

# Complex scaling in NLEFT & NLEFT calculations of proton-rich nuclei

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Nuclear Lattice EFT Collaboration



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- Complex scaling method
- 1D 1-body
- 3D 2-body

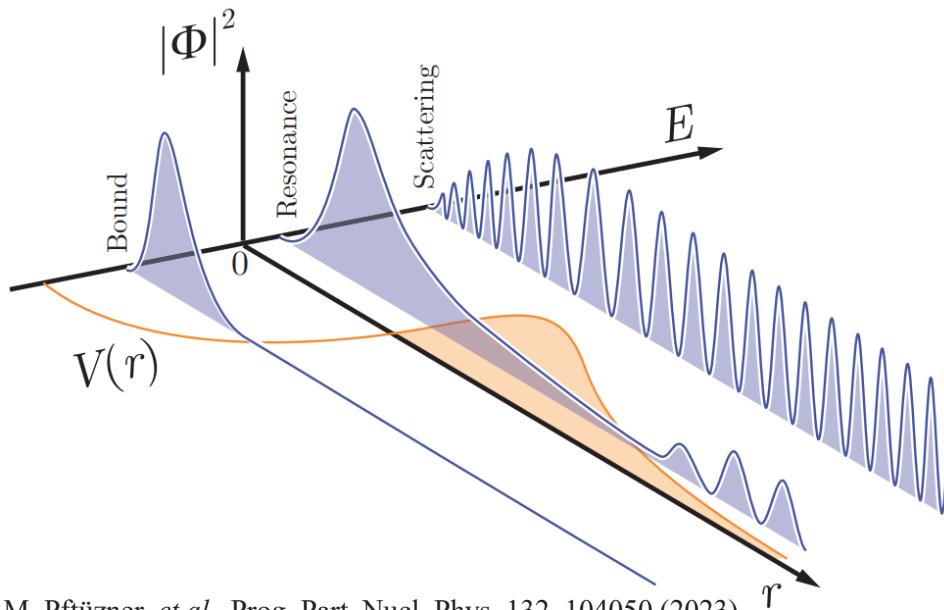
## □ NLEFT calculations of proton-rich nuclei

- Experimental and theoretical study on  $^{22}\text{Si}$
- NLEFT calculated g.s. of  $^{22}\text{Si}$ ,  $^{20}\text{Mg}$
- $2^+$  states & shell closure

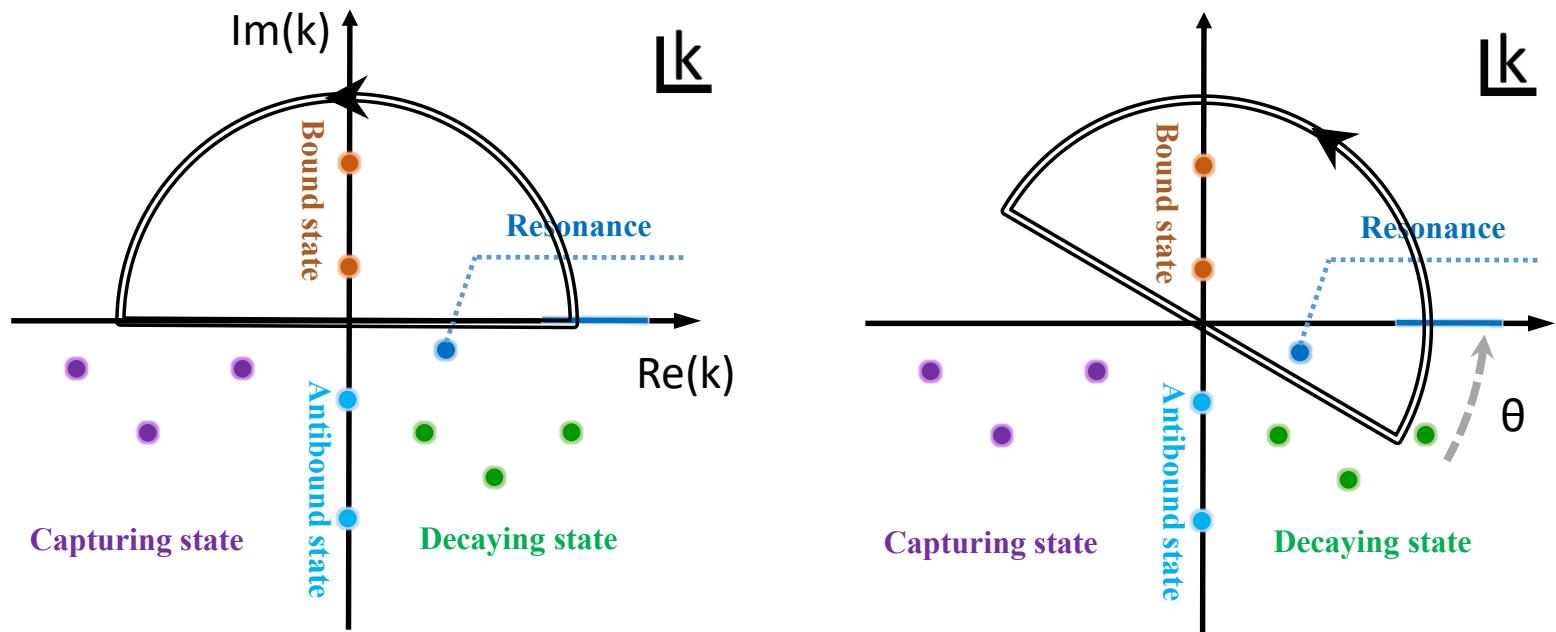
## □ Summary & perspective

# Complex scaling in NLEFT

- **Complex scaling method**
- **1D 1-body**
- **3D 2-body**



# Complex scaling method



**Transformation operator:**  $U(\theta)r = re^{i\theta}, U(\theta)k = ke^{-i\theta}$

$$H(\theta) = U(\theta)HU^{-1}(\theta)$$

where  $U^{-1}(\theta) = U(-\theta)$ .

Then  $H\Psi = E\Psi$  can be written as

$$H(\theta)\Psi(\theta) = E(\theta)\Psi(\theta)$$

with  $\Psi(\theta) = U(\theta)\Psi = e^{i3f\theta/2}\Psi(re^{i\theta})$

# Complex scaling method

## ABC theorem:

- I. The bound states of  $H$  and  $H(\theta)$  are the same;
- II. The spectrum of the  $H$  is rotated down by an angle  $\theta$  into the complex-energy plane, exposing a higher Riemann sheet of the resolvent;
- III. The resonant states of  $H$  are also eigenvalues of  $H(\theta)$  and their wavefunctions are square integrable;

Aguilar, J., Combes, J.M. A, Commun.Math. Phys. 22, 269–279 (1971).

Balslev, E., Combes, Commun.Math. Phys. 22, 280–294 (1971)

## Bi-orthogonal basis & c-products:

Non-Hermitian Hamiltonian:

$$\tilde{\Psi}^\theta(k) = \Psi^\theta(-k^*)$$

Scalar product is defined as

$$\begin{aligned}\langle \Psi^\theta | \Psi^\theta \rangle &= \langle \Psi^\theta(k, r) | \Psi^\theta(k, r) \rangle = \int dr \tilde{\Psi}^\theta(k, r)^* \Psi^\theta(k, r) = \int dr \Psi^\theta(-k^*, r)^* \Psi^\theta(k, r) \\ &= \int dr \Psi^\theta(-k, r) \Psi^\theta(k, r) = \int dr \Psi^\theta(E, r) \Psi^\theta(E, r)\end{aligned}$$

# Complex scaling method

For the case of a central potential:

$$\frac{\hbar^2}{2\mu} \left[ -\frac{d^2}{dr^2} + \frac{l(l+1)}{r^2} \right] e^{-2i\theta} + V(re^{i\theta}) \Psi_l^\theta(k, r) = E(\theta) \Psi_l^\theta(k, r)$$

The asymptotic behavior at  $r \rightarrow \infty$ :

$$\Psi_l^\theta(k, r) \rightarrow [f_l^{+\theta}(k) e^{-ikre^{i\theta}} - (-1)^l f_l^{-\theta}(k) e^{ikre^{i\theta}}]$$

Here  $f_l^{+\theta}(k), f_l^{-\theta}$  are Hankel functions.

The wave functions of bound states ( $k = ik_p, k_p > 0$ ) maintain the damping behavior.

For resonant states  $k = k_r - ik_i, k_r, k_i > 0$ :

$$\begin{aligned} e^{ikre^{i\theta}} &= e^{i(k_r - ik_i)r(\cos\theta + i\sin\theta)} \\ &= e^{(-k_r \sin\theta + k_i \cos\theta)r} e^{ik_r(\cos\theta + k_i \sin\theta)} \end{aligned}$$

When  $\theta > \tan^{-1}\left(\frac{k_i}{k_r}\right)$ , the divergent behavior of the resonant wave functions is regularized.

# Complex scaling method

## We want to calculate

- Narrow resonances in few-body systems
- Resonances in medium-mass region

$$\langle {}^{24}\text{O} \otimes d_{3/2}^{(n)} | e^{-Ht} | {}^{24}\text{O} \otimes d_{3/2}^{(n)} \rangle = \langle {}^{24}\text{O} \otimes (d_{3/2}^{(n)})_{\text{Resonance}} | e^{-Ht} | {}^{24}\text{O} \otimes (d_{3/2}^{(n)})_{\text{Resonance}} \rangle$$
$$\langle (d_{3/2}^{(n)})_{\text{Resonance}} | e^{-Ht} | (d_{3/2}^{(n)})_{\text{Resonance}} \rangle$$

Regularize the not square integrable part using exterior complex scaling.

$$O_{if} = \int_0^\infty u_f[r(\theta)] \hat{O} u_i[r(\theta)] dr$$

## We need to solve

- Perform analytical continuation of lattice space interactions
- Test the convergence of lattice calculations
- Monte Carlo Sign problems
- Update the real and imaginary parts

# Complex scaling method: Analytic continuation

- **Singularity in the potential:**

HO basis expansion;

N. Michel and M. Ploszajczak, Gamow Shell Model, Vol. 983 (Springer, 2021).

Chebyshev polynomials;

G. Kanwar, *et al.* arXiv:2304.03229.

- **Discontinuity in lattice space:**

Laurent expansion;

D. Agadjanov, *et al.* JHEP. 06 (2016) 043.

## Continuum space:

numerical methods : four-point interpolation, Gauss-Legendre quadrature

N. Michel and M. Ploszajczak, Gamow Shell Model, Vol. 983 (Springer, 2021).

## Lattice space:

uniform grid, step function,  $g(x+dx) \approx g(x)$  on  $[x, x+dx]$ .

# Analytic continuation

## Contact term with Nearest-neighbor approximation:

Local smearing:

$$V_L(x) = \sum_{\hat{n}} c_{\hat{n}} \delta(x - \hat{n}a)$$

Transform to momentum space by FT and then perform the analytical continuation

$$\begin{aligned} \langle p' | \delta(x - \hat{n}a) | p \rangle &= \int dx' dx \langle p' | x' \rangle \langle x' | \delta(x - \hat{n}a) | x \rangle \langle x | p \rangle \\ &= \int dx \delta(x - \hat{n}a) e^{-i(p-p')x} \\ &= \cos[(p - p')\hat{n}a] \\ \cos[(p - p')\hat{n}a] &\rightarrow \cos[(U^{-1}(\theta)p - U^{-1}(\theta)p')\hat{n}a] \end{aligned}$$

Non-Local smearing:

$$\langle x | + s_{NL} \langle x + \hat{n}a | = [1 + \sum_n s_{NL} e^{ip\hat{n}a}] \langle x | = [1 + \sum_n s_{NL} \cos(p\hat{n}a)] \langle x |$$

$$V(p, p') = [1 + s_{NL} \cos(p')] [1 + s_L \cos(p - p')]^2 [c(p, p')] [1 + s_{NL} \cos(p)] e^{-\frac{(p^2 n + p'^2 n)}{\Lambda^2 n}}$$

# 1D 1-Body

## Complex scaling method = Contour deformation

*G. Hagen, et al., J. Phys. A 37, 8991 (2004)*

### Coordinate space → Momentum space

First, we need to check the effect of the discretization on analytical continuation in lattice space.

$$T\psi(p_\alpha) + \int_C dp_\beta V(p_\alpha, p_\beta)\psi(p_\beta) = E\psi(p_\alpha)$$

Lattice momentum mesh:

$$h_{\alpha,\beta} = T_{\alpha,\beta}\delta_{\alpha,\beta} + \Delta p_\beta V(p_\alpha, p_\beta)$$

Gauss-Legendre momentum mesh:

$$h_{\alpha,\beta} = T_{\alpha,\beta}\delta_{\alpha,\beta} + \sqrt{\omega_\alpha \omega_\beta} V(p_\alpha, p_\beta)$$

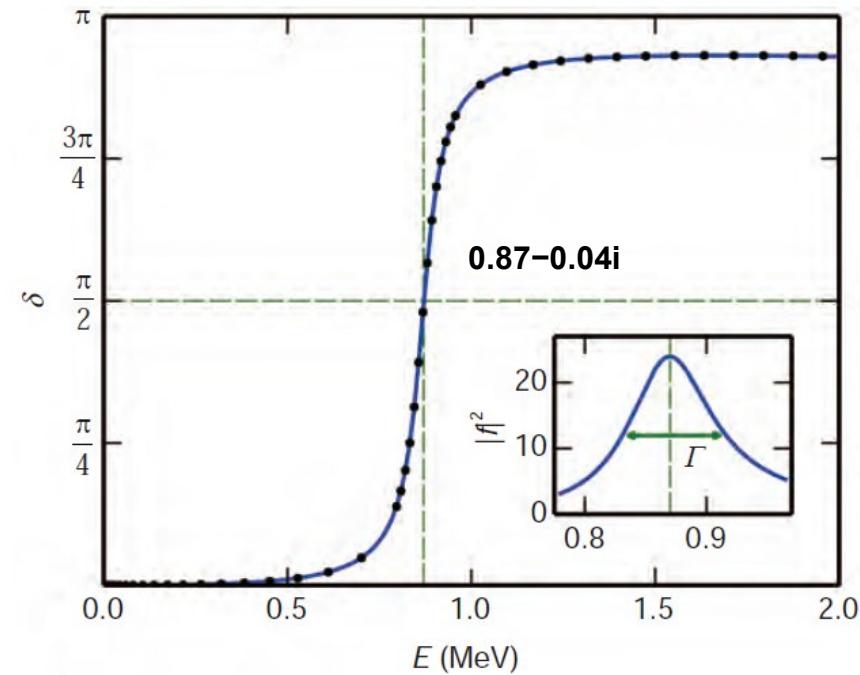
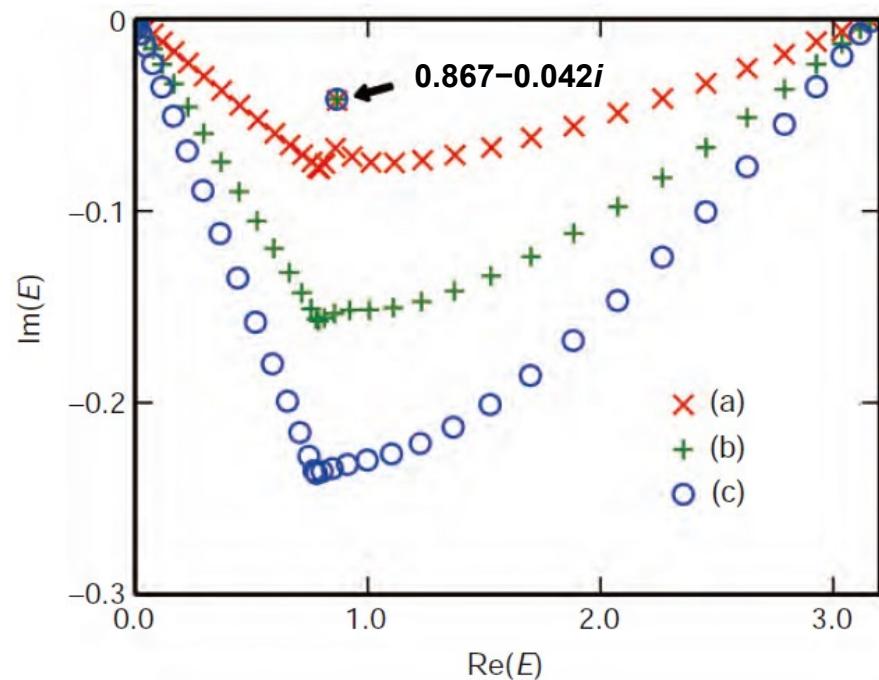
# 1D 1-Body

## Complex scaling method = Contour deformation

G. Hagen, et al., J. Phys. A 37, 8991 (2004)

Coordinate space → Momentum space

$$\tan\delta = \frac{k j'_l(kR) \psi_{nl}(R) - j_l(kR) \psi'_{nl}(R)}{k y'_l(kR) \psi_{nl}(R) - y_l(kR) \psi'_{nl}(R)},$$



Contour deformation and Gauss-Legendre method works well in continuum space.

# 1D 1-Body

## Benchmark using Gauss-Legendre methods with PBC

L	Shift	$E_0$ (Lattice)	$E_0$ (Gauss-Legendre)
12	0	-9.7982	-9.7982
	-0.5	(-9.7991, -0.00126)	(-9.7983, 0.00129)
	-1.0	(-9.8088, 0.02577)	(-9.7997, 0.04131)
24	0	-9.7982	-9.7982
	-0.5	(-9.7985, 0.00076)	(-9.7983, 0.00138)
	-1.0	(-9.8017, 0.03735)	(-9.7993, 0.04114)
360	0	-9.7982	-9.7982
	-0.5	(-9.7983, 0.00138)	(-9.7983, 0.00138)
	-1.0	(-9.7993, 0.04113)	(-9.7993, 0.04114)

Table 1:  $H = T + V$ , V is smeared contact term without regulator

# 1D 1-Body

**With closed contour:**

L	Shift	$E_0(\text{Lattice}^1)$	$E_0(\text{Lattice}^2)$
12	0	-9.7982	-9.7982
	-0.5	(-9.7991, -0.00126)	(-9.6947, -0.09371)
	-1.0	(-9.8088, 0.02577)	(-9.2614, -0.09187)
24	0	-9.7982	-9.7982
	-0.5	(-9.7985, 0.00076)	(-9.7729, -0.02387)
	-1.0	(-9.8017, 0.03735)	(-9.6696, -0.02546)
360	0	-9.7982	-9.7982
	-0.5	(-9.7983, 0.00138)	(-9.7981, -0.00011)
	-1.0	(-9.7993, 0.04113)	(-9.7977, -0.00012)

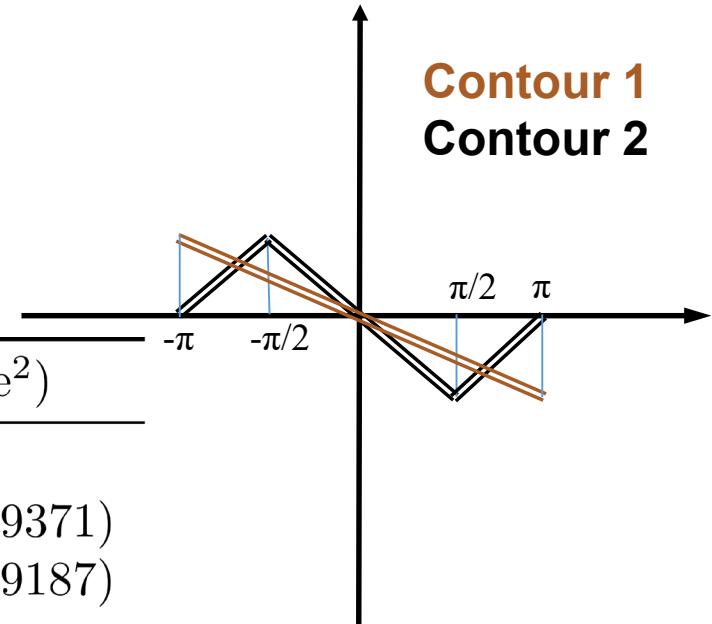
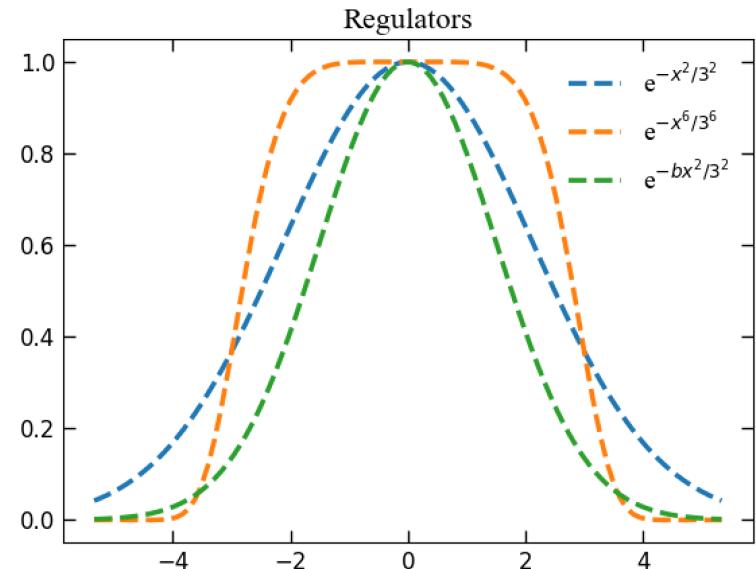


Table 1:  $H = T + V$ , V is smeared contact term without regulator

# 1D 1-Body

## Regulator:

$$f_{NL}(p', p) = e^{-b(\frac{p'}{\Lambda})^{2n}} e^{-b(\frac{p}{\Lambda})^{2n}}$$



L	Shift	$E_0$ (Lattice)	$E_0$ (Gauss-Legendre)
12	0	-8.6239	-8.6237
	-0.5	(-8.6238, -0.00013)	(-8.6238, -0.00032)
	-1.0	(-8.6258, 0.00076)	(-8.6297, 0.00037)
24	0	-8.6239	-8.6239
	-0.5	(-8.6238, 0.00006)	(-8.6240, 0.00012)
	-1.0	(-8.6278, 0.00143)	(-8.6284, 0.00168)
360	0	-8.6239	-8.6239
	-0.5	(-8.6240, 0.00012)	(-8.6240, 0.00012)
	-1.0	(-8.6284, 0.00168)	(-8.6284, 0.00168)

Table 1:  $H = T + V$ ,  $V$  is smeared contact term with regulator

# 1D 1-Body

L	Shift	$E_0(\alpha = 0.1)$	$E_0(\alpha = 1)$	$E_0(\alpha = 10)$	$E_1(\alpha = 10)$
12	0	-0.82882	-8.6239	-86.603	-8.6382
	-0.5	(-0.82882, -0.00001)	(-8.6238, -0.00013)	(-86.602, -0.00149)	(-8.6387, 0.00081)
	-1.0	(-0.82893, 0.00004)	(-8.6258, 0.00076)	(-86.623, 0.00860)	(-8.6580, 0.02229)
24	0	-0.82882	-8.6239	-86.603	-8.6382
	-0.5	(-0.82883, 0.00000)	(-8.6238, 0.00006)	(-86.603, 0.00065)	(-8.6382, 0.00004)
	-1.0	(-0.82905, 0.00004)	(-8.6278, 0.00143)	(-86.644, 0.01700)	(-8.6211, 0.00170)
360	0	-0.82882	-8.6239	-86.603	-8.6382
	-0.5	(-0.82883, 0.00001)	(-8.6240, 0.00012)	(-86.603, 0.00131)	(-8.6381, -0.00012)
	-1.0	(-0.82909, 0.00004)	(-8.6284, 0.00168)	(-86.650, 0.01999)	(-8.6112, 0.02097)

Table 1:  $H = T + \alpha V$ , V is smeared contact term with regulator

# 1D 1-Body

## Resonance:

L	Shift	$E_0$ (Lattice)	$E_1$ (Lattice)	$E_0$ (Gauss)	$E_1$ (Gauss)
12	0	-1.0982		-1.0974	
	-0.5	(-1.0986, 0.00011)	(0.11434, -0.00448)	(-1.0979, -0.00078)	(0.12120, -0.01175)
	-1.0	(-1.0988, 0.00003)	(0.10754, -0.00418)	(-1.0990, -0.00174)	(0.10304, -0.01132)
24	0	-1.0983		-1.0983	
	-0.5	(-1.0985, 0.00002)	(0.11229, -0.00588)	(-1.0985, 0.00019)	(0.11162, -0.00520)
	-1.0	(-1.0985, 0.00010)	(0.10734, -0.00430)	(-1.0985, 0.00013)	(0.10723, -0.00436)
360	0	-1.0985		-1.0983	
	-0.5	(-1.0985, 0.00019)	(0.11243, -0.00627)	(-1.0985, 0.00019)	(0.11243, -0.00627)
	-1.0	(-1.0985, 0.00013)	(0.10718, -0.00444)	(-1.0985, 0.00013)	(0.10718, -0.00444)

Table 1:  $H = T + V + V_p$ ,  $V_p$  is added to produce barrier.

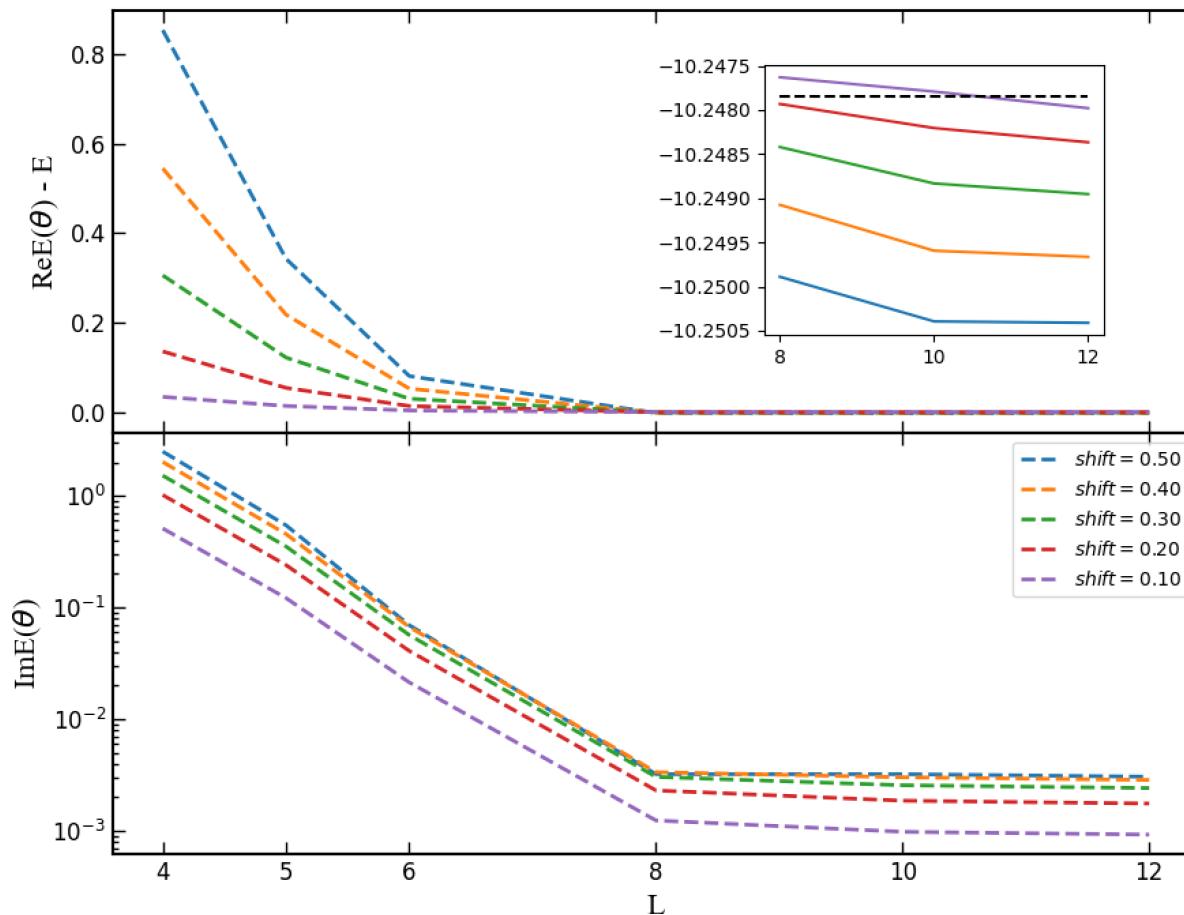
$$V_p(p, p') = -c_p \cdot (p - p')^2 e^{-0.7 \cdot [(p - p')^2 + M_\pi^2]} / [(p - p')^2 + M_\pi^2]$$

$$c_p = 1.1; M_\pi = 139/150$$

# 3D 2-Body

## Convergence of the calculations:

$$V(p'{}_1, p'{}_2; p_1, p_2) = [1 + s_{NL} \cos(p'{}_1)][1 + s_{NL} \cos(p'{}_2)][1 + 2s_L \cos(p_1 - p'{}_1)] \\ * [1 + 2s_L \cos(p_2 - p'{}_2)][c(p, p')] [1 + 2s_{NL} \cos(p_2)] \\ *[1 + s_{NL} \cos(p_1)] e^{-\frac{e_{fac}(p_1^{2n} + p'{}_1^{2n} + p_2^{2n} + p'{}_2^{2n})}{\Lambda^{2n}}}$$



# NLEFT calculations of proton-rich nuclei

- Experimental and theoretical study on  $^{22}\text{Si}$
- NLEFT calculated g.s. of  $^{22}\text{Si}$ ,  $^{20}\text{Mg}$
- $2^+$  states of  $^{22}\text{Si}$ ,  $^{20}\text{Mg}$ ,  $^{18}\text{Mg}$  and  $^{16}\text{Ne}$  & shell closure

# NLEFT calculations of proton-rich nuclei

$^{22}\text{Si}$	method	$S_{2p}$	notes
	EXP <sup>1</sup>	645(100) keV	weakly bound, indirect experimental measurement <b>M. Babo, Thesis, Université de Caen Normandie (2016)</b>
	EXP <sup>2</sup>	-108(125) keV	weakly unbound, indirect experimental measurement <b>X.X. Xu, et al., PLB 766, 312 (2017)</b>
	MBPT	-120 keV	2- $p$ emission candidates, chiral NN+3N, $sdp_{3/2}f_{7/2}$ <b>J.D. Holt, et al., PRL 110, 022502 (2013)</b>
	IMSRG	-382 keV~ -558 keV	weakly unbound, EM1.8/2.0, different valence spaces <b>S.R. Stroberg, et al., PRL 126, 022501 (2017)</b>
	GSM	674 keV	weakly bound, chiral NN+3N, $sdp_{3/2}f_{7/2}$ <b>S. Zhang, et al., PLB 827, 136958 (2022)</b>

Table 1: Current status of experimental and theoretical study on  $^{22}\text{Si}$

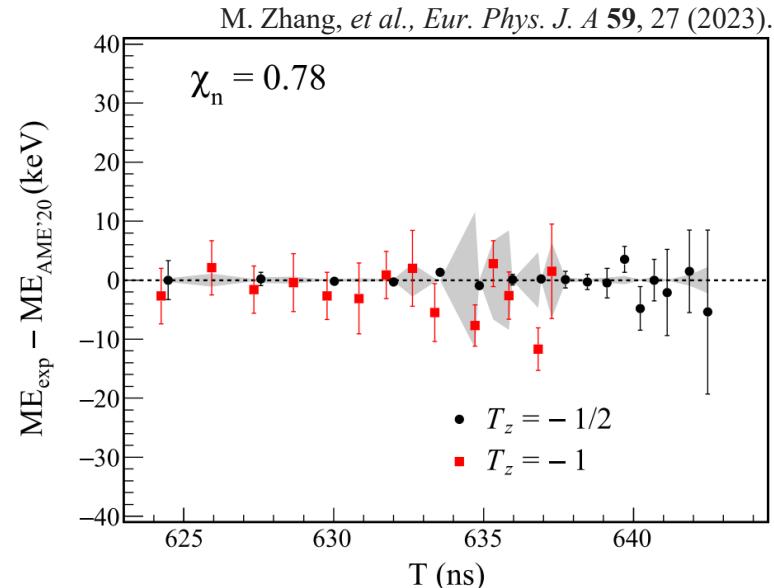
# NLEFT calculations of proton-rich nuclei

## Lanzhou CSR

The High-Precision Measurement Facility for Short-lived Nuclear Masses recently measured  $^{22}\text{Si}$ .

## FRIB

### FRIB Scientific User Portal



### Approved Experiments | Public Experiment List

The following is a list of approved experiments at FRIB. Click on a column header to sort by that column.

Show 25 entries

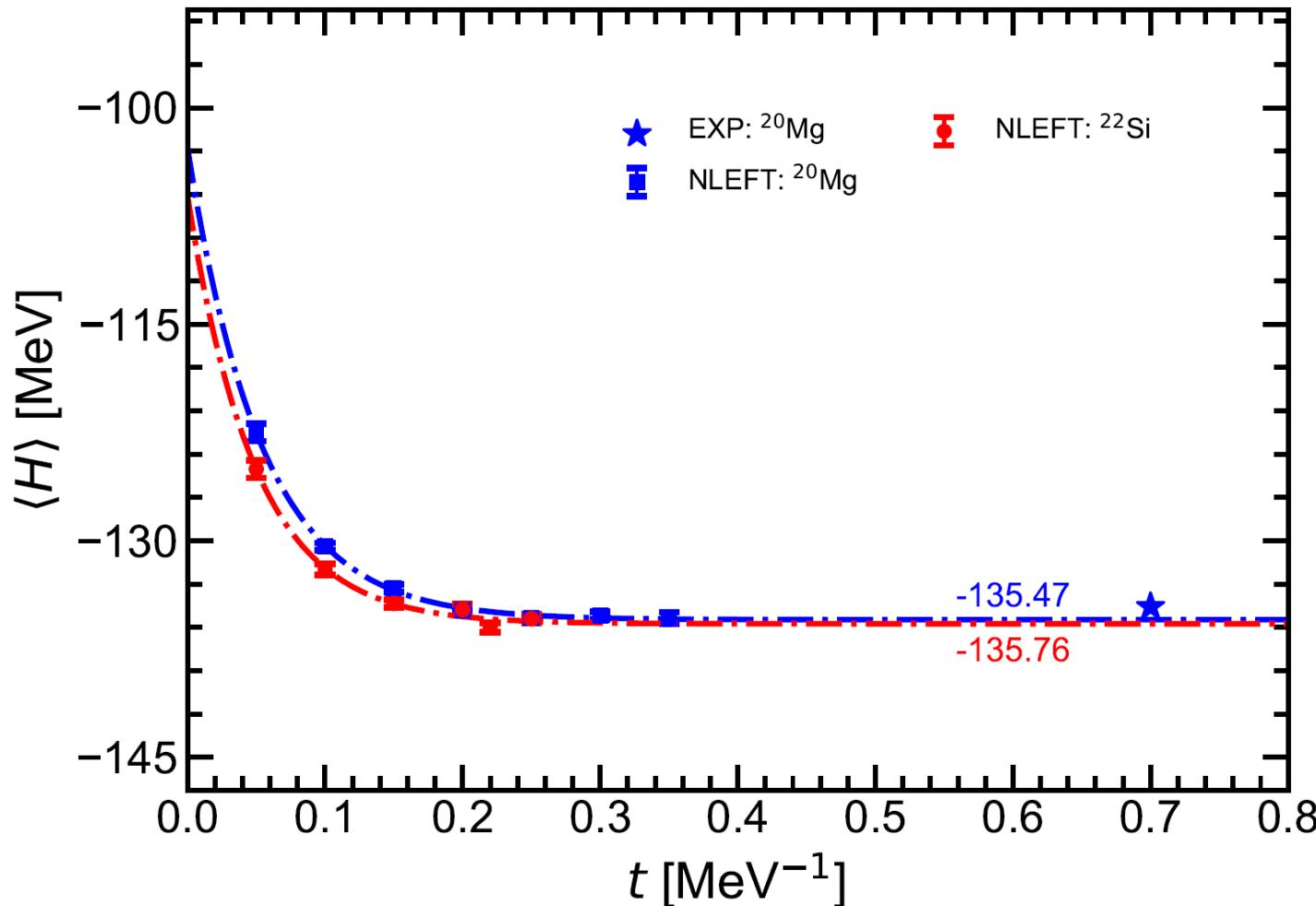
Search: 22Si

Experiment Number	Contact Spokesperson	Co-Spokespersons	Title	Beam on Target Hours Approved	Beam Tuning Hours	Date Completed
21006	Charity, Robert		Is 22Si a doubly-magic nucleus?	160	24	

# NLEFT calculations of proton-rich nuclei

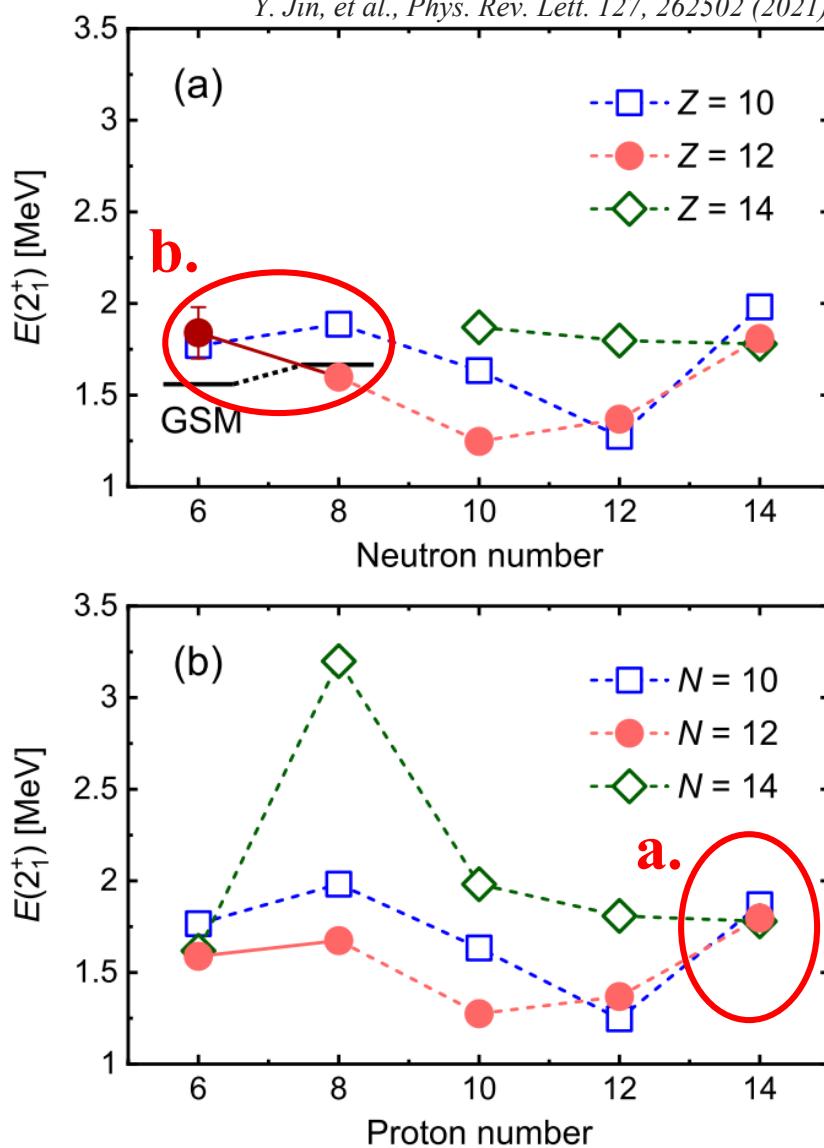
## Method:

NLEFT with chiral force at N<sup>3</sup>LO using wave function matching

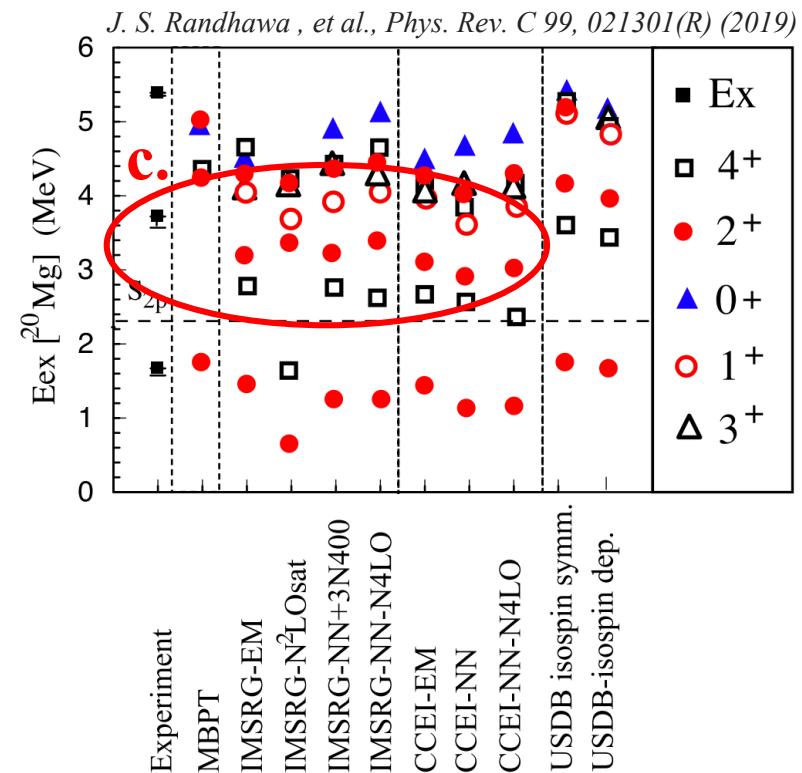


# NLEFT calculations of proton-rich nuclei

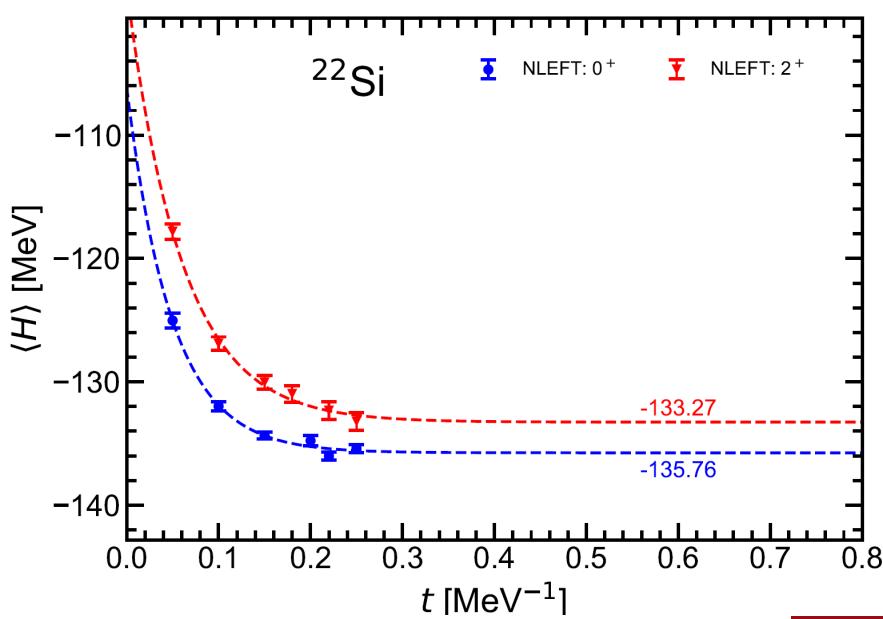
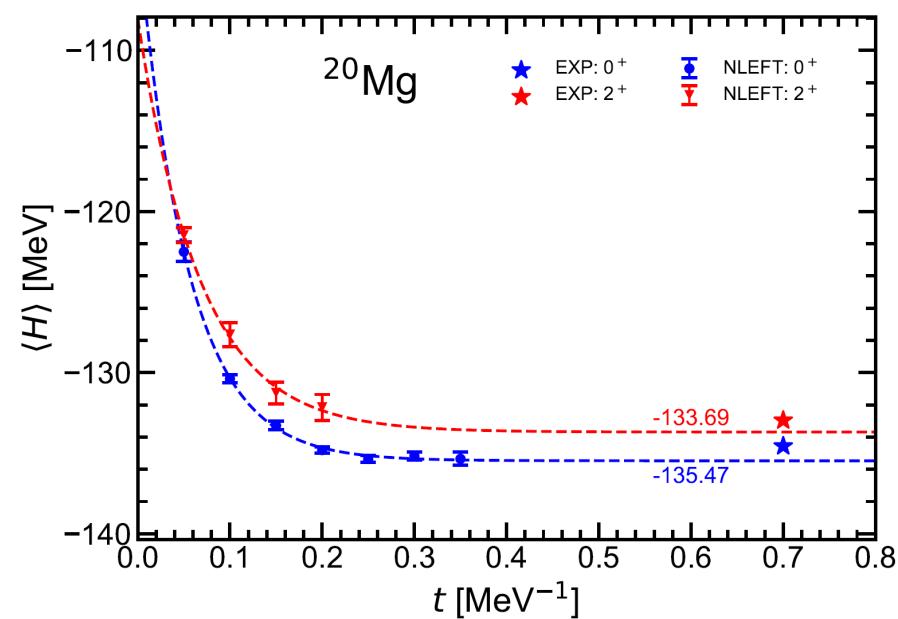
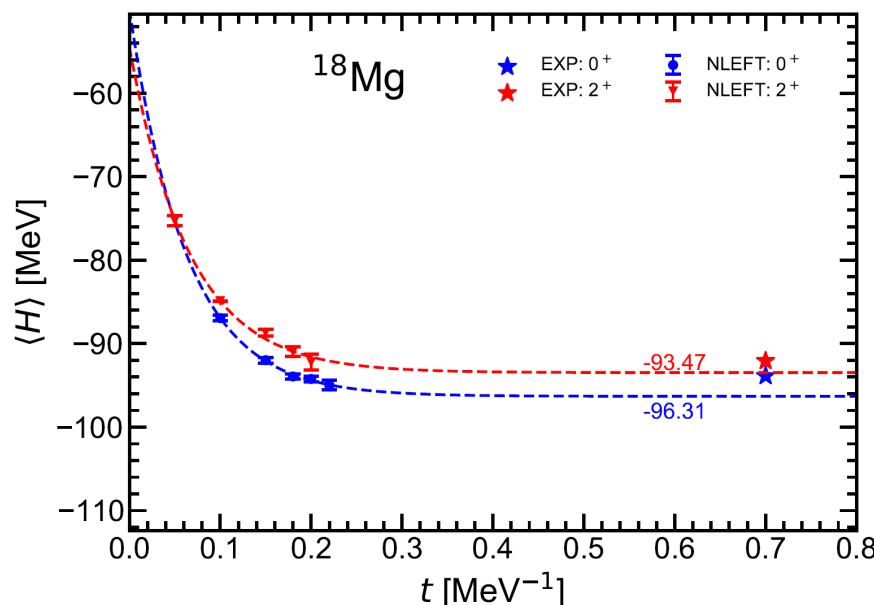
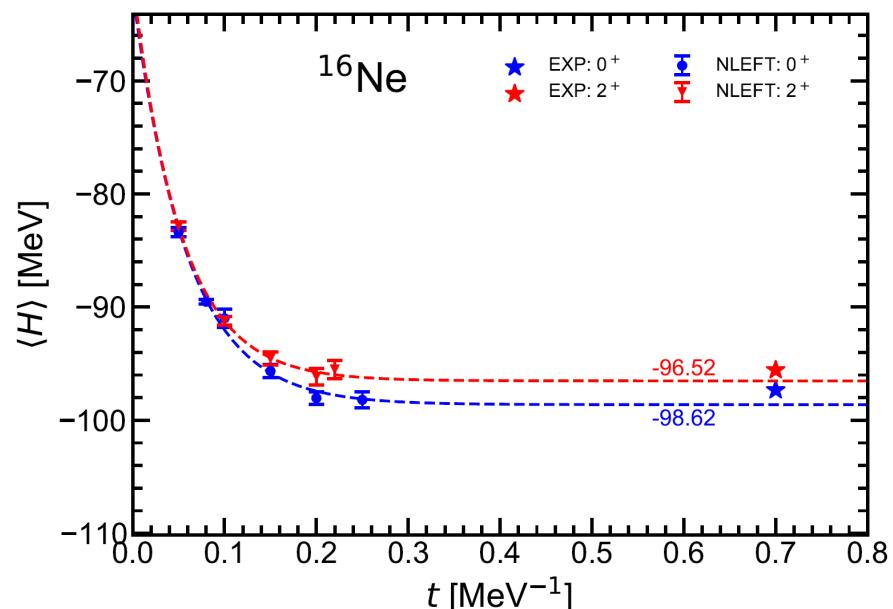
*Y. Jin, et al., Phys. Rev. Lett. 127, 262502 (2021)*



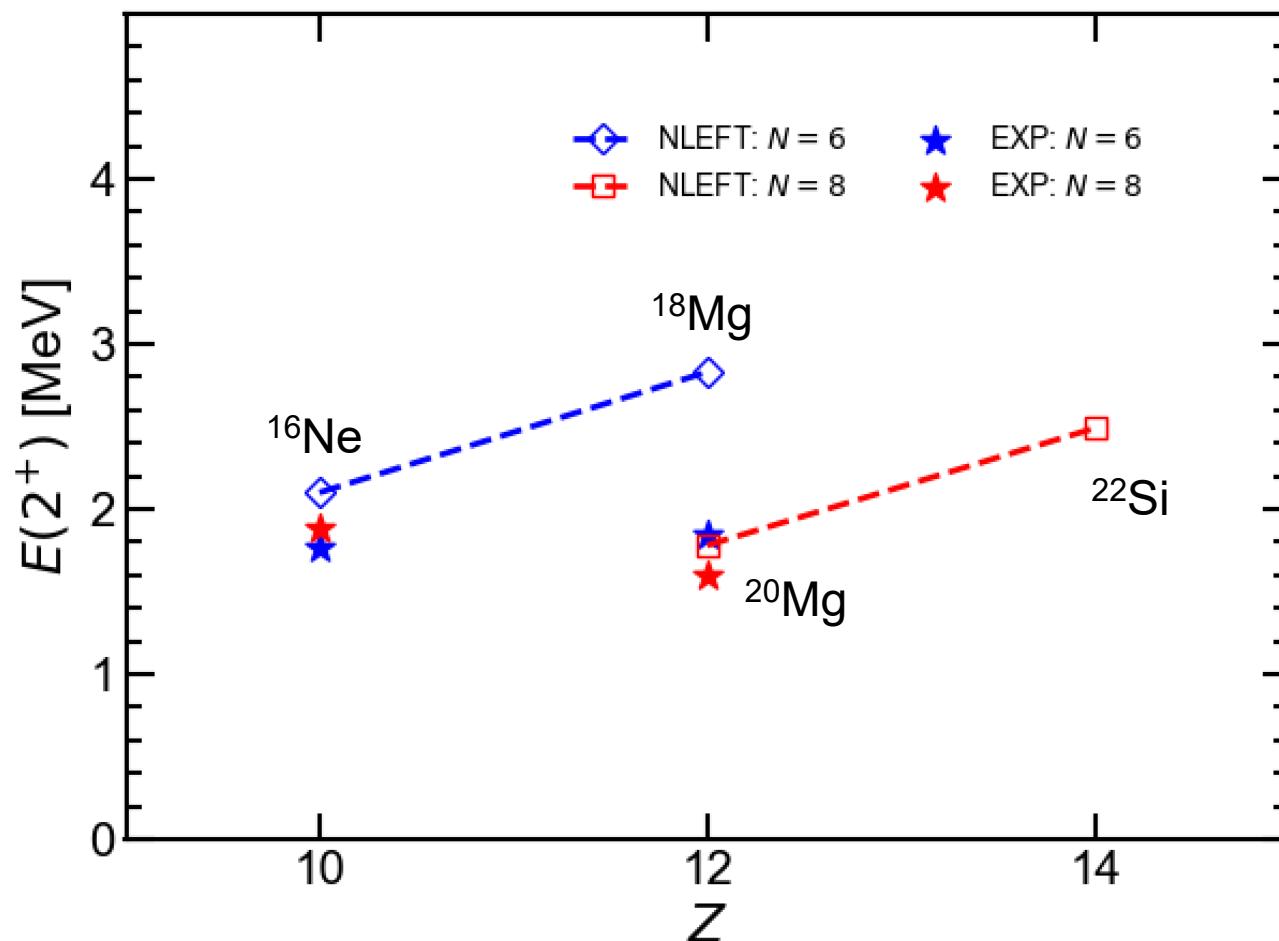
- a.  **$Z = 14$  is a magic number when  $N = 10$  and  $N = 12$**
- b. **Shell weakening of  $N = 8$  at  $Z = 12$**
- c.  **$4^+$  significantly higher than theoretical calculations**



# NLEFT calculations of proton-rich nuclei



# NLEFT calculations of proton-rich nuclei



The  $2^+$  state of  $^{22}\text{Si}$  is compared with that of  $^{20}\text{Mg}$  and  $^{18}\text{Ne}$  to account for the  $Z=14$  shell.

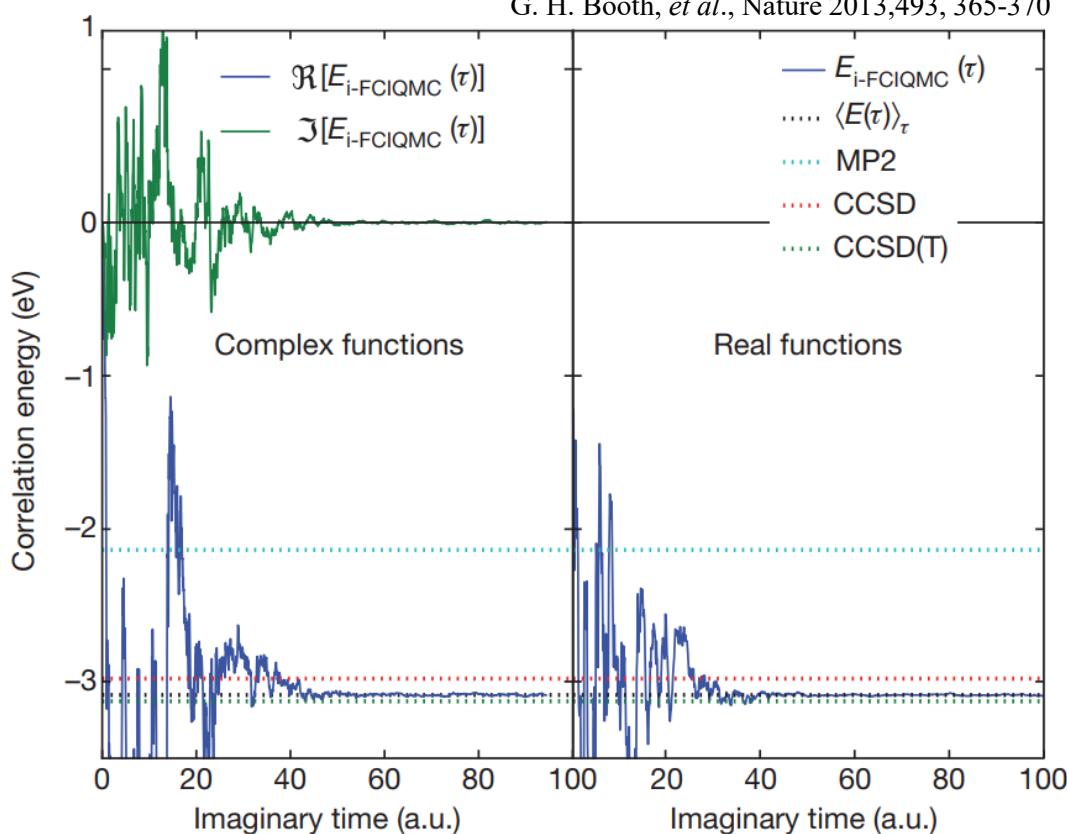
The  $2^+$  state of  $^{18}\text{Mg}$  is higher than that in  $^{20}\text{Mg}$ .

# Summary & perspective

- Complex scaling in NLEFT
  - Complex scaling method
  - Exact solutions in 3D 2-body systems
- NLEFT calculations of proton-rich nuclei
  - Calculated g.s. of  $^{22}\text{Si}$ ,  $^{20}\text{Mg}$
  - $2^+$  states & shell closure
- Further developments
  - Exact solutions in 3-body systems, complex scaling in Monte Carlo simulations, ...
  - More excited states, radii, density distributions for  $p$ -rich nuclei

Thank you!





**Figure 2 | Comparison of real and complex i-FCIQMC dynamics for the correlation energy of LiH.** A  $2 \times 2 \times 2$   $\Gamma$ -centred  $k$ -point mesh with 16 electrons, and 40 correlated Hartree–Fock orbitals was employed, at a primitive rock-salt unit cell volume of  $17.03 \text{ \AA}^3$ . Converged energies for 30 million walkers between the two bases agree within small stochastic error bars. The additional overhead for the complex dynamic means that the cost was  $\sim 5$  times that of the real dynamic to converge to equivalent error bars. Also included are MP2, CCSD and CCSD(T) results for comparison.  $\langle E(\tau) \rangle_\tau$  is an imaginary-time average of the projected energy  $E(\tau)$ , taken after a period of equilibration.

# Sign problems

$$e^{-S[s]}$$

$$e^{-S[s,\theta]}$$

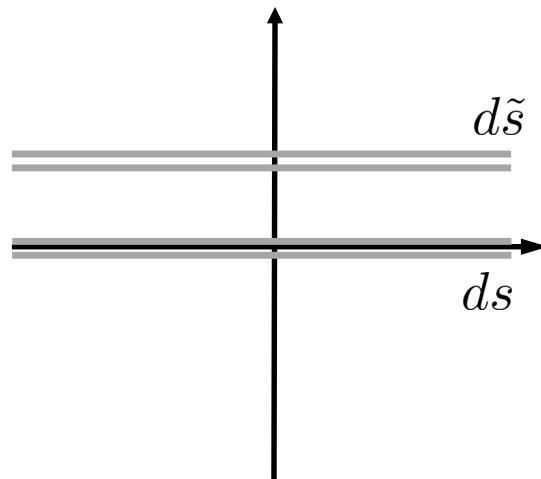
$$e^{-S[s,\pi,\dots]}$$

$$e^{-S[s,\pi,\theta,\dots]}$$

$$\tilde{s} = s + i\lambda$$

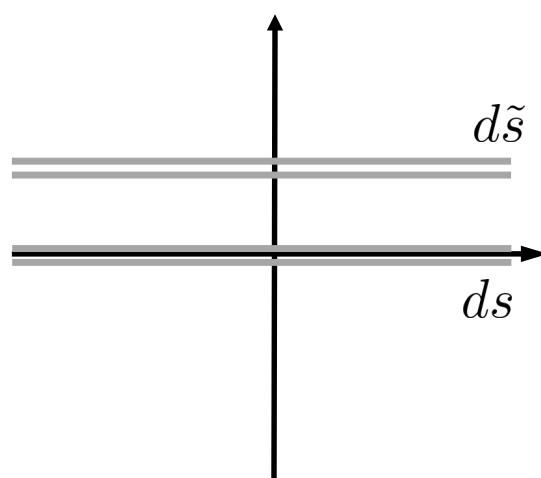
$$s^2 - \lambda^2 + 2is\lambda + i(s + i\lambda)$$

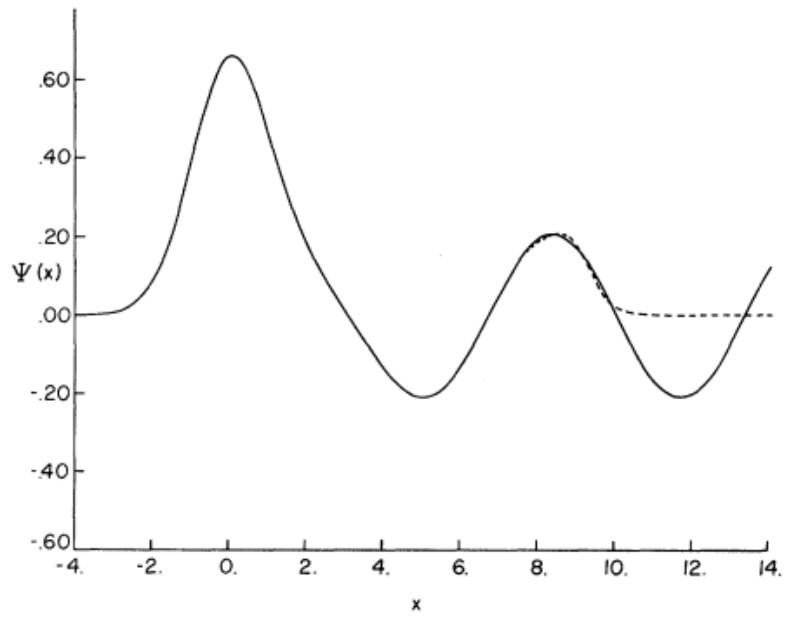
$$\int ds e^{s^2 + is} = \int d\tilde{s} e^{\tilde{s}^2 + i\tilde{s}}$$



$$s(x,t) \quad \tilde{s}(x,t) = s(x,t) + i\lambda(x,t)$$

$$\pi(x,t) \quad \tilde{\pi}(x,t) = \pi(x,t) + i\mu(x,t)$$





A. U. Hazi and H. S. Taylor, Phys. Rev. A 1, 1109–1120 (1970).