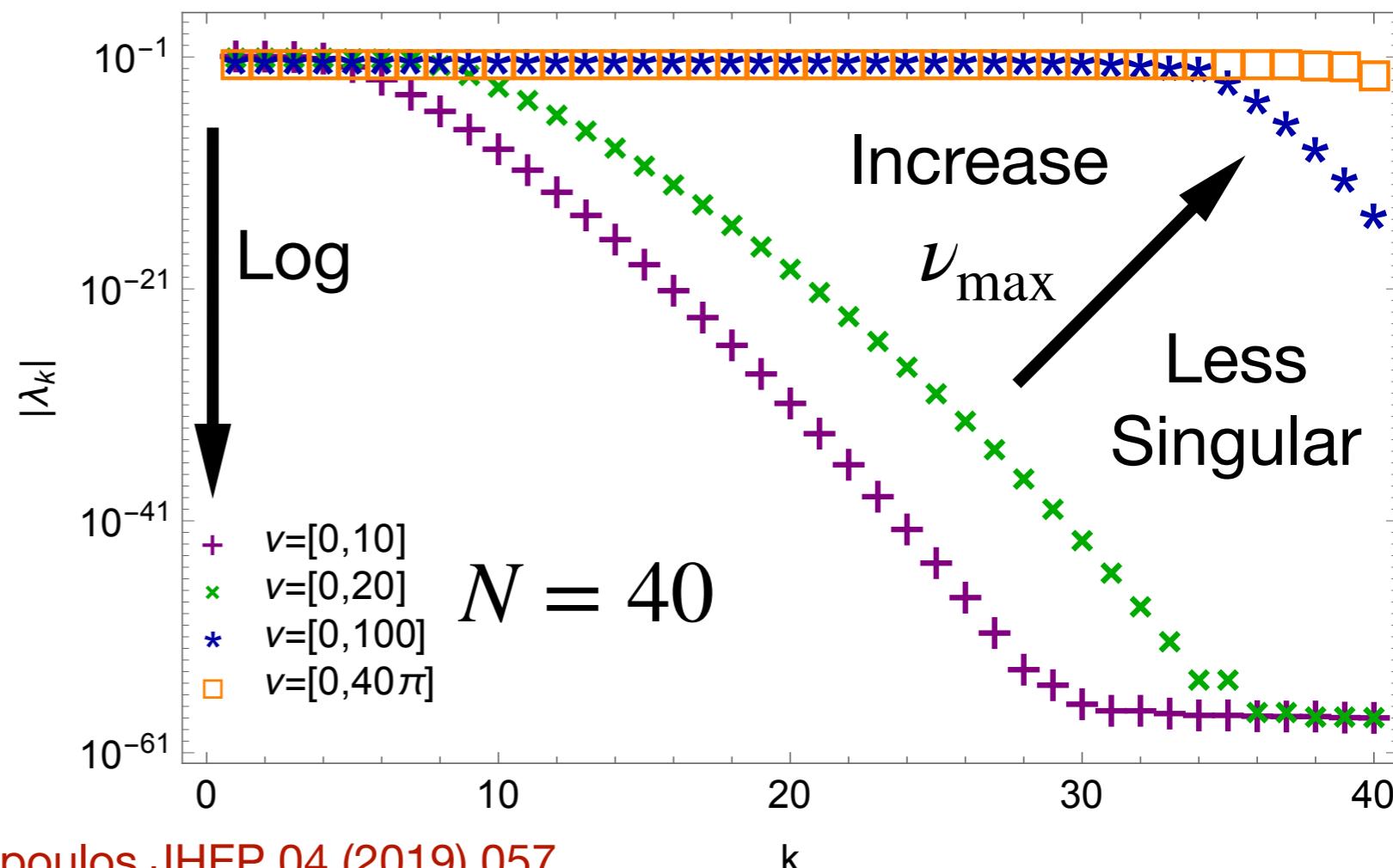
$$\mathfrak{M}(\nu) = \int_0^1 dx C(x\nu) xg(x) \rightarrow [\mathbf{C}][\mathbf{xg}]$$



# Inverse Problems for pseudo-PDFs

- Limited range of  $z$  and  $p$  cannot approach  $\nu \rightarrow \infty$  to integrate inverse
- Forward integral to an ill-posed matrix equation
- Must be regulated by additional information
  - Restricted functional form
  - Constraints on the PDF or parameters
  - Assumptions of smoothness, continuity, ....

$$xg(x) = \int_0^\infty d\nu C^{-1}(x\nu) \mathfrak{M}(\nu)$$
$$\mathfrak{M}(\nu) = \int_0^1 dx C(x\nu) xg(x) \rightarrow [\mathbf{C}][\mathbf{xg}]$$



# Inverse Problems for Parton Physics

- **Structure Functions**

$$F_2(x, Q^2) = \sum_i \int_x^1 d\xi C(\xi, \frac{\mu^2}{Q^2}) q\left(\frac{x}{\xi}, \mu^2\right)$$

- **Local Matrix elements / HOPE / OPE-without-OPE**

- **LaMET (on the lattice)**

$$M(p_z, z) = \int_{-\infty}^{\infty} dy e^{iy p_z z} \tilde{q}(y, p_z^2)$$

$$a_n(\mu^2) = \int_{-1}^1 dx x^{n-1} q(x, \mu^2)$$

- **Hadronic Tensor**

- **pseudo-Distributions / Good Lattice Cross Sections**

$$\mathfrak{M}(\nu, z^2) = \int_{-1}^1 dx C(x\nu, \mu^2 z^2) q(x, \mu^2)$$

$$\tilde{W}_{\mu\nu}(\tau) = \int d\nu e^{-\nu\tau} W_{\mu\nu}(\nu)$$

# Difficulty Reaching High Momentum

- **Creating operator with high overlap and signal**
  - Momentum smearing improves overlap with moving states  
G. Bali et al Phys. Rev. D 93 (2016) 9, 094515
  - Distillation from all time slices improves signal  
M. Peardon, et al,  
Phys. Rev. D 80 (2009) 054506
  - GEVP optimizes the overlap with ground state  
C. Egerer et al  
Phys. Rev. D 103 (2021) 3, 034502
- **Excited state energy gap shrinks**
  - Larger times needed for ground state
  - Summed GEVP techniques can remove lowest states and suppress remaining  
J. Bulava, M. Donnellan, R. Sommer JHEP 01 (2012) 140  
See R. Sufian  
Wed. 5:50 pm  
for implementation
- **Exponentially suppressed signal-to-noise ratio**
  - No current solutions

# Gluon Matrix Elements

- **General Matrix Element**

$$M^{\mu\alpha;\nu\beta}(z, p, s) = \langle p, s | \text{Tr} [F^{\mu\alpha}(z) W(z; 0) F^{\nu\beta}(0)] | p, s \rangle$$

- Assume  $z$  is along cardinal direction (eventually lattice axis)

- **Renormalization**

Z-Y. Li, Y-Q. Ma, J-W. Qiu.  
*Phys. Rev. Lett.* 122 (2019) 6, 062002

- Multiplicatively renormalizable
- Depends on how many of  $\mu, \nu, \rho, \sigma$  are in  $z$  direction.
- Matrix element has complicated Lorentz decomposition in terms of  $p^\mu, z^\mu, s^\mu$
- Need to isolate amplitudes with leading twist contributions

# Spin Averaged matrix element

- Spin averaged combination  $\mathcal{M}(\nu, z^3) = \frac{1}{2p_0^2} [M_{ti;it} + M_{ij;ij}]$ 
  - Gives **one** amplitude with leading twist contribution

I. Balitsky, W. Morris, A. Radyushkin Phys Rev D 105 (2022) 1, 014008  
T. Khan et al (HadStruc) Phys. Rev. D 104 (2021) 9, 094516

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  - Gives **one** amplitude with leading twist contribution  $i, j = x, y$
- Use **ratio** with finite continuum limit

$$\mathfrak{M}(\nu, z^2) = \frac{\mathcal{M}(\nu, z^2) \mathcal{M}(0,0) |_{p=0, z=0}}{\mathcal{M}(\nu, 0) |_{z=0} \mathcal{M}(0, z^2) |_{p=0}}$$

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- Relation to **gluon** and **quark singlet** ITD

$$\langle x \rangle_g \mathfrak{M}(\nu, z^2) = \int_0^1 C^{gg}(u, \mu^2 z^2) I_g(u\nu, \mu^2) + C^{qg}(u, \mu^2 z^2) I_s(u\nu, \mu^2)$$

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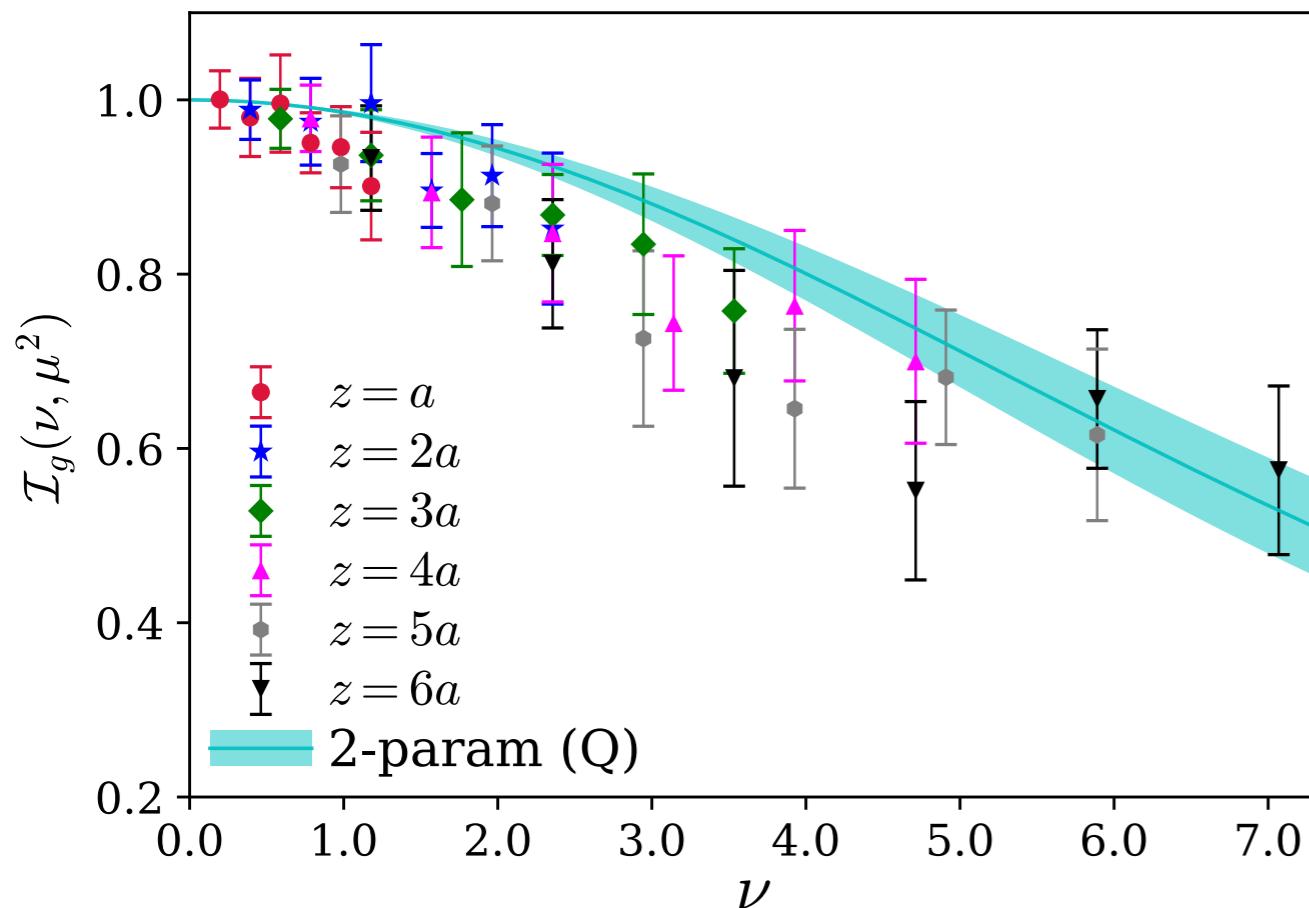
- Use **ratio** with finite continuum limit

$$\mathfrak{M}(\nu, z^2) = \frac{\mathcal{M}(\nu, z^2) \left. \mathcal{M}(0,0) \right|_{p=0, z=0}}{\left. \mathcal{M}(\nu, 0) \right|_{z=0} \left. \mathcal{M}(0, z^2) \right|_{p=0}}$$

- Relation to **gluon** and **quark singlet** ITD Neglected for now

$$\langle x \rangle_g \mathfrak{M}(\nu, z^2) = \int_0^1 C^{gg}(u, \mu^2 z^2) I_g(u\nu, \mu^2) + C^{qg}(u, \mu^2 z^2) \cancel{I_s(u\nu, \mu^2)}$$

# Unpolarized Gluon PDF

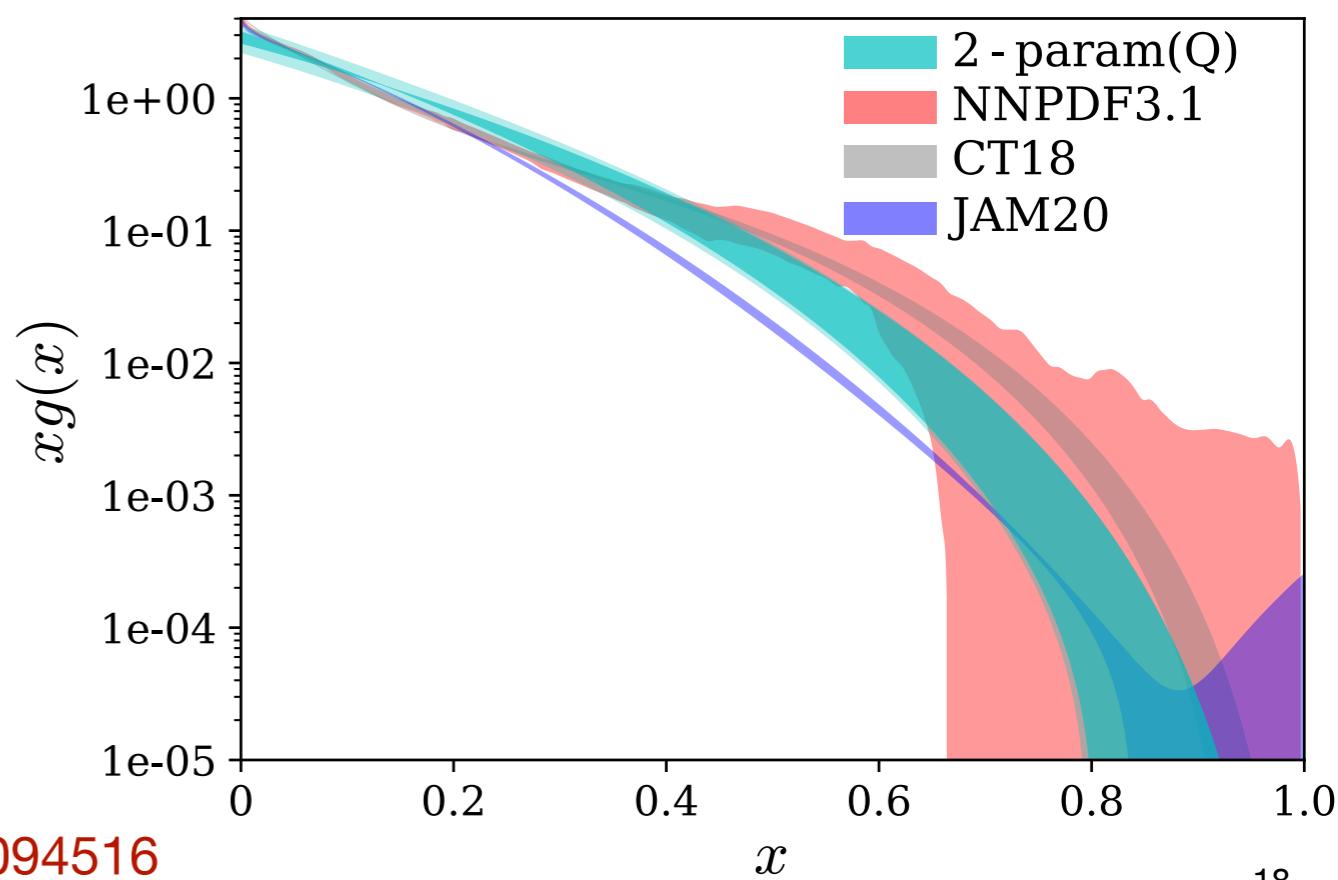


- Extrapolated to  $\tau \rightarrow 0$  flow time
- Modified by NLO formula

$$a = 0.094 \text{ fm}$$

$$m_\pi = 358 \text{ MeV}$$

- ITD fit to cosine transform of  $xg(x) = x^a(1 - x)^b/B(a + 1, b + 1)$
- Qualitative agreement with global analysis



# Helicity Gluon matrix element

I. Balitsky, W. Morris, A. Radyushkin JHEP 02 (2022) 193

C. Egerer et al (HadStruc) arXiv:2207.08733

- Helicity Gluon Matrix Element:

$$\widetilde{M}_{\mu\alpha;\nu\beta}(z, p, s) = \frac{1}{2} \epsilon_{\nu\beta\rho\sigma} M_{\mu\alpha;\rho\sigma} = \langle p, s | \text{Tr} [F^{\mu\alpha}(z) W(z; 0) \widetilde{F}^{\nu\beta}(0)] | p, s \rangle$$

- Useful Combination  $\widetilde{\mathcal{M}}(z, p) = [\widetilde{M}_{ti;it} + \widetilde{M}_{ij;ij}]$ 
  - Gives **two** amplitudes, one has no leading twist contribution

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  - Gives **two** amplitudes, one has no leading twist contribution
  - Use ratio with finite continuum limit

$$\widetilde{\mathfrak{M}}(\nu, z^2) = i \frac{[\widetilde{\mathcal{M}}(z, p)/p_z p_0]/Z_L(z/a)}{\mathcal{M}(0, z^2)/m^2}$$

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- Relation to gluon and quark singlet ITD

$$\langle x \rangle_g \widetilde{\mathfrak{M}}(\nu, z^2) = \int_0^1 \widetilde{C}^{gg}(u, \mu^2 z^2) \widetilde{I}_g(u\nu, \mu^2) + \widetilde{C}^{qg}(u, \mu^2 z^2) \widetilde{I}_s(u\nu, \mu^2)$$

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Neglected for now

# Lorentz decomposition

$$\widetilde{M}_{\mu\alpha;\lambda\beta}^{(2)}(z, p) = (sz) \left( g_{\mu\lambda} p_\alpha p_\beta - g_{\mu\beta} p_\alpha p_\lambda - g_{\alpha\lambda} p_\mu p_\beta + g_{\alpha\beta} p_\mu p_\lambda \right) \widetilde{\mathcal{M}}_{pp}$$

$$+ (sz) \left( g_{\mu\lambda} z_\alpha z_\beta - g_{\mu\beta} z_\alpha z_\lambda - g_{\alpha\lambda} z_\mu z_\beta + g_{\alpha\beta} z_\mu z_\lambda \right) \widetilde{\mathcal{M}}_{zz}$$

$$+ (sz) \left( g_{\mu\lambda} z_\alpha p_\beta - g_{\mu\beta} z_\alpha p_\lambda - g_{\alpha\lambda} z_\mu p_\beta + g_{\alpha\beta} z_\mu p_\lambda \right) \widetilde{\mathcal{M}}_{zp}$$

$$+ (sz) \left( g_{\mu\lambda} p_\alpha z_\beta - g_{\mu\beta} p_\alpha z_\lambda - g_{\alpha\lambda} p_\mu z_\beta + g_{\alpha\beta} p_\mu z_\lambda \right) \widetilde{\mathcal{M}}_{pz}$$

$$+ (sz) (p_\mu z_\alpha - p_\alpha z_\mu) (p_\lambda z_\beta - p_\beta z_\lambda) \widetilde{\mathcal{M}}_{ppzz}$$

$$+ (sz) (g_{\mu\lambda} g_{\alpha\beta} - g_{\mu\beta} g_{\alpha\lambda}) \widetilde{\mathcal{M}}_{gg}$$

$$\widetilde{M}_{\mu\alpha;\lambda\beta}^{(1)}(z, p) = \left( g_{\mu\lambda} s_\alpha p_\beta - g_{\mu\beta} s_\alpha p_\lambda - g_{\alpha\lambda} s_\mu p_\beta + g_{\alpha\beta} s_\mu p_\lambda \right) \widetilde{\mathcal{M}}_{sp}$$

$$+ \left( g_{\mu\lambda} p_\alpha s_\beta - g_{\mu\beta} p_\alpha s_\lambda - g_{\alpha\lambda} p_\mu s_\beta + g_{\alpha\beta} p_\mu s_\lambda \right) \widetilde{\mathcal{M}}_{ps}$$

$$+ \left( g_{\mu\lambda} s_\alpha z_\beta - g_{\mu\beta} s_\alpha z_\lambda - g_{\alpha\lambda} s_\mu z_\beta + g_{\alpha\beta} s_\mu z_\lambda \right) \widetilde{\mathcal{M}}_{sz}$$

$$+ \left( g_{\mu\lambda} z_\alpha s_\beta - g_{\mu\beta} z_\alpha s_\lambda - g_{\alpha\lambda} z_\mu s_\beta + g_{\alpha\beta} z_\mu s_\lambda \right) \widetilde{\mathcal{M}}_{zs}$$

$$+ (p_\mu s_\alpha - p_\alpha s_\mu) (p_\lambda z_\beta - p_\beta z_\lambda) \widetilde{\mathcal{M}}_{pspz}$$

$$+ (p_\mu z_\alpha - p_\alpha z_\mu) (p_\lambda s_\beta - p_\beta s_\lambda) \widetilde{\mathcal{M}}_{pzps}$$

$$+ (s_\mu z_\alpha - s_\alpha z_\mu) (p_\lambda z_\beta - p_\beta z_\lambda) \widetilde{\mathcal{M}}_{szpz}$$

$$+ (p_\mu z_\alpha - p_\alpha z_\mu) (s_\lambda z_\beta - s_\beta z_\lambda) \widetilde{\mathcal{M}}_{pzs}$$

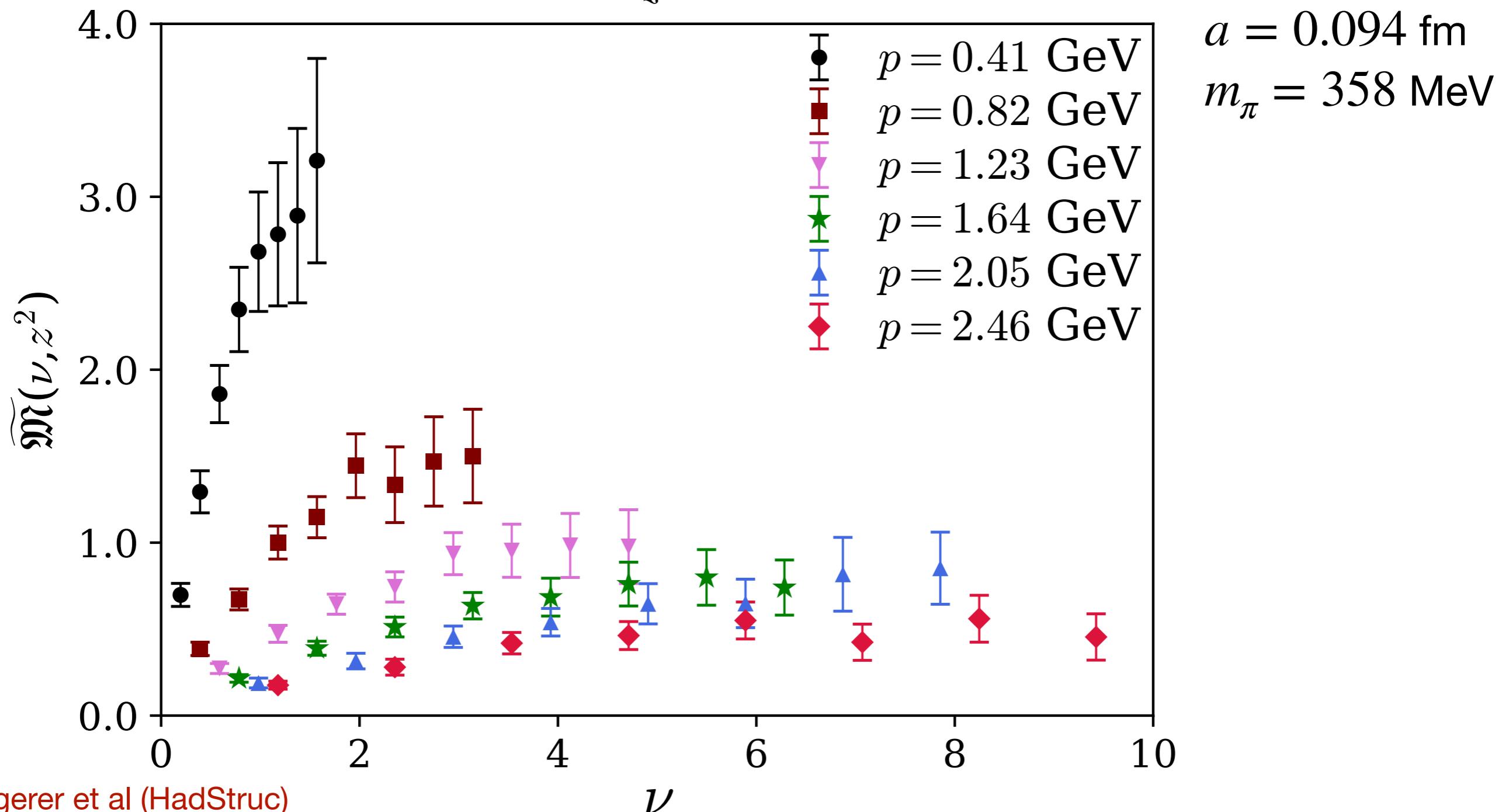
Want:  $M_{\Delta g}(\nu, z^2) = [\widetilde{\mathcal{M}}_{sp}^{(+)} - \nu \widetilde{\mathcal{M}}_{pp}]$

Can get:  $\widetilde{\mathcal{M}}(z, p) = [\widetilde{M}_{ti;it} + \widetilde{M}_{ij;ij}]$   
 $= M_{\Delta g} - \frac{m^2 z^2}{\nu} \widetilde{\mathcal{M}}_{pp}$   
 $= M_{\Delta g} - \frac{m^2}{p_z^2} \nu \widetilde{\mathcal{M}}_{pp}$

I. Balitsky, W. Morris, A. Radyushkin  
JHEP 02 (2022) 193

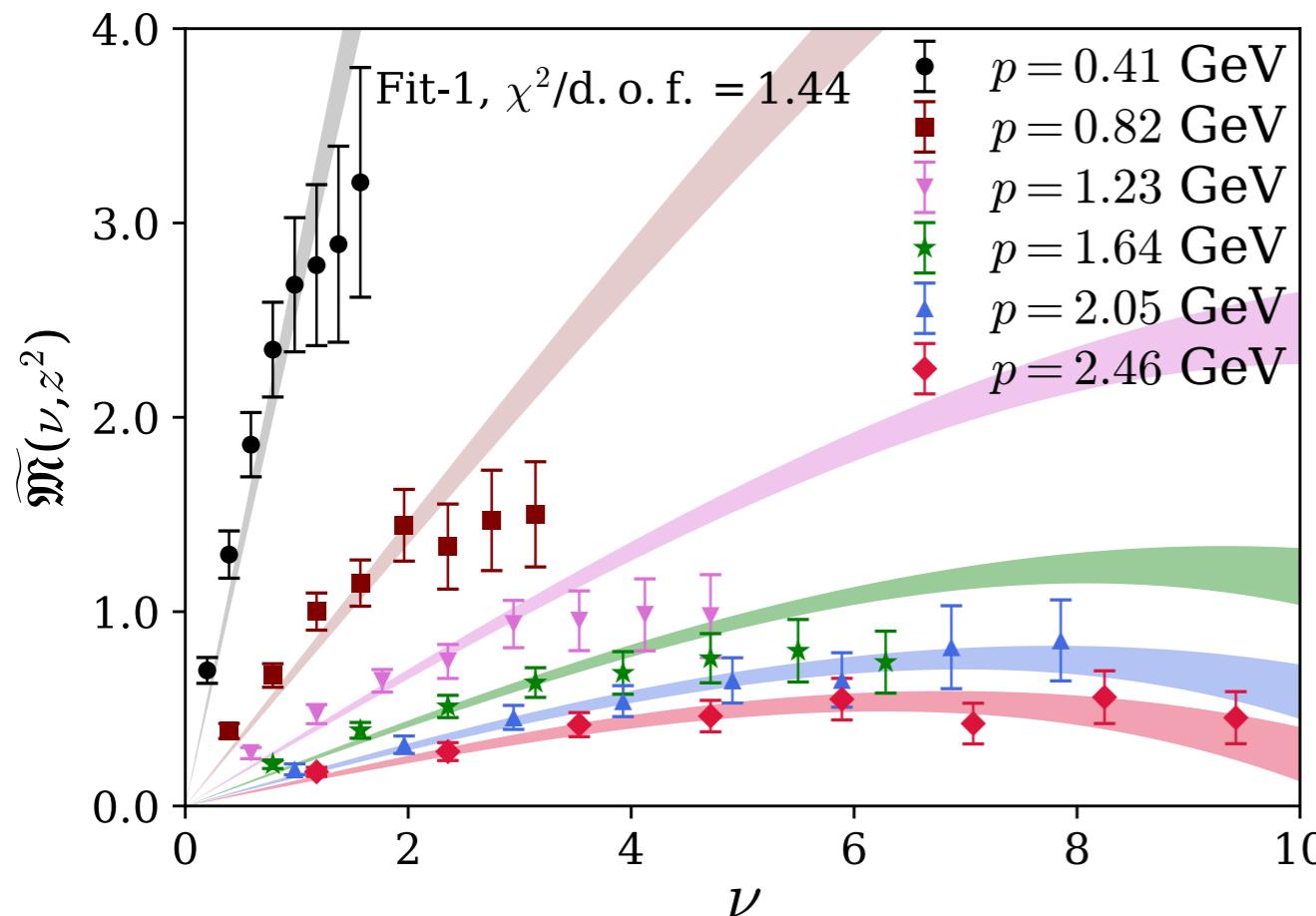
# Helicity Gluon Matrix Element

- Large contamination from  $\frac{m^2}{p_z^2} \nu \tilde{\mathcal{M}}_{pp}$  will need to be removed



# Correcting Helicity Gluon Results

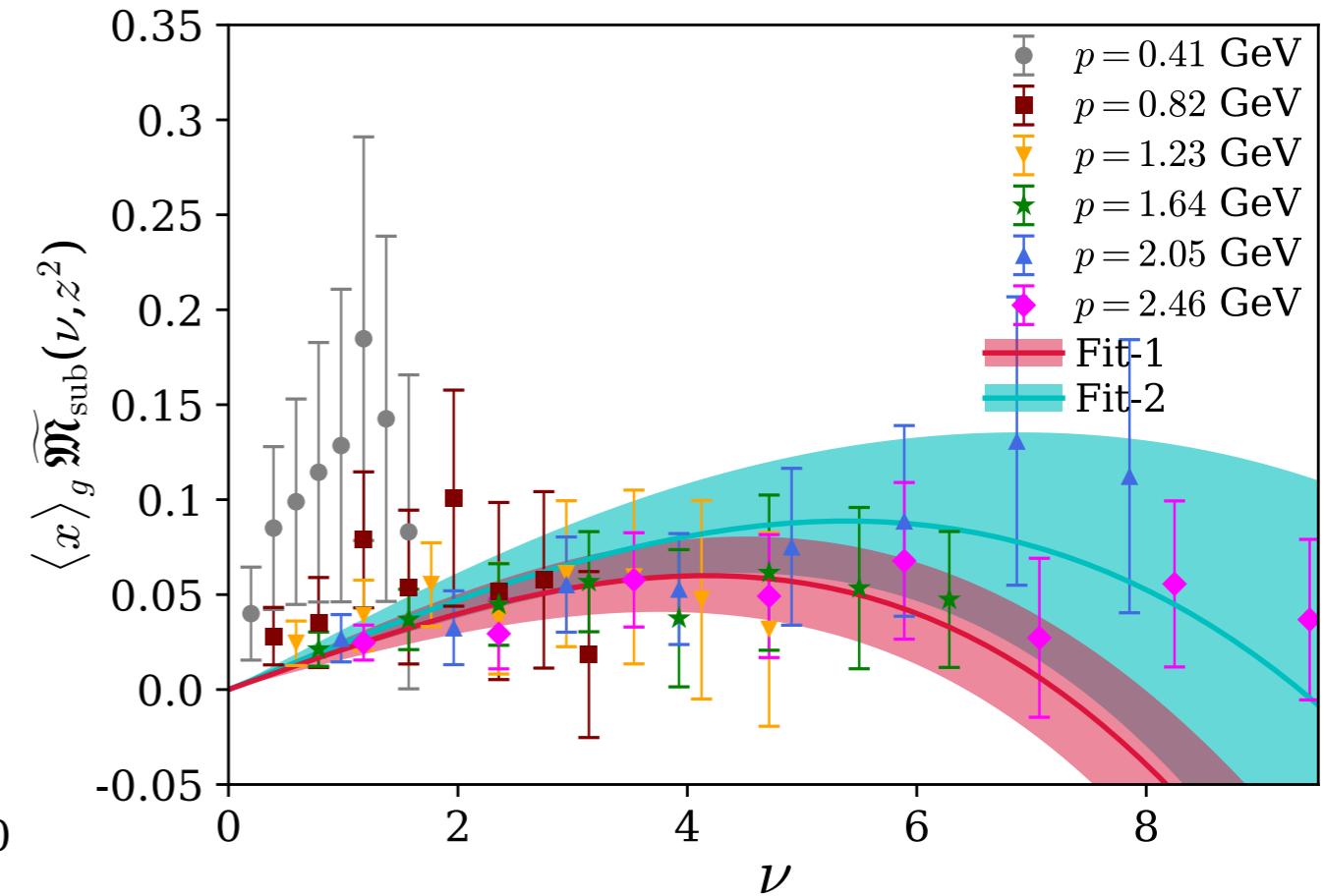
- Model both terms



$$a = 0.094 \text{ fm}$$

$$m_\pi = 358 \text{ MeV}$$

- Subtract rest frame

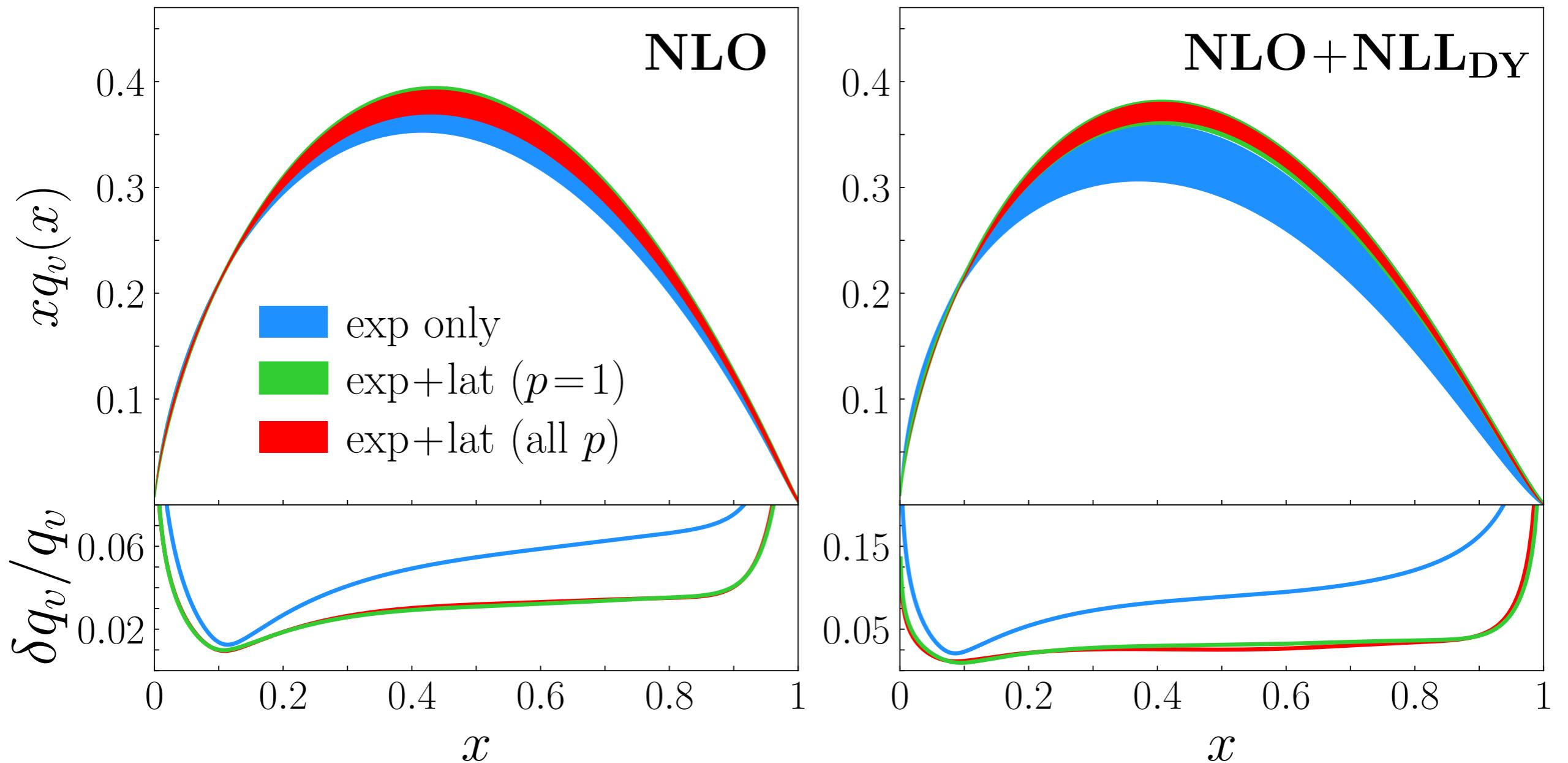


See R. Sufian  
Wed. 5:50 pm  
for details

**What can we do with  
this new data?**

# Combining Lattice and Experiment

- Simultaneously fit Lattice and Experimental pion PDF data
- Each gives unique information complementing each other

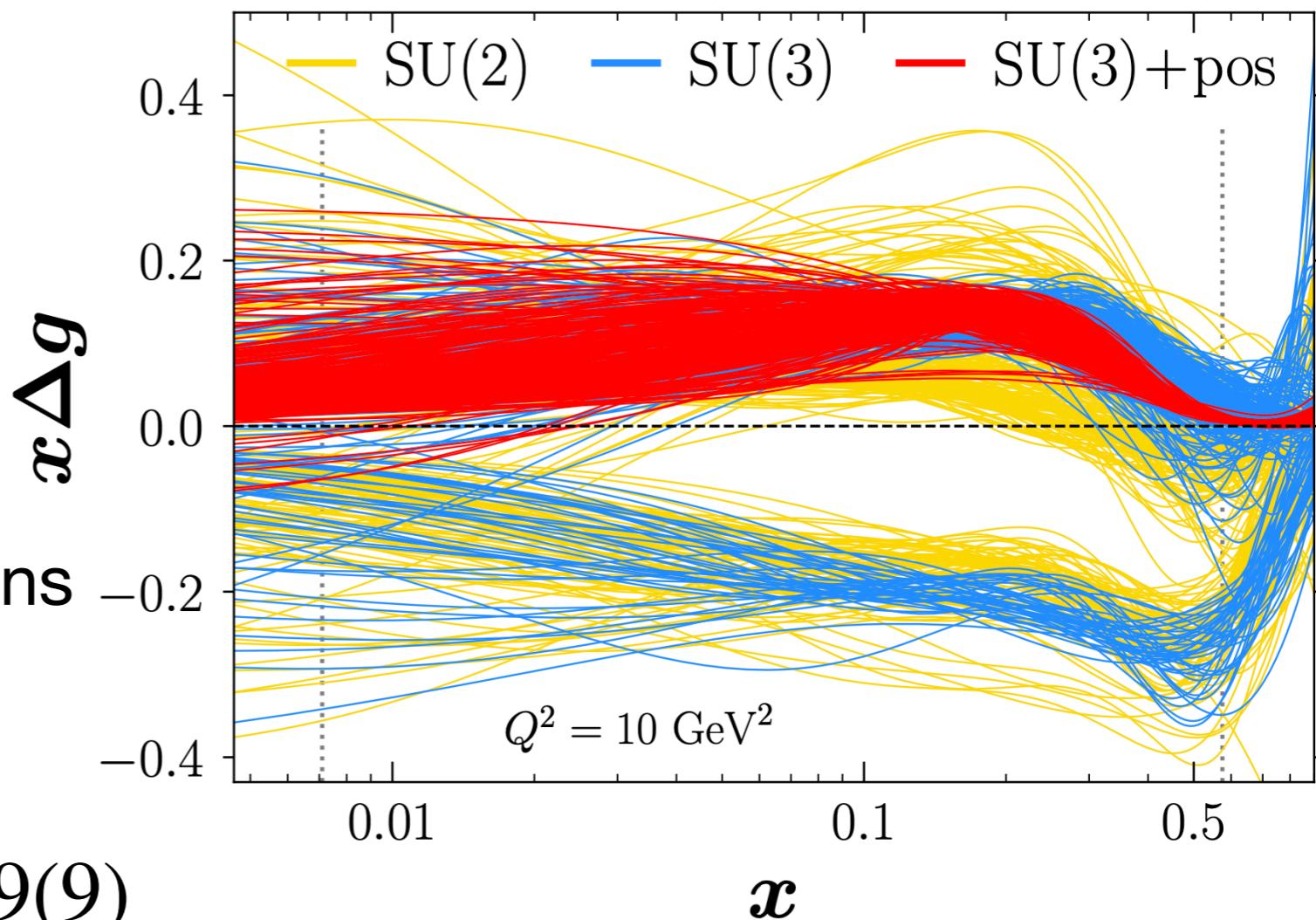


# Spinning gluons (revisited)

Y. Zhou et al (JAM) Phys. Rev. D 105, 074022 (2022)

- Positivity removed from JAM helicity gluon PDF

$$|\Delta g| \leq g(x)$$



- Reveals new band of solutions

- With constraint:  $\Delta G = 0.39(9)$

R. Jaffe and A. Manohar, Nucl. Phys. B 337, 509 (1990)

- Without constraint:  $\Delta G = 0.3(5)$

$$J = \frac{1}{2} \Delta \Sigma + L_q + L_G + \Delta G$$

- Lattice:  $\Delta G = 0.251(47)(16)$

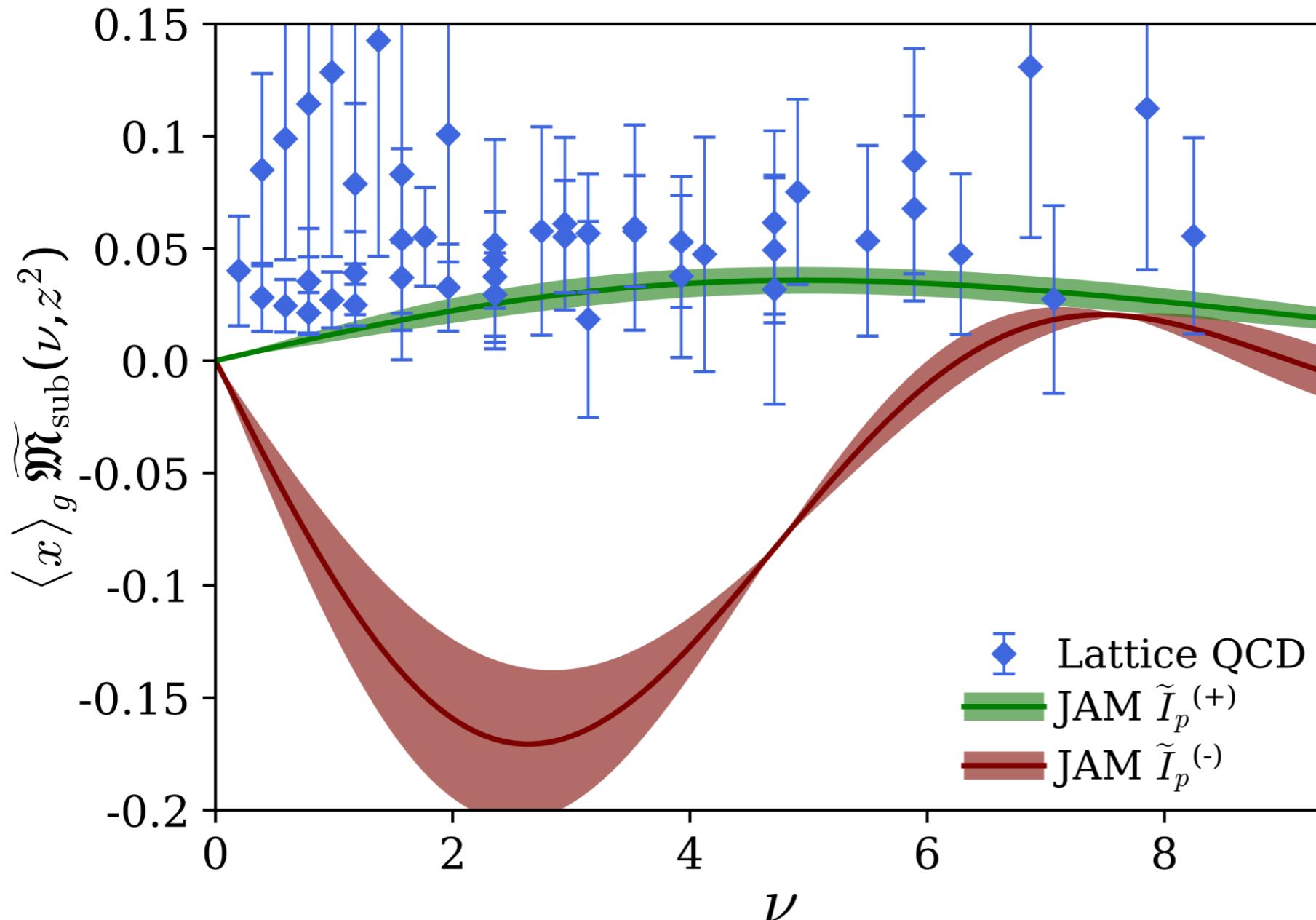
Y-B. Yang et al ( $\chi$ -QCD) Phys. Rev. Lett. 118, 102001 (2017)

K-F. Liu arXiv: 2112.08416

$$\Delta G = \int dx \Delta g(x)$$

# Spinning gluons (revisited)

Can lattice data affect phenomenological polarized gluon analysis?



$$\Delta G = \int d\nu I_g(\nu)$$

- The positive and negative solutions without positivity constraints plotted in  $\nu$  space
- Only positive band consistent with lattice data

Y. Zhou et al Phys. Rev. D 105, 074022 (2022)  
C. Egerer et al (HadStruc) arXiv:2207.08733

# Conclusions

- Gluon  $x$  or  $\nu$  dependent structure requires state-of-the-art calculations
  - Use of **distillation** with large number of configurations
  - **Summed GeVP** to control excited states
  - **Wilson flow** to improve signal
- Future work towards gluon 3D distributions, higher twist PDFs,... is possible given enough resources to find signal
- Possibly impact phenomenological PDF analyses
  - **Today:** with polarized gluon PDF
  - **Future:** JLab 12GeV and EIC data on PDF and on new distributions

**Thank you and the organizers!**