

# Top-down derived modular and eclectic flavor symmetries

Saúl Ramos-Sánchez

Bethe Forum  
Bonn

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From various collaborations with:

A. Baur, M. Kade, H.P. Nilles & P. Vaudrevange: 2001.01736, 2004.05200, 2008.07534,  
2010.13798, 2012.09586 & 2104.03981

Y. Almumin, M-C. Chen, V. Knapp-Pérez, M. Ramos-Hamud, M. Ratz & S. Shukla:  
1909.06910, 2102.11286 & 2108.02240

## The flavor puzzle and its potential solutions

# Flavor puzzle

Despite the great success of the SM

- Need to explain  $\left\{ \begin{array}{l} \text{three flavors of SM particles} \\ \text{observed mass hierarchies} \\ \text{observed quark and lepton mixing textures} \\ \text{CP violation in CKM and PMNS} \\ \text{neutrino physics} \\ \dots \end{array} \right.$

$$\begin{pmatrix} 0.974 & 0.224 & 0.0039 \\ 0.218 & 0.997 & 0.042 \\ 0.008 & 0.039 & 1.019 \end{pmatrix}_{CKM}, \quad \begin{pmatrix} 0.829 & 0.539 & 0.147 \\ 0.493 & 0.584 & 0.645 \\ 0.262 & 0.607 & 0.75 \end{pmatrix}_{PMNS}$$

$$m_{u_i} \sim 2.16, 1270, 172900 \text{ MeV}$$

$$m_{d_i} \sim 4.67, 93, 4180 \text{ MeV}$$

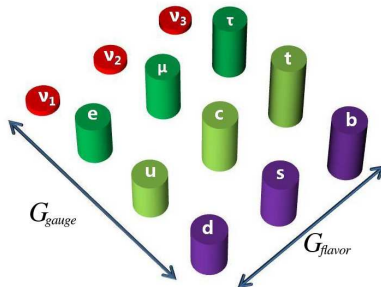
$$\Delta m_{21}^2 = 7.4 \cdot 10^{-5}, \Delta m_{31(23)}^2 \approx 2.5 \cdot 10^{-3} \text{ eV}^2$$

$$m_{e_i} \sim 0.511, 105.7, 1776.9 \text{ MeV}$$

normal ordering

# Approaches towards solving the flavor puzzle

Traditional: discrete non-Abelian flavor symmetries  $G_{\text{traditional}}$  lead to models for quarks and leptons with great fits,  $\theta_{13} \neq 0, \dots$  requiring careful choice of flavon sector and flavon vevs  
see reviews by Ishimori, Kobayashi, Ohki, Okada, Shimizu, Tanimoto (2010); Feruglio, Romanino (2019)



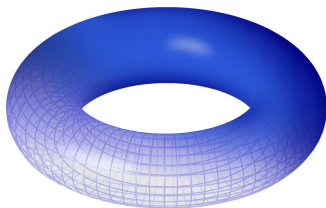
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flavon *vev alignment* is very challenging 😞

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 $Y(T) \rightarrow Y(\gamma T) = (cT + d)^{n_Y} \rho_Y(\gamma) Y(T), \quad \gamma \in \Gamma = \text{SL}(2, \mathbb{Z}), \rho_Y \in \Gamma_N$



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$$\bullet \Gamma_N \cong S_3, A_4, S_4, A_5 \quad \text{for} \quad N = 2, 3, 4, 5$$

$$n_Y \in 2\mathbb{Z}$$

$\Rightarrow$  9  $\nu$  observables ( $m_\nu$ ,  $\theta_{ij}$ , phases) by fixing 3 parameters!

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- 4-fold cover  $\tilde{\Gamma}_4 \cong [96, 67], \tilde{\Gamma}_8 \cong [768, 1085324], \tilde{\Gamma}_{12} \cong [2304, \dots]$

$$n_Y \in \mathbb{Z}/2 \quad \rightarrow \quad \text{metaplectic}$$

Liu, Ding(2019); Liu, Yau, Qu, Ding(2020)

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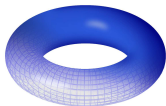
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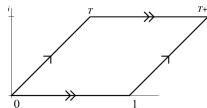
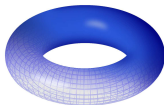
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- Modulus  $T$  and modular transformations based on  $\mathbb{T}^2$  torus



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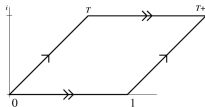
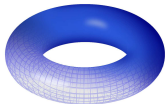
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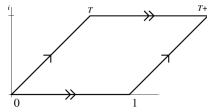
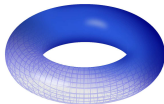
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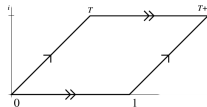
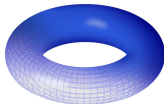
- Several **successful fits**, mainly of lepton sector, but also quarks  
 $T \sim$  self-dual points, free  $W$  parameters +  $n_Y, n_\phi, \rho_Y(\gamma), \rho_\phi(\gamma)$

Is there a way to **fix** some of these **parameters**?

See talks by Feruglio, King, Petcov, Penedo, Titov, Ding

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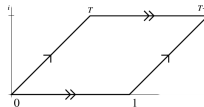
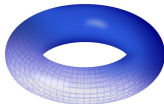
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modular forms **without SUSY**?
- Canonical Kähler potential  $K_{ij} = \delta_{ij}$   
**additional terms/free parameters?**

# Kähler problem

**Challenge:** Kähler potential not fixed by modular flavor symmetries

Chen, SRS, Ratz (2019)

Demanding modular invariance only:

$$K = \alpha_0 \underbrace{(\phi\bar{\phi})_1}_{\text{canonical term}}$$

with  $\alpha_0 = c_0(-iT + i\bar{T})^{n_\phi}$

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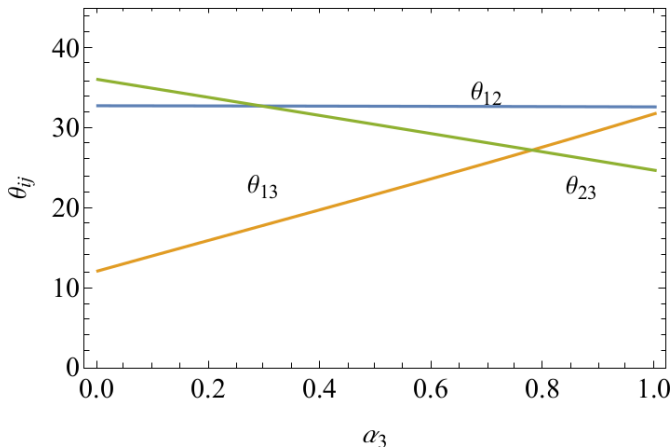
All  $\alpha_k$  coefficients are “new”  $\rightarrow$  modify predictions!

# Kähler problem in Feruglio's simplest $A_4$ model

Take  $\Gamma_3 \cong A_4$  and  $n_Y = 1 = -n_\phi$  for  $\phi = L$

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neutrino mixing angles depending on values of  $\alpha_3$

Chen, SRS, Ratz (2019)

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Ideas towards solutions based on or inspired by string theory

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- Metaplectic flavor symmetries (magnetized tori)
- Eclectic and quasi-eclectic pictures à la bottom-up

# All about STRINGS

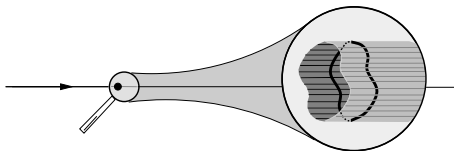


We have resources for all  
string-related topics

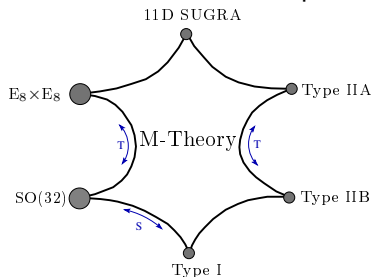


# Strings

1970's: particles  $\rightarrow$  strings



80-90's: 5 theories of superstrings (+branes)



quantum consistency

(no anomalies, no ghosts, no tachyons):

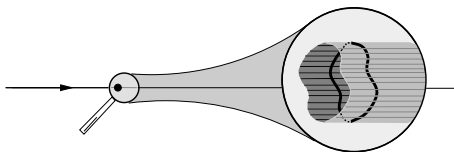


- \* graviton included ☺
- \* gauge bosons ☺
- \* supersymmetry ☹ ☹
- \* 10 dimensions ☹

perhaps  $M^{10} = X^6 \times M^4$

# Stringy features for 4D model

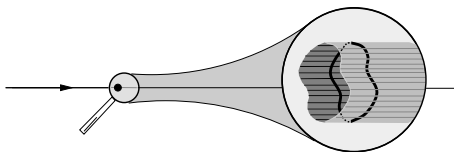
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- SUSY & 10D space-time
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- field couplings arise from string interactions

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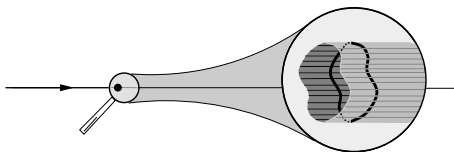
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- SUSY & 10D space-time  
→ compactify 6D on spaces with shapes and sizes set by moduli
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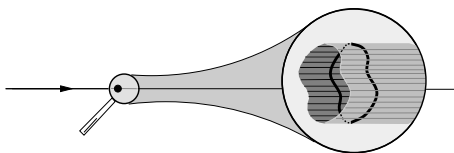


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- matter fields get all their properties from string features
- field couplings arise from string interactions



# Stringy features for 4D model

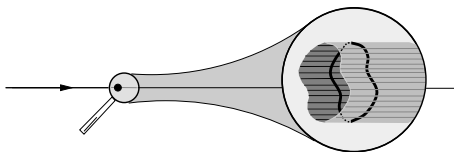
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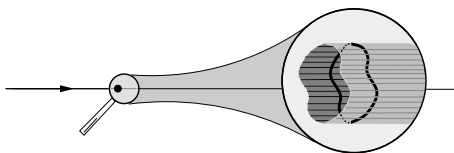
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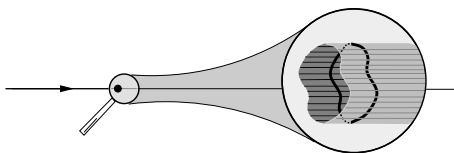
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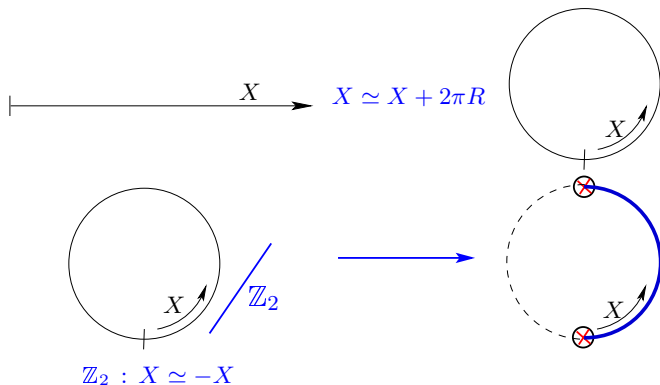


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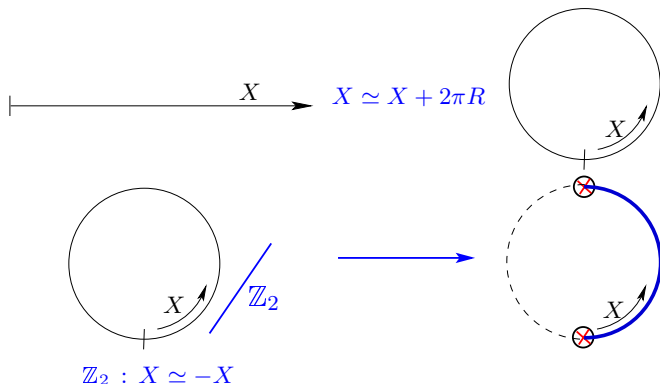
## Heterotic Orbifolds

(in bosonic formulation)

# 1D $S^1/\mathbb{Z}_2$ orbifold

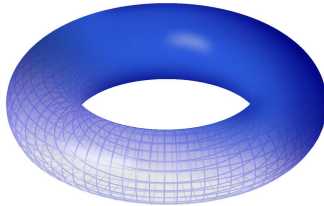


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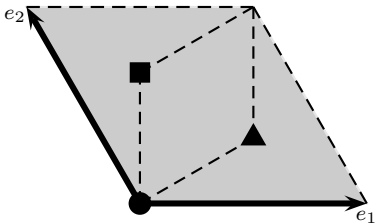
In general, an orbifold  $\mathcal{O} := \mathbb{M}/S$   
 with a  $d$ -dimensional manifold  $\mathbb{M}$   
 space group  $S = \{(\Theta, \lambda) \mid \Theta : \text{rotation in } d\text{-dim}, \lambda : \text{translation}\}$   
 e.g.  $S^1/\mathbb{Z}_2 \cong \mathbb{R}/S$  with  $S = \langle (-1, 2\pi R) \rangle \rightarrow X \simeq -1X + 2\pi Rm$

## 2D $\mathbb{T}^2/\mathbb{Z}_3$ orbifold with heterotic strings





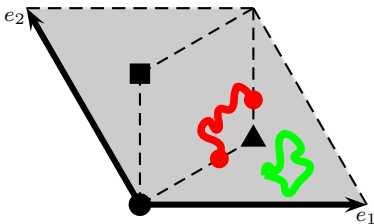
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Matter at low energies arise from *closed strings*:

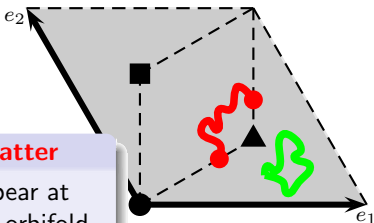
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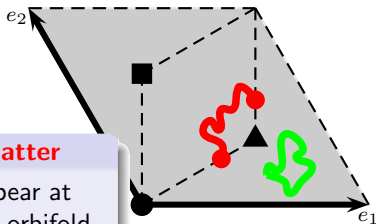
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all matter properties are *determined by the space group  $S$* :

- *global and gauge symmetries*, charges/representations,
- target-space *modular properties* (weights  $n_i$  and representations),...

# A first hint of (geometric) flavor symmetries

- $\mathbb{T}^2/\mathbb{Z}_3$

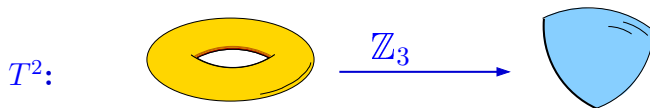
$T^2$ :



triangular pillow  $\rightarrow$  symmetry of a triangle  $S_3$

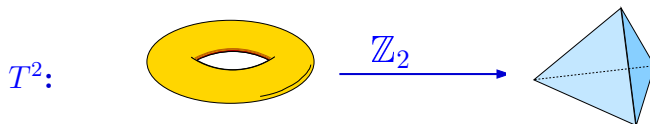
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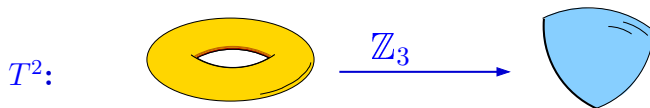


tetrahedron\*  $\rightarrow$  symmetry of a tetrahedron  $S_4$

\* for  $\langle U \rangle = e^{\pi i/3}$ , but other values possible

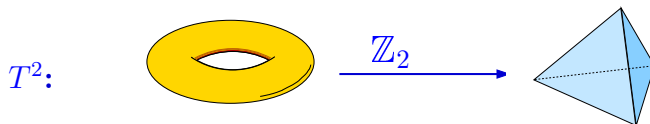
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(Analogous results for  $\mathbb{T}^2/\mathbb{Z}_K$ ,  $K > 2$ , unless background fields (Wilson lines) are included)

# Towards the *eclectic* flavor picture

Use **Narain formalism**: split string in **independent** components

$$X(\tau, \sigma) = X_R(\sigma - \tau) + X_L(\sigma + \tau)$$

Groot-Nibbelink, Vaudrevange (2017)



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What are the **outer automorphisms** of  $S_{Narain} = \{g\}$  ?

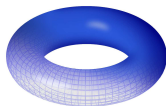
$$Out(S_{Narain}) = \{h = (\Sigma, t) \notin S_{Narain} \mid hgh^{-1} \in S_{Narain}\}$$

**Rotations**:  $h_\Sigma = (\Sigma, 0) \rightarrow O(2, 2; \mathbb{Z})$ , **Translations**:  $h_t = (\mathbb{1}_4, t)$

Baur, Nilles, Trautner, Vaudrevange (2019)

## Towards the *eclectic* picture: what $Out(S_{N_{arain}})$ is

String 2D toroidal compactifications have **two moduli**:  $T, U$



$$G = \frac{\text{Im} T}{\text{Im} U} \begin{pmatrix} 1 & \text{Re} U \\ \text{Re} U & |U|^2 \end{pmatrix}, \quad B = \text{Re} T \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

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$U \xrightarrow{h_\Sigma}$	$-1/U$	$U + 1$	$U$	$U$	$T$	$-\bar{U}$
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Recall: in  $SL(2, \mathbb{Z})$        $T \xrightarrow{S} -\frac{1}{T}, \quad T \xrightarrow{T} T + 1$

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**M: mirror symmetry** ☺



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Further,  $\{h_t\}$  don't change  $T, U$ , but do transform fields  
 $\rightarrow$  **traditional symmetry** ☺

# Common origin of modular and traditional flavor

Baur, Nilles, Trautner, Vaudrevange (1901.03251, 1908.00805)

**STRINGING  
is better with  
FLAVORS!**

[VIEW ALL FLAVORS](#)



In fact: flavoring is better with strings! 😊

$Out(S_{Narain}) \supset$  traditional & modular symmetries

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Next: demand  $\mathbb{Z}_K$  orbifold invariance, act on fields, couplings

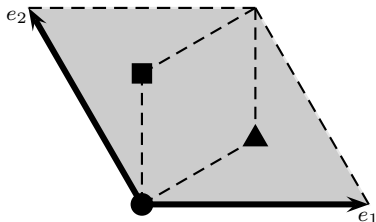
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Modular weights  $n$ , representations and couplings of  $\Phi_n$  not *ad hoc*! ☺

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Example  $\mathbb{T}^2/\mathbb{Z}_3$ : must fix  $U$  to  $\langle U \rangle = \omega = e^{2\pi i/3} \rightarrow$  broken  $\text{SL}(2, \mathbb{Z})_U$

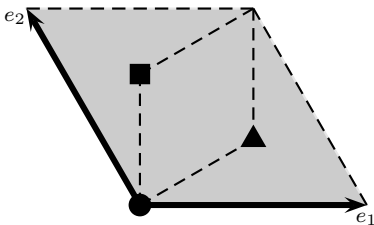


$\text{SL}(2, \mathbb{Z})_U \rightarrow \mathbb{Z}_9^R$  due to  $n \in \{-5/3, -1, -2/3, -1/3, 0, 2/3\}$   
and  $\Phi_n \xrightarrow{\gamma_U} \exp\{2\pi i R/9\} \Phi_n$  con  $R = 3(-n + \alpha)$

# Common origin of modular and traditional flavor

Modular weights  $n$ , representations and couplings of  $\Phi_n$  not *ad hoc*! ☺

Example  $\mathbb{T}^2/\mathbb{Z}_3$ :  $\langle U \rangle = \omega \Rightarrow \text{SL}(2, \mathbb{Z})_U \rightarrow \mathbb{Z}_9^R$



Lauer, Mas, Nilles (1989)

By using CFT formalism, inspect  $\text{SL}(2, \mathbb{Z})_T$  on the triplet of matter fields:

$$h_\Sigma : \rho(S_T) = \frac{i}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{pmatrix}, \quad \rho(T_T) = \begin{pmatrix} \omega^2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$\rho(S_T)$  and  $\rho(T_T)$  build the reps.  $\mathbf{2}' \oplus \mathbf{1}$  of modular group  $\Gamma'_3 = T'$  ☺

$$\Phi_{n=-2/3, -5/3} \xrightarrow{S_T} (-T)^n \rho(S_T) \Phi_n, \quad \Phi_n \xrightarrow{T_T} \rho(T_T) \Phi_n$$

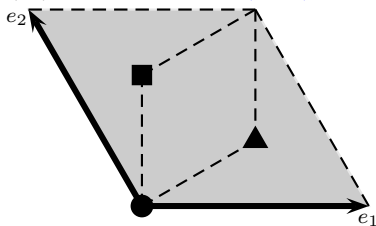
Ibáñez, Lüst (1992)



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By using **CFT formalism**, inspect  $\mathrm{SL}(2, \mathbb{Z})_T$  on the triplet of matter fields:

$$h_t : \rho(A) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \quad \rho(B) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}, \quad \rho(C) = \rho(S_T^2)$$

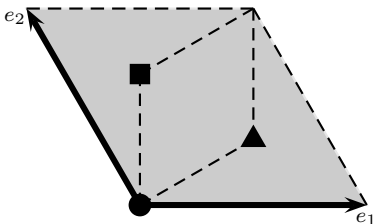
$\rho(A)$ ,  $\rho(B)$  and  $\rho(C)$  build the reps  $\mathbf{3}_2$  and  $\mathbf{3}_1$  of **traditional flavor group**  $\Delta(54)$  for  $\Phi_{-2/3}$  and  $\Phi_{-5/3}$

cf. also in Kobayashi, Plöger, Nilles, Raby, Ratz (2006)

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first **eclectic flavor symmetry**: traditional + modular flavor

$$G_{\text{traditional}} \cup G_{\text{modular}} \cong (\Delta(54) \cup \mathbb{Z}_9^R) \cup T' \cong \Omega(2) = [1944, 3448]$$

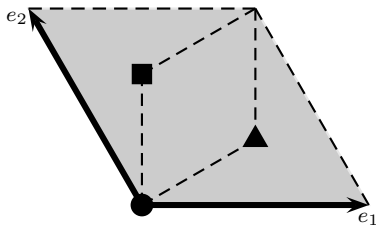
$$\text{with } \mathcal{CP} : \Omega(2) \rtimes \mathbb{Z}_2^{\mathcal{CP}} \cong [3888, \dots]$$

Baur, Nilles, Trautner, Vaudrevange (2019); Nilles, SRS, Vaudrevange (2020)

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Example  $\mathbb{T}^2/\mathbb{Z}_3$ :  $\langle U \rangle = \omega \Rightarrow \mathrm{SL}(2, \mathbb{Z})_U \rightarrow \mathbb{Z}_9^R$



	$\Phi_0$	$\Phi_{-1}$	$\Phi_{-2/3}$	$\Phi_{-5/3}$	$\Phi_{-1/3}$	$\Phi_{2/3}$
$\Delta(54)$	1	$1'$	$\mathbf{3}_2$	$\mathbf{3}_1$	$\bar{\mathbf{3}}_1$	$\bar{\mathbf{3}}_2$
$T'$	1	1	$\mathbf{2}' \oplus \mathbf{1}$	$\mathbf{2}' \oplus \mathbf{1}$	$\mathbf{2}'' \oplus \mathbf{1}$	$\mathbf{2}'' \oplus \mathbf{1}$
$\mathbb{Z}_9^R$	0	3	1	-2	2	5

and  $\mathbb{Z}_2^{\mathcal{CP}}$ :  $\Phi_n \xrightarrow{\mathcal{CP}} \bar{\Phi}_n \quad \forall n$

Baur, Nilles, Trautner, Vaudrevange (2019); Nilles, SRS, Vaudrevange (2020)

# Modular forms as couplings in $\mathbb{T}^2/\mathbb{Z}_3$

Yukawa coupling coefficients  $\hat{Y}$  are modular forms!

modular forms $\hat{Y}_{\mathbf{s}}^{(n_Y)}$	eclectic flavor group $\Omega(1)$							
	modular $T'$ subgroup				traditional $\Delta(54)$ subgroup			
	irrep $\mathbf{s}$	$\rho_{\mathbf{s}}(\mathbf{S})$	$\rho_{\mathbf{s}}(\mathbf{T})$	$n_Y$	irrep $\mathbf{r}$	$\rho_{\mathbf{r}}(\mathbf{A})$	$\rho_{\mathbf{r}}(\mathbf{B})$	$\rho_{\mathbf{r}}(\mathbf{C})$
$\hat{Y}_{\mathbf{2}''}^{(1)}$	$\mathbf{2}''$	$\rho_{\mathbf{2}''}(\mathbf{S})$	$\rho_{\mathbf{2}''}(\mathbf{T})$	1	$\mathbf{1}$	1	1	1
$\hat{Y}_{\mathbf{1}}^{(4)}$	$\mathbf{1}$	1	1	4	$\mathbf{1}$	1	1	1
$\hat{Y}_{\mathbf{1}'}^{(4)}$	$\mathbf{1}'$	1	$\omega$	4	$\mathbf{1}$	1	1	1
$\hat{Y}_{\mathbf{3}}^{(4)}$	$\mathbf{3}$	$\rho_{\mathbf{3}}(\mathbf{S})$	$\rho_{\mathbf{3}}(\mathbf{T})$	4	$\mathbf{1}$	1	1	1

$$\hat{Y}_{\mathbf{2}''}^{(1)} := \begin{pmatrix} \hat{Y}_1(T) \\ \hat{Y}_2(T) \end{pmatrix} = \begin{pmatrix} -3\sqrt{2} & 0 \\ 3 & 1 \end{pmatrix} \begin{pmatrix} \eta(3T)^3/\eta(T) \\ \eta(T/3)^3/\eta(T) \end{pmatrix}$$

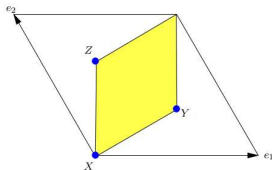
No arbitrary modular weights  $n_Y$  nor representations  $\mathbf{s}$ ! 😊

# Superpotential and Kähler in $\mathbb{T}^2/\mathbb{Z}_3$

## Restricted superpotential

Baur,Nilles,Trautner,SRS,Vaudrevange(2021-22), see talks by Baur & Trautner

$$\Rightarrow \mathcal{W} \supset c \left[ \hat{Y}_2(T) (X_1 X_2 X_3 + Y_1 Y_2 Y_3 + Z_1 Z_2 Z_3) - \frac{\hat{Y}_1(T)}{\sqrt{2}} (X_1 Y_2 Z_3 + X_1 Y_3 Z_2 + X_2 Y_1 Z_3 + X_3 Y_1 Z_2 + X_2 Y_3 Z_1 + X_3 Y_2 Z_1) \right],$$

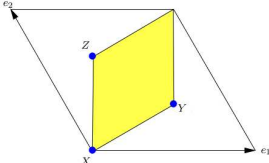


with  $\Phi_{-2/3}^i := (X_i, Y_i, Z_i)^T$ ,  $c \in \mathbb{R}$

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## More interestingly

$$K = -\log(-iT + iT) + \sum_i \left[ (-iT + iT)^{-2/3} + (-iT + iT)^{1/3} |\hat{Y}_{\mathbf{2}''}^{(1)}|^2 + \dots \right] |\Phi_{-2/3}^i|^2$$

+ suppressed corrections with flavon fields

Only **canonical** terms are allowed

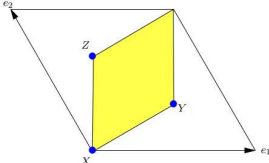
→ **predictivity** of bottom-up models with  $\Gamma'_N$  recovered! 😊

Nilles, SRS, Vaudrevange (2004.05200)

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Only **canonical** terms are allowed (due to **traditional** symmetry)

→ **predictivity** of bottom-up models with  $\Gamma'_N$  recovered! 😊

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# Flavor-unification enhancement

$$\gamma T_{fp} \stackrel{!}{=} T_{fp} \quad \Rightarrow \quad G_{\text{stabilizer}} = \{\gamma\} \subset G_{\text{modular}} \text{ is traditional symmetry}$$



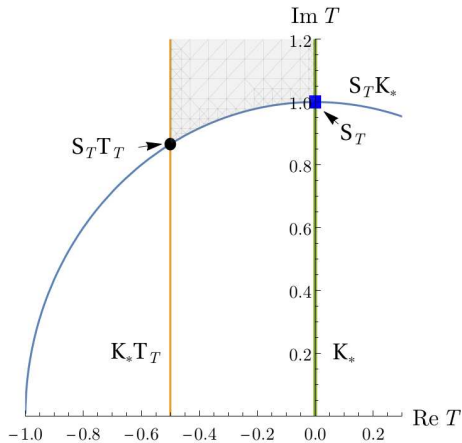
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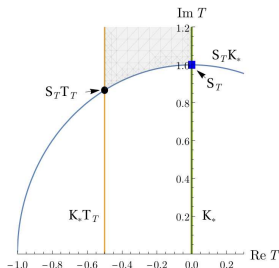
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 enhanced  $G_{\text{traditional}} = G_{\text{traditional}} \cup G_{\text{stabilizer}} \quad @ \quad T = T_{fp}$

$\langle T \rangle$	stabilizer generators		unified flavor symmetries	
	non $\mathcal{CP}$ -like	$\mathcal{CP}$ -like	without $\mathcal{CP}$	with $\mathcal{CP}$
i	$S_T$	$K_*$	$\Xi(2, 2) \cong [324, 111]$	$[648, 548]$
$\omega$	$S_T T_T$	$K_* T_T$	$H(3, 2, 1) \cong [486, 125]$	$[972, 469]$
$\text{Re}\langle T \rangle = 0$		$K_*$	$\Delta'(54, 2, 1) \cong [162, 44]$	$[324, 125]$
$\text{Re}\langle T \rangle = -1/2$		$K_* T_T$	$\Delta'(54, 2, 1) \cong [162, 44]$	$[324, 125]$
$ \langle T \rangle  = 1$		$S_T K_*$	$\Delta'(54, 2, 1) \cong [162, 44]$	$[324, 125]$



# Semi-realistic orbifold models

A model is semi-realistic (or MSSM-like) if it exhibits:

- $\mathcal{G}_{4D} = \text{SU}(3)_c \times \text{SU}(2)_L \times \text{U}(1)_Y \times \mathcal{G}_{hidden} \times \text{U}(1)'^z$  gauge group
- $\mathcal{G}_{hidden}$  admits gaugino condensates due to **little hidden matter**
- 3 families of **quarks & leptons**
- 2 (or more) **Higgs** doublets
- $\text{U}(1)_Y$  admits traditional unification at some  $M_{GUT}$ , i.e.  
$$\sin^2 \vartheta_w(M_{GUT}) = 3/8$$
- The Yukawa of at least one up-type quark is trilinear (no flavons)
- All (most?) **exotics can acquire masses**  $\sim M_s$

# Promising models with electic $\Omega(2)$

[Olguín-Trejo, Pérez-Martínez, SRS (2018)]

symmetry	$\mathbb{Z}_4$		$\mathbb{Z}_{6-I}$		$\mathbb{Z}_{6-II}$			
geometry	2	3	1	2	1	2	3	4
# models	149	27	30	30	363	349	353	356
symmetry	$\mathbb{Z}_7$		$\mathbb{Z}_{8-I}$		$\mathbb{Z}_{8-II}$		$\mathbb{Z}_{12-I}$	
geometry	1	1	2	3	1	2	1	2
# models	1	268	246	389	2,023	505	556	555
symmetry	$\mathbb{Z}_{12-II}$		$\mathbb{Z}_2 \times \mathbb{Z}_2$					
geometry	1	1	2	3	5	6	7	8
# models	363	205	369	444	42	401	76	25
symmetry	$\mathbb{Z}_2 \times \mathbb{Z}_2$			$\mathbb{Z}_2 \times \mathbb{Z}_4$				
geometry	9	10	12	(1,1)	(1,6)	(2,1)	(2,4)	(3,1)
# models	27	21	3	10,580	86	6,158	328	22,305
symmetry	$\mathbb{Z}_2 \times \mathbb{Z}_4$						$\mathbb{Z}_2 \times \mathbb{Z}_{6-I}$	
geometry	(4,1)	(5,1)	(6,1)	(7,1)	(8,1)	(9,1)	1	2
# models	4,519	2,116	3,246	2,667	911	2,142	583	353
symmetry	$\mathbb{Z}_3 \times \mathbb{Z}_3$				$\mathbb{Z}_3 \times \mathbb{Z}_6$		$\mathbb{Z}_4 \times \mathbb{Z}_4$	
geometry	(1,1)	(1,4)	(2,1)	(3,1)	(4,1)	1	2	1
# models	1,108	8	1,952	6	215	4,493	540	28,649
symmetry	$\mathbb{Z}_4 \times \mathbb{Z}_4$			$\mathbb{Z}_6 \times \mathbb{Z}_6$		<a href="http://stringpheno.fisica.unam.mx/stringflavor">http://stringpheno.fisica.unam.mx/stringflavor</a> 121,246 semi-realistic models!		
geometry	2	3	4	1				
# models	9,853	5,522	4,730	3,696				

# Messages from eclectic flavor symmetries

## Eclectic flavor symmetries

$$G_{\text{eclectic}} = G_{\text{modular}} \cup G_{\text{traditional}} \quad \text{with} \quad G_{\text{modular}} \subset \text{Out}(G_{\text{traditional}})$$

Also happens in models based on magnetized tori. See Ohki, Uemura, Watanabe (2020); Otsuka's talk(?)

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For  $\mathbb{T}^2/\mathbb{Z}_K$ ,  $K \neq 2$ ,  $\text{SL}(2, \mathbb{Z})_U$  broken to a *discrete*  $R$  symmetry

What happens with  $K = 2$ ?

## Siegel modular flavor group from string theory

Baur, Kade, Nilles, SRS, Vaudrevange: 2008.07534, 2012.09586, 2104.03981

# Orbifold $\mathbb{T}^2/\mathbb{Z}_2$

Translational outer automorphisms of  $S_{Narain}$ :  $G_{\text{traditional}} = D_8 \times D_8/\mathbb{Z}_2$

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$G_{\text{modular}}$  contains *everything* because  $\langle U \rangle = \text{free}$

$h_\Sigma =$	$S_U$	$T_U$	$S_T$	$T_T$	$M$	$K_*$
$U \xrightarrow{h_\Sigma}$	$-1/U$	$U + 1$	$U$	$U$	$T$	$-\bar{U}$
$T \xrightarrow{h_\Sigma}$	$T$	$T$	$-1/T$	$T + 1$	$U$	$-\bar{T}$

$$G_{\text{modular}} = (S_3^T \times S_3^U) \rtimes \mathbb{Z}_4^M \rtimes \mathbb{Z}_2^{\mathcal{CP}} \cup \mathbb{Z}_4^R$$

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$T \xrightarrow{h_\Sigma}$	$T$	$T$	$-1/T$	$T + 1$	$U$	$-\bar{T}$

$$G_{\text{modular}} = (S_3^T \times S_3^U) \rtimes \mathbb{Z}_4^M \rtimes \mathbb{Z}_2^{\mathcal{CP}} \cup \mathbb{Z}_4^R$$

$\Phi_{(n_T, n_U)} =$	$\Phi_{(0,0)}$	$\Phi_{(-1,-1)}$	$\Phi_{(-1/2,-1/2)}$	$\Phi_{(-3/2,1/2)}$	$\Phi_{(1/2,-3/2)}$	$\hat{Y}_{4_3}^{(2)}$	$\mathcal{W}$
$D_8 \times D_8/\mathbb{Z}_2$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{4}$	$\mathbf{4}$	$\mathbf{4}$	$\mathbf{1}_0$	$\mathbf{1}_0$
$S_3^T \times S_3^U$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{4}_1$	$(\mathbf{4}_1 \oplus \mathbf{4}_1)$		$\mathbf{4}_3$	$\mathbf{1}_0$
$n_T$	0	$-1$	$-1/2$	$-3/2$	$1/2$	2	$-1$
$n_U$	0	$-1$	$-1/2$	$1/2$	$-3/2$	2	$-1$
$\mathbb{Z}_4^R$	0	2	3	1	1	0	2 mod 4

# Modular transformations in $\mathbb{T}^2/\mathbb{Z}_2$

Observation: if  $T$  and  $U$  are included in a modulus matrix

$$\Omega := \begin{pmatrix} T & 0 \\ 0 & U \end{pmatrix} \quad \text{subject to} \quad \text{Im } \Omega > 0$$

all modular transformations (w/o  $K_*$ ) are  $4 \times 4$  matrices

$$\mathcal{M} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \text{Sp}(4, \mathbb{Z}) \quad \text{e.g.} \quad \mathcal{M}_{(\gamma_T, \gamma_U)} = \begin{pmatrix} a_U & 0 & b_U & 0 \\ 0 & a_T & 0 & b_T \\ c_U & 0 & d_U & 0 \\ 0 & c_T & 0 & d_T \end{pmatrix}$$

with

$$\text{Sp}(4, \mathbb{Z}) = \{\mathcal{M} \in \mathbb{Z}^{4 \times 4} | \mathcal{M}^T J \mathcal{M} = J\} \quad \text{and} \quad J = \begin{pmatrix} 0 & \mathbb{1}_2 \\ -\mathbb{1}_2 & 0 \end{pmatrix}$$

Including  $K_*$ , we need

$$\text{GSp}(4, \mathbb{Z}) = \{\mathcal{M} \in \mathbb{Z}^{4 \times 4} | \mathcal{M}^T J \mathcal{M} = \pm J\}$$

# Modular transformations of $\mathbb{T}^2/\mathbb{Z}_2$ vs $\mathrm{Sp}(4, \mathbb{Z})$

symmetry	$\mathrm{Sp}(4, \mathbb{Z})$	$\mathrm{O}_{\hat{\eta}}(2, 2, \mathbb{Z})$	transformation of moduli
$\mathrm{SL}(2, \mathbb{Z})_T$	$\mathcal{M}_{(\mathrm{S}, \mathbb{1}_2)}$	$\mathrm{S}_T$	$T \rightarrow -\frac{1}{T}$ $U \rightarrow U$
	$\mathcal{M}_{(\mathrm{T}, \mathbb{1}_2)}$	$\mathrm{T}_T$	$T \rightarrow T + 1$ $U \rightarrow U$
$\mathrm{SL}(2, \mathbb{Z})_U$	$\mathcal{M}_{(\mathbb{1}_2, \mathrm{S})}$	$\mathrm{S}_U$	$T \rightarrow T$ $U \rightarrow -\frac{1}{U}$
	$\mathcal{M}_{(\mathbb{1}_2, \mathrm{T})}$	$\mathrm{T}_U$	$T \rightarrow T$ $U \rightarrow U + 1$
Mirror	$\mathcal{M}_{\times}$	$\mathrm{M}$	$T \rightarrow U$ $U \rightarrow T$
?	$\mathcal{M}_{(m)}^{\ell}$	?	
$\mathcal{CP}$ -like	$\mathcal{M}_* \in \mathrm{GSp}(4, \mathbb{Z})$	$\mathrm{K}_*$	$T \rightarrow -\bar{T}$ $U \rightarrow -\bar{U}$



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	$\mathcal{M}_{(\mathrm{T}, \mathbb{1}_2)}$	$\mathrm{T}_T$	$T \rightarrow T + 1$ $U \rightarrow U$

Include continuous Wilson-line modulus  $Z$

$\mathrm{SL}(2, \mathbb{Z})_U$

$$\Omega = \begin{pmatrix} T & Z \\ Z & U \end{pmatrix}, \quad Z = -a_2 + Ua_1, a_i \in \mathbb{R}$$

Demand Wilson-line shift  $a_1 \rightarrow a_1 + \ell, a_2 \rightarrow a_2 + m$

$$\ell, m \in \mathbb{Z}$$

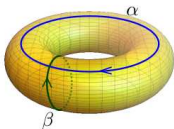
Mirror

?	$\mathcal{M}_{(m)}^{(\ell)}$	?	
$\mathcal{CP}$ -like	$\mathcal{M}_* \in \mathrm{GSp}(4, \mathbb{Z})$	$\mathrm{K}_*$	$T \rightarrow -T$ $U \rightarrow -\bar{U}$

# Modular transformations of $\mathbb{T}^2/\mathbb{Z}_2$ vs $\mathrm{Sp}(4, \mathbb{Z})$

symmetry	$\mathrm{Sp}(4, \mathbb{Z})$	$\mathrm{O}_{\hat{\eta}}(2, 3, \mathbb{Z})$	transformation of moduli
$\mathrm{SL}(2, \mathbb{Z})_T$	$\mathcal{M}_{(\mathrm{S}, \mathbb{1}_2)}$	$\mathrm{S}_T$	$T \rightarrow -\frac{1}{T}$ $U \rightarrow U - \frac{Z^2}{T}$ $Z \rightarrow -\frac{Z}{T}$
	$\mathcal{M}_{(\mathrm{T}, \mathbb{1}_2)}$	$\mathrm{T}_T$	$T \rightarrow T + 1$ $U \rightarrow U$ $Z \rightarrow Z$
$\mathrm{SL}(2, \mathbb{Z})_U$	$\mathcal{M}_{(\mathbb{1}_2, \mathrm{S})}$	$\mathrm{S}_U$	$T \rightarrow T - \frac{Z^2}{U}$ $U \rightarrow -\frac{1}{U}$ $Z \rightarrow -\frac{Z}{U}$
	$\mathcal{M}_{(\mathbb{1}_2, \mathrm{T})}$	$\mathrm{T}_U$	$T \rightarrow T$ $U \rightarrow U + 1$ $Z \rightarrow Z$
Mirror	$\mathcal{M}_{\times}$	$\mathrm{M}$	$T \rightarrow U$ $U \rightarrow T$ $Z \rightarrow Z$
Wilson line shift	$\mathcal{M}_{(m)}^{(\ell)}$	$\mathrm{W}_{(m)}^{(\ell)}$	$T \rightarrow T + m(mU + 2Z - \ell)$ $U \rightarrow U$ $Z \rightarrow Z + mU - \ell$
$\mathcal{CP}$ -like	$\mathcal{M}_* \in \mathrm{GSp}(4, \mathbb{Z})$	$\mathrm{K}_*$	$T \rightarrow -\bar{T}$ $U \rightarrow -\bar{U}$ $Z \rightarrow -\bar{Z}$

# Origin of the Siegel modular flavor group

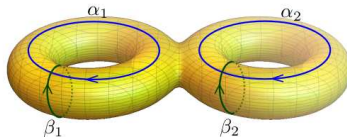


$$\mathrm{Sp}(2, \mathbb{Z}) \cong \mathrm{SL}(2, \mathbb{Z})$$

$$\mathrm{GSp}(2, \mathbb{Z}) \cong \mathrm{GL}(2, \mathbb{Z})$$

 $\gamma$ 
 $T$ 
 $\Gamma_N$ 
 $\Phi_n$ 

modular forms



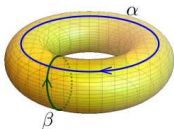
$$\mathrm{Sp}(4, \mathbb{Z})$$

$$\mathrm{GSp}(4, \mathbb{Z})$$

 $\mathcal{M}$ 
 $\Omega(T, U, Z)$ 
 $\Gamma_{2,N}$ 
 $\Phi_{n_T, n_U}$ 

Siegel modular forms

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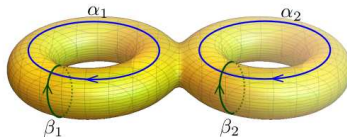


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 $\gamma$ 
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modular forms



$$\mathrm{Sp}(4, \mathbb{Z})$$

$$\mathrm{GSp}(4, \mathbb{Z})$$

 $\mathcal{M}$ 
 $\Omega(T, U, Z)$ 
 $\Gamma_{2,N}$ 
 $\Phi_{n_T, n_U}$ 

Siegel modular forms

Extend to  $n_T \neq n_U$ , new modular weight associated with  $Z$ ?

Find out the exact form of *all* transformations

Compare with compactifications on CY

Ishiguro, Kobayashi, Otsuka (2021)

## Metaplectic flavor symmetries in the top–down approach

- $\tilde{\Gamma} = \text{Mp}(2, \mathbb{Z})$ : metaplectic group = double cover of  $\text{SL}(2, \mathbb{Z})$

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- Choice of phase (of multiplier system)

$$\tilde{S} = (S, -\sqrt{-T}) \quad \& \quad \tilde{T} = (T, +1), \quad S, T \in \text{SL}(2, \mathbb{Z})$$



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- Note that  $\Phi_n$  has  $n \in \mathbb{Z}/2$  !

# Finite metaplectic flavor symmetries

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- Many semi-realistic models based on intersecting D-branes

Ibáñez, Uranga: *String Theory and Particle Physics*

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- Modular properties of fields reveal modular flavor symmetries 😊

Kobayashi, Otsuka (2019-21); Ohki, Uemura, Watanabe (2020); Ishiguro, Kikuchi, Ogawa, Uchida, Kobayashi, Otsuka, ...

# Internal components of matter fields

Almumin, Chen, Knapp-Pérez, SRS, Ratz, Shukla (2021); Tatsuta (2021)

“Wave-functions”  $\psi^{j,M}$ : solutions to the Dirac equation on a torus background with  $M$  magnetic fluxes

$$\psi^{j,M}(z, T) = (2M \operatorname{Im} T)^{1/4} e^{\pi i M z \frac{\operatorname{Im} z}{\operatorname{Im} T}} \vartheta \left[ \begin{smallmatrix} j/M \\ 0 \end{smallmatrix} \right] (Mz, MT)$$

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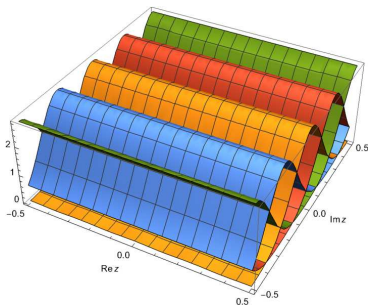
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Valid for all  $M$ , also  $M = 3$  😊

# Intersecting wavefunctions & couplings

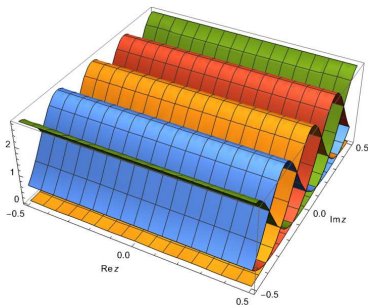


$$Y_{ijk}(\tilde{\zeta}, T) = g \int d^2 z \psi^{i, M_1} \psi^{j, M_2} (\psi^{k, M_3})^* \propto \vartheta \left[ \frac{\text{fluxes}}{\lambda} \right] (\tilde{\zeta}/d, \lambda T)$$

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wich are **representations of**  $\tilde{\Gamma}_{2\lambda}$  ☺

Almumin, Chen, Knapp-Pérez, SRS, Ratz, Shukla (2021); Tatsuta (2021)

From top-down to bottom-up  
eclectic flavor symmetries

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Key observation:  $T'$  is subgroup of  $Out(\Delta(54))$  😊

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# Eclectic flavor groups

flavor group $\mathcal{G}_\text{fl}$	GAP ID	$\text{Aut}(\mathcal{G}_\text{fl})$	finite modular groups		eclectic flavor group
$Q_8$	$[8, 4]$	$S_4$	without $\mathcal{CP}$	$S_3$	$\text{GL}(2, 3)$
			with $\mathcal{CP}$	—	—
$\mathbb{Z}_3 \times \mathbb{Z}_3$	$[9, 2]$	$\text{GL}(2, 3)$	without $\mathcal{CP}$	$S_3$	$\Delta(54)$
			with $\mathcal{CP}$	$S_3 \times \mathbb{Z}_2$	$[108, 17]$
$A_4$	$[12, 3]$	$S_4$	without $\mathcal{CP}$	$S_3$ $S_4$	$S_4$ $S_4$
			with $\mathcal{CP}$	—	—
$T'$	$[24, 3]$	$S_4$	without $\mathcal{CP}$	$S_3$	$\text{GL}(2, 3)$
			with $\mathcal{CP}$	—	—
$\Delta(27)$	$[27, 3]$	$[432, 734]$	without $\mathcal{CP}$	$S_3$ $T'$	$\Delta(54)$ $\Omega(1)$
			with $\mathcal{CP}$	$S_3 \times \mathbb{Z}_2$ $\text{GL}(2, 3)$	$[108, 17]$ $[1296, 2891]$
$\Delta(54)$	$[54, 8]$	$[432, 734]$	without $\mathcal{CP}$	$T'$	$\Omega(1)$
			with $\mathcal{CP}$	$\text{GL}(2, 3)$	$[1296, 2891]$

Nilles, SR-S, Vaudrevange (2001.01736)

## Quasi-Electic realization of a simple lepton model

# Quasi-eclectic picture $A_4 \times \Gamma_3 \rightarrow A_4$

Chen, Knapp-Pérez, Ramos-Hamud, SRS, Ratz, Shukla (2021)

	$(E_1^C, E_2^C, E_3^C)$	$L$	$H_d$	$H_u$	$\chi$	$\varphi$	$S_\chi$	$S_\varphi$	$Y$
$A_4^{\text{traditional}}$	$(\mathbf{1}_0, \mathbf{1}_2, \mathbf{1}_1)$	<b>3</b>	$\mathbf{1}_0$	$\mathbf{1}_0$	<b>3</b>	<b>3</b>	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$
$\Gamma_3$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$	<b>3</b>	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$	<b>3</b>
modular weights	$(1, 1, 1)$	-1	0	0	0	0	0	0	2

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$\Gamma_3$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{3}$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{3}$
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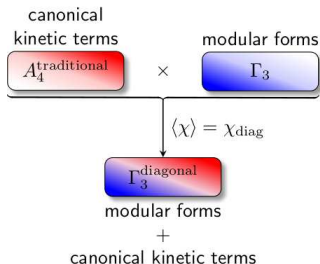
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phenomenology like *Feruglio's first model*



In summary

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- In **string models**, more useful **constraints**: matter modular weights, representations and charges **defined by compactification**



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- **Toroidal orbifold** compactifications of string theory reveal an *eclectic flavor* structure = traditional  $\cup$  modular symmetries
- In **string models**: moduli, modular weights, representations, charges of  $\Phi$  and  $Y$
- In  $\mathbb{T}^2\mathbb{Z}_2$ : natural to include **Siegel flavor groups** with 3rd modulus = Wilson line
- In **magnetized tori**: **first time** obtained *eclectic* and *Quasi*
- In **string models**, modular weights, matter modular weights, **compactification**

## To work on

- pheno & *eclectic* breakdown
- $\mathcal{CP}$  and  $\mathcal{CP}$  violation ?
- moduli stabilization ?
- complete Siegel picture ?
- non-supersymmetric constructions ?

see Baur's talk

see Trautner's talk

Just in case...

## Backup slides

# Some things we *don't* know

- Need to explain {
  - three flavors of SM particles
  - observed mass patterns
  - observed quark and lepton mixing textures
  - CKM, PMNS phases
  - neutrino physics
  - suppression of proton decay
  - baryogenesis
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  - origin of dark matter
  - ...
- Many proposed non-Abelian flavor (discrete) symmetries that (can) answer some of these questions

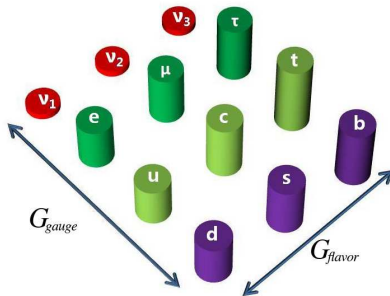
# We do know: flavor symmetries...

- Extension of the group of symmetries of SM particles

$$G_{\text{SM}} \times G_{\text{flavor}}$$

Typically  $G_{\text{flavor}} \subset \text{SU}(3)$

- Matter transforms under  $G_{\text{flavor}}$ , relating families



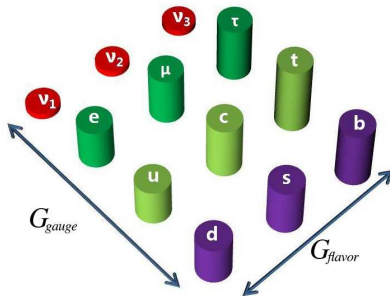
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More technically, the **Lagrangian is invariant under**

$$\begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix} \xrightarrow{g} \rho(g) \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix}, \quad \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix} = \begin{pmatrix} e \\ \mu \\ \tau \end{pmatrix}, \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}, \begin{pmatrix} u' \\ c' \\ t' \end{pmatrix}, \begin{pmatrix} d' \\ s' \\ b' \end{pmatrix}$$

for (reducible or non-reducible triplet) matrix reps.  $\rho(g), g \in G_{\text{flavor}}$

$\Rightarrow$  **mixtures** of quarks in  $V_{CKM}$  and of leptons in  $U_{PMNS}$

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$$S_3, D_4, Q_8, A_4, T_7, S_4, T', \Delta(27), \Delta(54), A_5, \Sigma(168), \dots$$

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# How to proceed with *traditional* flavor symmetries

- Take your favorite traditional flavor symmetry  $G_{flavor}$

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- Choose your favorite representations for quark and lepton fields

e.g. quark doublets  $Q$  as  $\mathbf{3}$  or  $\mathbf{1} \oplus \mathbf{1}' \oplus \mathbf{1}''$  of  $A_4, \dots$

- Write your  $G_{flavor}$ -invariant Lagrangian  $\mathcal{L}$  or superpotential  $W$

$$\text{e.g. } \mathcal{L} \supset -y_{ij}^u \phi^* Q^i \bar{u}^j - y_{ij}^d \phi Q^i \bar{d}^j - y_{ij}^e \phi^* L^i \bar{e}^j - \frac{\lambda_{ij}}{\Lambda} L_i \phi \bar{L}_j \phi^*$$

- Introduce some flavon field  $s$  in some nontrivial representation, then give it a vev e.g.  $\langle s \rangle = v_s(1, 0, \dots)^T$  to break  $G_{fl}$
- EW breakdown with  $\langle \phi \rangle \neq 0$
- Diagonalize quark and lepton matrices to compute  $V_{CKM}$  and  $U_{PMNS}$  and adjust couplings and vevs to data

## Modular Flavor Symmetries

# Modular symmetries as flavor symmetries

“Simplest” modular group:  $SL(2, \mathbb{Z})$

$$\gamma \in SL(2, \mathbb{Z}) : \quad \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad \det \gamma = ad - bc = 1$$

generators:  $S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$

general *presentation*:  $\langle S, T \mid S^4 = (ST)^3 = \mathbb{1}, \quad S^2 T = T S^2 \rangle$

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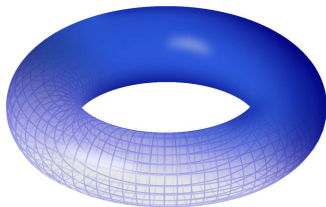
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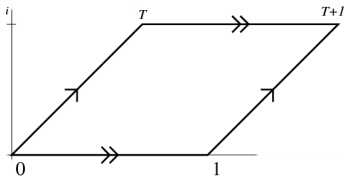
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$$T \xrightarrow{\gamma} \frac{aT + b}{cT + d} \quad \Rightarrow \quad T \xrightarrow{S} -\frac{1}{T}, \quad T \xrightarrow{T} T + 1$$

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Congruence modular subgroups:  $\Gamma(N) \subset \mathrm{SL}(2, \mathbb{Z})$

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Finite modular subgroups:  $\Gamma_N \cong \mathrm{PSL}(2, \mathbb{Z})/\bar{\Gamma}(N)$  ( $\mathrm{PSL}(2, \mathbb{Z}) \cong \mathrm{SL}(2, \mathbb{Z})/\{\pm 1\}$ )

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e.g. de Adelhaart, Feruglio, Hagedorn (2011)

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Thus far, models with modular flavor symmetries are **supersymmetric Superfields** build reps. of  $\Gamma_N$  or  $\Gamma'_N$ ; transform as

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$n_i$ : modular weight,  $\rho(\gamma)$ : matrix rep. of  $\gamma$  for  $\Phi_{n_i}$

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Couplings  $\hat{Y}^{(n_Y)}(T)$  are *modular forms*

$$W \supset \sum \hat{Y}^{(n_Y)}(T) \Phi_{n_1} \Phi_{n_2} \Phi_{n_3}, \quad \hat{Y}^{(n_Y)} \xrightarrow{\gamma} (cT + d)^{n_Y} \rho(\gamma) \hat{Y}^{(n_Y)}$$

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**Admissible** iff

$$W(\Phi_{n_1}, \dots) \xrightarrow{\gamma} (cT + d)^{-1} \mathbb{1} W(\Phi_{n_1}, \dots), \quad \text{i.e. } n_Y + \sum n_i = -1, \quad \prod \rho(\gamma) = \mathbb{1}$$

Note the nontrivial *automorphy factor*  $(cT + d)^{-1} \rightarrow W$  covariant

# How to proceed with *modular* flavor symmetries

- Take your favorite symmetry:  $G_{mod} = \Gamma_N \in \{S_3, A_4, S_4, A_5, \dots\}$
- Choose your favorite representations  $\rho(\gamma)$  for quark and lepton fields

e.g. quark doublets  $Q$  as  $\mathbf{3}$  or  $\mathbf{1} \oplus \mathbf{1}' \oplus \mathbf{1}''$  of  $\Gamma_3 \cong A_4, \dots$

- Pick your favorite modular weights  $n_i$  and  $n_Y$
- Write your  $G_{mod}$ -covariant superpotential  $W$

e.g.  $W \supset \hat{Y}^u H_u Q \bar{u} + \hat{Y}^d H_d Q \bar{d} + \hat{Y}^e H_d L \bar{e} + \frac{\hat{Y}}{\Lambda} L H_u L H_u$

- Take your favorite inv. Kähler potential  $K$ ; typical choice  $K = \sum |\Phi_{n_i}|^2$   
MANY other modular invariant  $K$  possible! - Chen, SR-S, Ratz (1909.06910)
- Choose a  $\langle T \rangle \neq 0 \rightarrow$  nontrivial rep. of  $\hat{Y}(\langle T \rangle)$  breaks  $G_{mod}$
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Perhaps strings could offer a solution ?

## Some candidate flavor symmetries: the *old* picture

- Orbifold  $\mathcal{O} = \mathbb{R}^6/S \leftarrow$  space group: rotations, reflexions and shifts
- Localized states are subject to two kinds of “geometric” symmetries
  - A: permutation symmetries among fixed points  $\rightarrow S_n$
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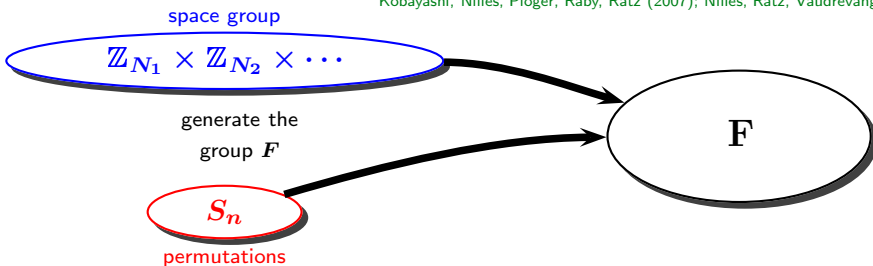
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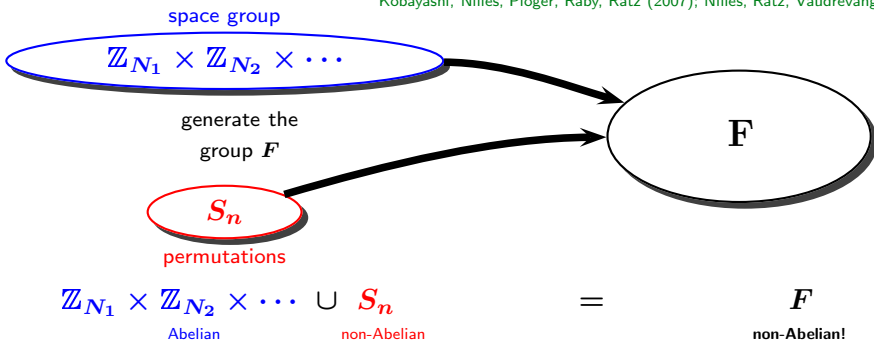
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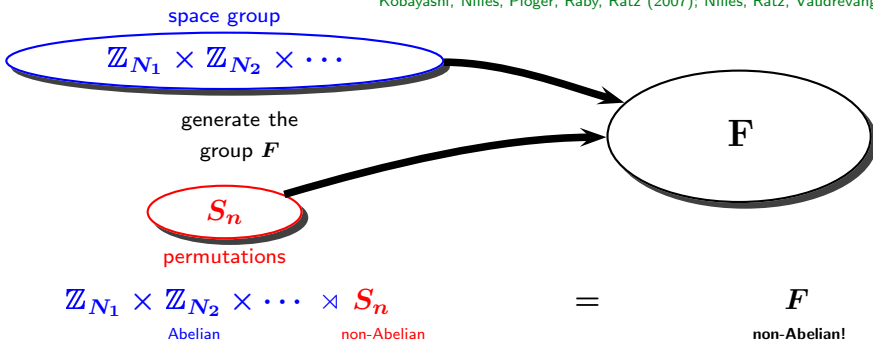
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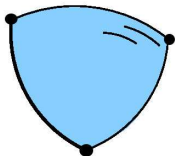
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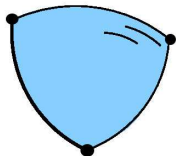
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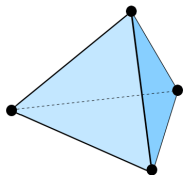
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Nilles, SR-S, Vaudrevange (2001.01736)

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