Spectral Overlap Method for Determining Resonances in Finite Continuum/on Lattice

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Workshop "Frontiers in Nuclear Physics" (ERC AdG "EXOTIC")



European Research Council Established by the European Commission

Bethe Center for Theoretical Physics, Bonn, Germany 21-23 November 2023

Outline



2 Method

- Infinite continuum
- Finite continuum
- Lattice

Outlook

- From 1D to 3D
- (In)elastic nuclear scattering



Introduction

• S-matrix *S*(*k*) can have resonance poles in lower complex half plane:

$$k_{\text{pole}} = k_0 - i\kappa, \quad k_0 \in \mathbb{R}, \quad \kappa > 0$$

$$\Rightarrow E_{\text{pole}}^{\text{CMS}} = \frac{k_{\text{pole}}^2}{2\mu} = E_0 - i\frac{\Gamma}{2} + \mathcal{O}(\kappa^2)$$

$$\xrightarrow{\text{resonances}} \text{virtual state}$$

$$[I. Matuschek et al. (2021)]$$

lm(k)

k

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- new method to determine E_0 and Γ with several advantages compared to Lüscher's formula

• 1D benchmark: particle with reduced mass $\mu = m_{\rm N} = 938.92 \text{ MeV}$ ("deuteron-deuteron scattering") in step-barrier potential

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• find pole of S-matrix numerically:

 $E_{\text{pole}} = (7.1681 - 1.0484i) \text{ MeV}, \quad E_0 = 7.1681 \text{ MeV}, \quad \Gamma = 2.0968 \text{ MeV}$

 \Rightarrow broad resonance near threshold to explore possibilities of method

• calculate total cross section from S-matrix:

$$\sigma = 2 \left| \frac{S-1}{2} \right|^2$$

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 obtain Breit-Wigner peak from S-matrix pole:

$$\sigma\left(E \approx E_0 - \mathrm{i}rac{\Gamma}{2}
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cross section

--- Breit-Wigner peak from S-matrix pole

 $\bullet\,$ discrepancy caused by broad resonance and non-vanishing background of $\sigma\,$

• enclose system in box of finite length, e.g. L = 200 fm:







• impose periodic boundary conditions for even parity:

$$\psi(-L/2) = \psi(L/2), \quad \psi'(\pm L/2) = 0$$





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⇒ states above threshold become discrete in energy and normalizable $(\int_{-L/2}^{L/2} dx |\psi(x)|^2 = 1)$

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barrier wave function ψ_E

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\Rightarrow large overlap

\Rightarrow small overlap

• compute overlap $|\langle \psi_{\text{test}} | \psi_E \rangle|^2$ with Gaussian test function $\psi_{\text{test}}(x)$: $|\langle \psi_{\text{test}} | \psi_E \rangle|^2 = \left| \int_{-L/2}^{L/2} \mathrm{d}x \, \psi_{\text{test}}(x) \psi_E(x) \right|^2$, $\psi_{\text{test}}(x) = \exp(-x^2/a_{\text{G}}^2) / \sqrt{a_{\text{G}} \sqrt{\pi/2} \operatorname{erf}(L/(\sqrt{2}a_{\text{G}}))}, \quad a_{\text{G}} = 1 \text{ fm}$

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- works if $|\psi_{\rm test}\rangle \approx A |\psi_{E_0-{\rm i}\Gamma/2}\rangle$ so that

$$|\langle \psi_{\text{test}}|e^{-\mathrm{i}\hat{H}\tau}|\psi_{\text{test}}\rangle|^2 \approx |A|^4 e^{-\Gamma t}$$



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 expect Breit-Wigner shape to reproduce this proportionality (details on request):

$$\langle \psi_{\text{test}} | \psi_E \rangle = \frac{\langle \psi_{\text{test}} | \psi_{E_0} \rangle \Gamma / 2}{E - E_0 + i\Gamma / 2} \quad \Rightarrow \quad |\langle \psi_{\text{test}} | e^{-i\hat{H}\tau} | \psi_{\text{test}} \rangle|^2 = \cdots \propto e^{-\Gamma t}$$



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• fit Breit-Wigner peak to data (with 95 % fit errors):

$$|\langle \psi_{\text{test}} | \psi_E \rangle|^2 = |\langle \psi_{\text{test}} | \psi_{E_0} \rangle|^2 \frac{\Gamma^2 / 4}{(E - E_0)^2 + \Gamma^2 / 4} \quad \Rightarrow \begin{cases} E_0 = (7.4060 \pm 0.0864) \text{ MeV}, \\ \Gamma = (2.3131 \pm 0.2438) \text{ MeV} \end{cases}$$



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• extrapolate in limit $L \to \infty$ by fitting 1/*L*-expansion of $E_0(L)$, $\Gamma(L)$:



 $\Rightarrow E_0/\text{MeV} = 7.3706 \pm 0.2005$, $\Gamma/\text{MeV} = 2.1629 \pm 0.9562$ (95 % extr. errors)

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- discretize second derivative in kinetic-energy term: [D. Lee, R. Thomson (2007)]

$$\begin{aligned} \hat{H}\psi(x) &= \frac{49}{36\mu a^2}\psi(x) - \frac{3}{4\mu a^2}\Big(\psi(x-a) + \psi(x+a)\Big) \\ &+ \frac{3}{40\mu a^2}\Big(\psi(x-2a) + \psi(x+2a)\Big) - \frac{1}{180\mu a^2}\Big(\psi(x-3a) + \psi(x+3a)\Big) \\ &+ V(x)\psi(x) \end{aligned}$$

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- calculate cross section from Lüscher's formula: [M. Lüscher (1986)]



$$\sigma = 2 \left| \frac{\exp(2i\delta) - 1}{2} \right|^2, \quad \delta = -\frac{kL}{2} \quad \text{via dispersion relation [D. Lee, R. Thomson (2007)]}$$
$$E = \frac{49}{36\mu a^2} - \frac{3}{2\mu a^2} \cos(ka) + \frac{3}{20\mu a^2} \cos(2ka) - \frac{1}{90\mu a^2} \cos(3ka) = \frac{k^2}{2\mu} + \mathcal{O}(a^6)$$

Method: Lattice (preliminary) – Lüscher

• fit Breit-Wigner peak to Lüscher cross section (check $\sigma(E_0) = 2$):

$$\sigma(E) = \sigma(E_0) \frac{\Gamma^2/4}{(E - E_0)^2 + \Gamma^2/4} \quad \Rightarrow \quad \begin{cases} E_0 = 6.2949 \text{ MeV}, & \Gamma = 3.0615 \text{ MeV}, \\ \sigma(E_0) = 2.0000 \checkmark \end{cases}$$

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- caution: $|\langle \psi_{\text{test}} | \psi_E \rangle|_L^2 \propto L^{-1}$ is probability distributed over lattice length!

$$\frac{|\langle \psi_{\text{test}} | \psi_E \rangle|_{L_1}^2}{|\langle \psi_{\text{test}} | \psi_E \rangle|_{L_2}^2} = \frac{L_2}{L_1}$$

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• uncertainty from averaging data for multiple lattice lengths not yet estimated

$$L \approx \frac{17.760 \text{ fm} + 21.706 \text{ fm}}{2} = 19.733 \text{ fm}$$

(Lüscher's formula advantageously yields infinite-volume results)

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- comparison: Lüscher error still underestimated

Lüscher results (no fit error)
$$E_0/\text{MeV} = 6.3530 \pm 0.0186$$
,
 $\Gamma/\text{MeV} = 3.1345 \pm 0.0235$

• generalize lattice Hamiltonian to three dimensions and non-zero spin: [B. Borasoy et al. (2007)]

$$\begin{split} \hat{H} |\vec{r}\rangle &= -\frac{1}{2\mu} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right)_{\text{discretized}} |\vec{r}\rangle \\ &+ V(\vec{r}, \hat{\vec{S}}_1, \hat{\vec{S}}_2) |\vec{r}\rangle \end{split}$$



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• define radial states for partial wave ${}^{2s+1}l_j$ (easier for high l and partial-wave mixing than Lüscher's formula): [B.-N. Lu et al. (2016)] [B. Borasoy et al. (2007)]

$$|R
angle_{s,l,j} = \sum_{ec{r}} \sum_{l_z,s_z} \sum_{s_{z,1}} \sum_{s_{z,2}} C_{0,l_z,s_z}^{j,l,s} C_{s_z,s_{z,1},s_{z,2}}^{s,s_{1,s_{2,2}}} Y_{l,l_z}(ec{e}_r) \delta_{r,R} |ec{r}
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• consider *n* coupled scattering channels, i.e. $_{\alpha'}\langle R'|V|R\rangle_{\alpha}$ is full $n \times n$ block:

$$|R
angle_lpha:=|R
angle_{s_lpha,l_lpha,j_lpha}$$
 for $lpha\in\{1,\ldots,n\}$

• add spherical wall potential [J. Carlson et al. (1984)] to avoid artifacts caused by periodic boundary condition:

 $V_{\text{wall}}(\vec{r}) = \Lambda \theta(r - R_{\text{wall}}), \quad \Lambda \text{ positive and large}$



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• compute norm matrix $[N(R)]_{\alpha',\alpha} = {}_{\alpha'}\langle R|R \rangle_{\alpha}$ and project Hamiltonian onto normalized radial states:

n



[B. Borasoy et al. (2007)]

$$[H_{\mathrm{rad}}(R',R)]_{\alpha',\alpha} = \sum_{\beta,\beta'=1}^{n} [N^{-1/2}(R')]_{\alpha',\beta'\,\beta'} \langle R'|(\hat{H}+V_{\mathrm{wall}})|R\rangle_{\beta} [N^{-1/2}(R)]_{\beta,\alpha}$$

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• obtain wave functions from eigenvectors $|\psi\rangle$ of $H_{\rm rad}$:

n

$$\psi_{\alpha}(\mathbf{R}) = \sum_{\beta=1}^{n} [N^{-1/2}(\mathbf{R})]_{\alpha,\beta\beta} \langle \mathbf{R} | \psi \rangle$$

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$$\begin{pmatrix} [H_{\text{rad}}]_{1,1} & 0 & [H_{\text{rad}}]_{1,2} & -U_0 \delta_{r,R_{\text{mix}}} \\ 0 & [H_{\text{rad}}]_{1,1} & U_0 \delta_{r,R_{\text{mix}}} & [H_{\text{rad}}]_{1,2} \\ [H_{\text{rad}}]_{2,1} & U_0 \delta_{r,R_{\text{mix}}} & [H_{\text{rad}}]_{2,2} & 0 \\ -U_0 \delta_{r,R_{\text{mix}}} & [H_{\text{rad}}]_{2,1} & 0 & [H_{\text{rad}}]_{2,2} \end{pmatrix} \begin{pmatrix} \psi_1(r) \\ \psi_2(r) \\ \chi_1(r) \\ \chi_2(r) \end{pmatrix} = E \begin{pmatrix} \psi_1(r) \\ \psi_2(r) \\ \chi_1(r) \\ \chi_2(r) \end{pmatrix}$$

mixing potential with $R_{\rm mix} \lesssim R_{\rm wall}$ and small U_0 varies initial conditions for $H_{\rm rad}$

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$$\begin{pmatrix} [H_{\text{rad}}]_{1,1} & 0 & [H_{\text{rad}}]_{1,2} & -U_0 \delta_{r,R_{\text{mix}}} \\ 0 & [H_{\text{rad}}]_{1,1} & U_0 \delta_{r,R_{\text{mix}}} & [H_{\text{rad}}]_{1,2} \\ [H_{\text{rad}}]_{2,1} & U_0 \delta_{r,R_{\text{mix}}} & [H_{\text{rad}}]_{2,2} & 0 \\ -U_0 \delta_{r,R_{\text{mix}}} & [H_{\text{rad}}]_{2,1} & 0 & [H_{\text{rad}}]_{2,2} \end{pmatrix} \begin{pmatrix} \psi_1(r) \\ \psi_2(r) \\ \chi_1(r) \\ \chi_2(r) \end{pmatrix} = E \begin{pmatrix} \psi_1(r) \\ \psi_2(r) \\ \chi_1(r) \\ \chi_2(r) \end{pmatrix}$$

mixing potential with $R_{\text{mix}} \leq R_{\text{wall}}$ and small U_0 varies initial conditions for H_{rad}

• decomposition of 2×2 overlap matrix (cf. [J. M. Blatt, L. C. Biedenharn (1952)]):

$$\begin{pmatrix} |\langle \psi_{\text{test}} |\psi_1 \rangle|^2 & |\langle \psi_{\text{test}} |\chi_1 \rangle|^2 \\ |\langle \psi_{\text{test}} |\psi_2 \rangle|^2 & |\langle \psi_{\text{test}} |\chi_2 \rangle|^2 \end{pmatrix} = U^{\dagger} \begin{pmatrix} \cdot & 0 \\ 0 & \cdot \end{pmatrix} U, \quad U^{\dagger}U = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

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mixing potential with $R_{\rm mix} \lesssim R_{\rm wall}$ and small U_0 varies initial conditions for $H_{\rm rad}$

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• generalization to n > 2 channels straightforward [LB et al. (2019)]

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- extension to inelastic scattering (not possible with Lüscher): use two-cluster state

$$|\psi_{\text{test}}(\vec{R}\,)
angle = \sum_{\vec{r}} |\vec{r} + \vec{R}\,
angle_{ ext{cluster 1}} \otimes |\vec{r}\,
angle_{ ext{cluster 2}}$$

 \Rightarrow interpret Breit-Wigner peak width Γ as decay rate of compound nucleus



[S. Elhatisari et al. (2015)]

Summary

- presented spectral overlap method for determining finite-continuum/lattice resonances
- several advantages compared to Lüscher's formula:
 - more reliable Breit-Wigner fit for small and coarse lattices
 - overlap has nearly no background like cross section
 - easy generalization for high orbital angular momenta and partial-wave mixing
 - suitable for chiral lattice EFT simulations of heavy nuclei
 - extendable to inelastic scattering
- additional work in progress:
 - 3D single-channel benchmark by C. Wang (Bochum, NLEFT collaboration)
 - realistic nuclear systems by A. Sarkar (Jülich, NLEFT collaboration)

Thank you for your attention!