

CP and other Outer Automorphisms of Modular Flavor Symmetries

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w/ M.-C. Chen, M. Fallbacher, K.T. Mahanthappa and M. Ratz
w/ M. Ratz
w/ H.P. Nilles, M. Ratz, P. Vaudrevange
w/ A. Baur, H.P. Nilles, P. Vaudrevange
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w/ H.P. Nilles, S. Ramos-Sánchez, P. Vaudrevange
w/ A. Baur, H.P. Nilles, S. Ramos-Sánchez, P. Vaudrevange
w/ A. Baur, H.P. Nilles, S. Ramos-Sánchez, P. Vaudrevange



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CP and other Outer Automorphisms

- Outer automorphisms and CP in Standard Model
- General vs. **generalized** CP
- Two types of groups
- $\Delta(54)$ example with CP-like symmetry
- CP properties of modular group
- Relevance of Outer automorphisms for derivation of the eclectic flavor symmetry
- Summary

Outer automorphisms 101

Example: \mathbb{Z}_3 symmetry, generated by $a^3 = \text{id}$.

- All elements of $\mathbb{Z}_3 : \{\text{id}, a, a^2\}$.
- Outer automorphism group (“Out”) of \mathbb{Z}_3 : generated by

$$u(a) : a \mapsto a^2. \quad (\text{think: } u a u^{-1} = a^2)$$

\mathbb{Z}_3	id	a	a^2
1	1	1	1
1'	1	ω	ω^2
1''	1	ω^2	ω

$(\omega := e^{2\pi i/3})$

Outer automorphisms 101

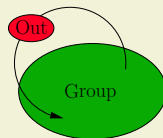
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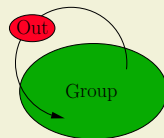
$$u(a) : a \mapsto a^2. \quad (\text{think: } u a u^{-1} = a^2)$$

Abstract: **Out** is a reshuffling of symmetry elements.

In words: **Out** is a “**symmetry of the symmetry**”.

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In words: **Out** is a “**symmetry of the symmetry**”.

Concrete: **Out** is a 1:1 mapping of representations $r \mapsto r'$.

Comes with a transformation matrix U , which is given by

$$U \rho_{r'}(g) U^{-1} = \rho_r(u(g)), \quad \forall g \in G.$$

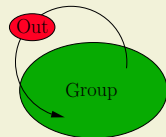
(consistency condition)

[Holthausen, Lindner, Schmidt, '13]

[Chen, Fallbacher, Mahanthappa, Ratz, AT '14]

[Fallbacher, AT, '15]

- $\rho_r(g)$: representation matrix for group element $g \in G$
- $u : g \mapsto u(g)$: **outer automorphism**
- U unique only up to phase + central element



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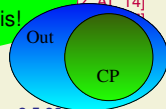
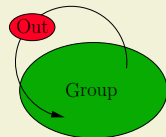
(consistency)

Physical CP trafo

$$r \mapsto r' = r^*$$

is a special case of this!

- $\rho_r(g)$: representation matrix for group element g
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- U unique only up to phase + central element



CP transformation in the Standard Model

In the Standard Model

$$\mathrm{SU}(3) \otimes \mathrm{SU}(2) \otimes \mathrm{U}(1) \quad \text{and} \quad \mathrm{SO}(3,1) ,$$

physical CP is described by a *simultaneous outer automorphism* transformation of all symmetries which maps

$$\begin{aligned} \boldsymbol{r}_i &\longleftrightarrow \boldsymbol{r}_i^* , \\ \left(\text{e.g. } (\mathbf{3}, \mathbf{2})_{1/6}^{\mathrm{L}} \right) &\longleftrightarrow \left(\overline{\mathbf{3}}, \overline{\mathbf{2}} \right)_{-1/6}^{\mathrm{R}} \end{aligned}$$

for *all* representations of *all* symmetries.

[Grimus, Rebelo '95]
[Buchbinder et al. '01]
[AT '16]

Conservation of such a transformation warrants $\bar{\theta}, \delta_{\mathrm{CP}} = 0$.

Violation of such a transformation is implied by experiment, and necessary requirement for baryogenesis.

[Sakharov '67]

However: Why $\delta_{\mathrm{CKM}} \sim \mathcal{O}(1)$ while $\bar{\theta}_{\mathrm{exp}} < 10^{-10}$?

General vs. generalized CP

Schematically, QFT with symmetry

$$G_1 \otimes G_2 \otimes \cdots ,$$

and quantum fields

$$\psi \in \mathbf{r}_{G_1} \otimes \mathbf{r}_{G_2} \otimes \cdots .$$

CP trafo based on complex conjugation outer automorphism.

$$\psi(x) \xrightarrow{\mathcal{CP}} (U_{\mathbf{r}_{G_1}} \otimes U_{\mathbf{r}_{G_2}} \otimes \cdots) \psi^*(\mathcal{P}x) .$$

- Each U **has to** fulfill its own **consistency condition**.
There is **no choice**: No “**generalization**” necessary or possible.
- Only in specific cases a basis maybe *chosen* such that $U = \mathbb{1}$.
see e.g. [Ecker, Grimus, Neufeld '87]
- Often such a basis is actually an inconvenient choice.
[Chen, Fallbacher, Mahanthappa, Ratz, AT '14]
- This is different for **unconstrained** spaces \mathcal{H} . For example
flavorspace of the SM! *Here generalization is possible.*

Notion of **generalized** CP for symmetry constrained spaces should be abandoned. There is only **general CP**!

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The most **general** CP transformation

One generation of (chiral) fermion fields with gauge symmetry $[T_a, T_b] = i f_{abc} T_c$

$$\mathcal{L} = i \bar{\Psi} \gamma^\mu (\partial_\mu - i g T_a W_\mu^a) \Psi - \frac{1}{4} G_{\mu\nu}^a G^{\mu\nu, a} .$$

The most **general** possible **CP** transformation:

$$\begin{aligned} W_\mu^a(x) &\mapsto R^{ab} \mathcal{P}_\mu^\nu W_\nu^b(\mathcal{P} x) , \\ \Psi_\alpha^i(x) &\mapsto \eta_{\text{CP}} U^{ij} \mathcal{C}_{\alpha\beta} \Psi_\beta^{*j}(\mathcal{P} x) . \end{aligned}$$

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This is (can be) a conserved symmetry of the *action* iff,

\hookrightarrow Three **consistency conditions!**

$$\begin{aligned} \text{(i)} \quad & R_{aa'} R_{bb'} f_{a'b'c} = f_{abc'} R_{c'c} , \\ \text{(ii)} \quad & U (-T_a^T) U^{-1} = R_{ab} T_b , \\ \text{(iii)} \quad & \mathcal{C} (-\gamma^{\mu T}) \mathcal{C}^{-1} = \gamma^\mu . \end{aligned}$$

This implies:

- (i) CP is an **automorphism** of the gauge group.
- (ii) CP maps representations to their complex conjugate representations. $(T_a \mapsto -T_a^T)$
- (iii) CP is an **automorphism** of the Lorentz group which maps representations to their complex conjugate representation. $(\chi_L \mapsto (\chi_L)^\dagger)$

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This implies:

$$\Rightarrow \mathcal{C} = e^{i\eta} \gamma_2 \gamma_0$$

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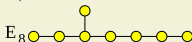
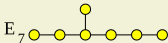
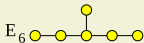
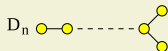
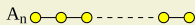
Outer automorphisms of groups

Outer automorphisms exist for continuous & discrete groups.

There are easy ways to depict this:

Continuous groups:

Outer automorphisms of a simple Lie algebra are the symmetries of the corresponding Dynkin diagram.



	Lie Group	Out	Action on reps
$A_{n>1}$	$SU(N)$	\mathbb{Z}_2	$\mathbf{r} \rightarrow \mathbf{r}^*$
$D_{n>4}$	$SO(2N)$	\mathbb{Z}_2	$\mathbf{r} \rightarrow \mathbf{r}^*$
E_6	E_6	\mathbb{Z}_2	$\mathbf{r} \rightarrow \mathbf{r}^*$
$D_{n=4}$	$SO(8)$	S_3	$\mathbf{r}_i \rightarrow \mathbf{r}_j$
all others		/	/

Outer automorphisms of groups

Discrete groups:

Outer automorphisms of a discrete group are symmetries of the character table (not 1:1).

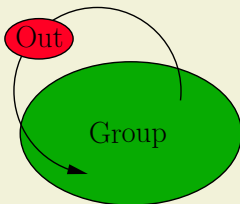
T_7	C_{1a}	C_{3a}	C_{3b}	C_{7a}	C_{7b}
1_0	1	1	1	1	1
1_1	1	ω	ω^2	1	1
$\bar{1}_1$	1	ω^2	ω	1	1
3_1	3	0	0	η	η^*
$\bar{3}_1$	3	0	0	η^*	η

$\Delta(54)$	C_{1a}	C_{3a}	C_{3b}	C_{3c}	C_{3d}	C_{2a}	C_{6a}	C_{6b}	C_{3e}	C_{3f}
1_0	1	1	1	1	1	1	1	1	1	1
1_1	1	1	1	1	1	-1	-1	-1	1	1
2_1	2	2	-1	-1	-1	0	0	0	2	2
2_2	2	-1	2	-1	-1	0	0	0	2	2
2_3	2	-1	-1	2	-1	0	0	0	2	2
2_4	2	-1	-1	-1	2	0	0	0	2	2
3_1	3	0	0	0	0	1	ω^2	ω	3ω	$3\omega^2$
$\bar{3}_1$	3	0	0	0	0	1	ω	ω^2	$3\omega^2$	3ω
3_2	3	0	0	0	0	-1	$-\omega^2$	$-\omega$	3ω	$3\omega^2$
$\bar{3}_2$	3	0	0	0	0	-1	$-\omega$	$-\omega^2$	$3\omega^2$	3ω

The outer automorphisms group of any (“small”) discrete group can easily be found with GAP [GAP].

Group	Out	Action on reps
\mathbb{Z}_3	\mathbb{Z}_2	$\mathbf{r} \rightarrow \mathbf{r}^*$
$A_{n \neq 6}$	\mathbb{Z}_2	$\mathbf{r} \rightarrow \mathbf{r}^*$
$S_{n \neq 6}$	/	/
$\Delta(27)$	$GL(2, 3)$	$\mathbf{r}_i \rightarrow \mathbf{r}_j$
$\Delta(54)$	S_4	$\mathbf{r}_i \rightarrow \mathbf{r}_j$
...		

Two types of groups (without mathematical rigor)



List of representations: $r_1, r_2, \dots, r_k, r_k^*, \dots$

Out in general : $r_i \mapsto r_j \quad \forall \text{ irreps } i, j \quad (1 : 1)$

Criterion:

Is there an (outer) automorphism transformation that maps

$$r_i \mapsto r_i^* \quad \text{for all irreps } i ?$$

No

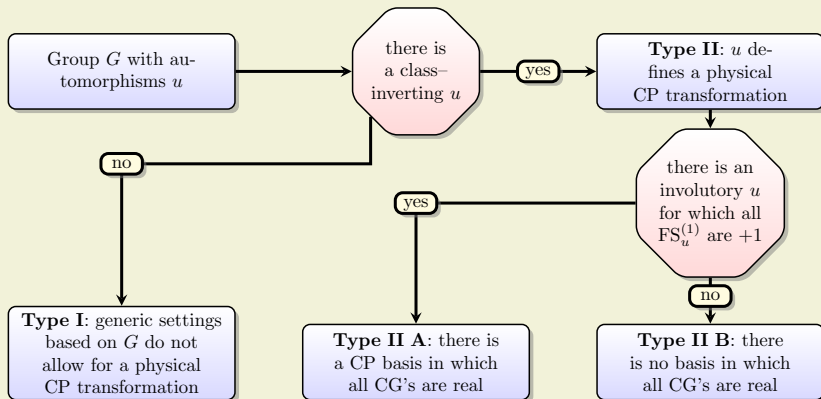
\Rightarrow Group of **“type I”**

Yes

\Rightarrow Group of **“type II”**

This tells us whether a CP transformation is possible, or not!

Systematic classification of finite Groups G



(For details see [Chen, Fallbacher, Mahanthappa, Ratz, AT, '14])

Mathematical tool to decide: Twisted Frobenius-Schur indicator FS_u (Backup slides)

Do CP transformations exist for all symmetries?

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General answer: **No.**

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For example: Discrete groups of **type I**:

G	$\mathbb{Z}_5 \rtimes \mathbb{Z}_4$	T_7	$\Delta(27)$	$\mathbb{Z}_9 \rtimes \mathbb{Z}_3$	\dots
SG id	(20, 3)	(21, 1)	(27, 3)	(27, 4)	

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- These are **inconsistent** with the trafo $r_i \mapsto r_i^* \forall i$.

\Rightarrow CP transformation is inconsistent with a type I symmetry.
(assuming sufficient # of irreps are in the model)

There are models in which CP is violated
as a consequence of unbroken type I symmetry.

[Chen, Fallbacher, Mahanthappa, Ratz, AT '14]

The corresponding CPV phases are calculable and quantized (e.g. $\delta_{CP} = 2\pi/3, \dots$) stemming from the necessarily complex Clebsch-Gordan coefficients of the “type I” group. This has been termed “explicit geometrical” CP violation.

[Chen, Fallbacher, Mahanthappa, Ratz, AT '14]
[Branco, '15], [de Medeiros Varzielas, '15]

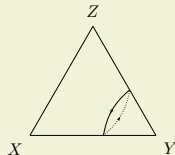
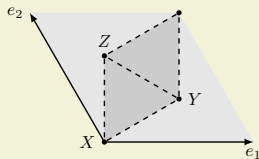
Example with $\Delta(54)$

“CP Violation from String Theory”

[Nilles, Ratz, Trautner, Vaudrevange '18]

CP violation from string theory

- Heterotic orbifold theory compactified on $\mathbb{T}^2/\mathbb{Z}_3$. [Ibáñez, Kim, Nilles, Quevedo '87]
- This theory has $\Delta(54)$ flavor symmetry. [Kobayashi, Nilles, Plöger, Raby, Ratz '07]
- These models are “semi-realistic” (MSSM from heterotic orbifolds)
SM families + $\text{RH}\nu$'s are $\Delta(54)$ -triplets. [Carballo-Perez, Peinado, Ramos-Sanchez '16]
- Light spectrum consist *only* of $\Delta(54)$ singlets and triplets.
- $\Delta(54)$ is a group of type I, can lead to “geometrical CP violation”.
- Identification of source of CP violation:
Type I flavor symmetry & presence of heavy winding strings.



CP violation from string theory

$\Delta(54)$ is group of type I \iff $\text{Out}[\Delta(54)] \cong S_4$ does not contain simultaneous CP trafo for *all* states.

- **However**, there exist trafos in $\text{Out}[\Delta(54)]$ which correspond to CP trafos for the singlets and triplets (the light spectrum!).
- **Crucial**: these are **no** physical CP transformations *IF* there are *more than* two doublet states $\mathbf{2}_{1,2,3,4}$!

This is what we call a “**CP-like**” transformation.

$\Delta(54)$	C_{1a}	C_{3a}	C_{3b}	C_{3c}	C_{3d}	C_{2a}	C_{6a}	C_{6b}	C_{3e}	C_{3f}
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$\mathbf{1}_1$	1	1	1	1	1	-1	-1	-1	1	1
$\mathbf{2}_1$	2	2	-1	-1	-1	0	0	0	2	2
$\mathbf{2}_2$	2	-1	2	-1	-1	0	0	0	2	2
$\mathbf{2}_3$	2	-1	-1	2	-1	0	0	0	2	2
$\mathbf{2}_4$	2	-1	-1	-1	2	0	0	0	2	2
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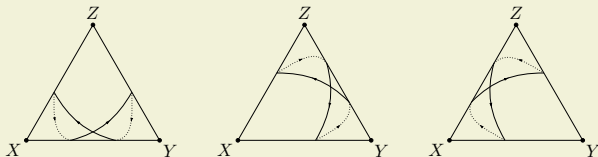
- Are there doublets in the string model?

Doublets in the string model

Easy trick to see (three of) the doublets: Technical details see [Lauer, Mas, Nilles '89,'91]

$$\mathbf{3}_i \otimes \bar{\mathbf{3}}_i = \mathbf{1}_0 \oplus \mathbf{2}_1 \oplus \mathbf{2}_2 \oplus \mathbf{2}_3 \oplus \mathbf{2}_4.$$

(Heavy) string winding modes transform as doublets.



Interactions between light (triplets) and heavy (doublet) modes:

$$\text{EFT superpotential: } \mathcal{W} \supset \sum_k (c_k)^{mab} \phi_m^{(\mathbf{2}_k)} \chi_a^{(\mathbf{3}_1)} \psi_b^{(\bar{\mathbf{3}}_1)}.$$

Explicit identification of CPV

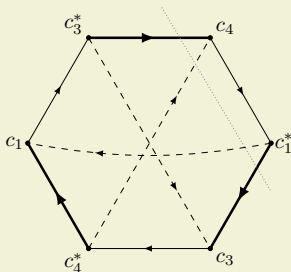
Convenient explicit proof for presence of CP Violation:

Construct CP-odd basis invariants (like Jarlskog Inv.)

see e.g. [Bernabeu, Branco, Gronau '86], [Lavoura, Silva '94]

[Botella, Silva '94], [Branco et al. '14], [Varzielas et al. '16]

Lowest order invariant here is at four loop, and contains **three** doublets.



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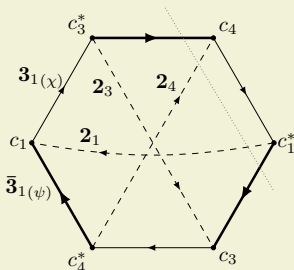
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Lowest order invariant here is at four loop, and contains **three** doublets.



Explicit identification of CPV

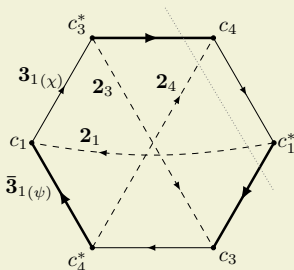
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$$\mathcal{I}_{\text{CP-odd}} = \frac{1 + 3 e^{4\pi i/3}}{36} |c_1|^2 |c_3|^2 |c_4|^2 .$$

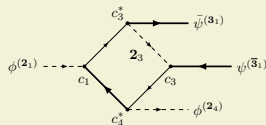
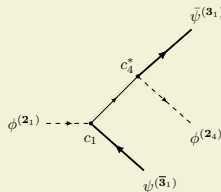
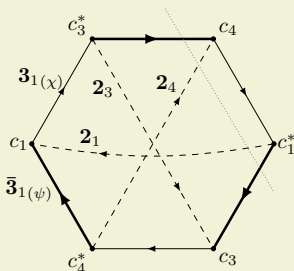
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Comments on this example

- This is a proof-of-principle that type I groups and (thereby caused) geometrical CP violation exists in potentially realistic string theory models.
- There exist many more semi-realistic string theory examples with type I groups.
[Olguin-Trejo, Perez-Martinez, Ramos-Sanchez '18]
- Many (very model dependent) details remain to be worked out:
 - Decay of heavy modes is CP violating: B/L violation? Baryogenesis?
 - Does integrating out the heavy modes give rise to CP violation among the light modes? (no)
 - Yukawa couplings and low energy CP violation (CKM and θ)?

CP transformation of Modular Symmetry

CP transformation of modular symmetry

[Baur, Nilles, AT, Vaudrevange '19], [Novichkov, Penedo, Petcov, Titov '19]

$$\mathrm{SL}(2, \mathbb{Z}) = \langle s, t \mid s^4 = 1, s^2 = (st)^3 \rangle$$

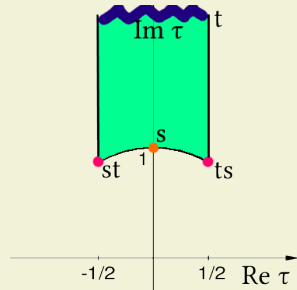
$$\gamma := \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}(2, \mathbb{Z}), \quad \tau \mapsto \frac{a\tau + b}{c\tau + d}, \quad \Phi \mapsto (c\tau + d)^n \rho(\gamma) \Phi,$$

$$\mathrm{PSL}(2, \mathbb{Z}) = \mathrm{SL}(2, \mathbb{Z}) / \{1, -1\}$$

$$s : \tau \mapsto -\frac{1}{\tau}, \quad t : \tau \mapsto \tau + 1,$$

$$S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix},$$

Class inverting outer automorphism?



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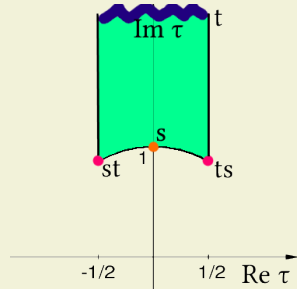
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Class inverting outer automorphism? ✓

$$u(s) = s^{-1}, \quad u(t) = t^{-1}.$$

Corresponds to $\mathbb{Z}_2^{\mathcal{CP}}$ CP transformation

$$\mathrm{SL}(2, \mathbb{Z}) \rtimes \mathbb{Z}_2^{\mathcal{CP}} = \mathrm{GL}(2, \mathbb{Z})$$

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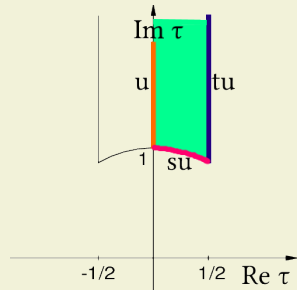
$$\mathrm{GL}(2, \mathbb{Z}) = \langle s, t \mid s^4 = 1, s^2 = (st)^3, ut = t^{-1}u, us = s^{-1}u \rangle$$

$$\det [\bar{\gamma} \in \mathrm{GL}(2, \mathbb{Z})] = -1, \quad \tau \mapsto \frac{a\bar{\tau} + b}{c\bar{\tau} + d}, \quad \Phi \mapsto (c\bar{\tau} + d)^n \rho(\bar{\gamma}) \bar{\Phi},$$

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Relevance of Outs for derivation of the Eclectic Flavor Symmetry

Origin of eclectic flavor symmetry in heterotic orbifolds

Narain lattice formulation of heterotic string theory:

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[Narain, Samardi, Witten '87],[Narain, M. H. Sarmadi, and C. Vafa,'87],[Groot Nibbelink & Vaudrevange '17]

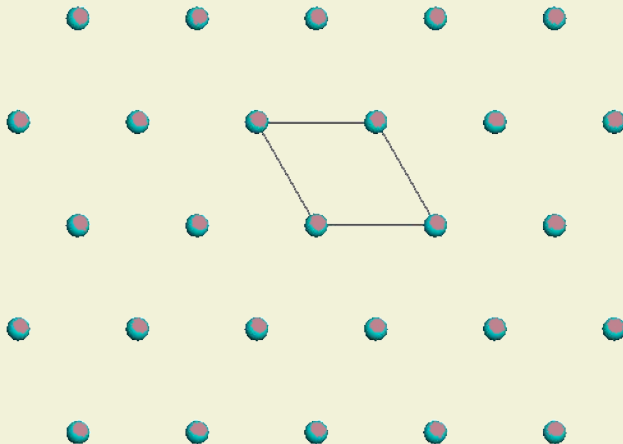
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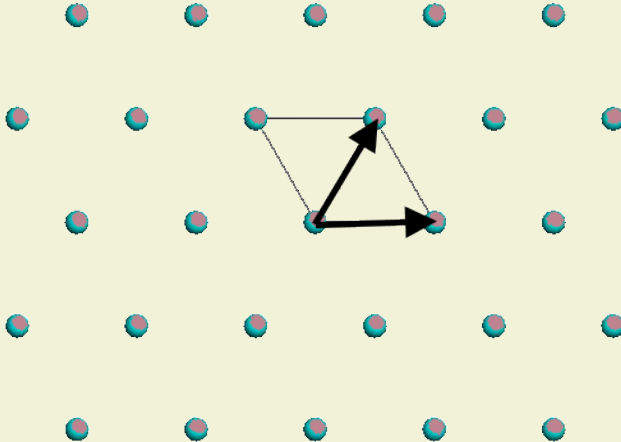
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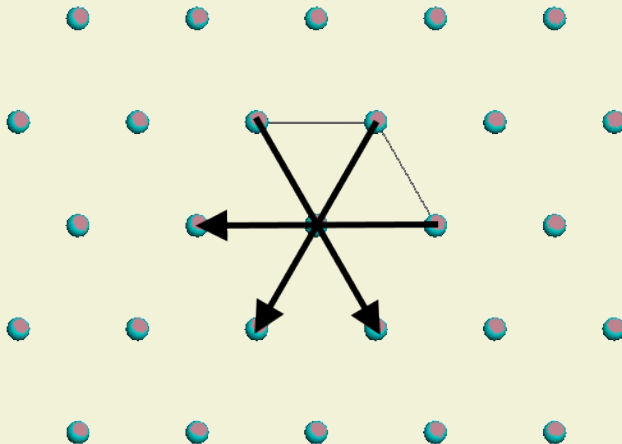
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reflections / inversions

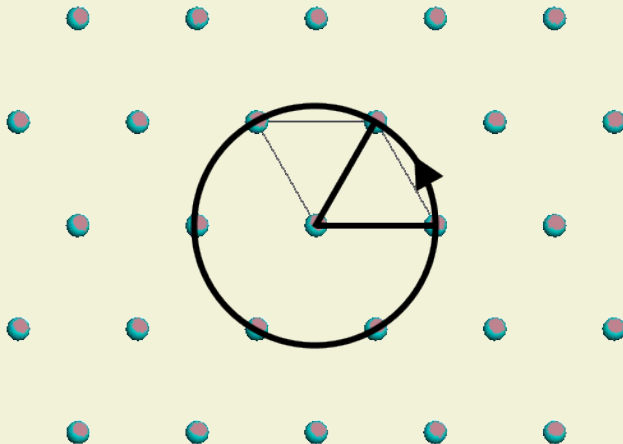
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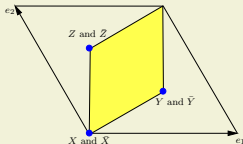
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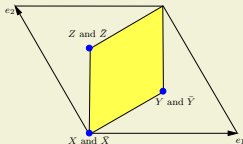
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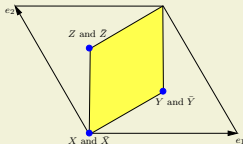
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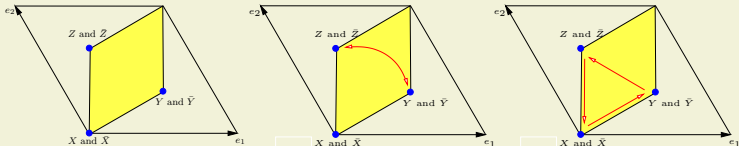
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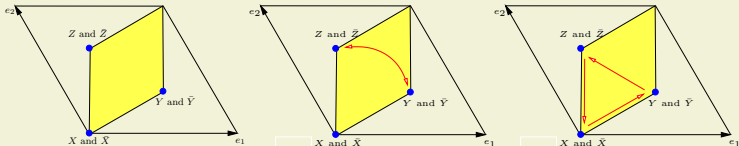
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New insight: Flavor symmetries are given by **outer automorphisms** of the Narain lattice space group!

[Baur, Nilles, AT, Vaudrevange '19]

In this way we can unambiguously compute them in the top-down approach.

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- Bosonic string coordinates, D right- and D left-moving, $y_{R,L}$, *compactified* on $2D$ torus:

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- $\Theta^K = \mathbb{1}$, is an “orbifold twist” with $\theta_{R,L} \in \text{SO}(D)$.
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$$\Gamma = \{E \hat{N} \mid \hat{N} \in \mathbb{Z}^{2D}\}$$

(Γ is even, self-dual lattice with metric $\eta = \text{diag}(-\mathbb{1}_D, \mathbb{1}_D)$.)

- $\hat{N} = (n, m) \in \mathbb{Z}^{2D}$, n : winding number, m : Kaluza-Klein number of string boundary condition.
- E : “Narain vielbein”, depends on moduli of the torus;
 $E^T E \equiv \mathcal{H} = \mathcal{H}(T, U)$.

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$$\mathcal{H}(T, U) = \frac{1}{\text{Im } T \text{ Im } U} \begin{pmatrix} |T|^2 & |T|^2 \text{Re } U & \text{Re } T \text{Re } U & -\text{Re } T \\ |T|^2 \text{Re } U & |T U|^2 & |U|^2 \text{Re } T & -\text{Re } T \text{Re } U \\ \text{Re } T \text{Re } U & |U|^2 \text{Re } T & |U|^2 & -\text{Re } U \\ -\text{Re } T & -\text{Re } T \text{Re } U & -\text{Re } U & 1 \end{pmatrix}.$$

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Narain space group $g = (\Theta^k, E \hat{N}) \in S_{\text{Narain}}$ is given by multiplicative closure of all twist and shifts

$$S_{\text{Narain}} := \langle (\Theta, 0), (\mathbb{1}, E_i) \text{ for } i \in \{1, \dots, 2D\} \rangle.$$

Outs of the Narain lattice

Maps between Narain lattice Γ to an equivalent lattice Γ' are given by **outer automorphisms** of the **Narain lattice**

$$O_{\hat{\eta}}(D, D, \mathbb{Z}) := \langle \hat{\Sigma} \mid \hat{\Sigma} \in \text{GL}(2D, \mathbb{Z}) \text{ with } \hat{\Sigma}^T \hat{\eta} \hat{\Sigma} = \hat{\eta} \rangle .$$

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For example, specializing to $D = 2$, \curvearrowright d.o.f. in E are Kähler (T) and complex structure moduli (U). **Outs** of Narain lattice:

$$O_{\hat{\eta}}(2, 2, \mathbb{Z}) \cong [(\text{SL}(2, \mathbb{Z})_T \times \text{SL}(2, \mathbb{Z})_U) \rtimes (\mathbb{Z}_2 \times \mathbb{Z}_2)] / \mathbb{Z}_2 .$$

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Outer automorphisms of Γ contain the **modular transformations**, including T-duality transformations, $T \leftrightarrow U$ mirror symmetry and a \mathcal{CP} -like transformation $M \mapsto -\overline{M}$.

[Baur, Nilles, AT, Vaudrevange '19]

Outs of the Narain space group

For the full **Narain space group**, the **outer automorphisms** are given by transformations $h := (\hat{\Sigma}, \hat{T}) \notin S_{\text{Narain}}$ such that

$$g \xrightarrow{h} h g h^{-1} \stackrel{!}{\in} S_{\text{Narain}} .$$

Outs are given by the solutions to the **consistency conditions**

$$\begin{aligned} \hat{\Sigma} \Theta^k \hat{\Sigma}^{-1} &\stackrel{!}{=} \Theta^{k'} , \\ \left(\mathbb{1} - \hat{\Sigma} \Theta^k \hat{\Sigma}^{-1} \right) \hat{T} &\stackrel{!}{=} \hat{N}' . \end{aligned}$$

Solutions yield a set of generators of the **Out** group as

$$\left\{ (\hat{\Sigma}_1, 0), (\hat{\Sigma}_2, 0), \dots, (\mathbb{1}, \hat{T}_1), (\mathbb{1}, \hat{T}_2), \dots \right\} .$$

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Note: These **Outs** also act on the moduli. $M \equiv T, U$

$$M \xrightarrow{h} M' = M \quad \rightarrow \text{“traditional flavor trafo”}$$

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Outer automorphisms of Narain space group unify flavor symmetries with **modular transformations**, including \mathcal{CP} -like transformations.

The eclectic flavor symmetry of $\mathbb{T}^2/\mathbb{Z}_3$

nature of symmetry		outer automorphism of Narain space group		flavor groups			
eclectic	modular	rotation $S \in \mathrm{SL}(2, \mathbb{Z})_T$ rotation $T \in \mathrm{SL}(2, \mathbb{Z})_T$	\mathbb{Z}_4 \mathbb{Z}_3	T'			$\Omega(2)$
	traditional flavor	translation A translation B	\mathbb{Z}_3 \mathbb{Z}_3	$\Delta(27)$	$\Delta(54)$	$\Delta'(54, 2, 1)$	
		rotation $C = S^2 \in \mathrm{SL}(2, \mathbb{Z})_T$	\mathbb{Z}_2^R				
		rotation $R \in \mathrm{SL}(2, \mathbb{Z})_U$	\mathbb{Z}_9^R				

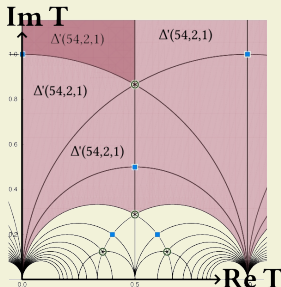
table from [Nilles, Ramos-Sánchez, Vaudrevange '20]

Action on the T modulus as

$$S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix},$$

$$K_*^{\mathcal{CP}} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}.$$

A, B, C, R : trivial!



The eclectic flavor symmetry of $\mathbb{T}^2/\mathbb{Z}_3$

(For this specific orbifold, $\langle U \rangle = \exp(2\pi i/3)$.)

The outer automorphisms of the corresponding Narain space group yield the following symmetries:

[Baur, Nilles, AT, Vaudrevange '19; Nilles, Ramos-Sánchez, Vaudrevange '20]

- a $\Delta(54)$ traditional flavor symmetry,
- an $\mathrm{SL}(2, \mathbb{Z})_T$ modular symmetry which acts as a $\Gamma'_3 \cong T'$ finite modular symmetry on matter fields and their couplings,
- a \mathbb{Z}_9^R discrete R -symmetry as remnant of $\mathrm{SL}(2, \mathbb{Z})_U$, and
- a $\mathbb{Z}_2^{\mathcal{CP}}$ \mathcal{CP} -like transformation.

$$G_{\text{eclectic}} = G_{\text{traditional}} \cup G_{\text{modular}} \cup G_R \cup \mathcal{CP},$$

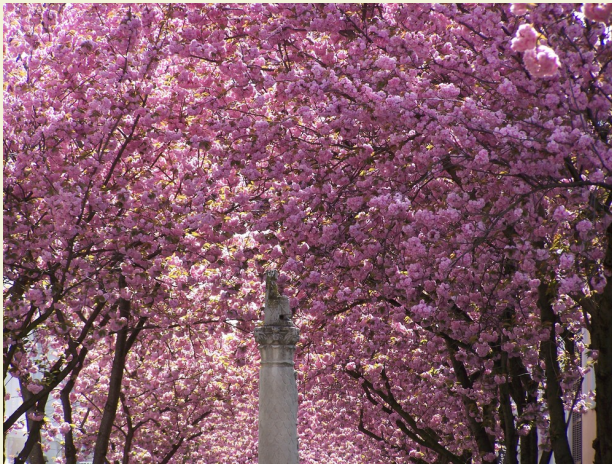
Together, the full eclectic group of this setting is of order 3888 given by

$$G_{\text{eclectic}} = \Omega(2) \rtimes \mathbb{Z}_2^{\mathcal{CP}}, \quad \text{with} \quad \Omega(2) \cong [1944, 3448].$$

Summary

- CP is a special outer automorphism, corresponding to complex conjugation outer automorphism of **every** group.
- Groups which don't have such an automorphism (type I) violate CP in generic settings.
- Example: $\Delta(54)$, arising in semi-realistic string theory models.
- CP doesn't need to be “generalized”, just applied correctly.
- Modular symmetry is of type II (has class-inverting Out).
- Outer automorphisms beyond CP: The complete eclectic flavor symmetry in top-down approach (modular+traditional+R+CP) can unambiguously be derived by the **outer automorphisms** of the Narain space group:

$$G_{\text{eclectic}} = G_{\text{traditional}} \cup G_{\text{modular}} \cup G_{\text{R}} \cup \mathcal{CP}.$$



Thank You

Backup slides

Physical CP transformations

Physical observable: Asymmetry \Leftrightarrow Basis-invariants, e.g. J .

$$\varepsilon_{i \rightarrow f} = \frac{|\Gamma(i \rightarrow f)|^2 - |\Gamma(\bar{i} \rightarrow \bar{f})|^2}{|\Gamma(i \rightarrow f)|^2 + |\Gamma(\bar{i} \rightarrow \bar{f})|^2} \Leftrightarrow J = \det [M_u M_u^\dagger, M_d M_d^\dagger]$$

CP conservation: $\varepsilon, J \stackrel{!}{=} 0$.

see also [Bernab  , Branco, Gronau '86], [Botella, Silva '94]

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Equivalently:

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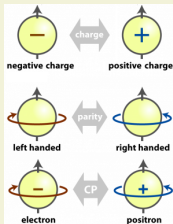
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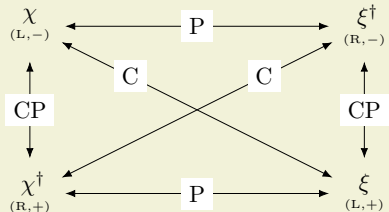
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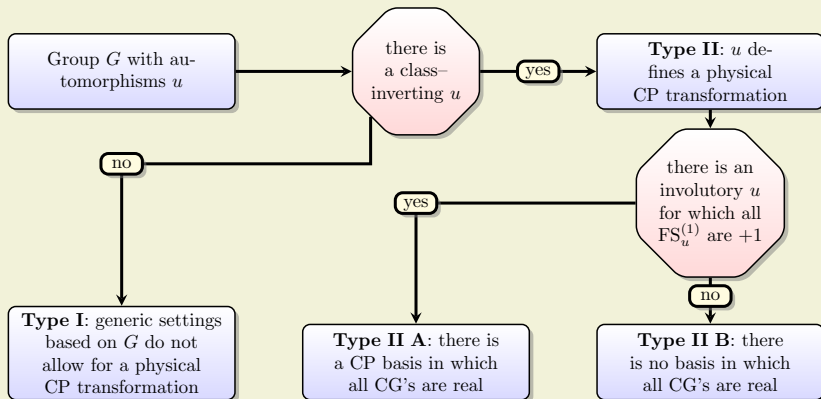


\mathcal{CP}

$$\Psi_{\text{Dirac}} = \begin{pmatrix} \chi_L \\ \xi_R^\dagger \end{pmatrix}$$



CP symmetries in settings with discrete G



(For details see [Chen, Fallbacher, Mahanthappa, Ratz, AT, '14])

Mathematical tool to decide: Twisted Frobenius-Schur indicator FS_u (Backup slides)

Twisted Frobenius–Schur indicator

Criterion to decide: existence of a CP outer automorphism.
 \curvearrowright can be probed by computing the

“twisted Frobenius–Schur indicator” FS_u

$$\text{FS}_u(\mathbf{r}_i) := \frac{1}{|G|} \sum_{g \in G} \chi_{\mathbf{r}_i}(g u(g))$$

($\chi_{\mathbf{r}_i}(g)$: Character)
[Chen, Fallbacher, Mahanthappa, Ratz, AT, 2014]

$$\text{FS}_u(\mathbf{r}_i) = \begin{cases} +1 \text{ or } -1 & \forall i, \\ \text{different from } \pm 1, \end{cases} \Rightarrow \begin{aligned} &u \text{ is good for CP,} \\ &u \text{ is no good for CP.} \end{aligned}$$

In analogy to the Frobenius–Schur indicator

~~FS~~ $\chi(\mathbf{r}_i) = +1, -1, 0$ for real / pseudo–real / complex irrep.

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- Discrete groups? \rightarrow Crystals?

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- ✗ no type I subgroups of $SU(2)$.

- ✗ no type I subgroups of the Lorentzgroup.

- (Open question: Type I “spacetime crystals”? [Wilczek '12]).

- ✓ In $\geq 4D$: crystals with type I point groups

[Fischer, Ratz, Torrado and Vaudrevange '12]

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- Discrete flavor symmetries?

- Many models with type I groups:

$$T_7, \Delta(27), \Delta(54), \mathcal{PSL}_2(7), \dots$$

e.g. [Björkeröth, Branco, Ding, de Anda, Ishimori, King, Medeiros Varzielas, Neder, Stuart et al. '15-'18]
[Chen, Pérez, Ramond '14], [Krishnan, Harrison, Scott '18]

- These can originate from extra dimensions, e.g. in string theory.

[Kobayashi et al. '06], [Nilles, Ratz, Vaudrevange '12]

Types of (discrete) flavor symmetries

Schematically for the example of $\mathcal{N} = 1$ SUSY.

x : spacetime, θ : superspace, Φ : (Super-)fields, T : modulus.

$K(T, \Phi)$: Kähler potential, $W(T, \Phi)$: Superpotential

$$\mathcal{S} = \int d^4x d^2\theta d^2\bar{\theta} K(T, \bar{T}, \Phi, \bar{\Phi}) + \int d^4x d^2\theta W(T, \Phi) + \int d^4x d^2\bar{\theta} \bar{W}(\bar{T}, \bar{\Phi}) .$$

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- **“traditional” Flavor symmetries** $\Phi \mapsto \rho(g)\Phi$, $g \in G$
for a review, see e.g. [\[King & Luhn '13\]](#)

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- “traditional” Flavor symmetries
- **modular Flavor symmetries**

$G_{\text{traditional}}$

[Feruglio '17]

$$\Phi \xrightarrow{\gamma} (cT + d)^n \rho(\gamma) \Phi, \quad T \xrightarrow{\gamma} \frac{aT + b}{cT + d}, \quad \gamma := \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}(2, \mathbb{Z}) .$$

Couplings are modular forms: $Y = Y(T)$, $Y(\gamma T) = (cT + d)^{k_Y} \rho_Y(\gamma) Y(T)$.

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$G_{\text{traditional}}$

- modular Flavor symmetries

G_{modular}

- **R symmetries**

for non-Abelian discrete R flavor symmetries see [\[Chen, Ratz, AT '13\]](#)

$$\Phi(x, \theta) = \phi(x) + \sqrt{2}\theta \psi(x) + \theta\theta F(x) , \implies \phi \mapsto e^{iq\Phi\alpha} \phi, \psi \mapsto e^{i(q\Phi - q_\theta)\alpha} \psi .$$

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G_R

- **general CP(-like) symmetries**

[Novichkov, Penedo et al. '19],[Baur et al. '19]

$$\Phi \xrightarrow{\bar{\gamma}} (c\bar{T} + d)^n \rho(\bar{\gamma}) \bar{\Phi} , \quad T \xrightarrow{\bar{\gamma}} \frac{a\bar{T} + b}{c\bar{T} + d} , \quad \det [\bar{\gamma} \in \text{GL}(2, \mathbb{Z})] = -1 .$$

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- “traditional” Flavor symmetries $G_{\text{traditional}}$
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- R symmetries G_R
- general \mathcal{CP} (-like) symmetries \mathcal{CP}

From the bottom-up: All kinds known, individually!

→ See talks by Penedo, Feruglio, de Medeiros Varzielas.

for an up-to-date review see [Feruglio&Romanino '19]

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From the top-down: *all, at the same time!*

$$G_{\text{eclectic}} = G_{\text{traditional}} \cup G_{\text{modular}} \cup G_R \cup \mathcal{CP},$$

see works by [Baur, Nilles, AT, Vaudrevange '19; Nilles, Ramos-Sánchez, Vaudrevange '20]

→ See also talk by Ramos-Sánchez.

Top down flavor symmetries

- We identify points $Y \sim gY$ with $g \in S_{\text{Narain}} \Rightarrow$ fixed points.
 - g constitutes boundary condition for closed strings
- \Rightarrow “Strings are localized at fixed points.” [Dixon, Harvey, Vafa, Witten '85,'86]
- Each fixed point corresponds to a whole conjugacy class $[g] = \{f g f^{-1} \mid f \in S_{\text{Narain}}\}$ of space group elements
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 - Trivial: **inner** auts of S_{Narain} : map c.c.'s to themselves.
 - Non-trivial: **outer auts** of $S_{\text{Narain}} \Leftrightarrow$ permutation of c.c.'s
- \Rightarrow non-trivial maps between strings at different f.p.'s!

New insight: Flavor symmetries are given by **outer automorphisms** of the Narain space group!

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New insight: Flavor symmetries are given by **outer automorphisms** of the Narain space group!

[Baur, Nilles, AT, Vaudrevange '19]

- The thus derived flavor symmetries automatically contain the so-called “space-group selection rules”. [Hamidi and Vafa '86]
- They agree with previously derived non-Abelian flavor symmetries. [Kobayashi, Nilles, Plöger, Raby, Ratz '06]

Narain vielbein

The Narain vielbein can be parameterized as (in absence of Wilson lines)

$$E := \frac{1}{\sqrt{2}} \begin{pmatrix} \frac{e^{-T}}{\sqrt{\alpha'}} (G - B) & -\sqrt{\alpha'} e^{-T} \\ \frac{e^{-T}}{\sqrt{\alpha'}} (G + B) & \sqrt{\alpha'} e^{-T} \end{pmatrix} .$$

In this definition of the Narain vielbein, e denotes the vielbein of the D -dimensional geometrical torus \mathbb{T}^D with metric $G := e^T e$, e^{-T} corresponds to the inverse transposed matrix of e , B is the anti-symmetric background B -field ($B = -B^T$), and α' is called the Regge slope.

World-sheet modular invariance requires E to span even, self-dual lattice $\Gamma = \{E \hat{N} \mid \hat{N} \in \mathbb{Z}^{2D}\}$ with metric η of signature (D, D) . Consequently, one can always choose E such that

$$E^T \eta E = \hat{\eta} , \quad \text{where} \quad \eta := \begin{pmatrix} -\mathbb{1} & 0 \\ 0 & \mathbb{1} \end{pmatrix} \quad \text{and} \quad \hat{\eta} := \begin{pmatrix} 0 & \mathbb{1} \\ \mathbb{1} & 0 \end{pmatrix} .$$

Transformation of moduli

To compute the transformation properties of the moduli T and U we use the generalized metric $\mathcal{H} = E^T E$. As the Narain vielbein depends on the moduli $E = E(T, U)$ so does the generalized metric $\mathcal{H} = \mathcal{H}(T, U)$. It transforms as

$$\mathcal{H}(T, U) \xrightarrow{\hat{\Sigma}} \mathcal{H}(T', U') = \hat{\Sigma}^{-T} \mathcal{H}(T, U) \hat{\Sigma}^{-1} .$$

This equation can be used to read off the transformations of the moduli

$$T \xrightarrow{\hat{\Sigma}} T' = T'(T, U) \quad \text{and} \quad U \xrightarrow{\hat{\Sigma}} U' = U'(T, U) .$$

For a two-torus \mathbb{T}^2 , the generalized metric in terms of the torus moduli reads

$$\mathcal{H}(T, U) = \frac{1}{\text{Im } T \text{ Im } U} \begin{pmatrix} |T|^2 & |T|^2 \text{Re } U & \text{Re } T \text{Re } U & -\text{Re } T \\ |T|^2 \text{Re } U & |T U|^2 & |U|^2 \text{Re } T & -\text{Re } T \text{Re } U \\ \text{Re } T \text{Re } U & |U|^2 \text{Re } T & |U|^2 & -\text{Re } U \\ -\text{Re } T & -\text{Re } T \text{Re } U & -\text{Re } U & 1 \end{pmatrix} .$$

Explicit generators of $\Omega(2)$ for $\mathbb{T}^2/\mathbb{Z}_3$

$SL(2, \mathbb{Z})_T$ modular generators S and T arise from rotational outer automorphisms and act on the modulus via

$$S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad \text{and} \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix},$$

Reflectional outer automorphism corresponding to $\mathbb{Z}_2^{\mathcal{CP}}$ \mathcal{CP} -like transformation:

$$K_* = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix},$$

$$\rho(S) = \frac{i}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{pmatrix} \quad \text{and} \quad \rho(T) = \begin{pmatrix} \omega^2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

The traditional flavor symmetry $\Delta(54)$ is generated by two translational outer automorphisms of the Narain space group A and B, together with the \mathbb{Z}_2 rotational outer automorphism $C := S^2$.

$$\rho(A) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \quad \rho(B) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix} \quad \text{and} \quad \rho(C) = - \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} = \rho(S)^2,$$

Example toy model:

“CP violation with an unbroken CP transformation”

[Ratz, AT '16]

An interesting observation

Observation:

Type I groups can arise as subgroups of type II groups.

For example: small finite subgroups of simple Lie groups.

$$\mathrm{SU}(3) \supset \mathrm{T}_7$$

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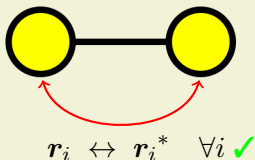
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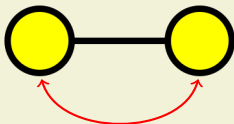
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$$r_i \leftrightarrow r_i^* \quad \forall i \quad \checkmark$$

$$\mathrm{Out}(\mathrm{T}_7) \cong \mathbb{Z}_2$$

T_7	C_{1a}	C_{3a}	C_{3b}	C_{7a}	C_{7b}
1_0	1	1	1	1	1
1_1	1	ω	ω^2	1	1
$\bar{1}_1$	1	ω^2	ω	1	1
3_1	3	0	0	η	η^*
$\bar{3}_1$	3	0	0	η^*	η

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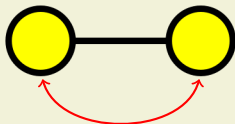
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Note: $\mathrm{Out}(\mathfrak{su}(3))$ acts on the $\mathrm{T}_7 \subset \mathrm{SU}(3)$ subgroup as $\mathrm{Out}(\mathrm{T}_7)$!

Toy model overview

Facts:

- $SU(3)$ is **consistent** with a physical CP transformation.
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calculation enabled by SUSYNO [Fonseca '11]

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Question: How is CP violated in a breaking $SU(3) \rightarrow T_7$?

Toy model: gauged $SU(3)$ + complex scalar $SU(3)$ 15-plet ϕ . [Ratz, AT '16]

$$\mathcal{L} = (D_\mu \phi)^\dagger (D^\mu \phi) - \frac{1}{4} G_{\mu\nu}^a G^{\mu\nu,a} - V(\phi) ,$$

$$V(\phi) = -\mu^2 \phi^\dagger \phi + \sum_{i=1}^5 \lambda_i \mathcal{I}_i^{(4)}(\phi) . \quad \text{with } \lambda_i \in \mathbb{R}$$

calculation enabled by SUSYNO [Fonseca '11]

- VEV of the 15-plet $\langle \phi \rangle$ breaks $SU(3) \rightarrow T_7$. [Luhn, '11], [Merle, Zwicky '11]
- $\text{Out}(\mathfrak{su}(3)) \cong \mathbb{Z}_2 \rightarrow \text{Out}(T_7) \cong \mathbb{Z}_2$; **Out unbroken** by VEV.

$$SU(3) \rtimes \mathbb{Z}_2 \xrightarrow{\langle \phi \rangle} T_7 \rtimes \mathbb{Z}_2 ; .$$

CP violation in $SU(3) \rightarrow T_7$ toy model

[Ratz, AT '16]

Name	$SU(3)$	$\xrightarrow{\langle \phi \rangle}$	Name	T_7	mass
A_μ	8		Z_μ	1₁	$m_Z^2 = 7/3 g^2 v^2$
			W_μ	3	$m_W^2 = g^2 v^2$
ϕ	15		$\text{Re } \sigma_0$	1₀	$m_{\text{Re } \sigma_0}^2 = 2 \mu^2$
			$\text{Im } \sigma_0$	1₀	$m_{\text{Im } \sigma_0}^2 = 0$
			σ_1	1₁	$m_{\sigma_1}^2 = -\mu^2 + \sqrt{15} \lambda_5 v^2$
			τ_1	3	$m_{\tau_1}^2 = m_{\tau_1}^2(\mu, \lambda_i)$
			τ_2	3	$m_{\tau_2}^2 = m_{\tau_2}^2(\mu, \lambda_i)$
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The action is invariant under the \mathbb{Z}_2 – **Out** transformation:

$SU(3)$	T_7
$A_\mu^a(x) \mapsto R^{ab} \mathcal{P}_\mu^\nu A_\nu^b(\mathcal{P}x)$,	$W_\mu(x) \mapsto \mathcal{P}_\mu^\nu W_\nu^*(\mathcal{P}x)$,
$\phi_i(x) \mapsto U_{ij} \phi_j^*(\mathcal{P}x)$.	$\sigma_0(x) \mapsto \sigma_0(\mathcal{P}x)$,
	$\tau_i(x) \mapsto \tau_i^*(\mathcal{P}x)$,
	$Z_\mu(x) \mapsto -\mathcal{P}_\mu^\nu Z_\nu(\mathcal{P}x)$,
	$\sigma_1(x) \mapsto \sigma_1(\mathcal{P}x)$.
physical CP ✓	physical CP ✗

CP violation in $SU(3) \rightarrow T_7$ toy model

- The VEV does **not** break the CP transformation, $U\langle\phi\rangle^* = \langle\phi\rangle$.
- However, at the level of T_7 , the $SU(3)$ -CP transformation merges to $\text{Out}(T_7)$:

$$\begin{array}{l} \mathbb{Z}_2 - \text{Out} : \\ \begin{array}{l} \mathbf{15} \rightarrow \mathbf{1_0} \oplus \mathbf{1_1} \oplus \bar{\mathbf{1_1}} \oplus \mathbf{3} \oplus \mathbf{3} \oplus \bar{\mathbf{3}} \oplus \bar{\mathbf{3}} \\ \downarrow \\ \bar{\mathbf{15}} \rightarrow \mathbf{1_0} \oplus \bar{\mathbf{1_1}} \oplus \mathbf{1_1} \oplus \bar{\mathbf{3}} \oplus \bar{\mathbf{3}} \oplus \mathbf{3} \oplus \mathbf{3} \end{array} \end{array}$$

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- \Rightarrow The \mathbb{Z}_2 -Out is conserved at the level of T_7 , but it is **not** interpreted as a physical CP trafo,

$$SU(3) \rtimes \mathbb{Z}_2^{(\text{CP})} \xrightarrow{\langle\phi\rangle} T_7 \rtimes \cancel{\mathbb{Z}_2^{(\text{CP})}}.$$

- There is no other possible allowed CP transformation at the level of T_7 (type I).
- Imposing a transformation $\mathbf{r}_{T_7,i} \leftrightarrow \mathbf{r}_{T_7,i}^*$ enforces decoupling, $g = \lambda_i = 0$.

CP violation in $SU(3) \rightarrow T_7$ toy model

Explicit crosscheck: compute decay asymmetry.

$$\varepsilon_{\sigma_1 \rightarrow W W^*} := \frac{|\mathcal{M}(\sigma_1 \rightarrow W W^*)|^2 - |\mathcal{M}(\sigma_1^* \rightarrow W W^*)|^2}{|\mathcal{M}(\sigma_1 \rightarrow W W^*)|^2 + |\mathcal{M}(\sigma_1^* \rightarrow W W^*)|^2}.$$

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Contribution to $\varepsilon_{\sigma_1 \rightarrow W W^*}$ from interference terms, e.g.

$$\left| \sigma_1 \rightarrow W W^* + \sigma_1 \rightarrow \nu \tau_2 \nu \rightarrow W W^* + \sigma_1 \rightarrow \nu \tau_2 \nu \rightarrow W W^* \right|^2,$$

corresponding to non-vanishing CP-odd basis invariants

$$\begin{aligned} \mathcal{I}_1 &= [Y_{\sigma_1 W W^*}^\dagger]_{k\ell} [Y_{\sigma_1 \tau_2 \tau_2^*}]_{ij} [Y_{\tau_2^* W W^*}]_{imk} [(Y_{\tau_2^* W W^*})^*]_{jml}, \\ \mathcal{I}_2 &= [Y_{\sigma_1 W W^*}^\dagger]_{k\ell} [Y_{\sigma_1 \tau_2 \tau_2^*}]_{ij} [Y_{\tau_2^* W W^*}]_{ilm} [(Y_{\tau_2^* W W^*})^*]_{jkm}. \end{aligned}$$

- ✓ Contribution to $\varepsilon_{\sigma_1 \rightarrow W W^*}$ is proportional to $\text{Im } \mathcal{I}_{1,2} \neq 0$.
- ✓ All CP odd phases are geometrical, $\mathcal{I}_1 = e^{2\pi i/3} \mathcal{I}_2$.
- ✓ $(\varepsilon_{\sigma_1 \rightarrow W W^*}) \rightarrow 0$ for $v \rightarrow 0$, i.e. CP is restored in limit of vanishing VEV.

Natural protection of $\theta = 0$

Topological vacuum term of the gauge group

$$\mathcal{L}_\theta = \theta \frac{g^2}{32\pi^2} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} ,$$

is forbidden by \mathbb{Z}_2 – Out (the SU(3)-CP transformation).

The unbroken Out

$$\mathbb{Z}_2 - \text{Out} : W_\mu(x) \mapsto \mathcal{P}_\mu^\nu W_\nu^*(\mathcal{P}x) , \quad Z_\mu(x) \mapsto -\mathcal{P}_\mu^\nu Z_\nu(\mathcal{P}x) ,$$

still enforces $\theta = 0$ even though CP is violated for the physical T_7 states.

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Physical scalars (T_7 singlets and triplets):

$$\begin{aligned} \text{Re } \sigma_0 &= \frac{1}{\sqrt{2}} (\phi_1 + \phi_1^*) , & \text{Im } \sigma_0 &= -\frac{i}{\sqrt{2}} (\phi_1 - \phi_1^*) , \\ \sigma_1 &= \phi_2 , \end{aligned}$$

$$\begin{pmatrix} \tau_1 \\ \tau_2 \\ \tau_3 \end{pmatrix} = \begin{pmatrix} V_{11} & V_{12} & V_{13} \\ V_{21} & V_{22} & V_{23} \\ V_{31} & V_{32} & V_{33} \end{pmatrix} \begin{pmatrix} T_2 \\ \bar{T}_3^* \\ T_1 \end{pmatrix} .$$

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Possible application to strong CP problem?

- Starting point: CP conserving theory based on

$$[G_{\text{SM}} \times G_{\text{F}}] \rtimes \text{CP} .$$

- break $G_{\text{F}} \rtimes \text{CP} \longrightarrow \text{Type I} \rtimes \text{Out}$.
- ↪ CP broken in flavor sector but not in strong interactions.
- Main problem: finding realistic model based on Type I group allowing for outer automorphism.