

Non-perturbative range corrections and spurious poles in finite volume

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in collaboration with:

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Objective

- Include range corrections in the quantization condition (**QC**)
 - Non-perturbative approach \rightarrow spurious pole (**SP**)
 - Develop a non-perturbative method to avoid issues caused by **SP**
- \rightarrow Improve accuracy of **QC**

- Three identical bosons in non-relativistic effective field theory (**NREFT**)
- Pionless effective field theory

$$\mathbf{m}_{high} = m_{\pi} \approx 140 \text{ MeV}$$

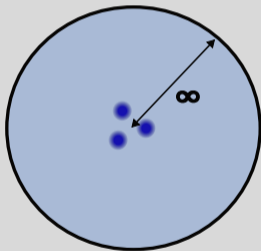
- Shallow bound two-body state
- Unnaturally large two-body **scattering length** a and naturally sized **effective range** r

$$\frac{1}{a} \sim k \sim \mathbf{m}_{low}; \quad \frac{1}{r} \sim \mathbf{m}_{high}$$

- Use particle-dimer picture

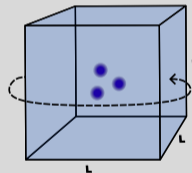
Non-Perturbative Range Corrections and Spurious Poles in Finite Volume

1. Infinite Volume



ME, Hammer, Rusetsky,
Eur. Phys. J. A **57**, 332 (2021)

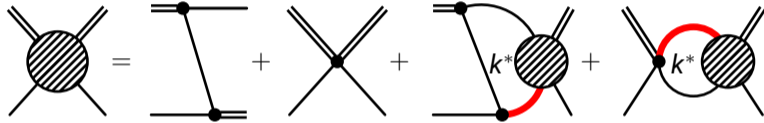
2. Finite Volume



Pang, ME, Hammer, Müller, Rusetsky, Wu,
J. High Energy Phys. **2022**, 19 (2022)

Effective Range in the Particle-Dimer Picture and the Spurious pole

- Use an auxiliary-field (dimer) to simplify the three-particle problem (S-wave)



- Dimer-propagator with effective range expansion (**ERE**)

$$\tau(k^*) = (k^* \cot \delta(k^*) + k^*)^{-1} = \left(-\frac{1}{a} - \frac{r}{2}k^{*2} + \dots + k^* \right)^{-1}$$

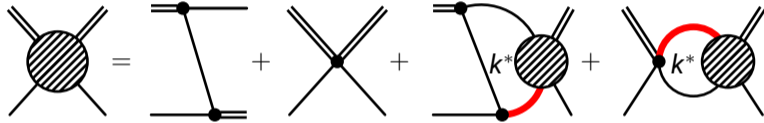
- Two poles for $r \ll a$

$$k_1^* \approx \frac{1}{a}; \quad k_2^* \approx \frac{2}{r}$$

$$k^{*2} = 3/4 k^2 - mE$$

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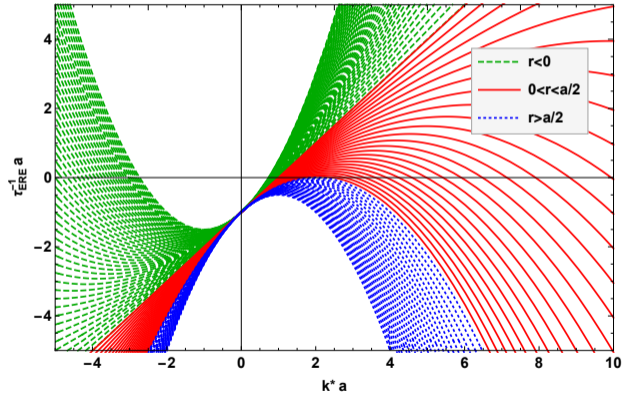
$$k_1^* \approx \frac{1}{a}; \quad k_2^* \approx \frac{2}{r}$$

k_2^* has a negative residue:
 \rightarrow violates unitarity / causality
 \Rightarrow unphysical / spurious

Condition for the Spurious Pole

- Appears at **NLO** in the power-counting
 - k_2^* causes problems if it lies on the path of integration
- Condition for the issues:

$$0 < r < \frac{a}{2}$$





- Choose UV cut-off $\Lambda < k_2^*$ → Spurious pole does not appear in integration path
- Limited accuracy
- Fully **perturbative method**
Vanasse, Phys. Rev. C **88**, 044001 (2013)
Ji, Phillips, Platter, Annals Phys. **327**, 1803 (2012)

$$\tau_{pert}^m(k^*) = \sum_{n=0}^m \frac{n!(k^*)^{2n}}{(-1/a + k^*)^{n+1}} \left(\frac{r}{2}\right)^n$$

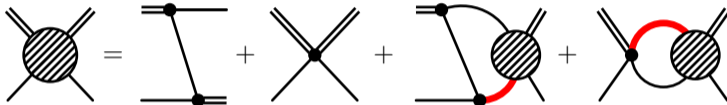
- Convergence issues in finite volume
- ⇒ Develop a **non-perturbative method**

The Non-Perturbative Method

The Effective Potential



- Original Faddeev equation



$$M(\mathbf{p}, \mathbf{q}; E) = Z(\mathbf{p}, \mathbf{q}; E) + 8\pi \int^{\Lambda} \frac{d^3\mathbf{k}}{(2\pi)^3} Z(\mathbf{p}, \mathbf{k}; E) \tau(k^*) M(\mathbf{k}, \mathbf{q}; E)$$

- Introduce an effective potential W

$$M(\mathbf{p}, \mathbf{q}; E) = W(\mathbf{p}, \mathbf{q}; E) + 8\pi \int^{\Lambda} \frac{d^3\mathbf{k}}{(2\pi)^3} W(\mathbf{p}, \mathbf{k}; E) (\tau(k^*) - f(k^*)) M(\mathbf{k}, \mathbf{q}; E);$$

$$W(\mathbf{p}, \mathbf{q}; E) = Z(\mathbf{p}, \mathbf{q}; E) + 8\pi \int^{\Lambda} \frac{d^3\mathbf{k}}{(2\pi)^3} Z(\mathbf{p}, \mathbf{k}; E) f(k^*) W(\mathbf{k}, \mathbf{q}; E)$$

The Non-Perturbative Method

The Function f



- Separate the **physical pole** k_1^* and the **spurious pole** k_2^*

$$\tau(k^*) = \frac{2(k_1^* + k_2^*)/r}{(k_2^* - k_1^*)(k^* + k_2^*)(k^* - k_1^*)} - \frac{4k_2^*/r}{(k_2^* - k_1^*)(k^{*2} - k_2^{*2})}$$

- Subtract a function $f(k^*)$, such that

$$\tau_i(k^*) = \tau(k^*) - f(k^*) = \frac{2(k_1^* + k_2^*)/r}{(k_2^* - k_1^*)(k^* + k_2^*)(k^* - k_1^*)} + \frac{4k^{*2}/r}{(k_2^* - k_1^*)k_2^{*2}} \left\{ 1 + \frac{k^{*2}}{k_2^{*2}} + \frac{k^{*4}}{k_2^{*4}} + \dots \right\}$$

- We have shown analytically that

$$W(\mathbf{p}, \mathbf{q}; E) = Z(\mathbf{p}, \mathbf{q}; E) + \# + \# p^2 + \dots = Z'(\mathbf{p}, \mathbf{q}; E)$$

- Absorb the polynomial in the three-body forces, drop un-physical imaginary part
→ Change renormalization prescription

The Non-Perturbative Method

The Function f



- Separate the physics

Solve new Faddeev equation:

$$M(\mathbf{p}, \mathbf{q}; E) = Z'(\mathbf{p}, \mathbf{q}; E) + 8\pi \int^\Lambda \frac{d^3\mathbf{k}}{(2\pi)^3} Z'(\mathbf{p}, \mathbf{k}; E) \tau_i(k^*) M(\mathbf{k}, \mathbf{q}; E)$$

- Subtract a function

$$\tau_i(k^*) = \tau(k^*) - f(k^*) = \frac{2(k_1^* + k_2^*)/r}{(k_2^* - k_1^*)(k^* + k_2^*)(k^* - k_1^*)} + \frac{4k^{*2}/r}{(k_2^* - k_1^*)k_2^{*2}} \left\{ 1 + \frac{k^{*2}}{k_2^{*2}} + \frac{k^{*4}}{k_2^{*4}} + \dots \right\}$$

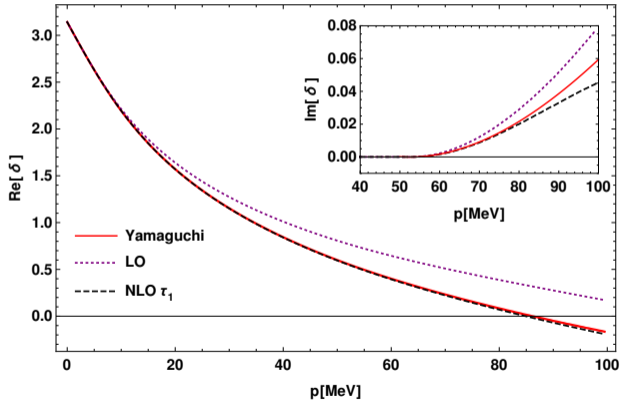
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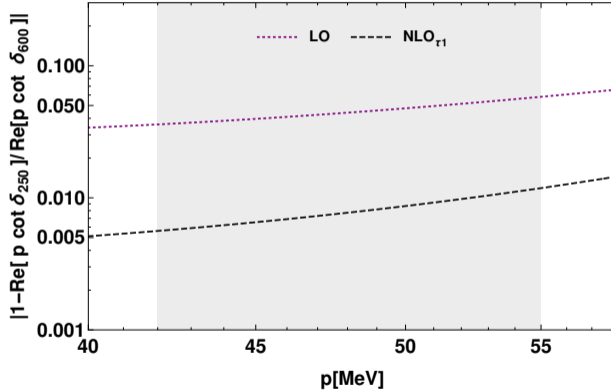
- Absorb the polynomial in the three-body forces, drop un-physical imaginary part
→ Change renormalization prescription

Numerical Test Particle-Dimer Phase Shift

- Compare to Yamaguchi model (S-wave)
- $a = 5.42 \text{ fm}, r = 1.76 \text{ fm}$
- Method can describe the model
- Clear improvement compared to LO



Numerical Test Consistency Assessment



- Investigate the internal consistency of our method

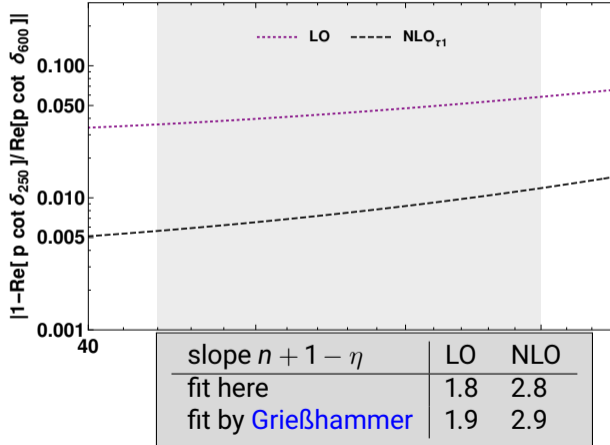
[Grißhammer, Eur. Phys. J. A **56**, 118 \(2020\)](#)

$$\frac{p \cot \delta_{\Lambda_2} - p \cot \delta_{\Lambda_1}}{p \cot \delta_{\Lambda_2}} = c \cdot \left(\frac{p}{\Lambda_b} \right)^{n+1-\eta} + \dots$$

- Double logarithmic plot \rightarrow linear function
- Slope \Leftrightarrow order of the **EFT**

Λ_b : Breakdown scale; Λ_1, Λ_2 : UV cutoff

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[Grießhammer, Eur. Phys. J. A **56**, 118 \(2020\)](#)

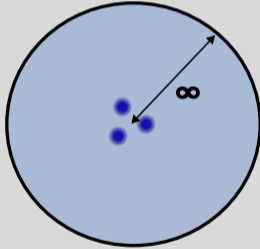
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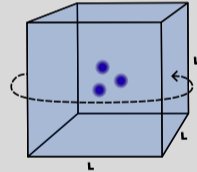
A Non-Perturbative Method for Spurious Poles in Infinite and Finite Volume

Infinite Volume

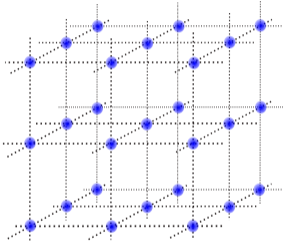


ME, Hammer, Rusetsky,
Eur. Phys. J. A **57**, 332 (2021)

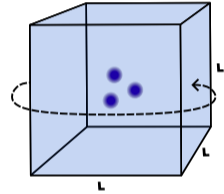
Finite Volume



Pang, ME, Hammer, Müller, Rusetsky, Wu,
J. High Energy Phys. **2022**, 19 (2022)



- Discretized position-space, a **lattice**:
→ Countably infinite number of degrees of freedom



- Finite volume:
→ Finite number of degrees of freedom
- Apply periodic boundary conditions
- Discrete momentum space
- Reduced symmetry of the cubic group

- Three-particle quantization condition (**QC**) in **NREFT**

Hammer, Pang, Rusetsky, JHEP **1709**, 109 (2017); JHEP **1710** (2017) 115

$$\det \left(\tau_L^{-1}(k^*) - Z(\mathbf{p}, \mathbf{k}, E) \right) = 0$$

with the dimer propagator in finite volume

$$\tau_L(k^*) = \frac{1}{k^* \cot \delta(k^*) + S(\mathbf{k}, E)} \quad \text{and} \quad S(\mathbf{k}, E) = -\frac{4\pi}{L^3} \sum_{\mathbf{p}} \frac{1}{\mathbf{p}^2 + \mathbf{p}\mathbf{k} + \mathbf{k}^2 - mE}$$

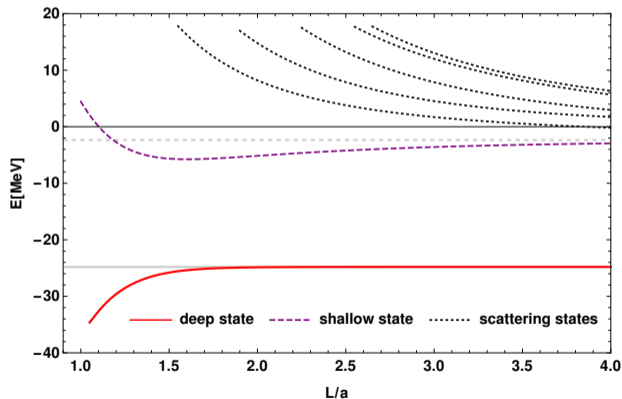
- **QC** in irreducible representation Γ of the cubic group

Döring, Hammer, Mai, Pang, Rusetsky, Wu, Phys. Rev. D **97**, 114508 (2018)

$$\det \left(\tau_L^{-1}(s) \delta_{r,s} \delta_{\lambda,\rho} - \frac{\theta(s)}{GL^3} Z_{\lambda,\rho}^{\Gamma}(r, s) \right) = 0$$

Spectrum of the Yamaguchi Model

- Compare to Yamaguchi model (S-wave)
- $a = 5.42$ fm, $r = 1.76$ fm
- Two bound states
- Three-body scattering
- Particle-dimer scattering

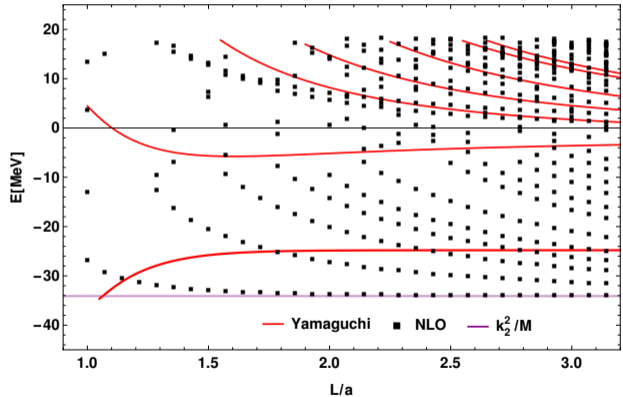


The Spurious Pole in Finite Volume

- Ignore the pole \Leftrightarrow Use **QC** with unmodified dimer propagator

$$\tau_L^{-1}(k^*) = -\frac{1}{a} - \frac{r}{2}(k^*)^2 + S(k, E)$$

- \rightarrow Incorrect spectrum
- \rightarrow Spurious scattering states

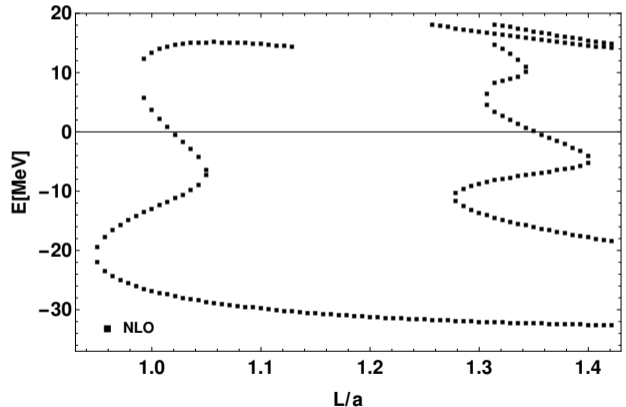


The Spurious Pole in Finite Volume

- Ignore the pole \Leftrightarrow Use **QC** with unmodified dimer propagator

$$\tau_L^{-1}(k^*) = -\frac{1}{a} - \frac{r}{2}(k^*)^2 + S(k, E)$$

- Incorrect spectrum
- Spurious scattering states
- Un-physical merging of states (expected due to the negative residue)





- Same idea as in infinite volume:
 - (a) Introduce an effective potential
 - (b) New Faddeev equation with $\tau_L(k^*) \rightarrow \tau_L(k^*) - f_L(k^*)$
 - (c) The difference between the effective potential and the original potential is a low-energy polynomial
 - (d) Absorb the difference in the three-body forces
 - The analytical argument for (c) in infinite volume relies on perturbation theory
Additional poles of the exchange diagram above two-body threshold
→ Cannot be used!
- Use modified function $f_L(k^*)$

$$f_L(k^*) = \begin{cases} f(k^*) & (k^*)^2 \geq 0, \\ 0 & \text{else} \end{cases}$$

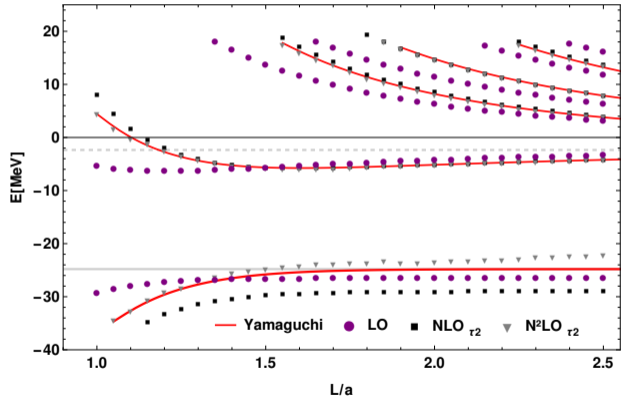
Numerical Test Energy Spectrum in Finite Volume

- Use **QC** with modified dimer propagator

$$\tau_L(k^*) \rightarrow \tau_L(k^*) - f_L(k^*)$$

and changed renormalization prescription

- Correct spectrum
- Clear improvement to **LO**
- Systematic improvement order by order



Infinite Volume



- Range corrections \rightarrow Spurious pole k_2^*
- Developed non-perturbative method
- Describes models and is consistent with power-counting
- \rightarrow Higher orders of the **EFT/ERE**

Finite Volume



- Method can be adopted to finite volume
- **QC** for **NREFT** with the method describes the energy spectrum
- \rightarrow Extend **QC** to nucleons
- \rightarrow Include range corrections

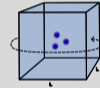
Infinite Volume



Thank you for your attention!

- Range corrections → Spurious pole k_2^*
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Finite Volume



- Method can be adopted to finite volume
- **QC** for **NREFT** with the method describes the energy spectrum
- Extend **QC** to nucleons
- Include range corrections

Backup

The Propagator with Range Corrections

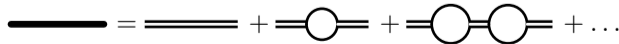
- Lagrangian of the particle-dimer picture

$$\mathcal{L}_d = \psi^\dagger \left(i\partial_0 + \frac{\nabla^2}{2m} \right) \psi + \sigma d^\dagger \left(i\partial_0 + \frac{\nabla^2}{4m} + \Delta \right) d + \frac{f_0}{2} (d^\dagger \psi^2 + \text{h.c.}) \\ + h_0 d^\dagger d \psi^\dagger \psi + h_2 d^\dagger d (\psi^\dagger \nabla^2 \psi + (\nabla^2 \psi^\dagger) \psi) + \dots$$

- Bare propagator at LO (NLO)

$$S_D^{NLO}(p_0, \mathbf{p}) = -\frac{i}{\Delta + p_0 - \mathbf{p}^2/(4m)}$$

- Dyson equation for the dressed propagator $\tau(k^*)$


$$\text{thick line} = \text{double line} + \text{double line with circle} + \text{double line with two circles} + \dots$$

- The potential: One particle exchange and three-body forces

$$Z(\mathbf{p}, \mathbf{q}; E) = \frac{1}{\mathbf{p}^2 + \mathbf{q}^2 + \mathbf{p}\mathbf{q} - mE} + \frac{H_0(\Lambda)}{\Lambda^2} + \frac{H_2(\Lambda)}{\Lambda^4} \frac{3}{8} (\mathbf{p}^2 + \mathbf{q}^2) + \dots$$

- Energy scheme

$$Z(\mathbf{p}, \mathbf{q}; E) = \frac{1}{\mathbf{p}^2 + \mathbf{q}^2 + \mathbf{p}\mathbf{q} - mE} + \frac{H_0}{\Lambda^2} + \frac{H_2}{\Lambda^4} (mE + \gamma^2) + \dots$$

- S-wave projection

$$Z_S(p, q, E) = \frac{1}{2pq} \frac{p^2 + q^2 + pq - mE}{p^2 + q^2 - pq - mE} + \frac{H_0}{\Lambda^2} + \frac{H_2}{\Lambda^4} (mE - mE_d) + \dots$$

Backup

Avoided avoided-level crossing



- Consider two states and a volume size L' such that $E_1(L') = E_2(L')$ for $g = 0$
- The energy is given by

$$\det \begin{pmatrix} E - E_1 & g H_{12} \\ g H_{12} & E - E_2 \end{pmatrix} = 0$$

$$\Rightarrow E_{\pm} = \frac{1}{2}(E_1 + E_2) \pm \sqrt{\frac{1}{4}(E_1 - E_2)^2 + g^2 H_{12}^2}$$

- Consistent theory: The root can not vanish \rightarrow avoided level crossing
- Due to the negative residue it can \rightarrow merging of states
- Also the root can be negative \rightarrow disappearing states